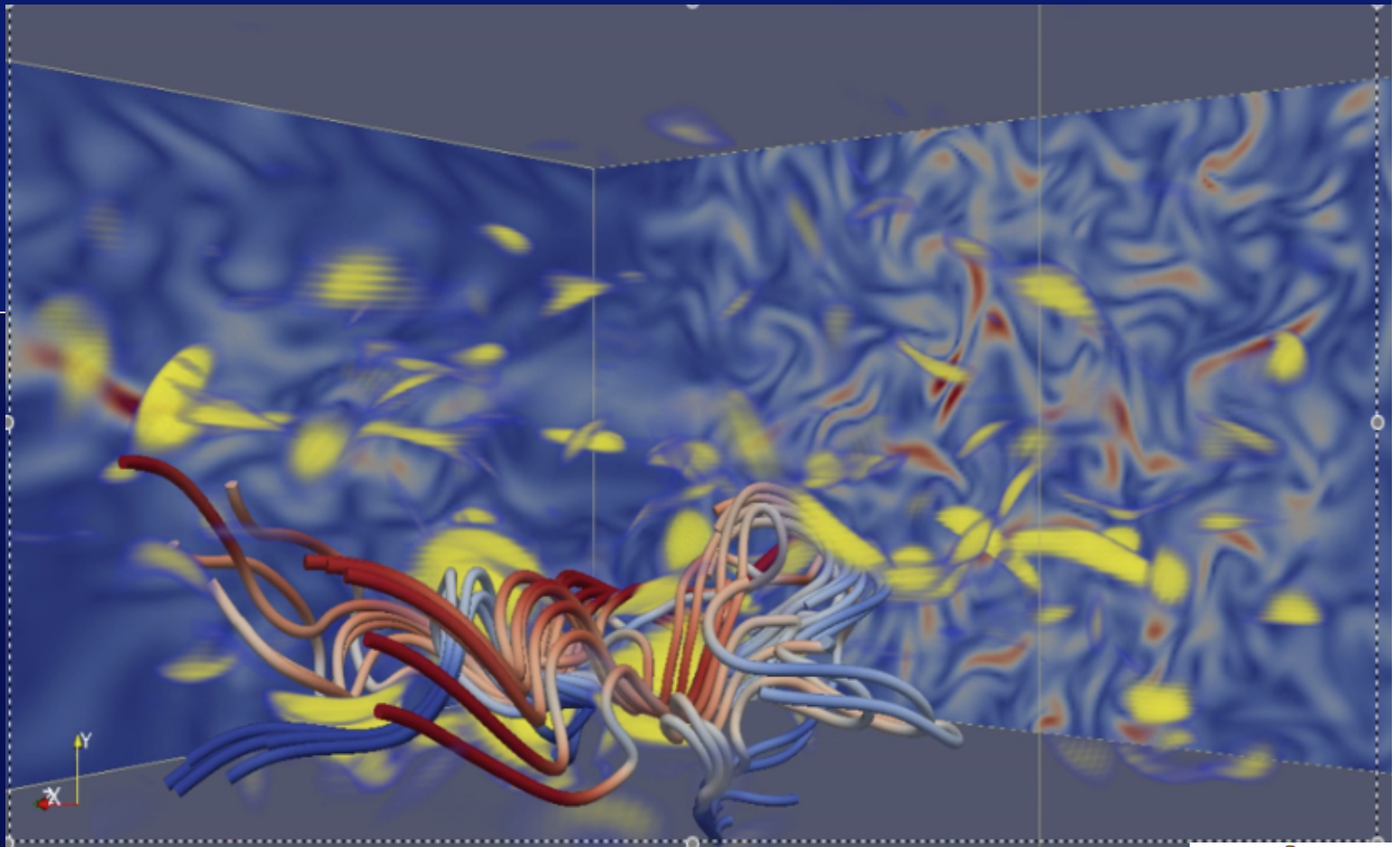


# Effects of Alfvenic Turbulence on Cosmic Rays in Astrophysical Plasmas



Alex Lazarian (Astronomy and Physics)

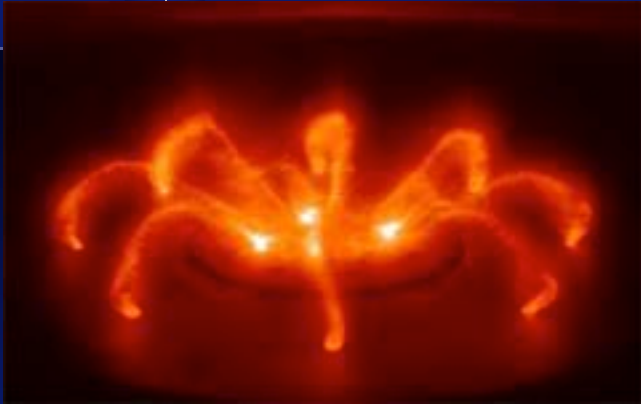
# ***Plan of the talk***

1. ***Turbulent Astrophysical Plasmas***
2. ***Turbulent reconnection and violation of flux freezing***
3. ***Theory of Alfvenic turbulence***
4. ***CR superdiffusion***
5. ***CR acceleration in shocks***
6. ***CR acceleration in reconnection sites***
7. ***Damping of streaming instability by Alfvenic turbulence***

# ***Plan of the talk***

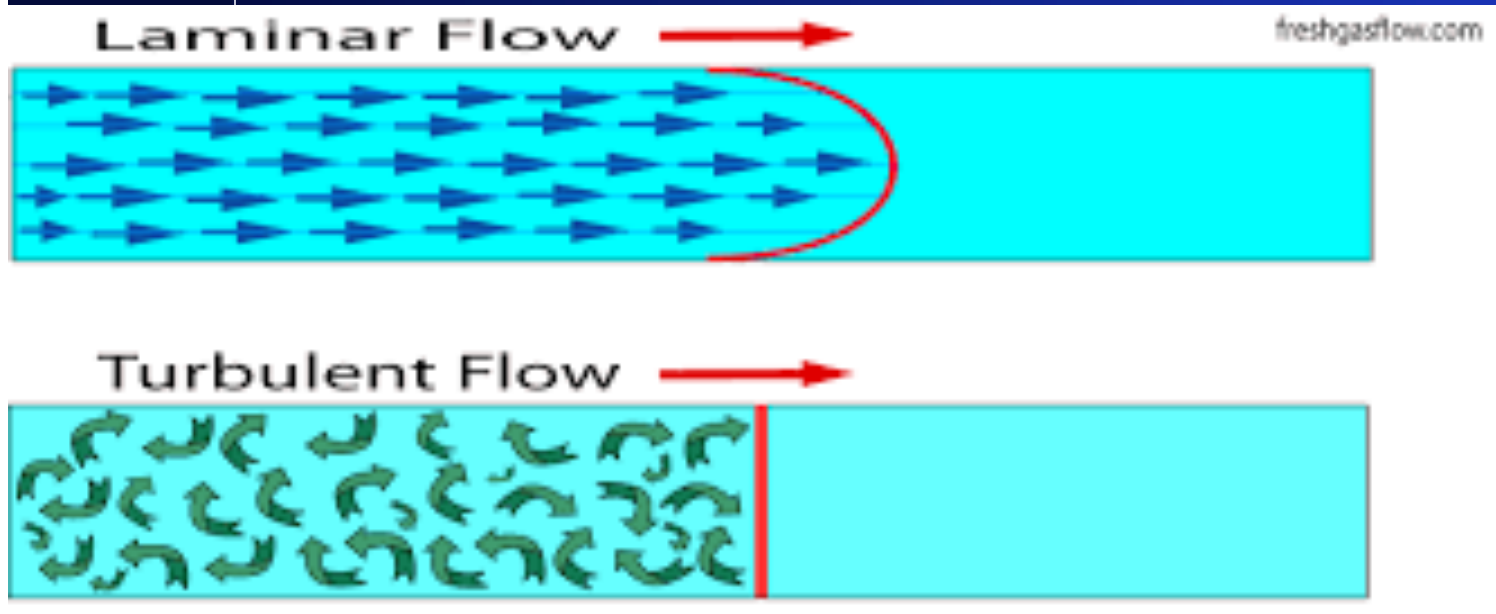
1. ***Turbulent Astrophysical Plasmas***
2. ***Turbulent reconnection and violation of flux freezing***
3. ***Theory of Alfvenic turbulence***
4. ***CR superdiffusion***
5. ***CR acceleration in shocks***
6. ***CR acceleration in reconnection sites***
7. ***Damping of streaming instability by Alfvenic turbulence***

# ***Plasma fills astrophysical space***





# *Plasmas are turbulent in astrophysics*

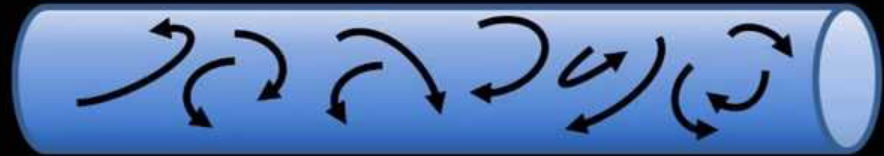


***Reynolds number of astrophysical flows is usually  $>10^8$***

Laminar flow



Turbulent flow



Osborne Reynolds  
(1842-1917)

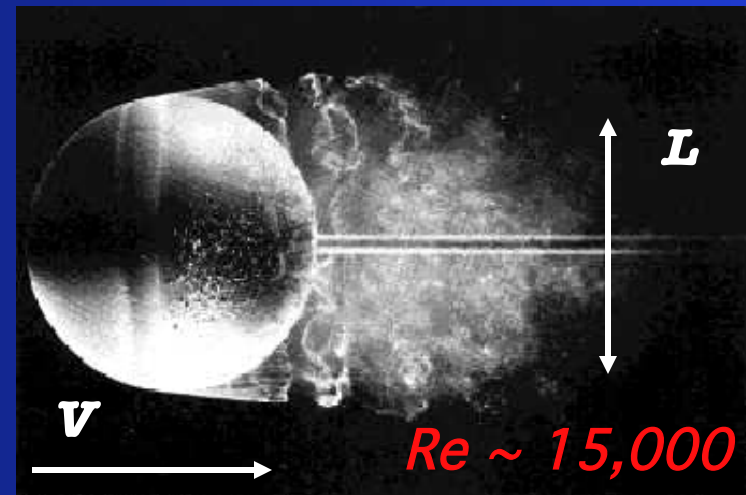
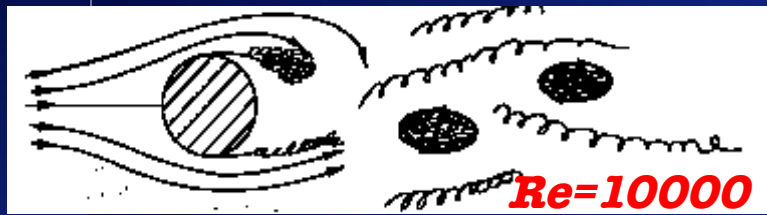
Reynolds Number – Single best predictor  
of the type of flow.

$$Re = \frac{\text{Inertia force}}{\text{Viscous force}}$$

→ Promotes turbulent flow

## Flows get turbulent for large Reynolds numbers

$$Re = LV/\nu = (L^2/\nu)/(L/V) = \tau_{diff}/\tau_{eddy}$$



Point for numerical simulations: flows are similar for similar  $Re$ . Numerical  $Re < 10^4$ , while  $Re$  of astro flows  $> 10^{10}$

## *Turbulence radically changes the properties of fluids*

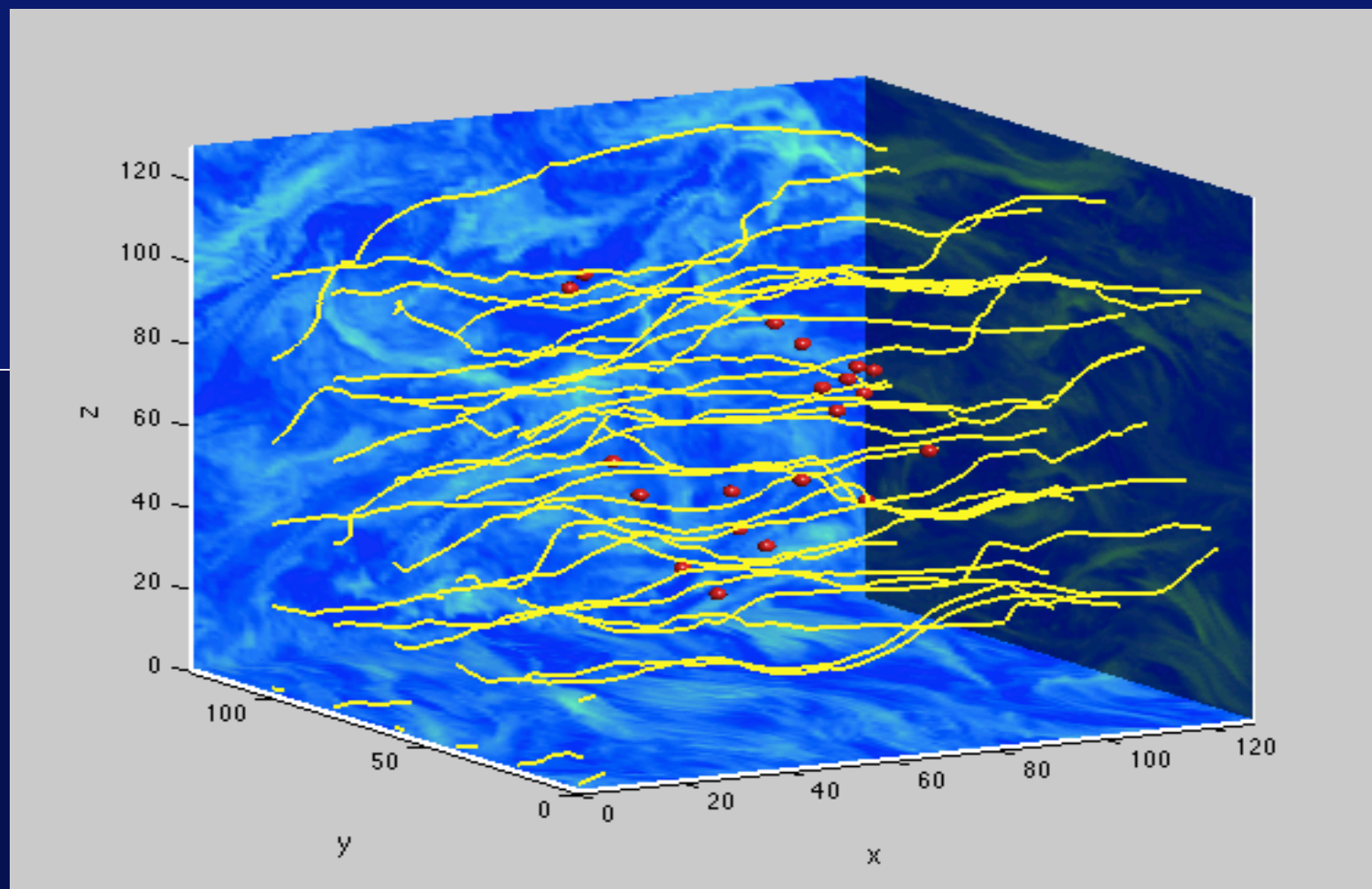


Without turbulence:

molecular diffusion coefficient  $D \sim 10^{-5} \text{ cm}^2/\text{sec}$   
( $\leftarrow$  It's for small molecules in water.)

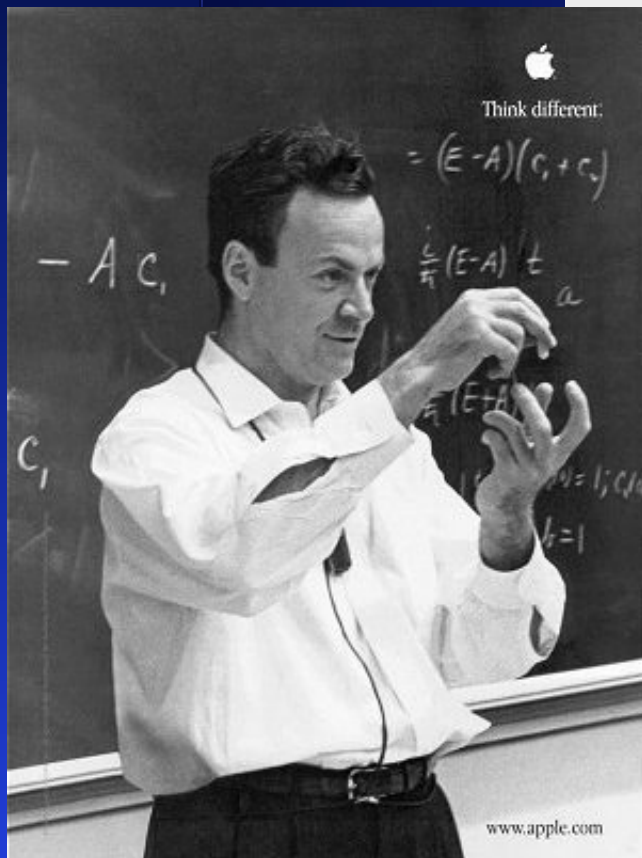
$\rightarrow$  Mixing time  $\sim (\text{size of the cup})^2/D \sim 10^7 \text{ sec} \sim 0.3 \text{ year} !$

## *Turbulence radically changes perpendicular diffusion of CRs*



*Effect was pointed out by Parker and Jokipii and was my inspiration for the idea of turbulent reconnection.*

# *Turbulence is powerful*



*“Turbulence is the last great unsolved problem of classical physics”*

*R. Feynman*



## ***Turbulence as mysterious as quantum mechanics***

*Werner Heisenberg believed that turbulence is more mysterious than quantum mechanics. What do we know about turbulence?*

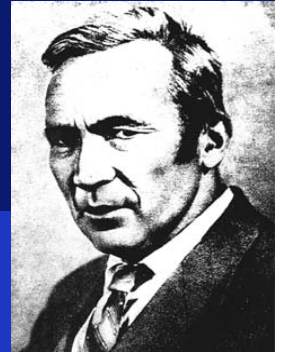


*But we can do quantum mechanical calculations!*





# Kolmogorov theory reveals order in chaos for incompressible hydro turbulence



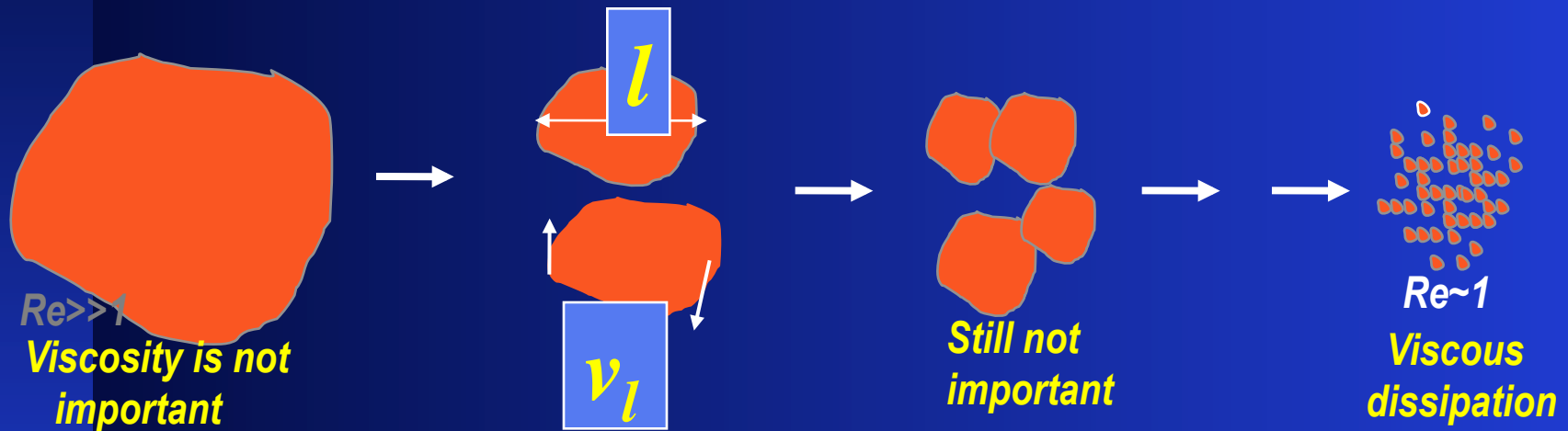
$$\frac{V_l^2}{t_{cas,l}} = const$$

$$t_{cas,l} = l/V_l$$

$$\frac{V_l^3}{l} = const$$

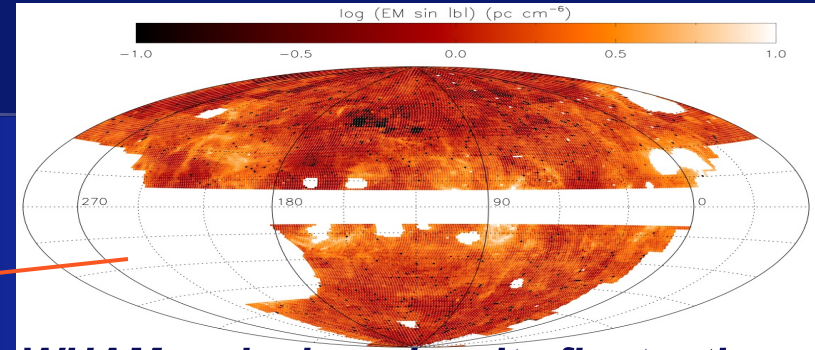
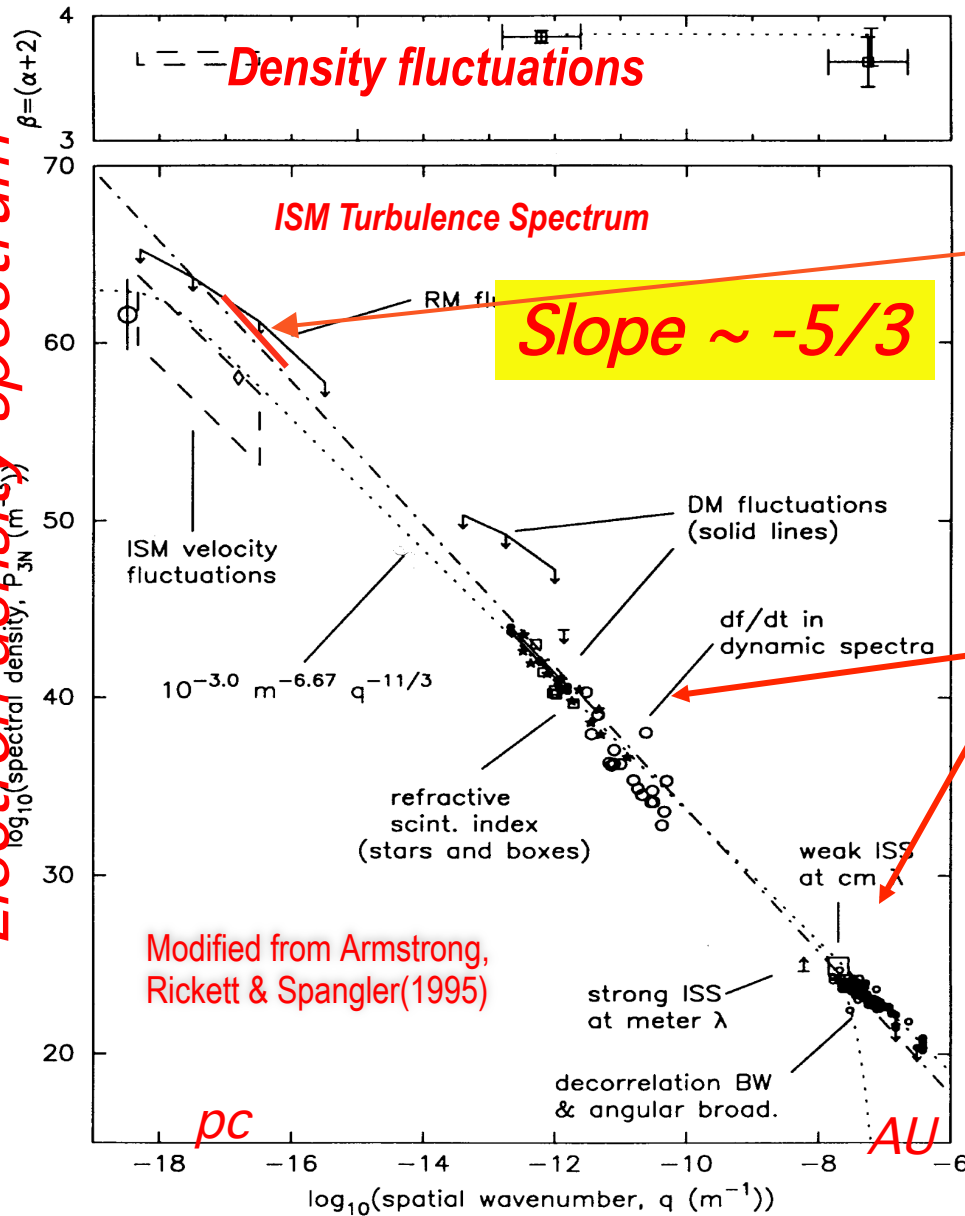
$$= const, V_l \sim l^{1/3}$$

Or,  $E(k) \sim k^{-5/3}$



# ISM reveals Kolmogorov spectrum of electron density fluctuations

Electron density spectrum



**WHAM emission: density fluctuations**

Chepurnov & Lazarian 2009

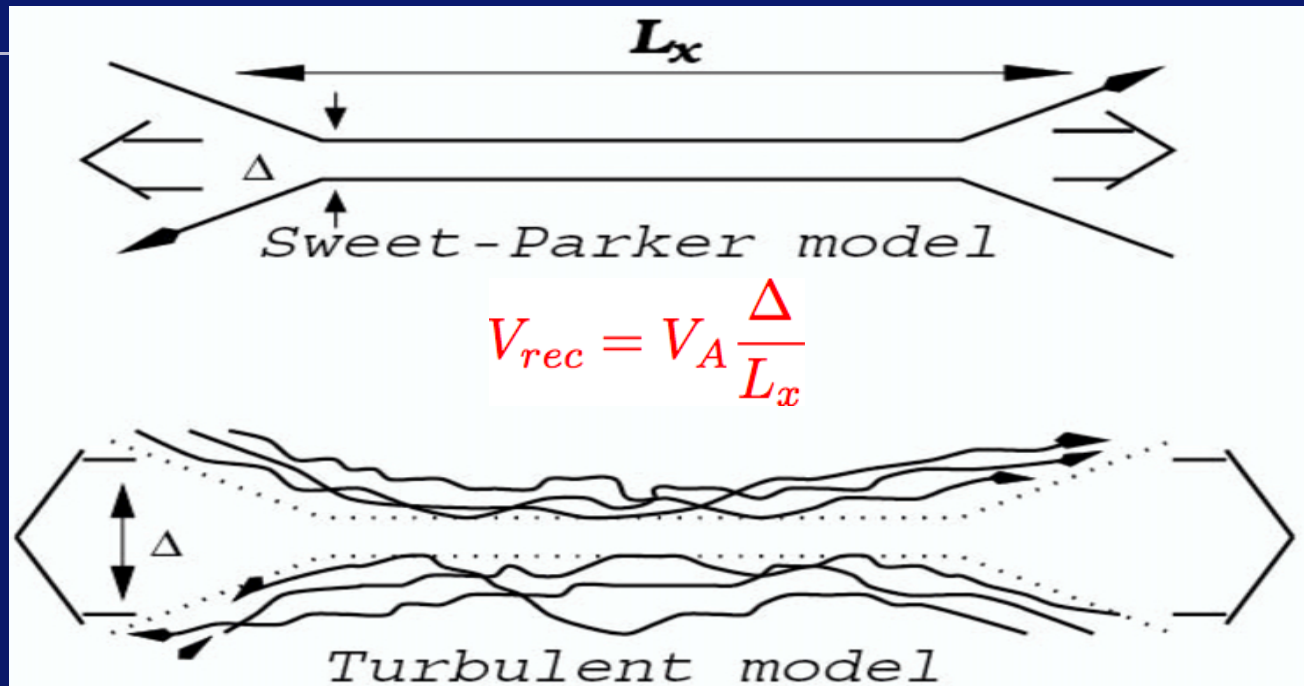
Scintillations and scattering

# ***Plan of the talk***

1. *Turbulent Astrophysical Plasmas*
2. *Turbulent reconnection and violation of flux freezing*
3. *Theory of Alfvenic turbulence*
4. *CR superdiffusion*
5. *CR acceleration in shocks*
6. *CR acceleration in reconnection sites*
7. *Damping of streaming instability by Alfvenic turbulence*

# *Turbulence makes magnetic reconnection fast!*

**Turbulent reconnection:**  
Outflow is  
determined by field  
wandering.

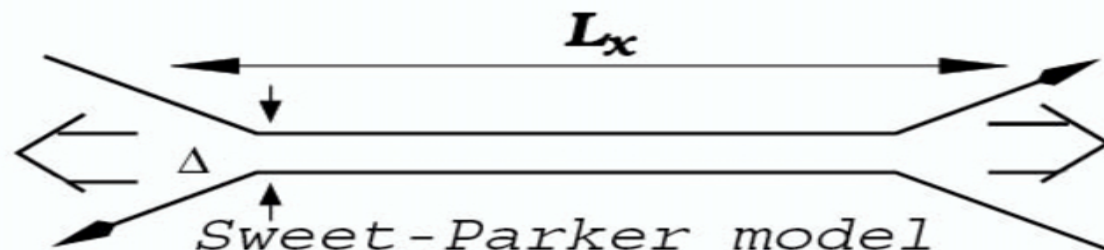


**AL & Vishniac (1999)**

henceforth referred to as LV99

# LV99 model extends Sweet-Parker model for turbulent astrophysical plasmas and makes reconnection fast

**Turbulent reconnection:**  
Outflow is determined by field wandering.



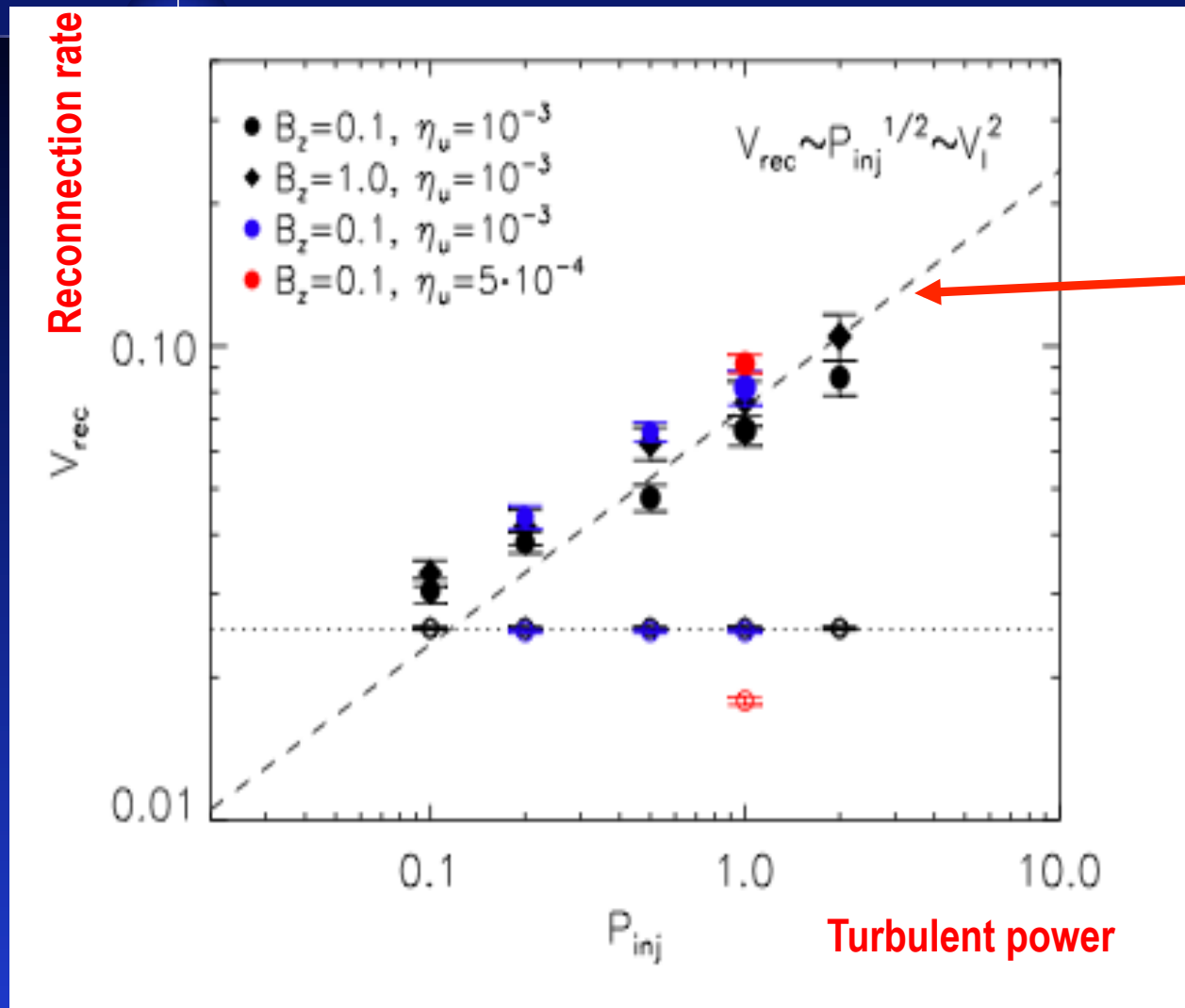
Without turbulence:

molecular diffusion coefficient  $D \sim 10^{-5} \text{ cm}^2/\text{sec}$   
( $\leftarrow$  It's for small molecules in water.)

$\rightarrow$  Mixing time  $\sim (\text{size of the cup})^2/D \sim 10^7 \text{ sec} \sim 0.3 \text{ year} !$



## Numerics confirms that turbulence makes reconnection fast



AL & Vishniac (1999)  
prediction is  $V_{\text{rec}} \sim P_{\text{inj}}^{1/2}$

More recent studies:  
Oishi, Mac Low, Collins,  
Tamura 2015  
Takamoto, Inue, AL 2016  
Kowal et al. 2017 a b

Kowal et al. 2012



# Eyink, AL & Vishniac 2011 related LV99 to the well-known concept of Richardson diffusion

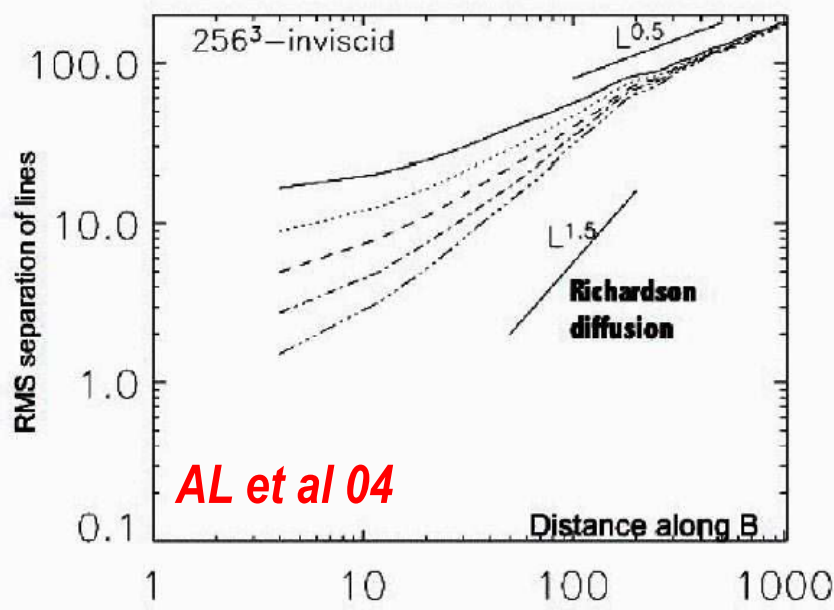


$$\langle |\mathbf{x}_1(t) - \mathbf{x}_2(t)|^2 \rangle \sim t^3$$

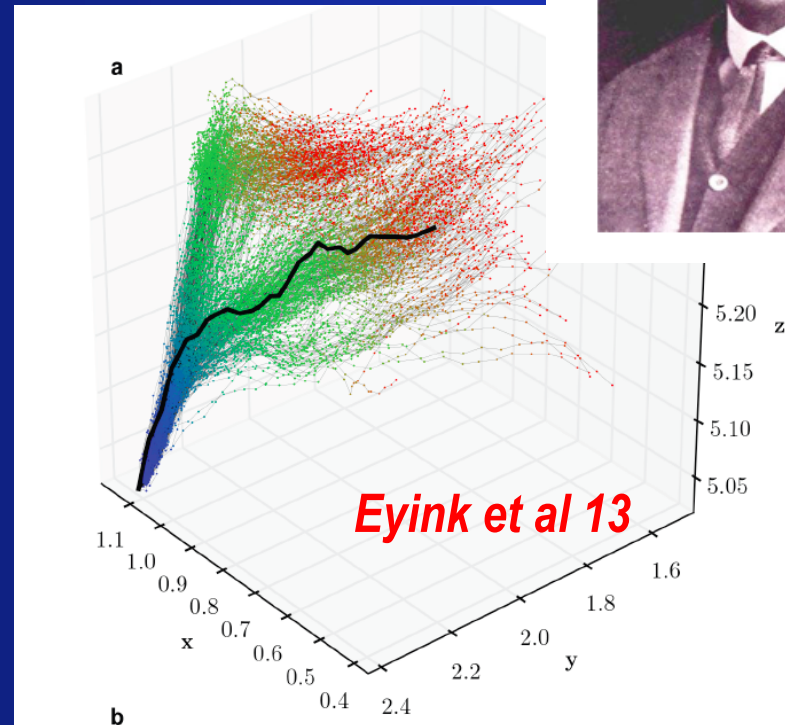
Richardson's law

# Eyink, AL & Vishniac 2011 related LV99 to the well-known concept of Richardson diffusion

*Richardson diffusion measured in MHD*

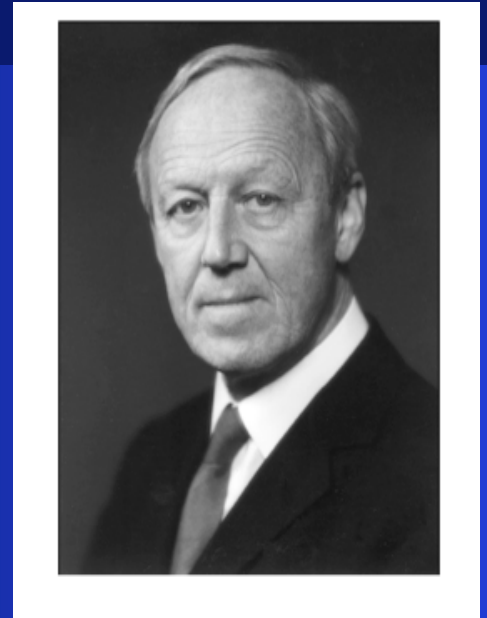


*Diffusion in space*



*Diffusion in time*

# Big Implication: LV99 means that magnetic field in *turbulent fluids* is not frozen in



Hannes Alfvén

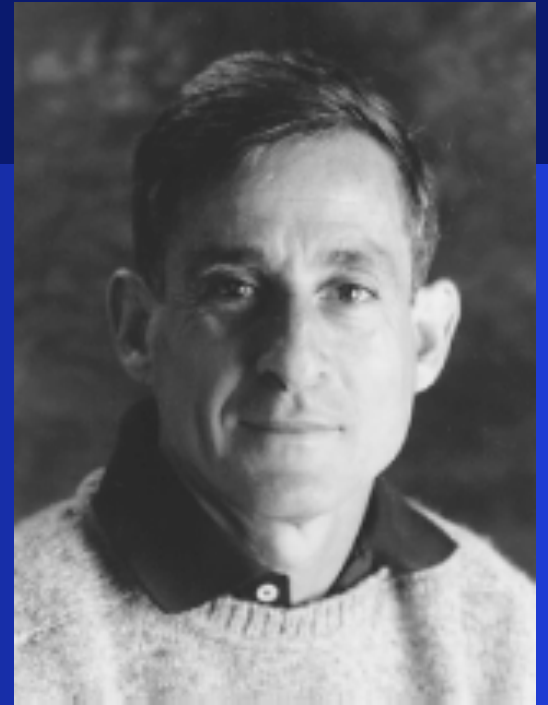
*Turbulent reconnection and violation of flux freezing was shown by comparison of simulations and Solar wind data*

*Lalescu et al. 2015*

# *Plan of the talk*

1. *Turbulent Astrophysical Plasmas*
2. *Turbulent reconnection and violation of flux freezing*
3. *Theory of Alfvenic turbulence*
4. *CR superdiffusion*
5. *CR acceleration in shocks*
6. *CR acceleration in reconnection sites*
7. *Damping of streaming instability by Alfvenic turbulence*

***Goldreich-Sridhar 1995 turbulent model was derived using closure relations that are valid in global system of reference***



***Numerical simulations (Cho & Vishniac 2000, Maron & Goldreich 2001, Cho, AL & Vishniac 2002 show that the local system of reference must be used instead***

# Derivation of GS95 scalings based on the LV99 reconnection theory

- Critical balance

$$\frac{l_{\perp}}{V_{\perp l}} = \frac{l_{\parallel}}{B_0}$$

- Constancy of energy cascade rate

$$\frac{V_{\perp l}^2}{t_{cas}} = \text{const}$$

Local system is used!

$$\frac{V_{\perp l}^2}{(l_{\perp}/b_{\perp l})} = \text{const}$$



$$V_{\perp} \sim l_{\perp}^{1/3}$$

Or,  $E(k) \sim k^{-5/3}$

$$l_{\parallel} \sim l_{\perp}^{2/3}$$

## *In addition, LV99 defines the scaling for subAlfvenic turbulence*

*GS95 is transAlfvenic with  $M_A = (V_L/V_A) = 1$*

*Weak  
turbulence*

*For  $M_A < 1$ , spectrum  $\sim k_{\perp}^{-2}$  from the injection scale  $L_i$  to  $L_i M_A^2$   
(see also Galtier 2000)*

*Strong  
turbulence*

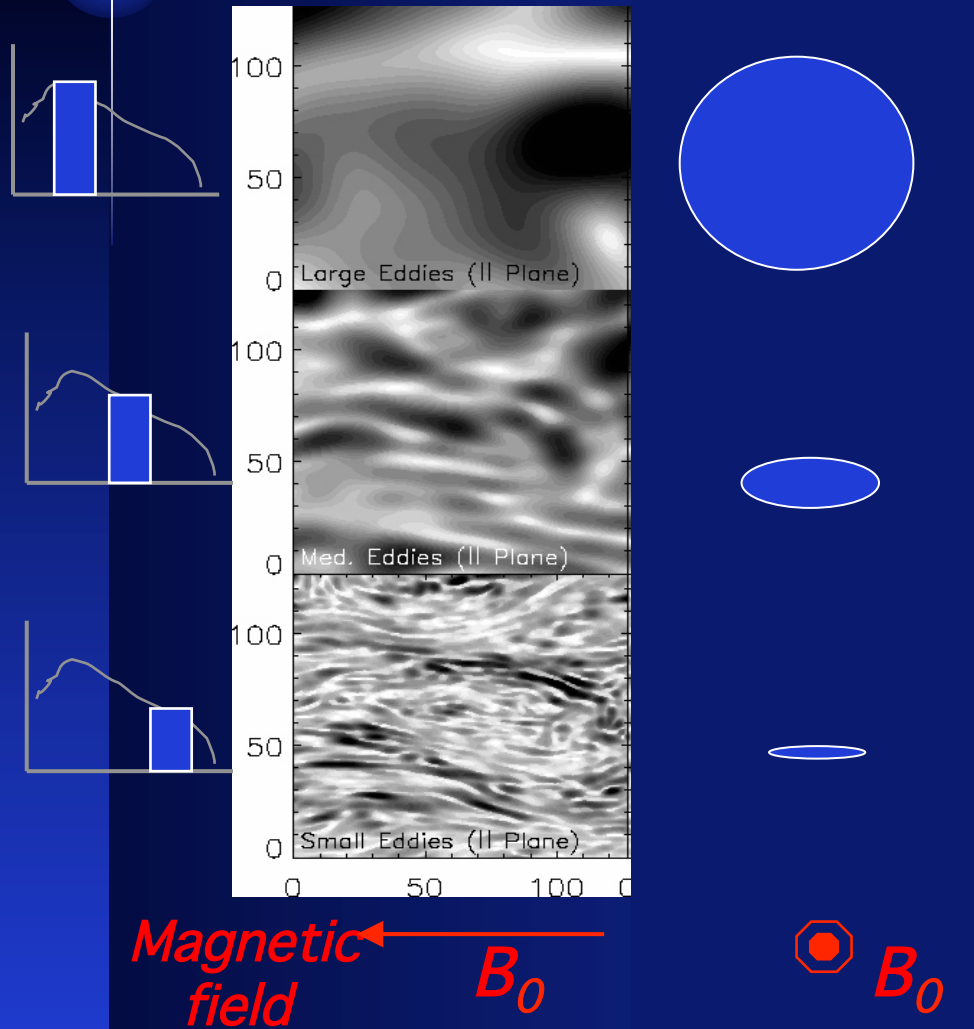
*For scales less than  $L_i M_A^2$  the spectrum  $\sim k_{\perp}^{-5/3}$*

$$\ell_{\parallel} \approx L_i \left( \frac{\ell_{\perp}}{L_i} \right)^{2/3} M_A^{-4/3},$$

$$\delta u_{\ell} \approx u_L \left( \frac{\ell_{\perp}}{L_i} \right)^{1/3} M_A^{1/3},$$



# Alfvénic eddies get more and more elongated with the decrease of the scale



Cho, Lazarian & Vishniac 2003

## *Spectra $k^{-5/3}$ versus $k^{-3/2}$*

*Kraichnan 1962 model has  $k^{-3/2}$  spectrum (assumes isotropy),  
This spectral slope was very dear to pundits of MHD theory.*

*GS95 is suggested by outsiders from the MHD turbulence community  
and provided  $k^{-5/3}$*

*Numerical simulations were suggesting more like  $k^{-3/2}$*

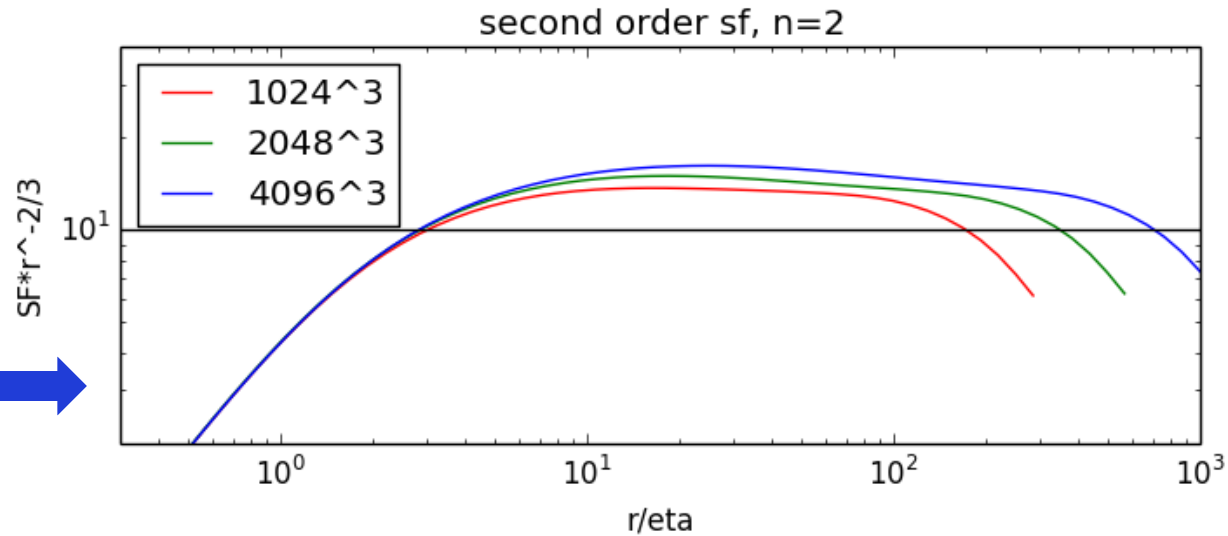
***Boldyrev 2005, 2006** provided a theory predicting  $k^{-3/2}$*

***Beresnyak & AL 2010** suggested that the spectrum that the MHD turbulence is less  
local than hydro and its spectrum of  $k^{-5/3}$  is affected by an extended bottleneck*

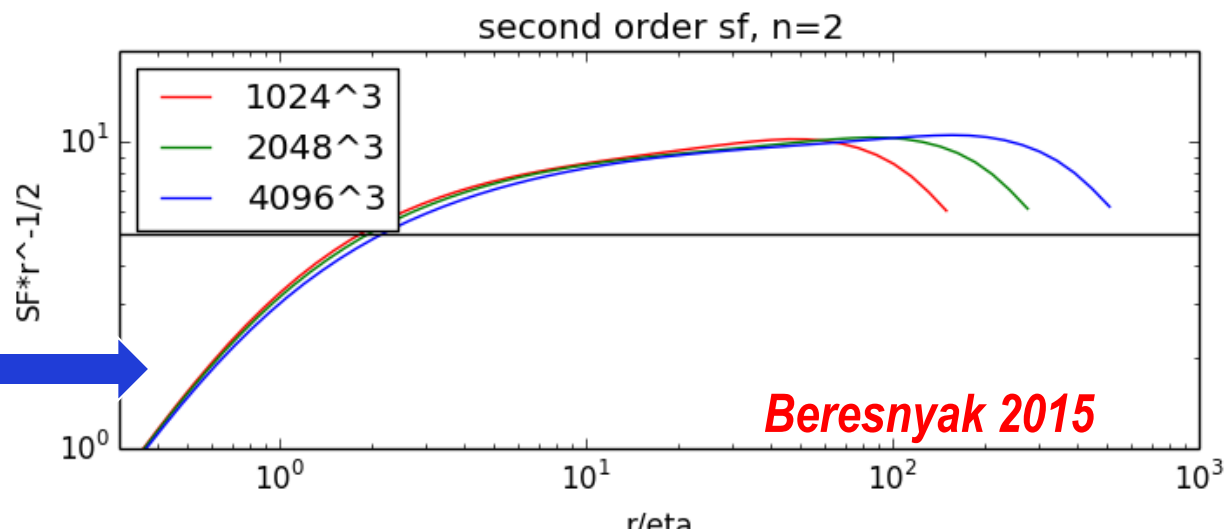
*What is right?*

# Second order SF demonstrates $r^{2/3}$ scaling

GS95



Boldyrev

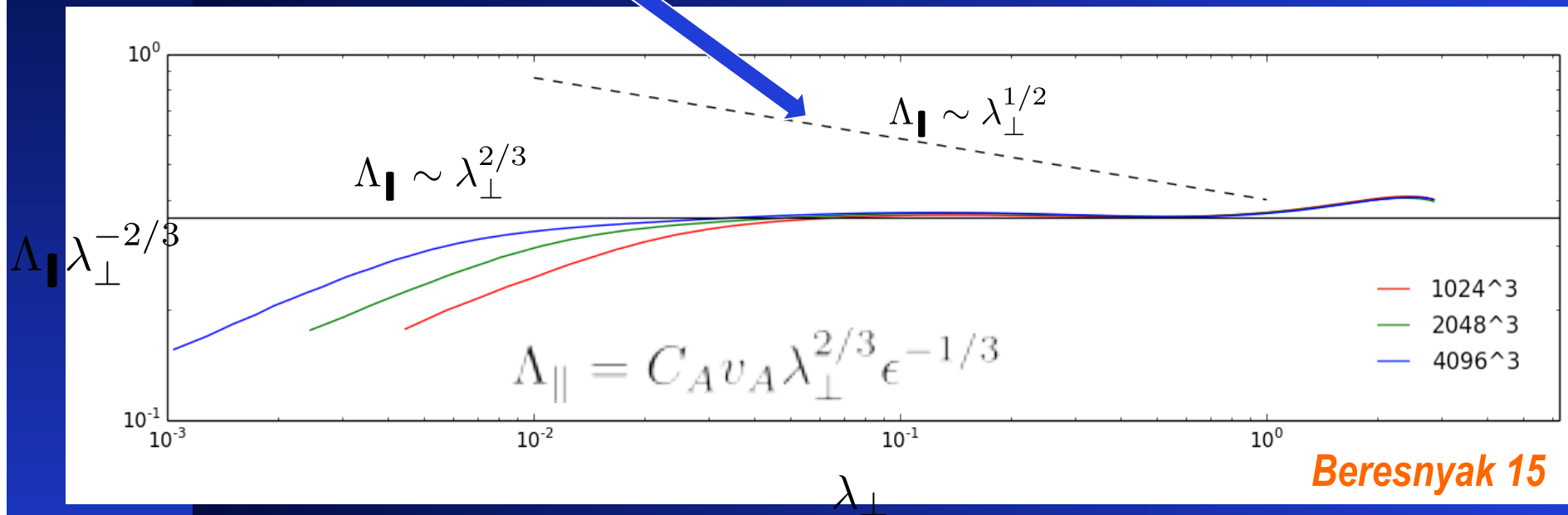


*Beresnyak 2015*

# Anisotropy in SF agrees well with GS95 predictions

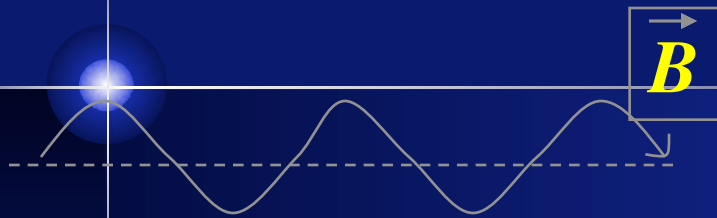
GS95:  $l_{\parallel} \sim l_{\perp}^{2/3}$

Boldyrev  $l_{\parallel} \sim l_{\perp}^{1/2}$



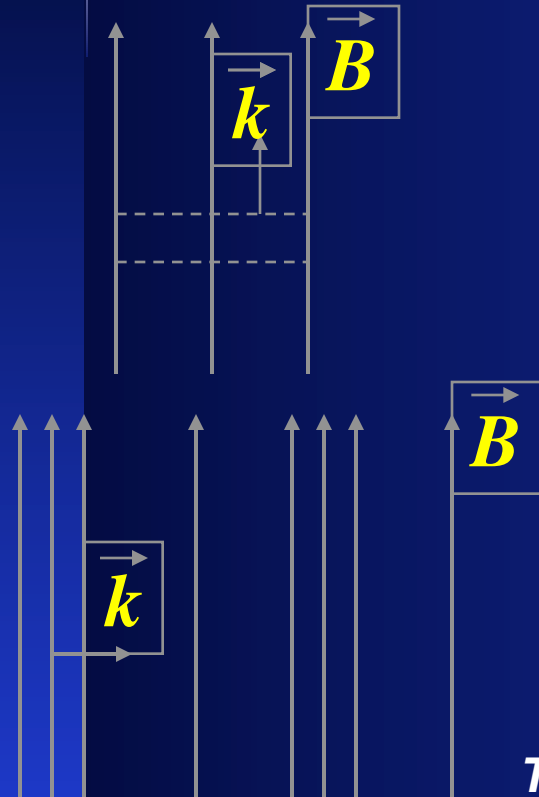
Beresnyak 15

# Alfven, Slow and Fast cascades do not exchange energy



*Alfven mode ( $v = V_A \cos \theta$ )*

*incompressible;  
restoring force = mag. tension*



*slow mode ( $v = c_s \cos \theta$ )*

*restoring force =  $P_{gas}$*

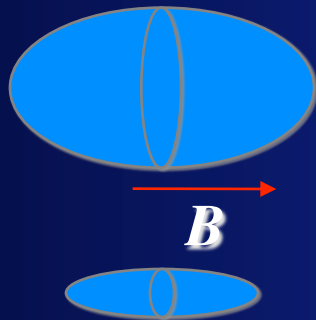
*fast mode ( $v = V_A$ )*

*restoring force =  $P_{mag} + P_{gas}$*

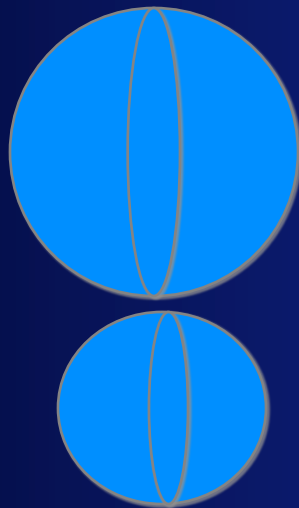
*Theoretical discussion is in Lithwick & Goldreich 01  
Cho & AL 02*

# Modes are different, Alfven mode is the same as in incompressible MHD

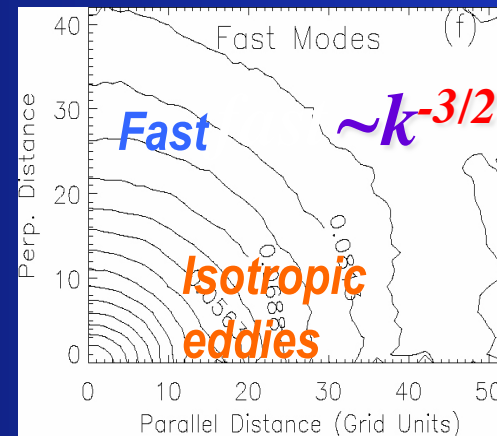
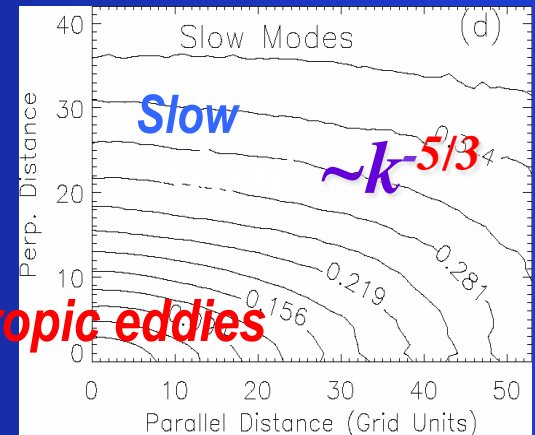
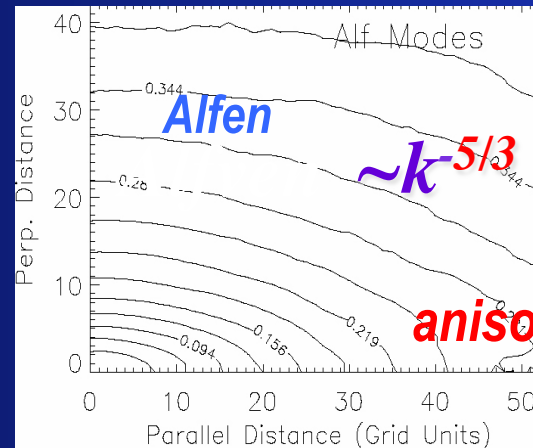
Alfven and slow modes (GS95)



fast modes



## Equal velocity correlation contour (Cho & AL02)

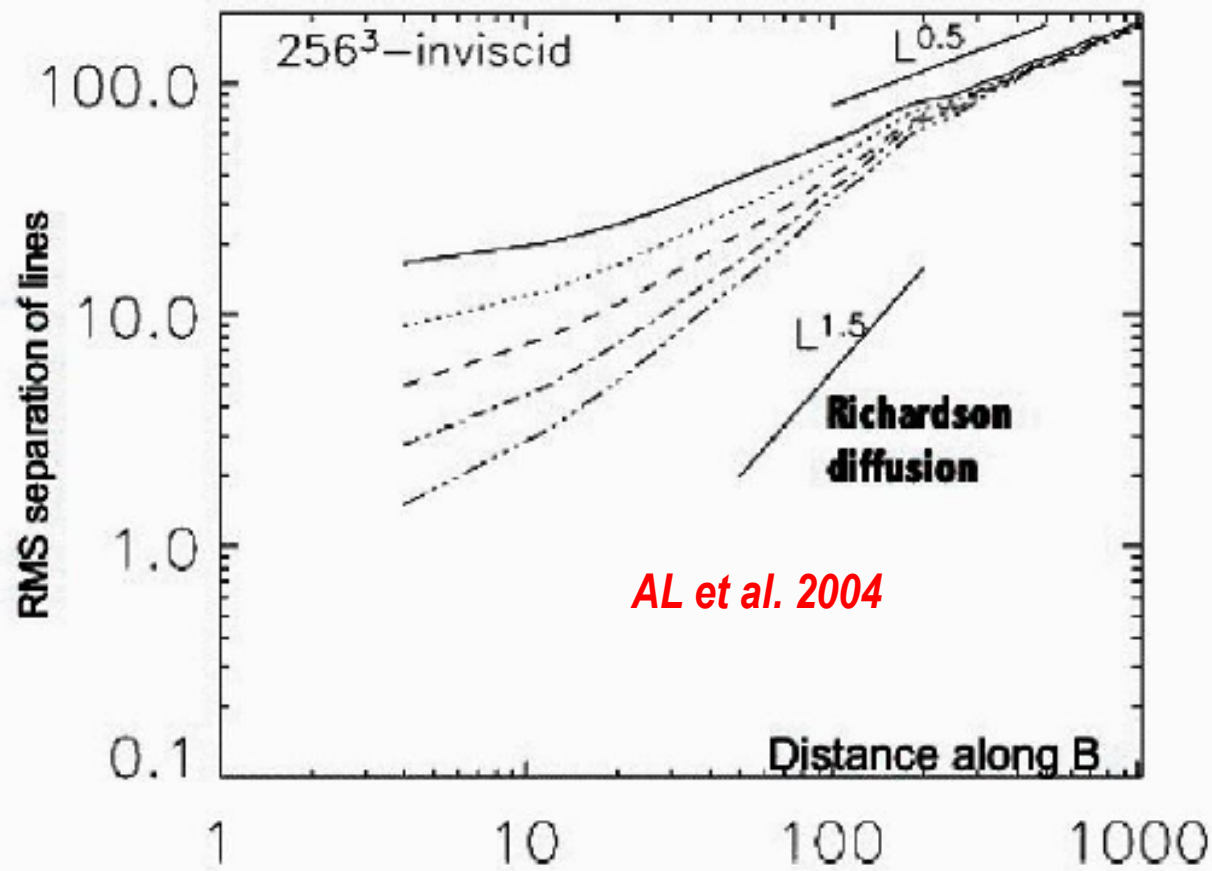


## *Plan of the talk*

1. *Turbulent Astrophysical Plasmas*
2. *Turbulent reconnection and violation of flux freezing*
3. *Theory of Alfvenic turbulence*
4. **CR superdiffusion**
5. *CR acceleration in shocks*
6. *CR acceleration in reconnection sites*
7. *Damping of streaming instability by Alfvenic turbulence*



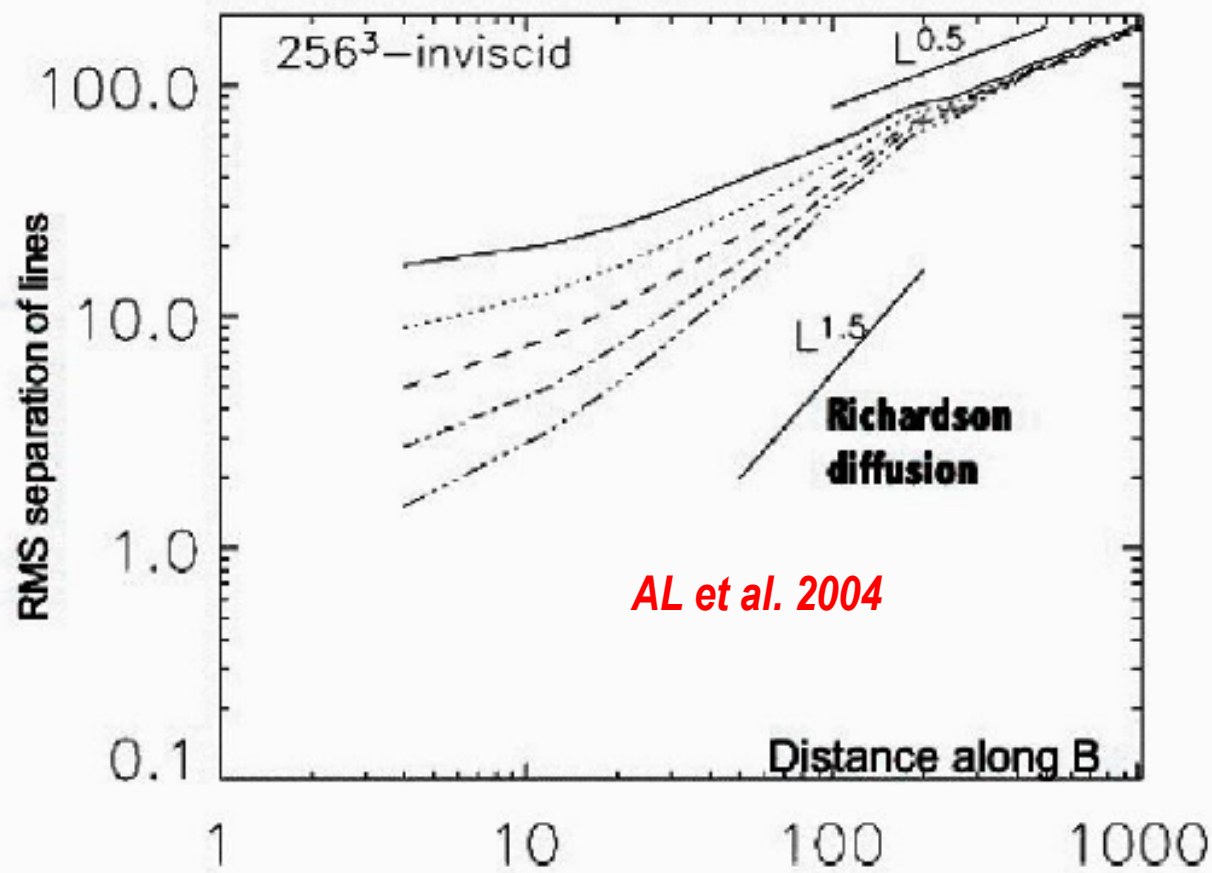
# Alfvenic turbulence induces Richardson dispersion, i.e. superdiffusive separation of magnetic field lines



For scales  $< L$

Explosive separation of magnetic field lines is described analytically in AL & Vishniac 1999.  
Separation  $\sim X^{3/2}$

# **Alfvenic turbulence induces Richardson dispersion, i.e. superdiffusive separation of magnetic field lines**



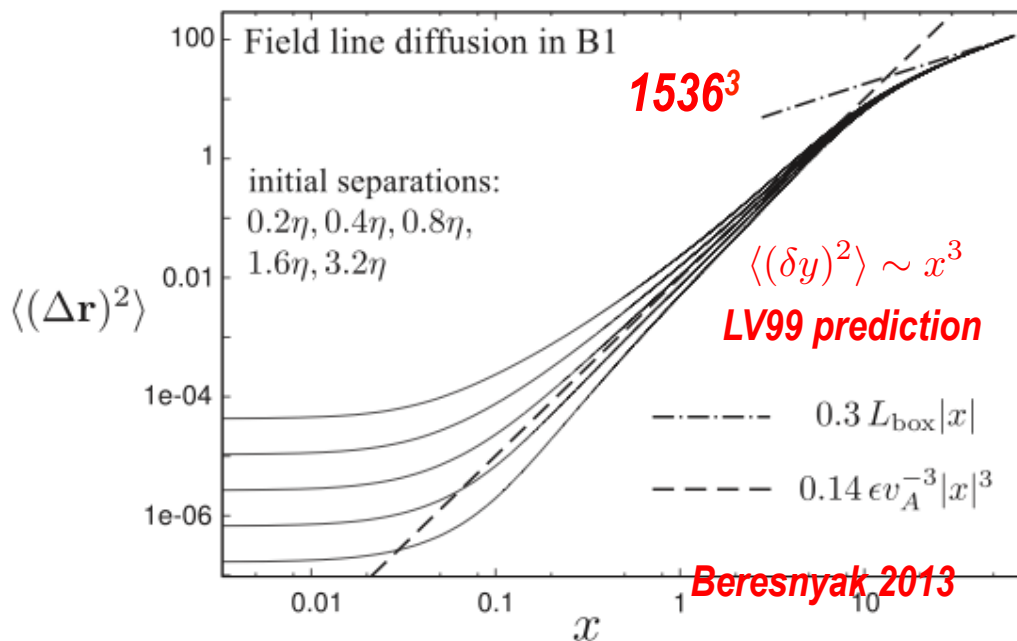
For scales  $< L$

Explosive separation of magnetic field lines is described analytically in AL & Vishniac 1999.  
Separation  $\sim \chi^{3/2}$

# Richardson diffusion in space means superdiffusion (superballistic behavior) for CRs following magnetic field

$$\langle (\delta y)^2 \rangle \sim x^3$$

*Superdiffusion acts on scales  $x$  less than the injection scale of MHD turbulence*



*Injection scale of turbulence in the Galaxy is about 100 pc*

## ***Diffusion perpendicular to mean magnetic field direction is determined by magnetic field line wandering***

*Realized by Jokipii & Parker 69, Jokipii 73 but turbulence model was not right*

*In fact, this motivated my work in turbulent magnetic reconnection*

*The study with modern understanding of MHD turbulence is in AL& Vishniac 99*

*Strong subAlfvenic turbulence at scales  $s < l_{\text{trans}}$  results in superdiffusion:*

$$\ell_{\perp}^2 \sim \frac{s^3}{27L} M_A^4,$$

*At scales  $s > l_{\text{trans}}$  results in ordinary diffusion:*

$$\ell_{\perp}^2 \sim s L M_A^4.$$

Superdiffusive behavior is confirmed in AL et al. 04, Maron & Chandran 04, Beresnyak 15

# SubAlfvenic turbulence: forth power of Alfven Mach number

*On scales  $s > L$  and  $s \gg mfp$  the ordinary diffusion is present (AL06, Yan & AL08)*

$$D_{\perp, \text{global}} \approx D_{\parallel} M_A^4,$$

*On scales  $< L$  and  $s < mfp$ , CRs trace magnetic field divergence*

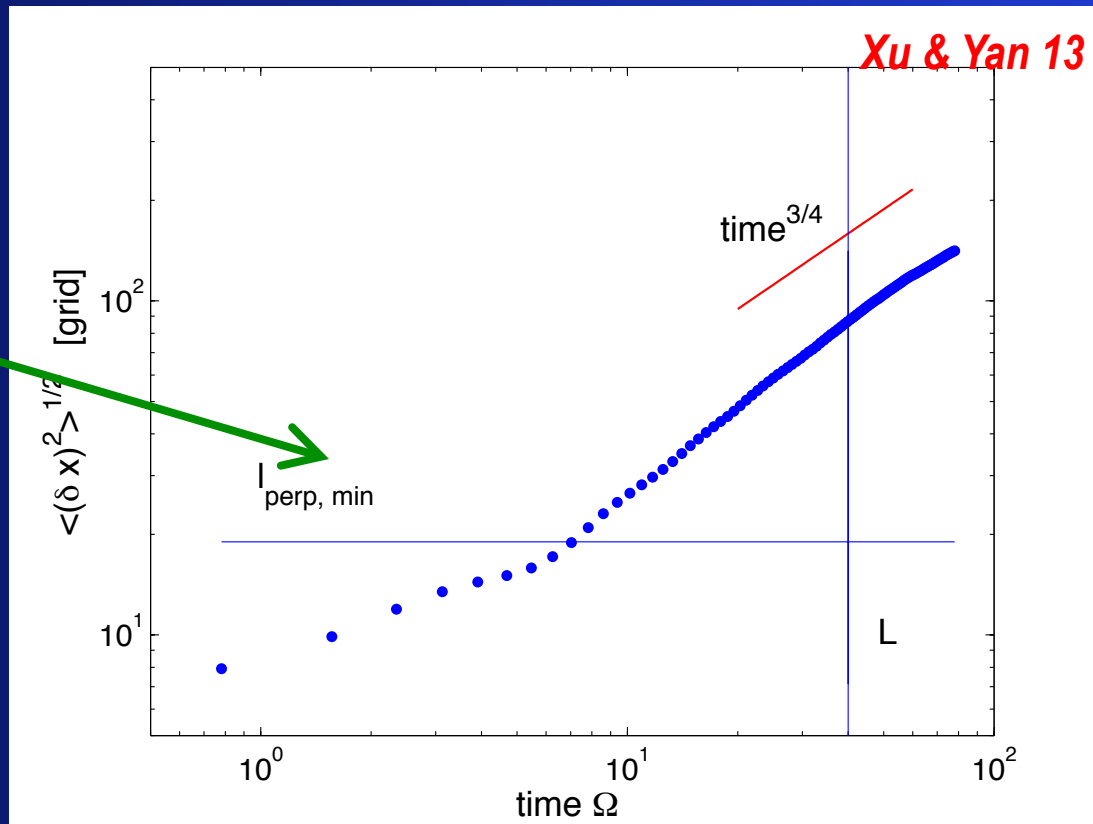
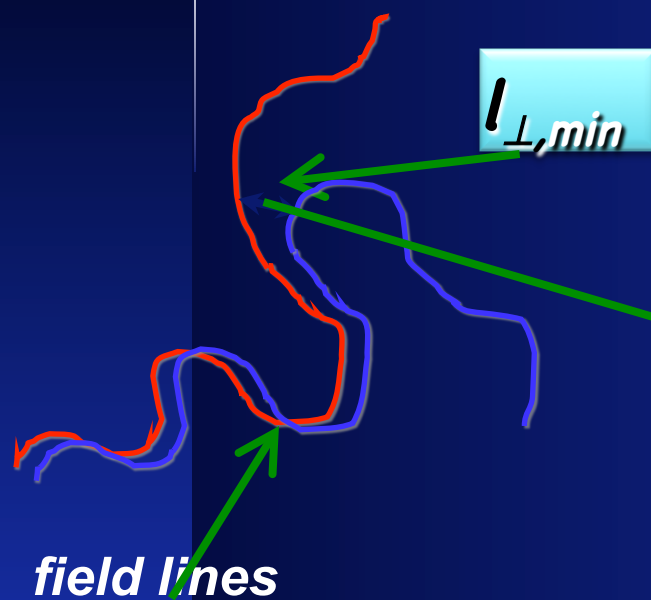
$$\ell_{\perp}^2 \sim \frac{s^3}{27L} M_A^4,$$

*On scales  $< L$  and  $s \gg mfp$ , CRs trace magnetic field divergence,  $s$  is covered in diffusion process*

$$l_{\perp, \text{CR}}^2 \sim \frac{(D_{\parallel} \delta t)^{3/2}}{27L} M_A^4, \quad M_A < 1,$$

*Differs from the textbook (see Jokipii & Parker 69)  $M_A^2$  dependence*

**For CR diffusing along magnetic field lines the perpendicular displacement is superdiffusive  $\sim t^{3/4}$**

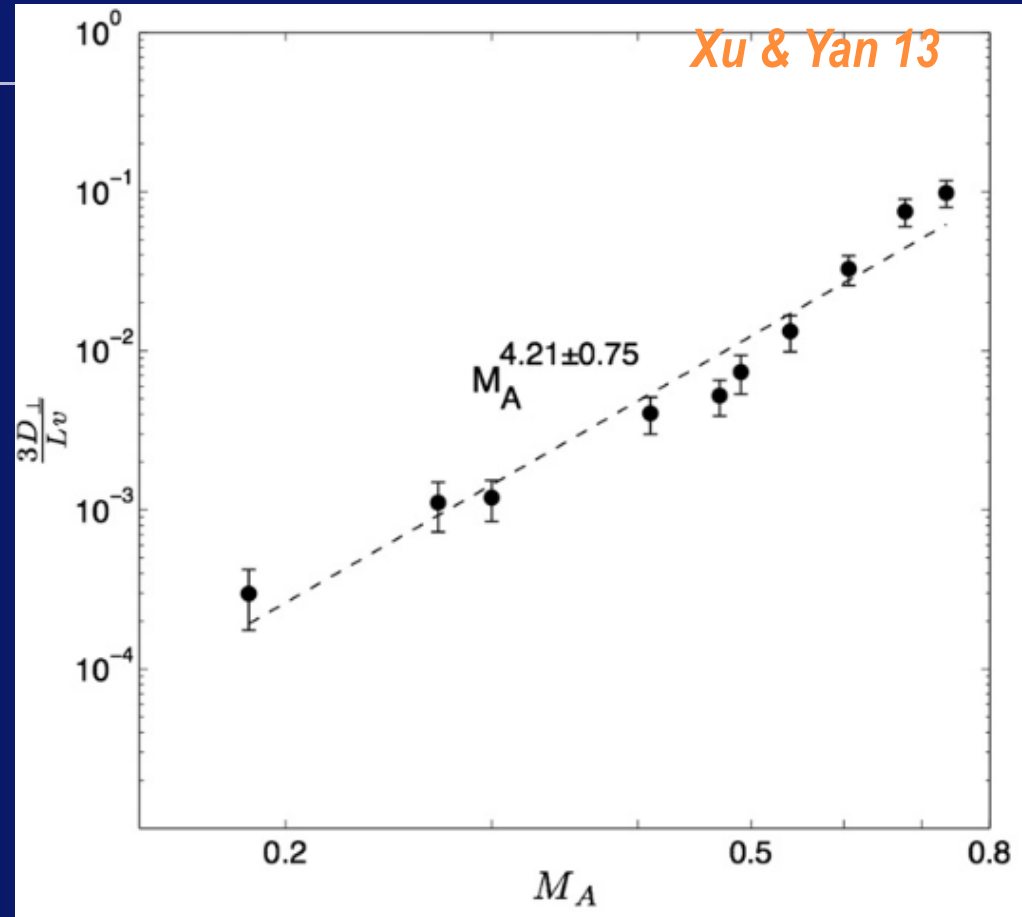


**Prediction:**

$$l_{\perp, CR}^2 \sim \frac{(D_{\parallel} \delta t)^{3/2}}{27L} M_A^4, \quad M_A < 1,$$

On scales  $\gg L$  the parallel and perpendicular diffusion are related through  $M_A^4$

*Diffusive regime*



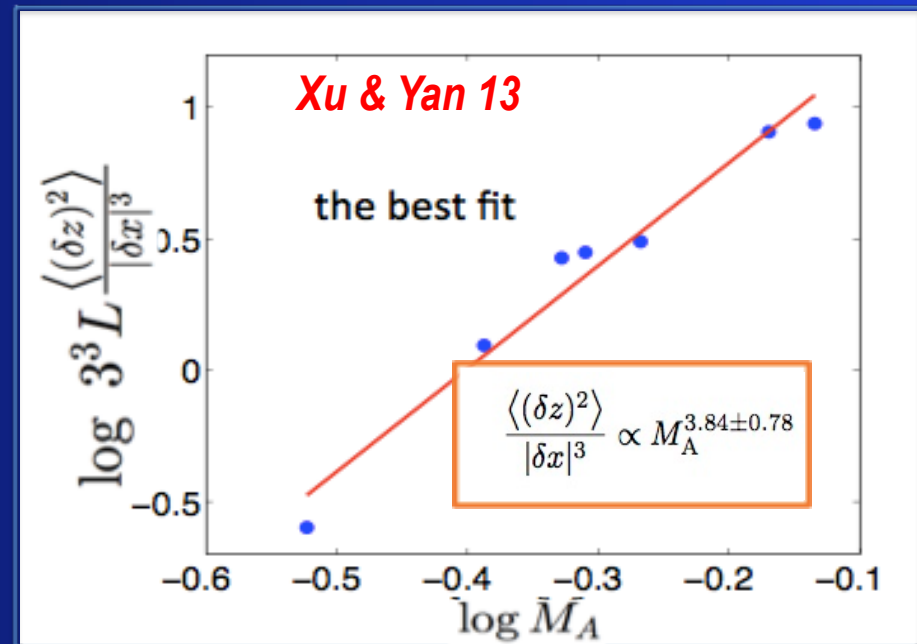
To compare with  $D_{\perp, \text{global}} \approx D_{\parallel} M_A^4$ , in AL06, Yan & AL08



# The dependence on forth power of Alfvén Mach number is also confirmed

Superdiffusive regime

$$\langle (\delta z)^2 \rangle = \frac{|\delta x|^3}{3^3 L} M_A^4$$



To compare with

$$\ell_{\perp}^2 \sim \frac{s^3}{27L} M_A^4$$

**AL & Vishniac 1999;  
Yan & AL 2008**

# Different regimes of Alfvénic turbulence and field line divergence

Type of MHD turbulence	Injection velocity	Range of scales	Spectrum $E(k)$	Motion type	Ways of study	Magnetic diffusion	Squared separation of lines
Weak	$V_L < V_A$	$[l_{trans}, L]$	$k_{\perp}^{-2}$	wave-like	analytical	diffusion	$\sim s L M_A^2$
Strong subAlfvénic	$V_L < V_A$	$[l_{min}, l_{trans}]$	$k_{\perp}^{-5/3}$	anisotropic eddy-like	numerical	Richardson	$\sim \frac{s^3}{L} M_A^4$
Strong superAlfvénic	$V_L > V_A$	$[l_A, L]$	$k_{\perp}^{-5/3}$	isotropic eddy-like	numerical	diffusion	$\sim s l_A$
Strong superAlfvénic	$V_L > V_A$	$[l_{min}], l_A$	$k_{\perp}^{-5/3}$	anisotropic eddy-like	numerical	Richardson	$\sim \frac{s^3}{L} M_A^3$

$L$  and  $l_{min}$  are the injection and perpendicular dissipation scales, respectively.  $M_A \equiv \delta B/B$ ,  $l_{trans} = L M_A^2$  for  $M_A < 1$  and  $l_A = L M_A^{-3}$  for  $M_A > 1$ . For weak Alfvénic turbulence  $\ell_{\parallel}$  does not change.  $s$  is measured along magnetic field lines.

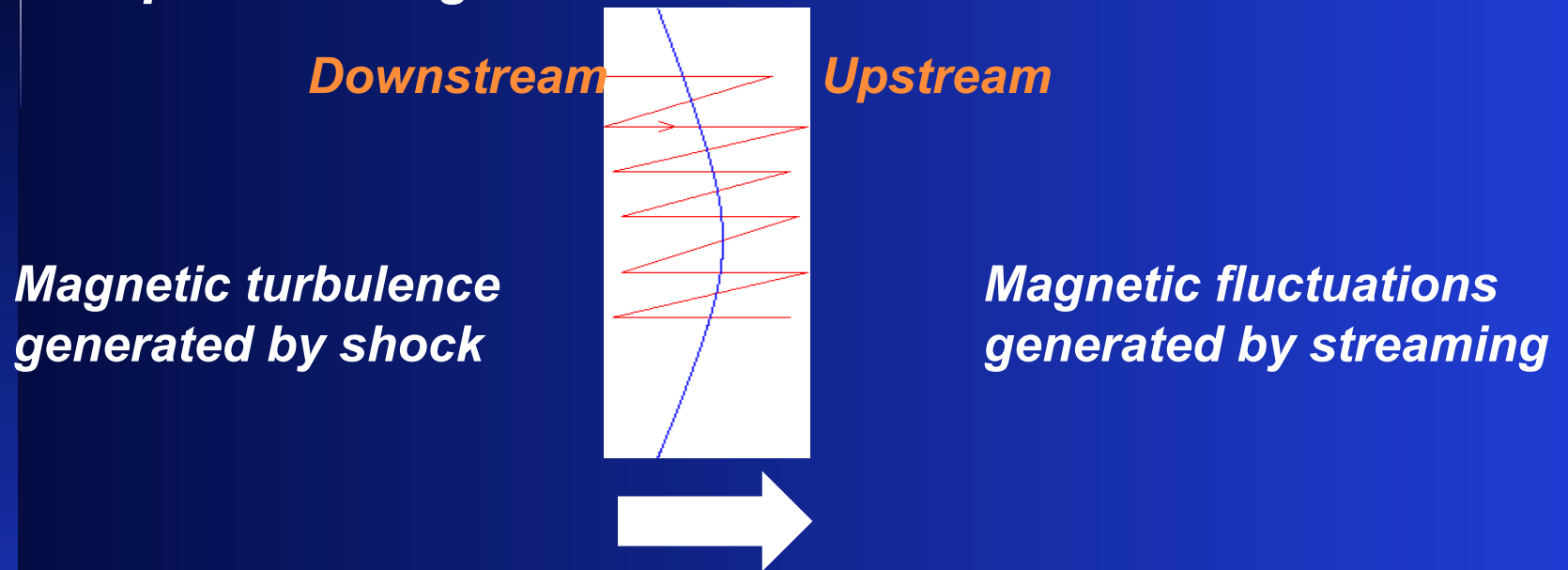
**AL & Yan 2014**

# *Plan of the talk*

1. *Turbulent Astrophysical Plasmas*
2. *Turbulent reconnection and violation of flux freezing*
3. *Theory of Alfvenic turbulence*
4. *CR superdiffusion*
5. ***CR acceleration in shocks***
6. *CR acceleration in reconnection sites*
7. *Damping of streaming instability by Alfvenic turbulence*

# ***Turbulence strongly affects the processes of cosmic ray acceleration in shocks***

***Acceleration in shocks requires scattering of particles back from the upstream region.***

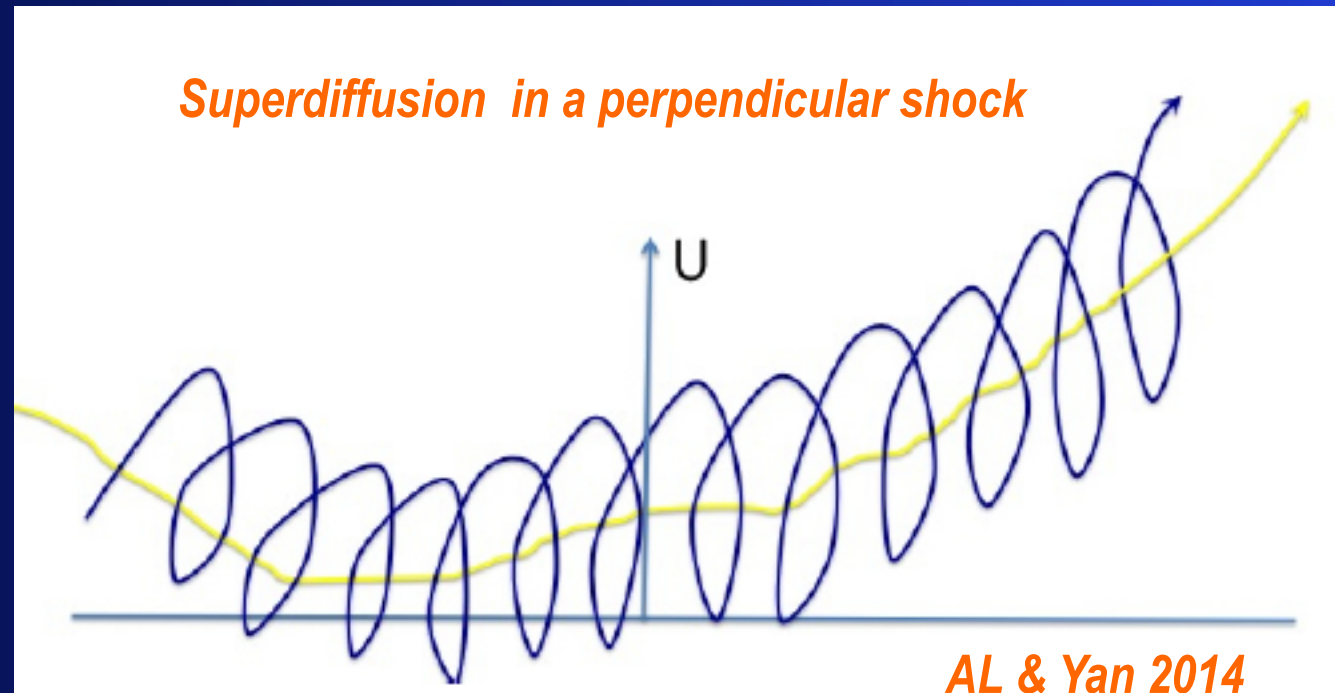


# **Superdiffusion prevents the particles to return back to a perpendicular shock**

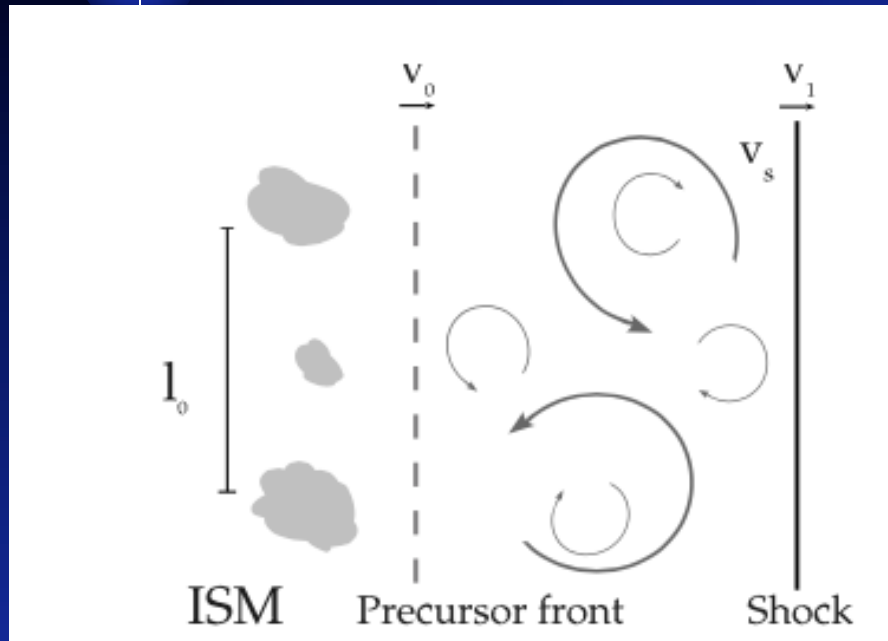
$$\frac{\kappa_{\perp}}{\kappa_{\parallel}} = \frac{1}{1 + (\lambda_{CR}/r_L)^2}$$

**Accepted expression**

***In reality***



***Precursor forms in front of the shock and it gets turbulent as precursor interacts with gas density fluctuation***

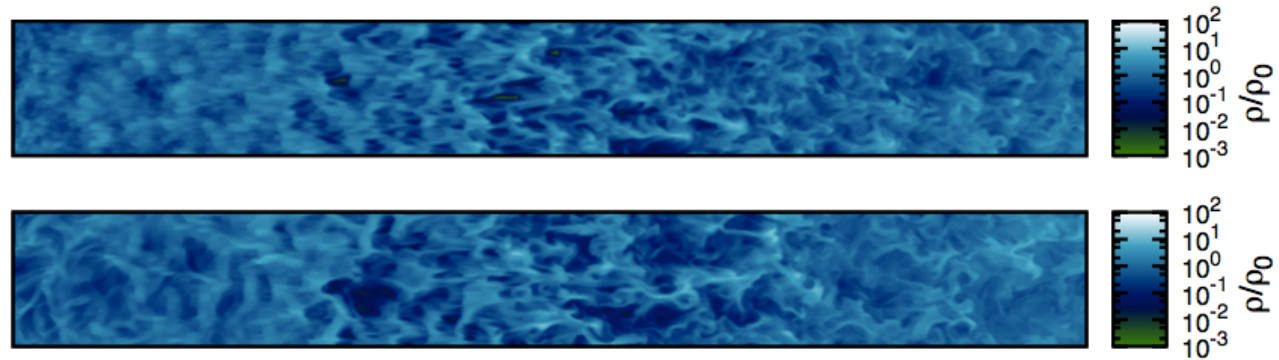


***Much more efficient than Bell's mechanism***

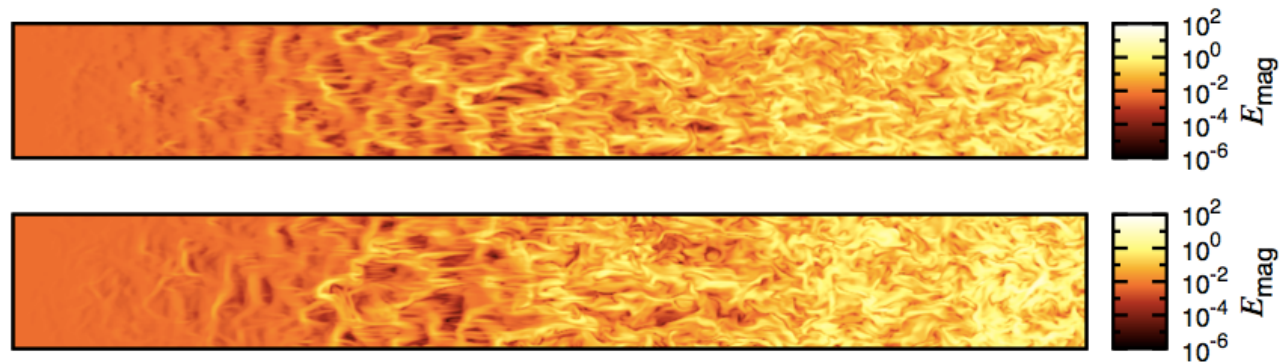
$$\frac{dB_{\text{cur}}^2}{dB_{\text{dyn}}^2} = 1.6 \times 10^{-4} \left( \frac{10^{15} \text{ eV}}{E_{\text{esc}}} \right) \left( \frac{\eta_{\text{esc}}}{0.05} \right) \left( \frac{L}{1 \text{ pc}} \right) \times \left( \frac{B_0}{5 \mu\text{G}} \right) \left( \frac{v_{A0}}{12 \text{ km s}^{-1}} \right) \left( \frac{0.5 u_{\text{sh}}}{A_s(u_0 - u_1)} \right)^3$$

***Beresnyak, Jones & AL 2009, de Valle, AL & Santos-Lima 2016, Xu & AL 2017***

# Numerical simulations support predictions of turbulent dynamo in a precursor



**Figure 4.** Final density distribution in a central cut of the  $xy$ -plane of the computational box for Model AI (upper panel) and for Model BI (bottom panel). The parameters of the models are listed in Table 1.



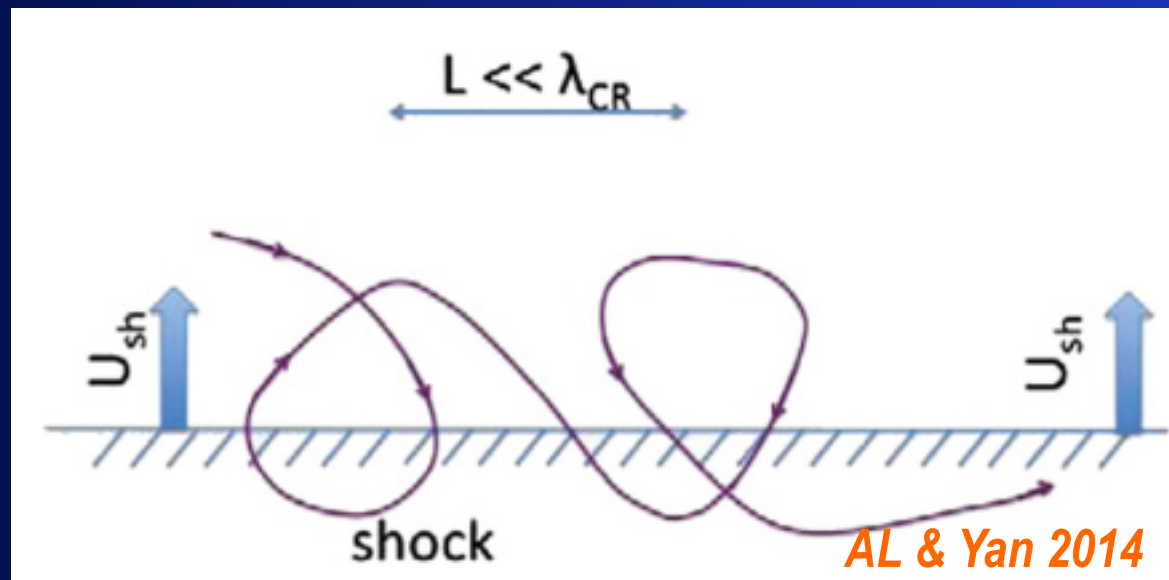
**Figure 5.** Final distribution of the magnetic energy in a central cut of the  $xy$ -plane of the computational box for Model AI (upper panel) and for Model BI (bottom panel). The parameters of the models are listed in Table 1.

*Del Valle, AL, Santos-Lima 2016*

*First simulations supporting the model are Drury & Downes 2012*



***Turbulent dynamo makes parallel and perpendicular shocks similar with particles returning to shocks with precursors***

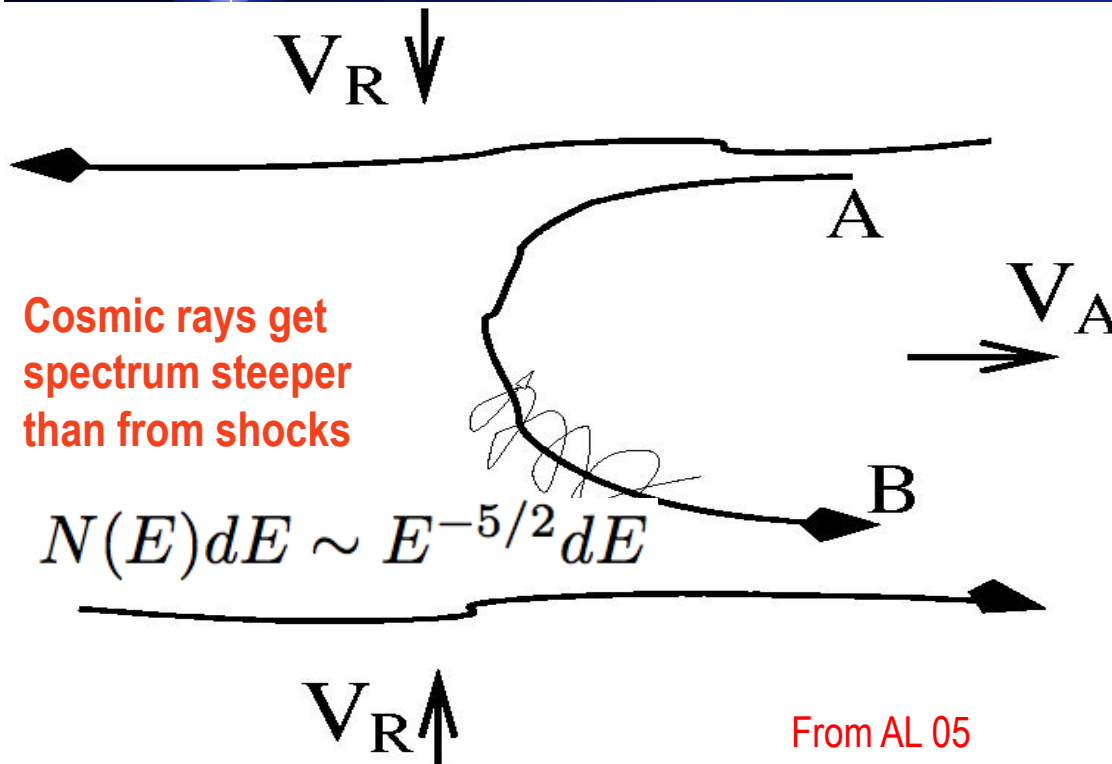


***Synthesis: dynamo and magnetic field structure theories***

# *Plan of the talk*

1. *Turbulent Astrophysical Plasmas*
2. *Turbulent reconnection and violation of flux freezing*
3. *Theory of Alfvenic turbulence*
4. *CR superdiffusion*
5. *CR acceleration in shocks*
6. ***CR acceleration in reconnection sites***
7. *Damping of streaming instability by Alfvenic turbulence*

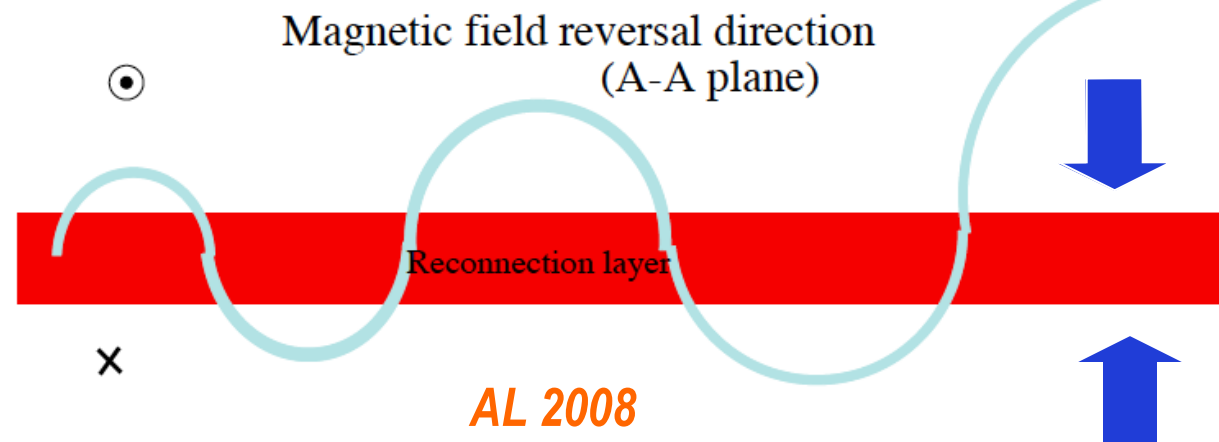
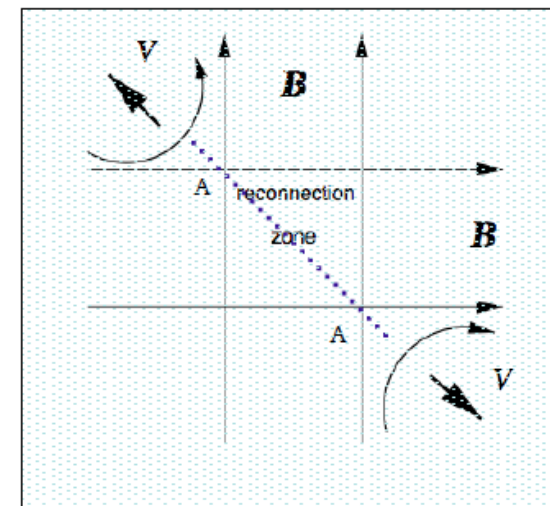
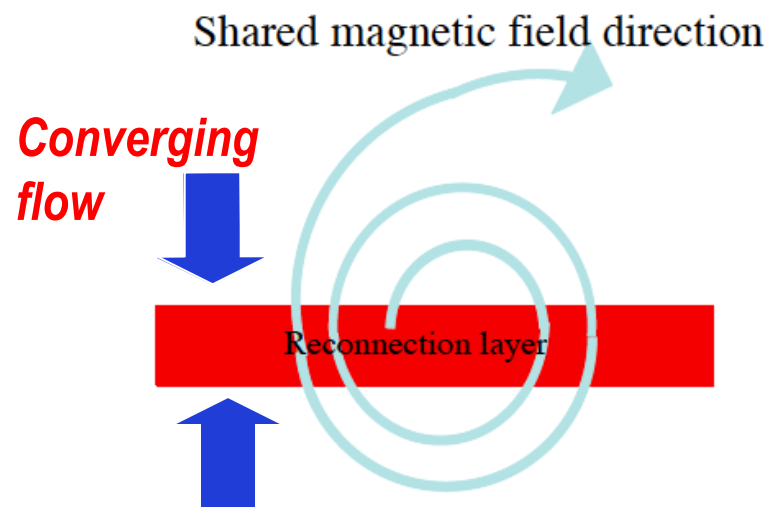
# In LV99 reconnection model energetic particles get accelerated by First Order Fermi mechanism



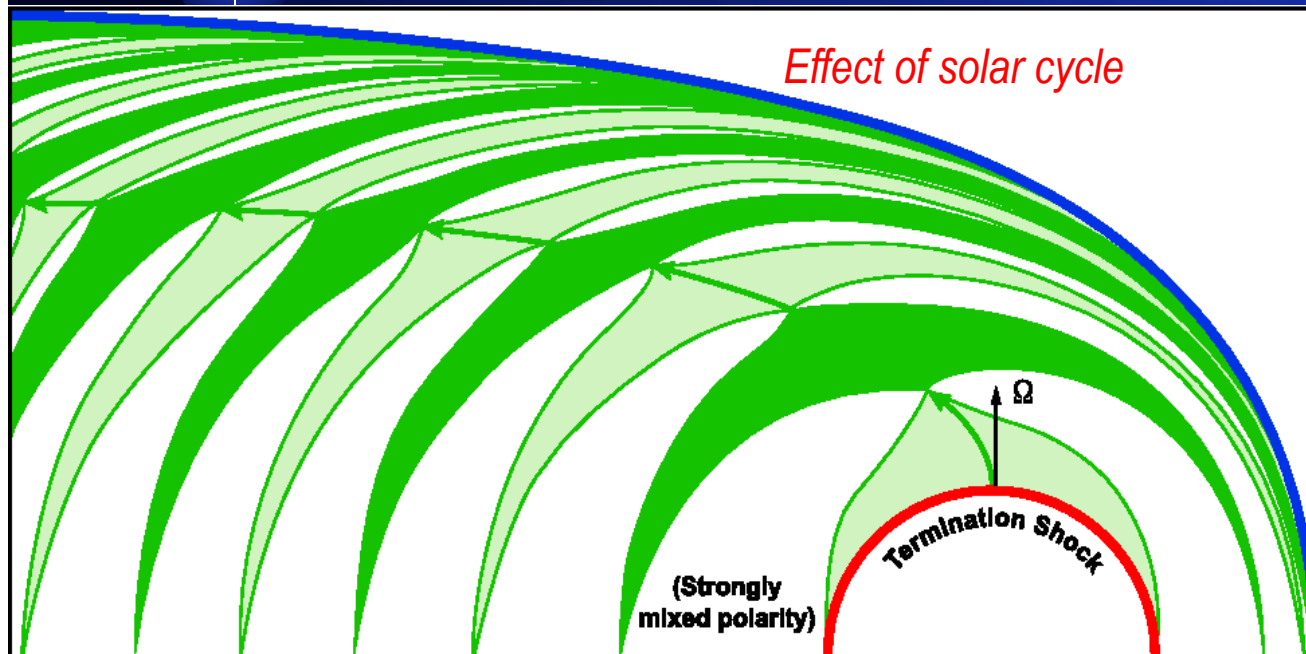
(cp. Drake et al. 2006).

Published in De Gouveia Dal Pino & AL 2003, 2005

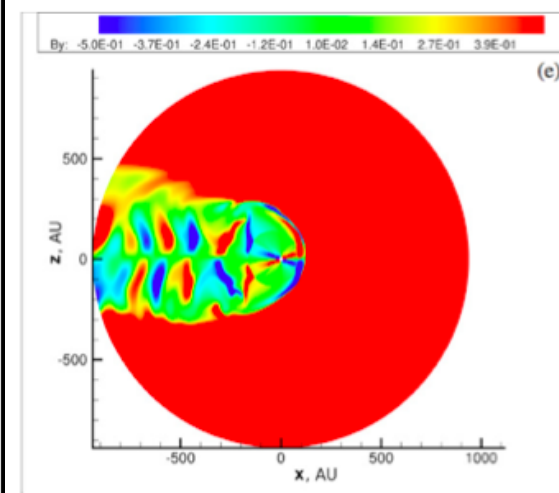
# First order Fermi acceleration happens also for perpendicular components



# *Magnetic reconnection expected in magnetotail is important for TEV anisotropies and lower energy excess observed*



Pogorelov et al., ApJ, 696, 1478, 2009



AL & Desiatii 2010

# ***Plan of the talk***

1. *Turbulent Astrophysical Plasmas*
2. *Turbulent reconnection and violation of flux freezing*
3. *Theory of Alfvenic turbulence*
4. *CR superdiffusion*
5. *CR acceleration in shocks*
6. *CR acceleration in reconnection sites*
7. *Damping of streaming instability by Alfvenic turbulence*

***Streaming instability damping by Alfvénic turbulence is suggested by Yan & AL 02 and quantified by Farmer & Goldreich 04***

$$\Gamma_{cr} \approx \Omega_B \frac{n_{cr}(> \gamma)}{n_i} \left( \frac{v_{stream}}{V_A} - 1 \right),$$

*Streaming instability growth rate*

*FG04 considered damping by strong turbulence and assumed  $M_A=1$ . Their conclusion is that streaming instability is suppressed in the Galaxy. This entails expectations of CR anisotropies  $\gg 10^{-4}$  observed.*

*“streaming catastrophe”*



# Results in FG04, however, do not cover all important regimes of turbulence

$$M_A \equiv \frac{V_L}{V_A} = \frac{\delta B}{B}$$

AL16

Type of MHD turbulence	Injection velocity	Range of scales	Spectrum $E(k)$	Instability damping rate and $r_L$ range
Weak	$V_L < V_A$	$[l_{trans}, L]$	$k_{\perp}^{-2}$	$\frac{V_A M_A^{8/3}}{r_L^{2/3} L^{1/3}}, \quad LM_A^4 < r_L < LM_A$
Strong subAlfvenic	$V_L < V_A$	$[l_{min}, l_{trans}]$	$k_{\perp}^{-5/3}$	$\frac{V_A M_A^2}{r_L^{1/2} L^{1/2}}, \quad \frac{l_{min}^{4/3}}{L^{1/3}} < r_L < LM_A^4$
Hydro-like superAlfvenic	$V_L > V_A$	$[l_A, L]$	$k^{-5/3}$	$\frac{V_A M_A}{r_L^{2/3} L^{1/3}}, \quad l_A < r_L < L$
Strong superAlfvenic	$V_L > V_A$	$[l_{min}, l_A]$	$k_{\perp}^{-5/3}$	$\frac{V_A M_A^{3/2}}{r_L^{1/2} L^{1/2}}, \quad \frac{l_{min}^{4/3}}{L^{1/3}} M_A < r_L < l_A$

For subAlfvenic turbulence the range for the strong turbulence damping is limited to  $< LM_A^4$ , It extends from  $LM_A^4$  to  $LM_A$  for weak turbulence

## ***As for the suppression of streaming in the Galaxy FG04 uses a number of assumptions***

- 1. The level of turbulent dissipation is estimated assuming that all the heating of halo gas is due to turbulent damping.***

*This is not true as in the presence of streaming: additional heating comes from CRs.*

- 2. Streaming instability damping is induced by strong turbulence in the halo.***

*$M_A$  in the halo  $< 1$ , even heating over-estimates in FG04 suggest  $M_A = 0.2$*

- 3. A over-simplified relation between the streaming velocity and degree anisotropy is assumed.***

*This ignores superdiffusion of magnetic field lines that we discussed.*

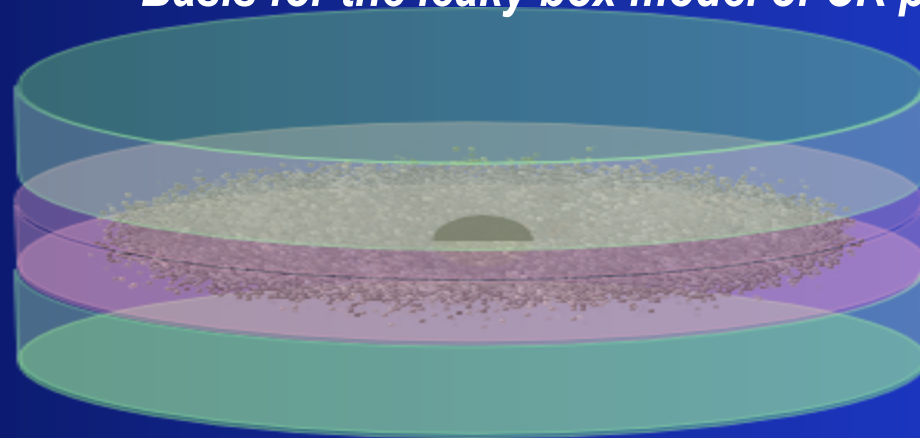
**Streaming instability damping by Alfvenic turbulence is suggested by Yan & AL 02 and quantified by Farmer & Goldreich 04**

$$\Gamma_{cr} \approx \Omega_B \frac{n_{cr}(> \gamma)}{n_i} \left( \frac{v_{stream}}{V_A} - 1 \right),$$

*Streaming instability*

*Basis for the leaky box model of CR propagation*

Disk



Halo

*Quantified in Farmer & Goldreich 04 for strong transAlfvenic turbulence*

***Farmer & Goldreich 2004 challenged the “leaky box” for CR confinement and isotropization claiming that streaming instability cannot exist in the presence of turbulence***

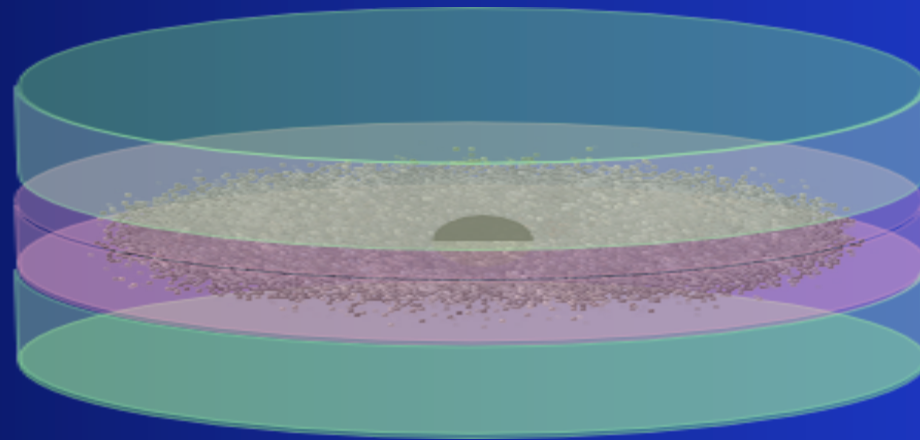
*The model of damping by strong turbulence is used*

*The turbulence level was estimated using*

$$\epsilon_{\text{turb.dissipation}} = \text{radiation cooling}$$

***Problem: cosmic rays stream and do not isotropize***

**Disk**



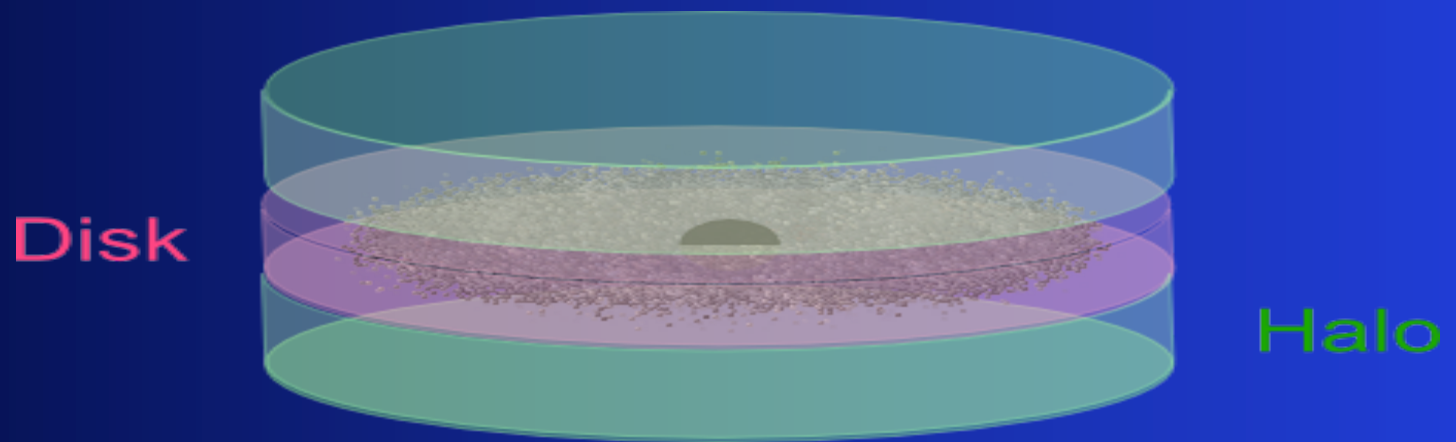
**Halo**

***The work meant the crisis of the existing models of CR isotropization!***

**Detailed calculations in AL16 show that “leaky box” model is valid if it is accounted that scattering is by weak turbulence and the level of turbulence in Halo is small**

The model of damping by weak turbulence is used

The turbulence level was estimated using  $\epsilon_{\text{turb.dissipation}} < \text{radiation cooling}$



CRs stream in the disk where turbulence is transAlfvenic and randomize by streaming instability in the halo. Streaming CR and not turbulence dissipation is the source of halo healing.

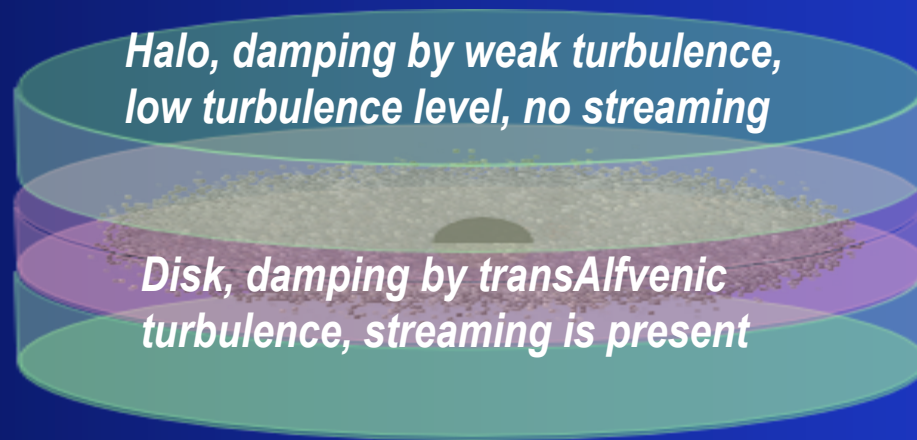
**Detailed calculations in AL16 show that “leaky box” model is valid if it is accounted that scattering is by weak turbulence and the level of turbulence in Halo is small**

The model of damping by weak turbulence is used

The turbulence level was estimated using  $\epsilon_{\text{turb.dissipation}} < \text{radiation cooling}$

New understanding:

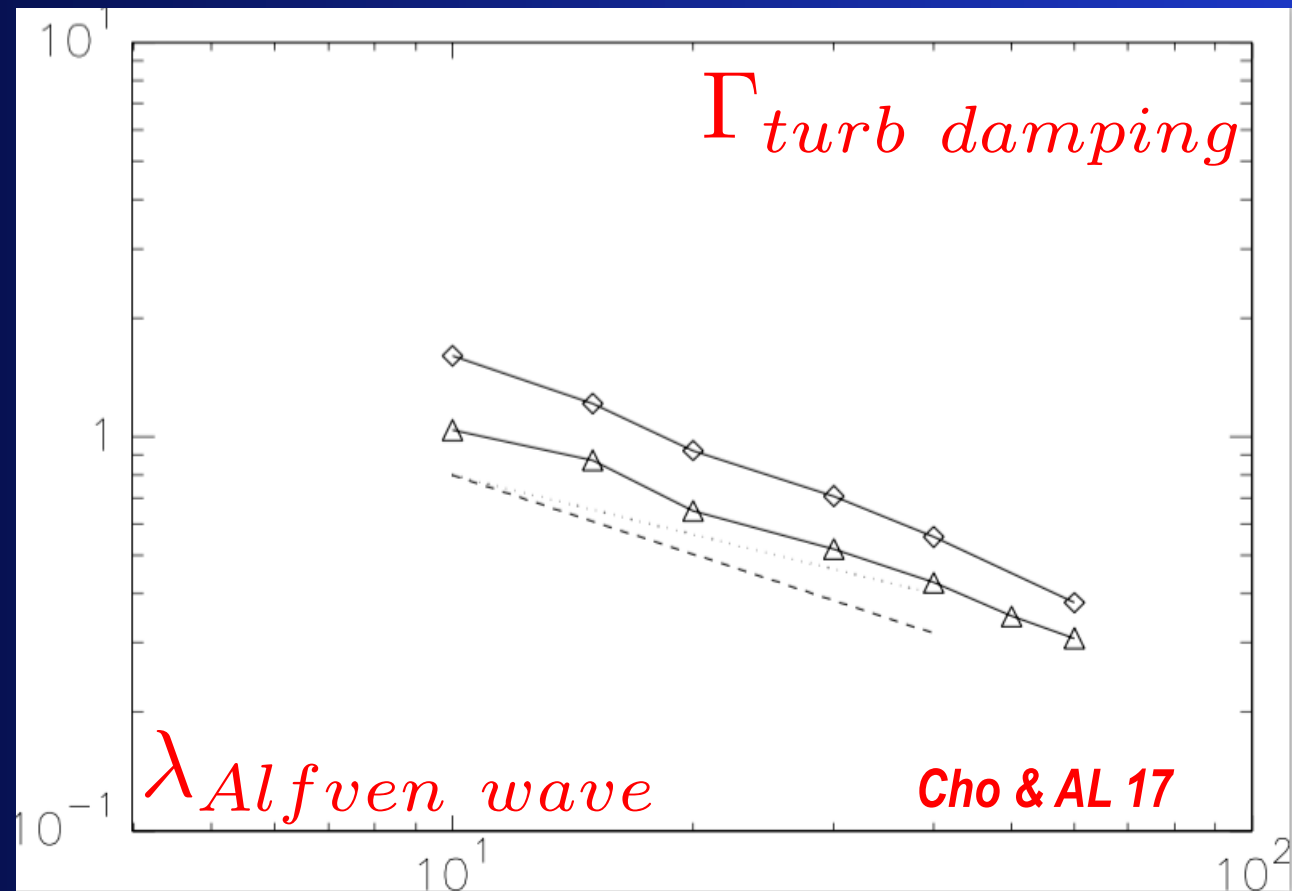
Disk



Halo

CRs stream in the disk where turbulence is transAlfvenic and randomize by streaming instability in the halo. Streaming CR and not turbulence dissipation is the source of halo healing.

# Numerical simulations confirm the AL16 scaling of the Alfvén wave damping





# Summary

1. *Alfvenic turbulence, turbulent reconnection, superdiffusion, turbulent damping of Alfven waves, turbulent dynamo are closely interrelated processes*
2. *For CRs these processes change radically the existing paradigms*
3. *Theories of propagation and acceleration of CRs is subject to serious revisions*