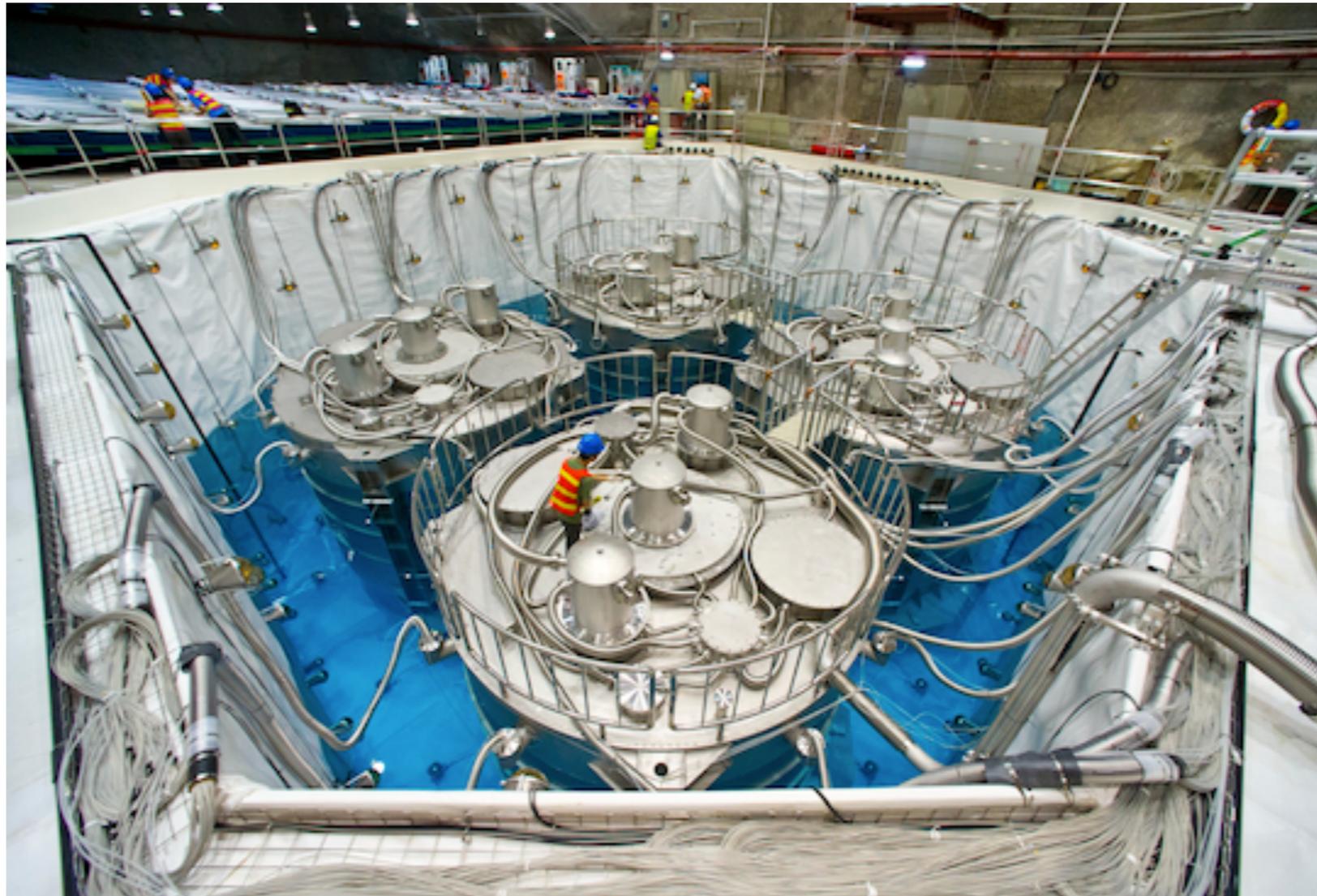


Evolution of the Reactor $\bar{\nu}_e$ Flux and Spectrum At Daya Bay

May 8, 2017



Bryce Littlejohn
Illinois Institute of Technology

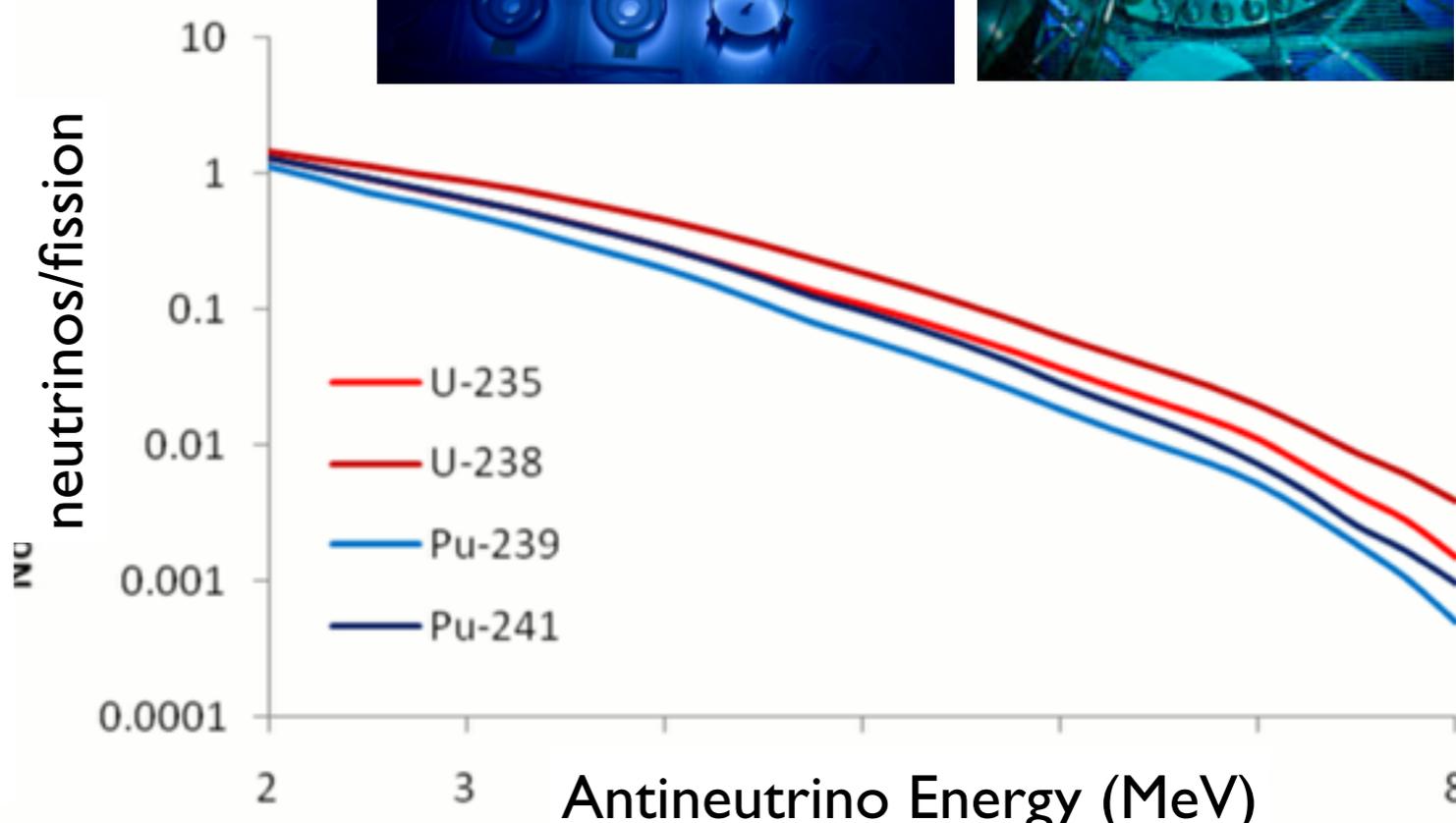
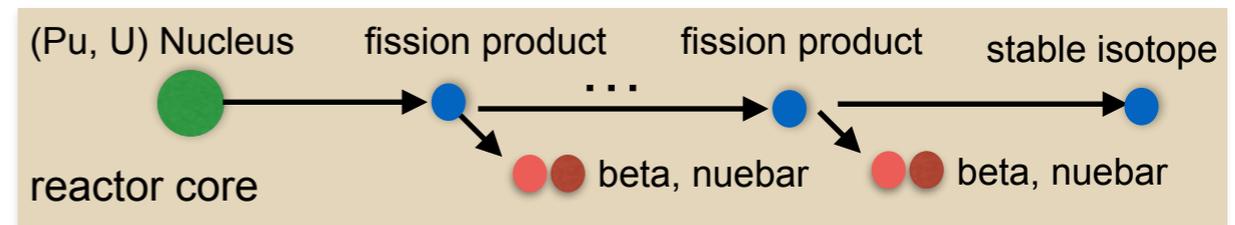
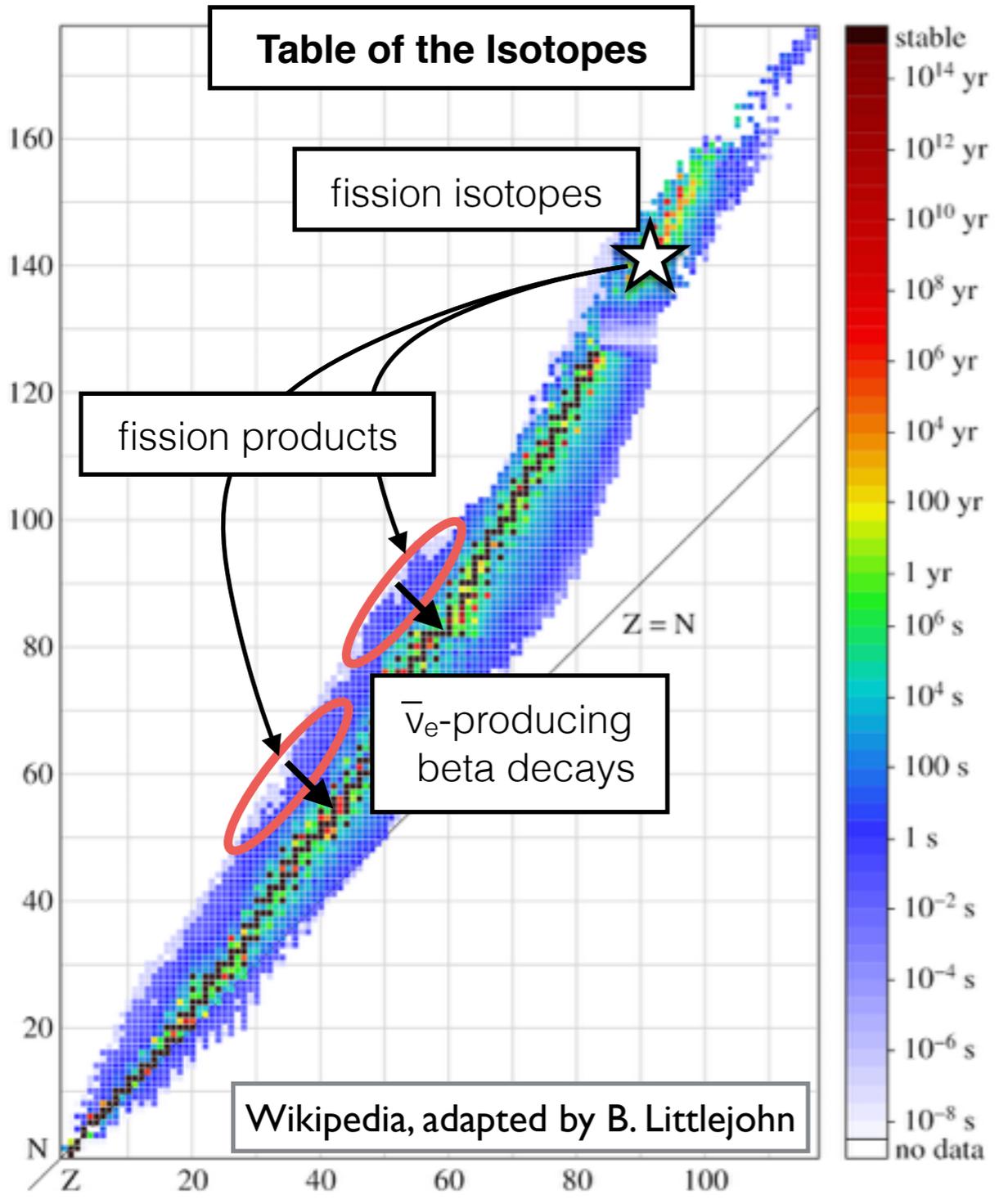


<https://arxiv.org/abs/1704.01082>

Reactor Antineutrino Production



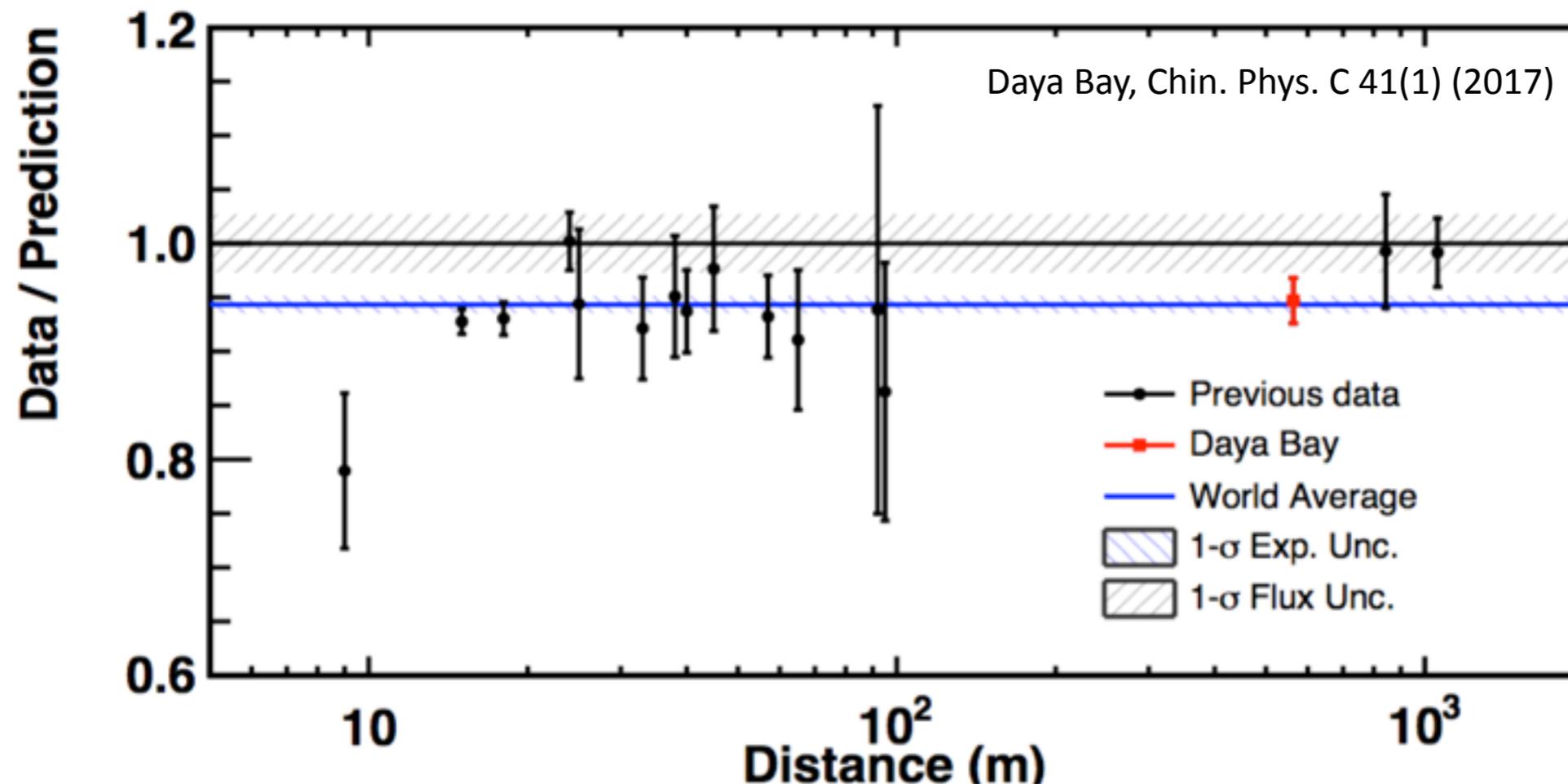
- Reactor $\bar{\nu}_e$: produced in decay of product beta branches
- Each isotope: different branches, so different neutrino energies, fluxes



The Reactor Antineutrino Anomaly



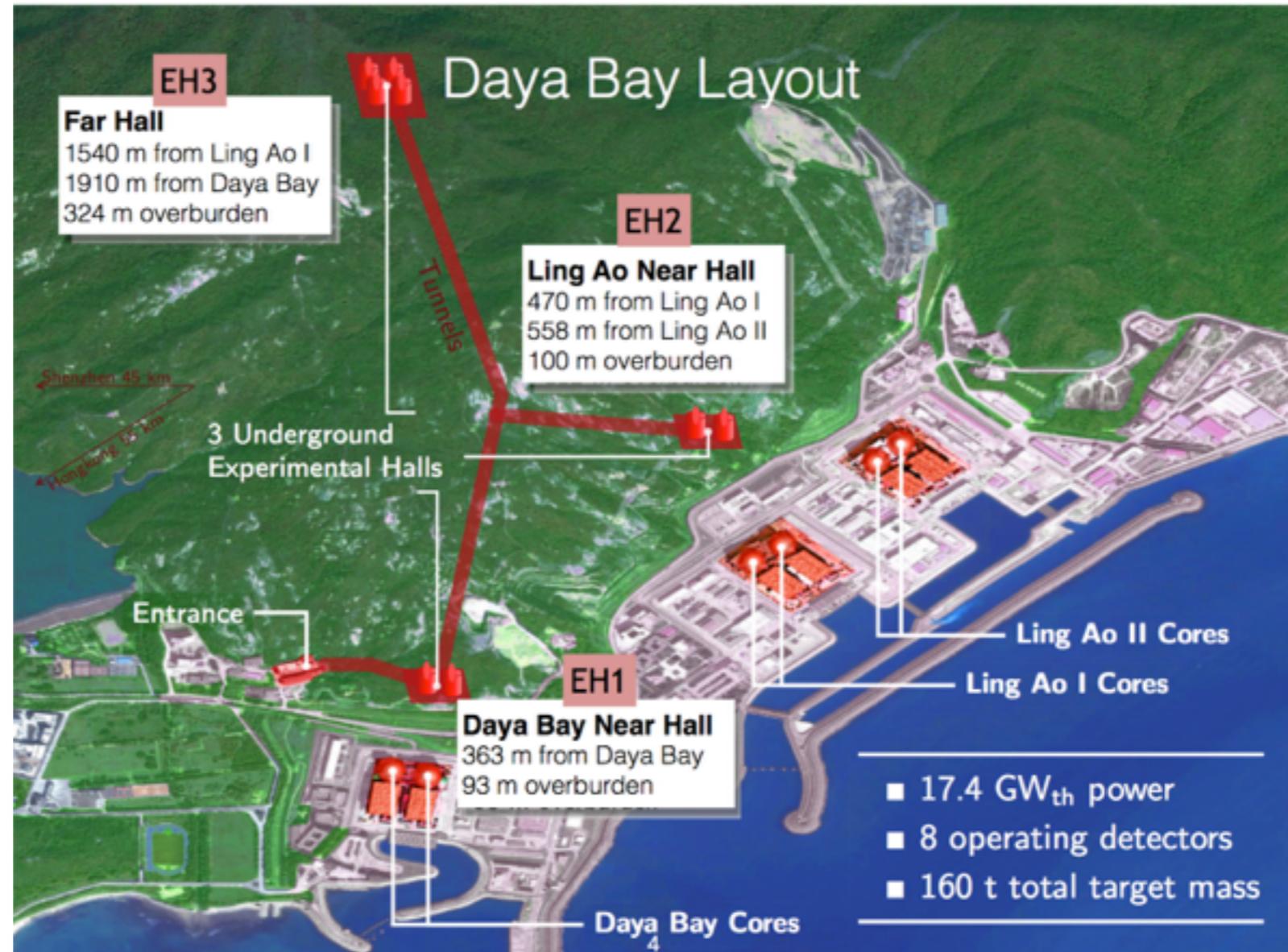
- Existing global deficit in measured $\bar{\nu}_e$ flux at all baselines
- What's going on??? Is the anomaly real? What is the cause?
 - Many nuclear physicists: flux predictions might just be wrong!
 - Many particle physicists: no, maybe this is another hint for sterile neutrinos!
- More information needed to differentiate these two hypotheses





Daya Bay Layout

- Original concept with 8 ‘identical’ detectors:
- Near detectors constrain flux
- Far detectors see if any neutrinos have disappeared.
- Daya Bay has ideal specs for doing this



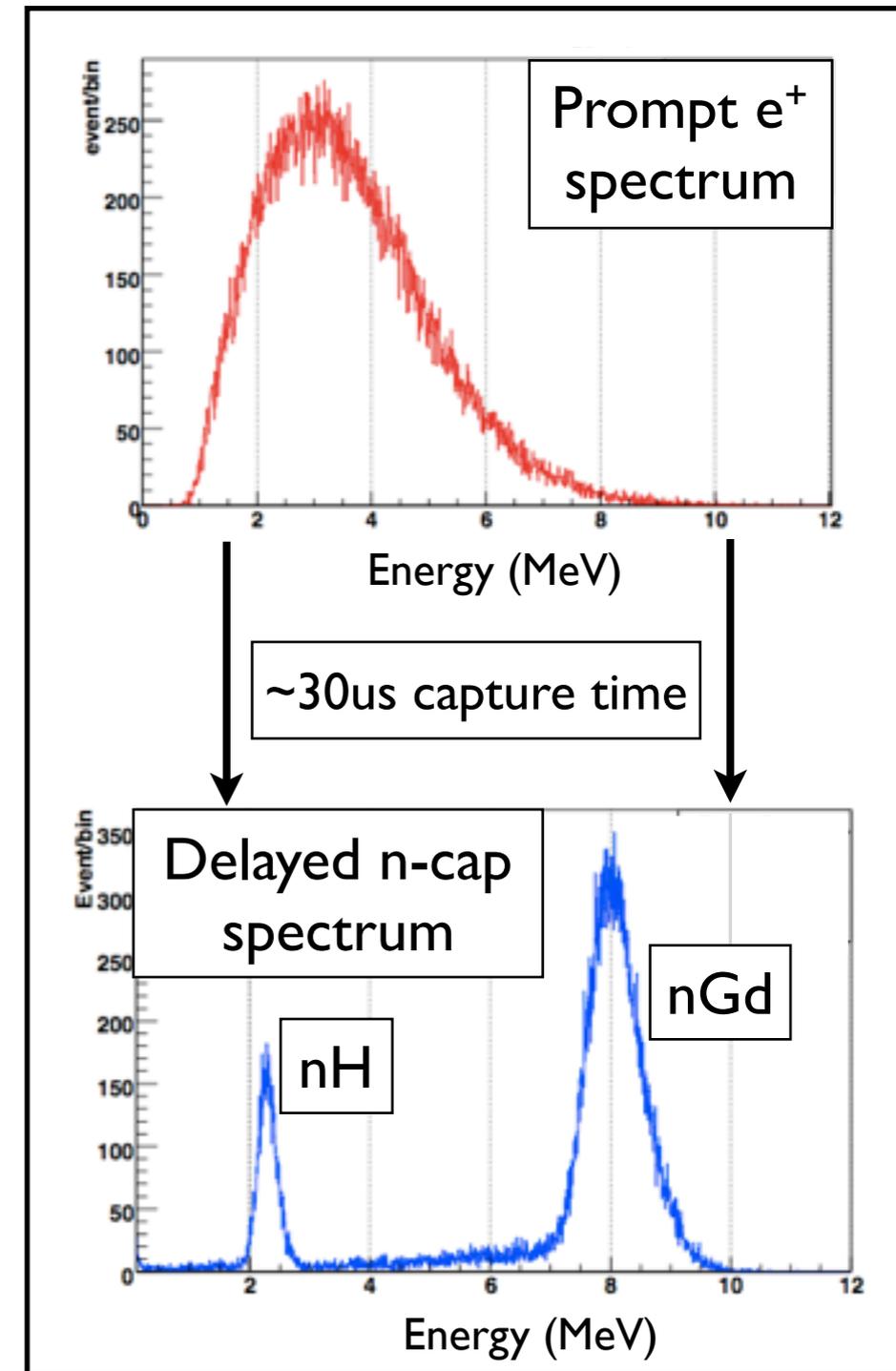
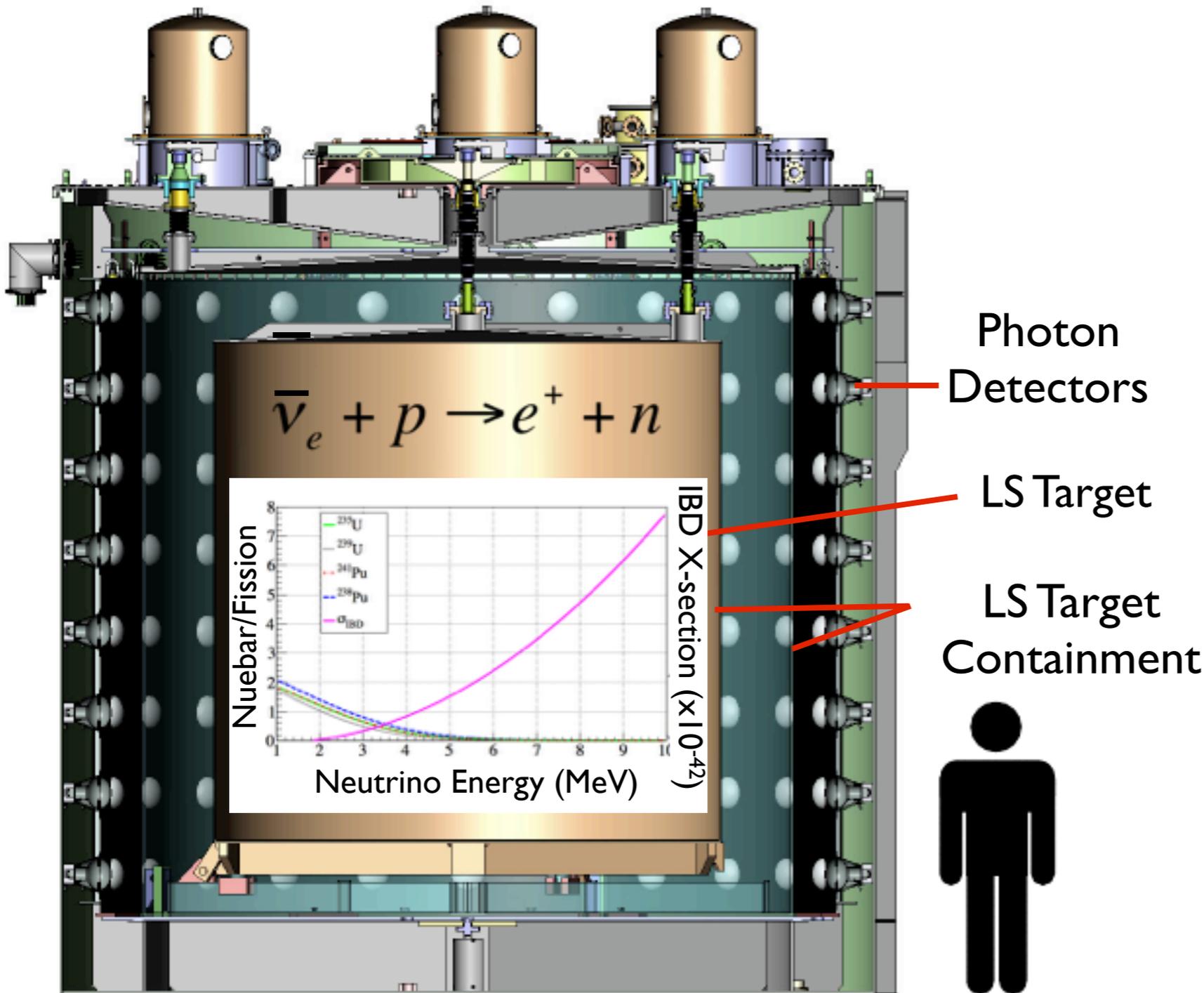
	Reactor [GW _{th}]	Target [tons]	Depth [m.w.e]
Double Chooz	8.6	16 (2 × 8)	300, 120 (far, near)
RENO	16.5	32 (2 × 16)	450, 120
Daya Bay	17.4	160 (8 × 20)	860, 250

Large Signal
Low Background



Daya Bay Antineutrino Detectors (ADs)

- Detect inverse beta decay (IBD) with liquid scintillator, PMTs
- IBD e^+ is direct proxy for antineutrino energy



Daya Bay Monte Carlo

Reactor Antineutrino Detection: Daya Bay



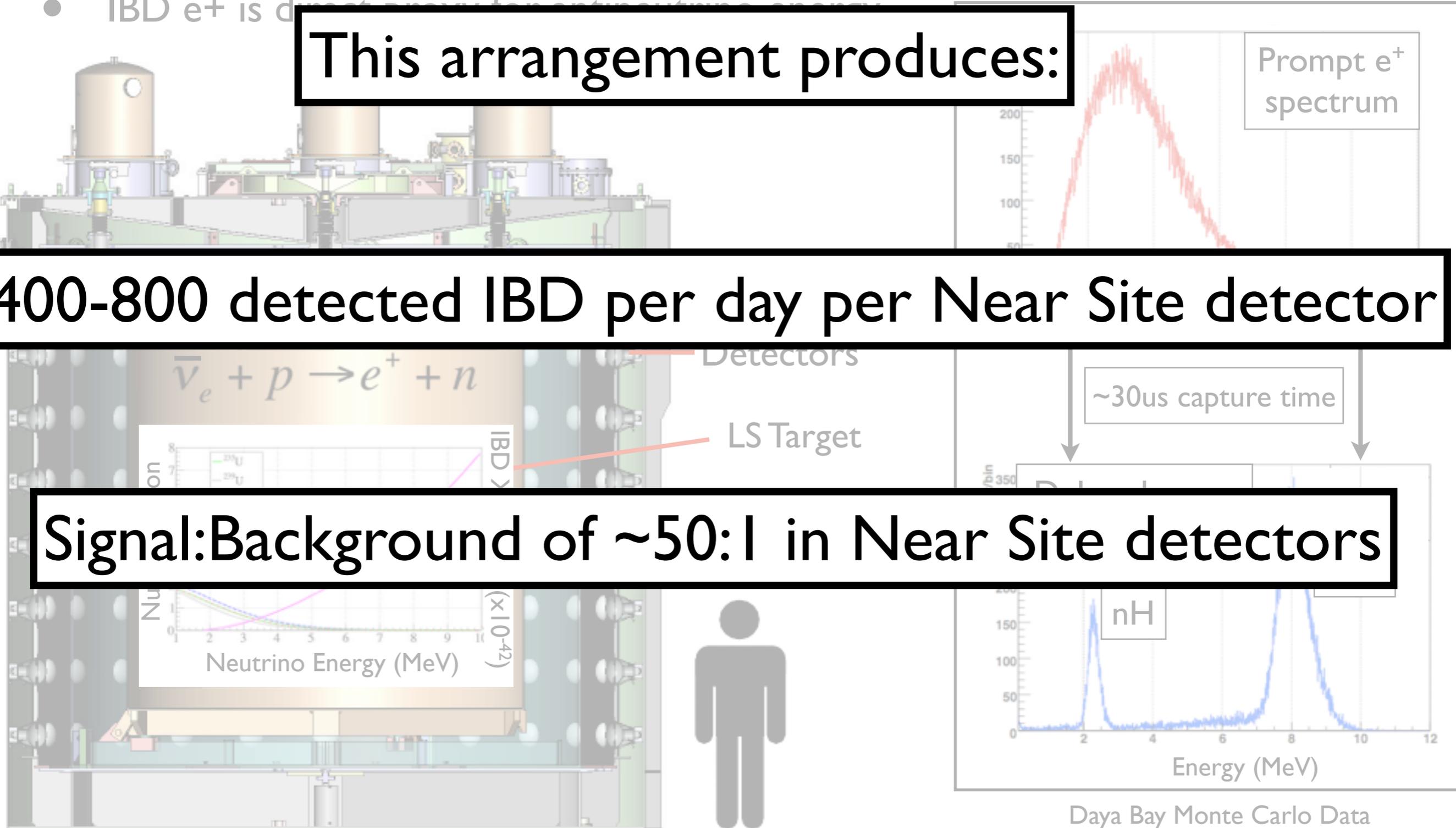
- Detect inverse beta decay (IBD) with liquid scintillator, PMTs

- IBD e^+ is direct proxy for antineutrino energy

This arrangement produces:

400-800 detected IBD per day per Near Site detector

Signal:Background of $\sim 50:1$ in Near Site detectors

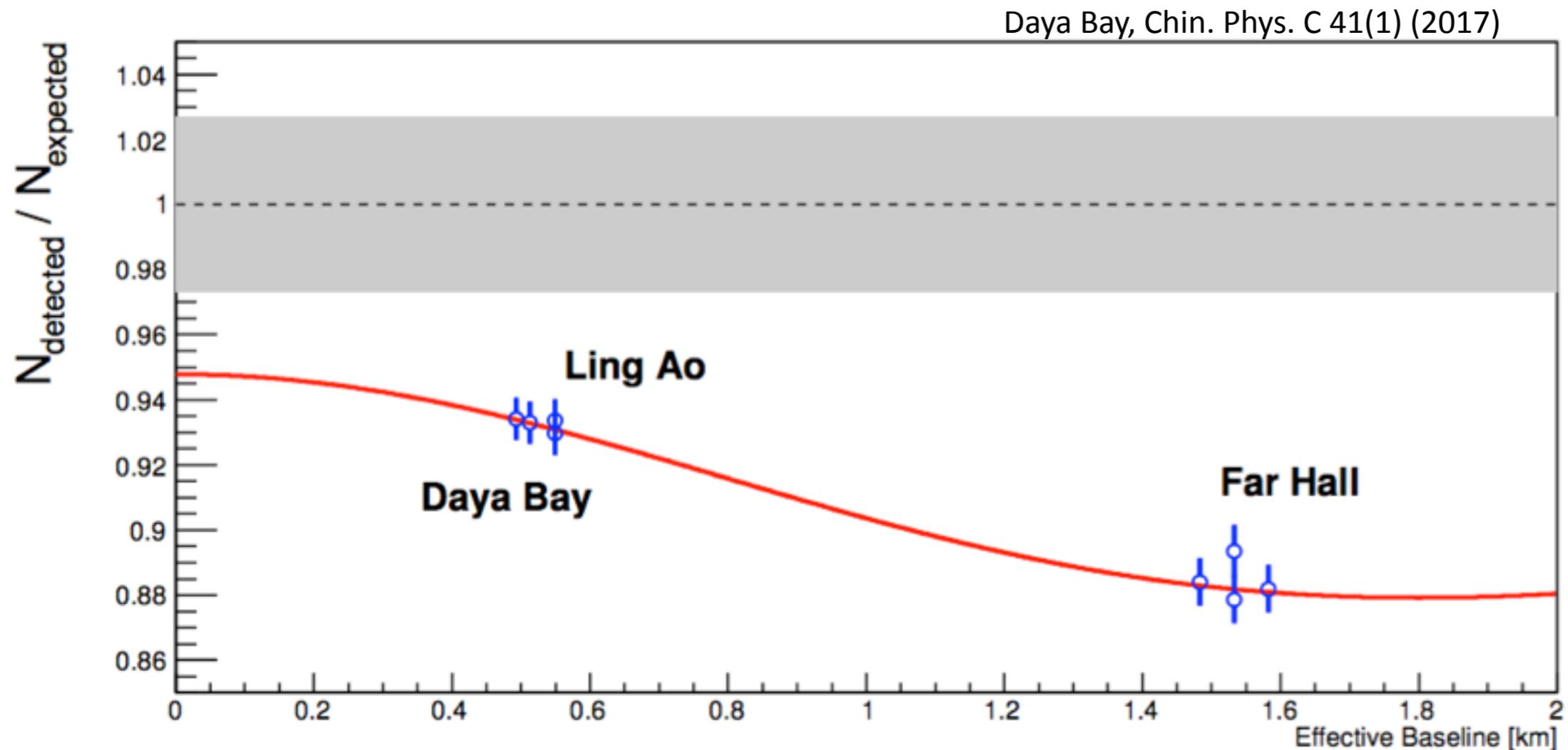


Daya Bay Detector

Past Daya Bay Analyses



- Previous Daya Bay analyses:
- STEP 1: Integrate all IBD over all times
- STEP 2a: Compare IBD rate/spectrum between Near, Far
- STEP 2b: Compare IBD rate/spectrum to theoretical models



Daya Bay Evolution Analysis



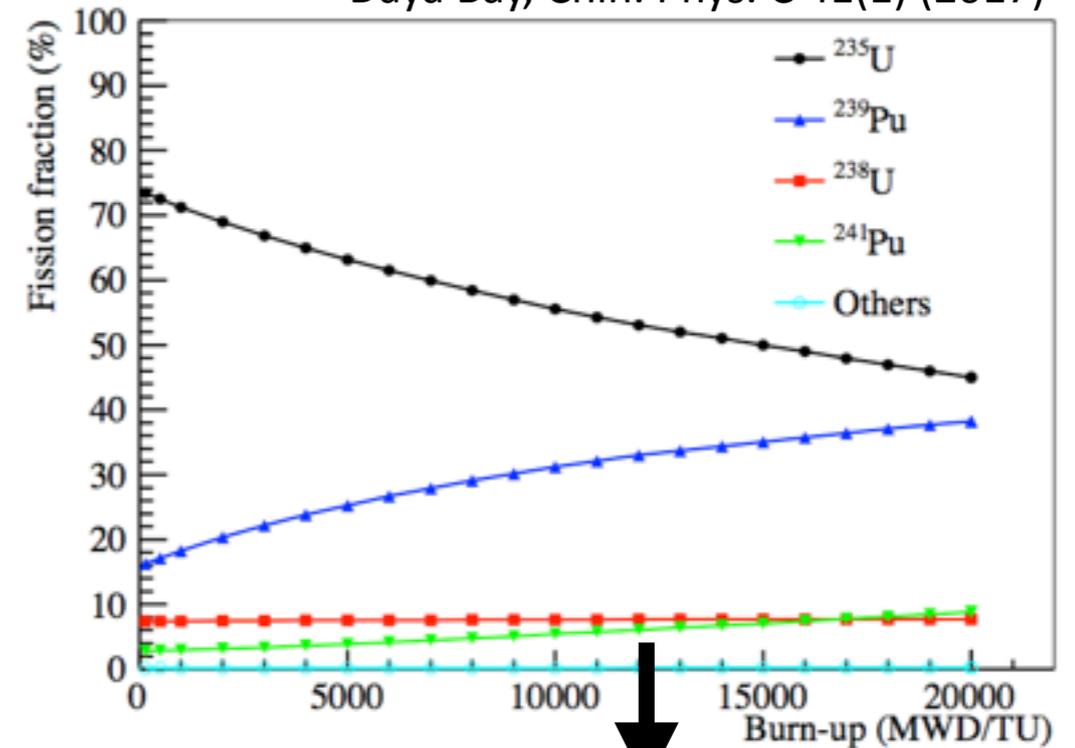
Daya Bay, Chin. Phys. C 41(1) (2017)

- **DO NOT** time integrate: instead, look at reactors' fission fractions

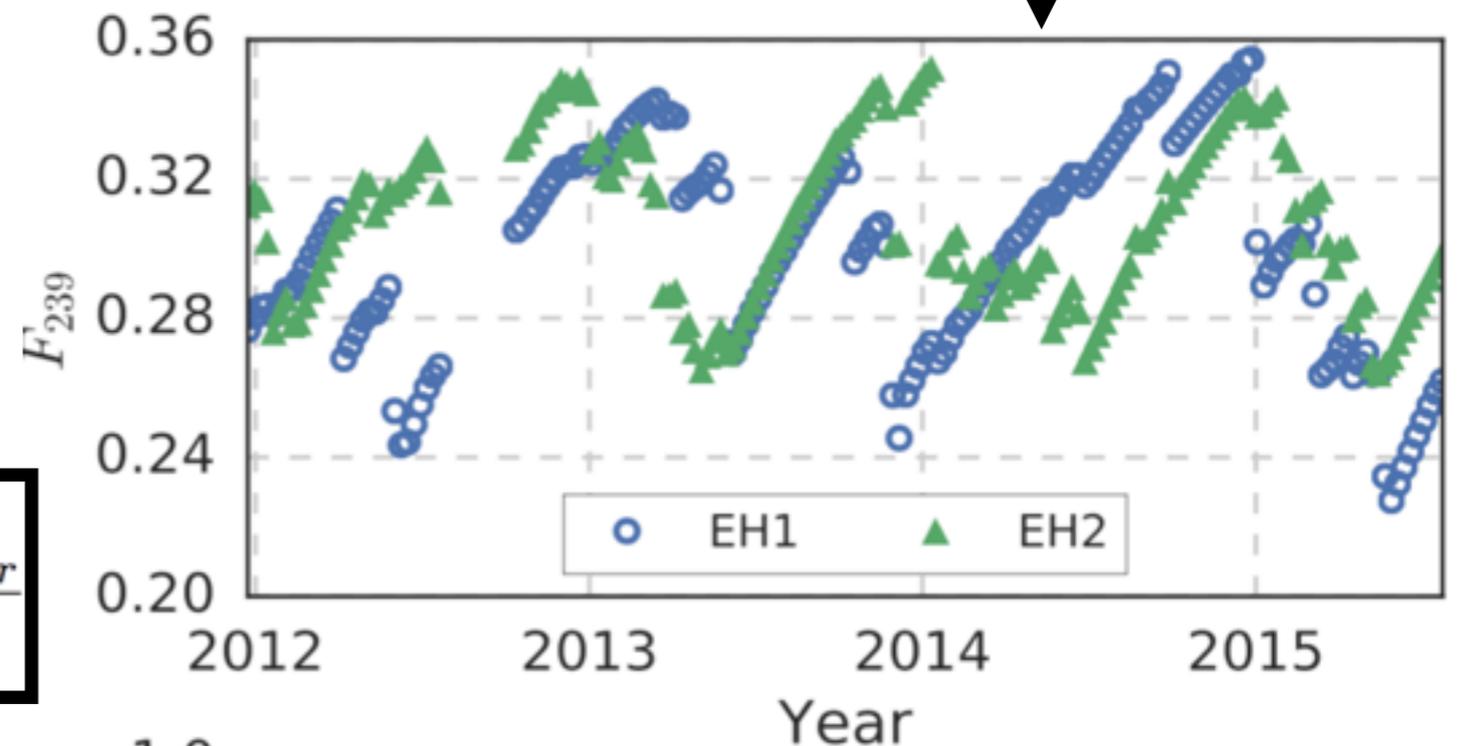
- % of fissions from ^{235}U , ^{239}Pu , ^{238}U , ^{241}Pu

- Calculate 'effective fission fraction,' observed by each detector:

- Weight core fission fraction by power, baseline, oscillation, etc.



weight; then repeat x6



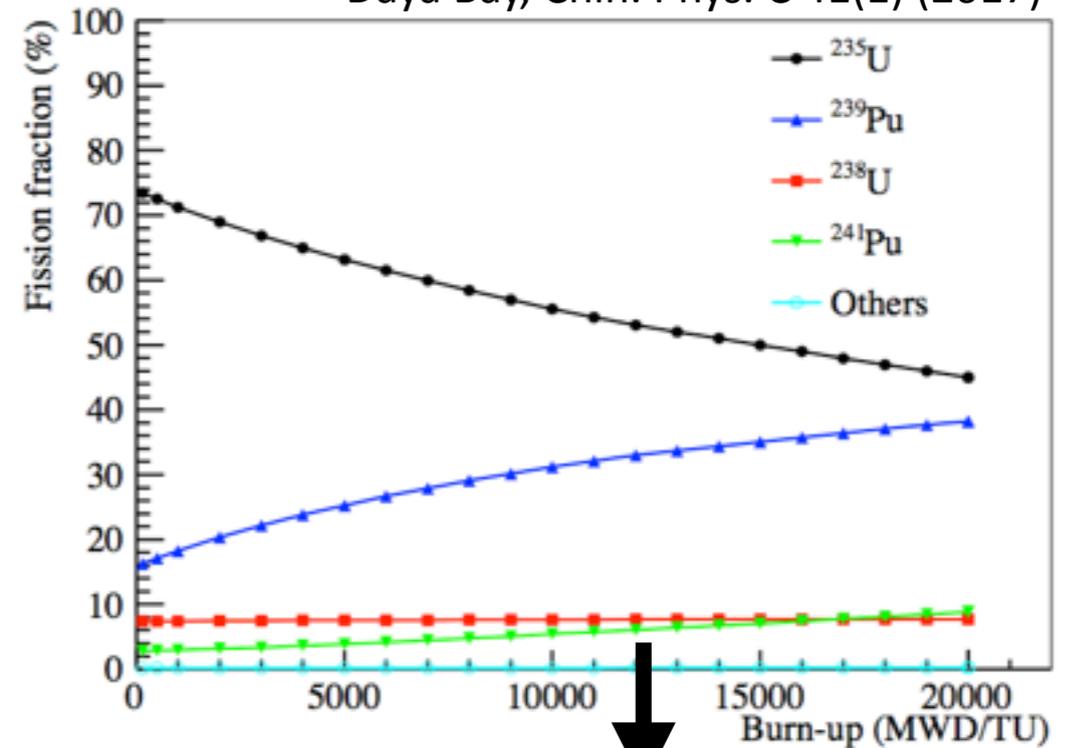
$$F_i(t) = \frac{\sum_{r=1}^6 \frac{W_{\text{th},r}(t) \bar{p}_r f_{i,r}(t)}{L_r^2 \bar{E}_r(t)}}{\sum_{r=1}^6 \frac{W_{\text{th},r}(t) \bar{p}_r}{L_r^2 \bar{E}_r(t)}}$$

Daya Bay Evolution Analysis

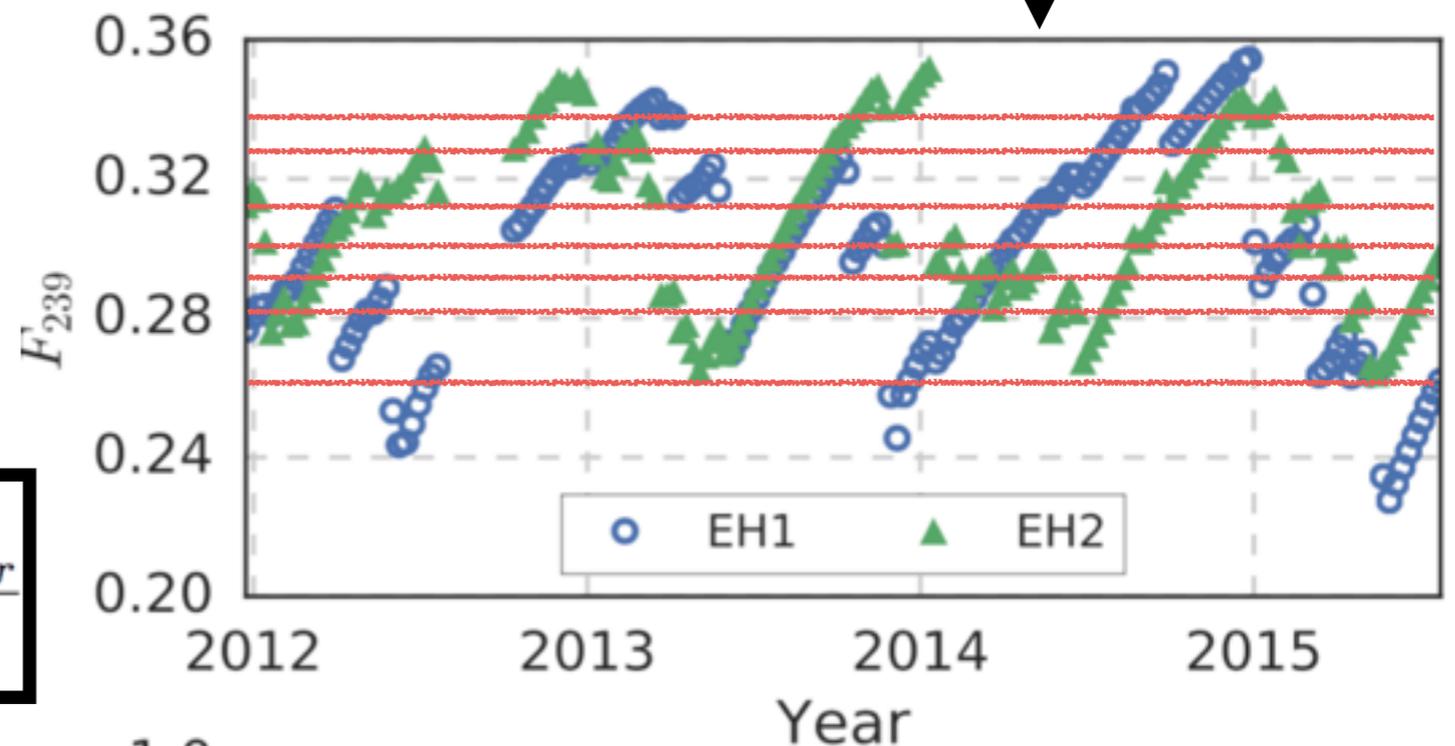


- DO NOT time integrate: instead, look at reactors' fission fractions
- % of fissions from ^{235}U , ^{239}Pu , ^{238}U , ^{241}Pu
- Calculate 'effective fission fraction,' observed by each detector:
 - Weight core fission fraction by power, baseline, oscillation, etc.
- Calculate IBD rate (per fission) for each bin in effective fission fraction.

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weight; then repeat x6

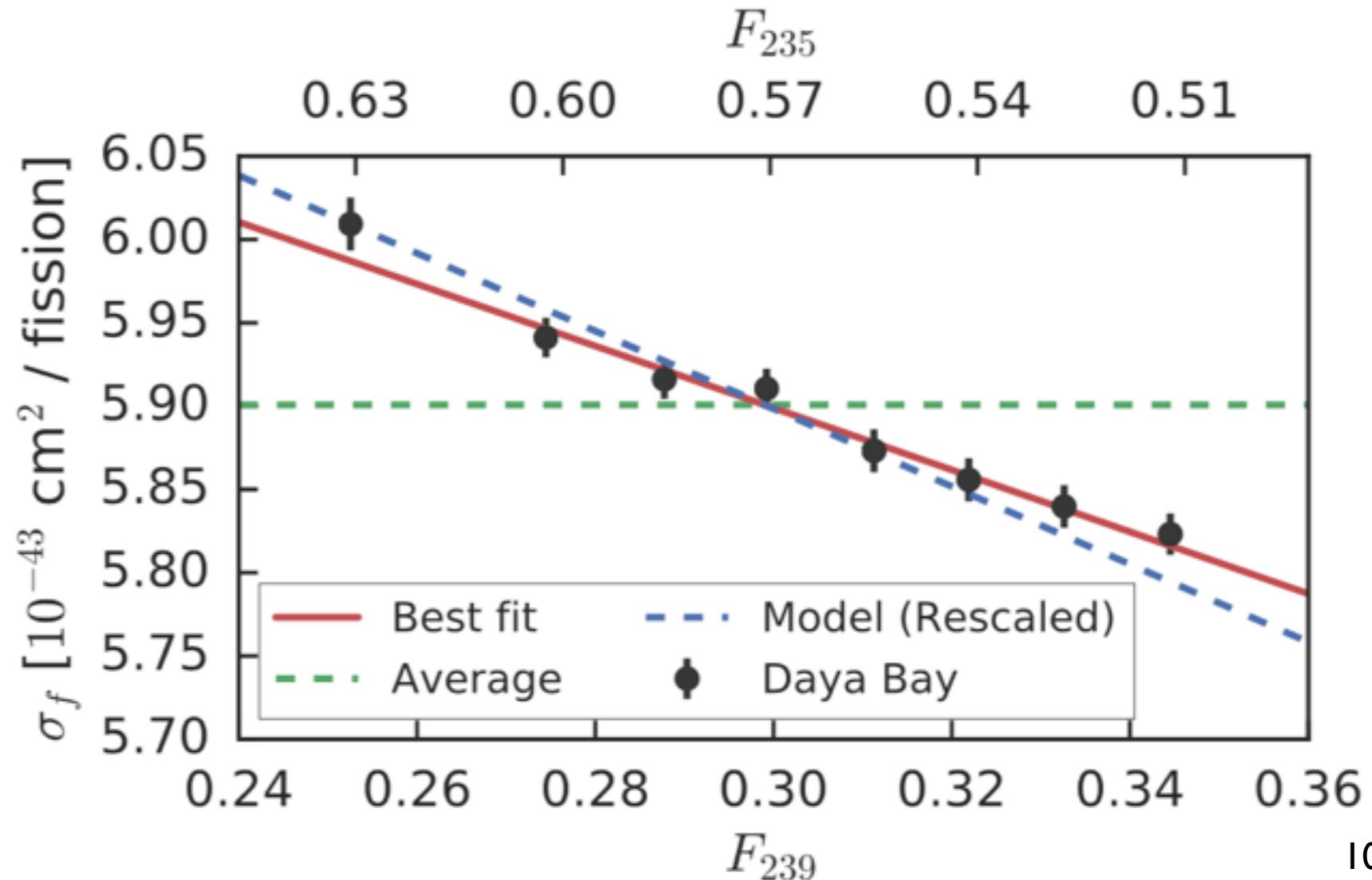
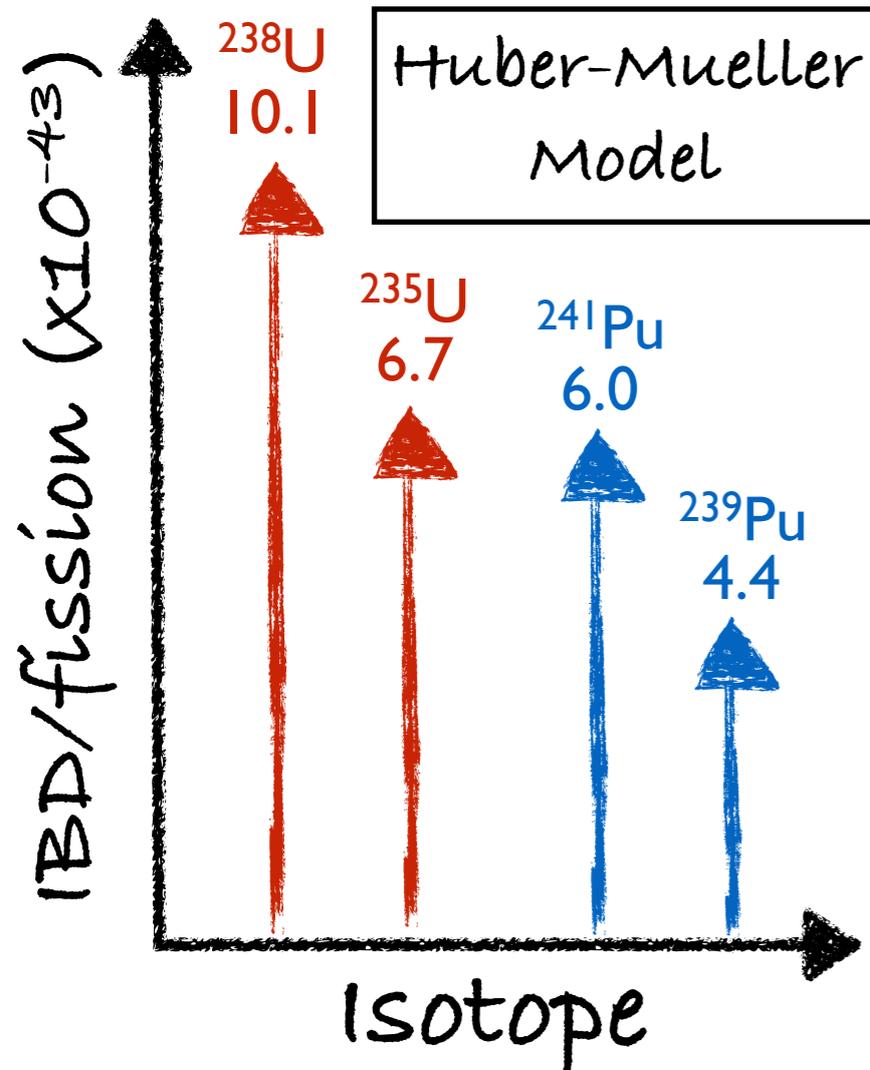


$$F_i(t) = \frac{\sum_{r=1}^6 \frac{W_{\text{th},r}(t) \bar{p}_r f_{i,r}(t)}{L_r^2 \bar{E}_r(t)}}{\sum_{r=1}^6 \frac{W_{\text{th},r}(t) \bar{p}_r}{L_r^2 \bar{E}_r(t)}}$$



Result: Flux Evolution

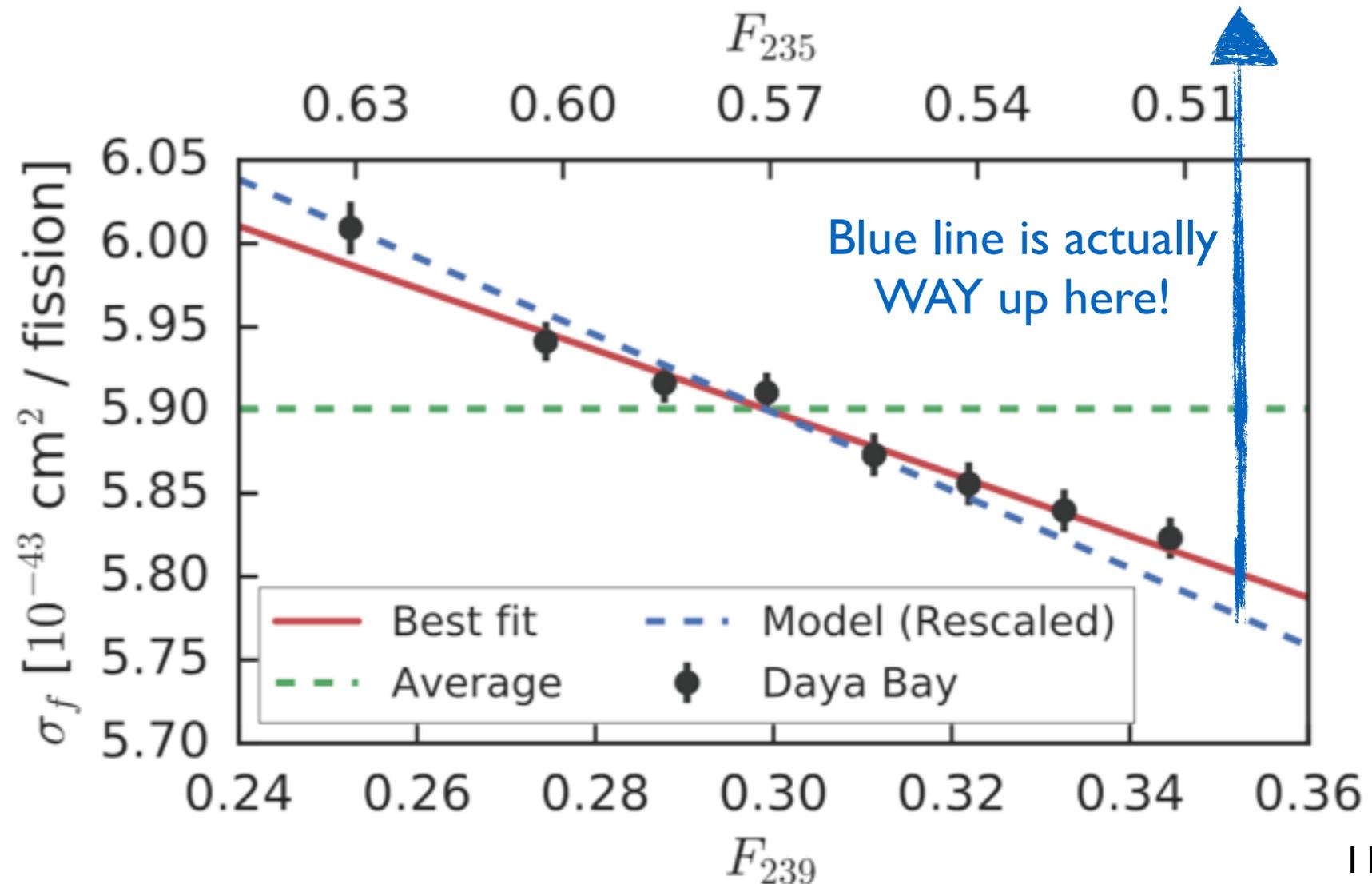
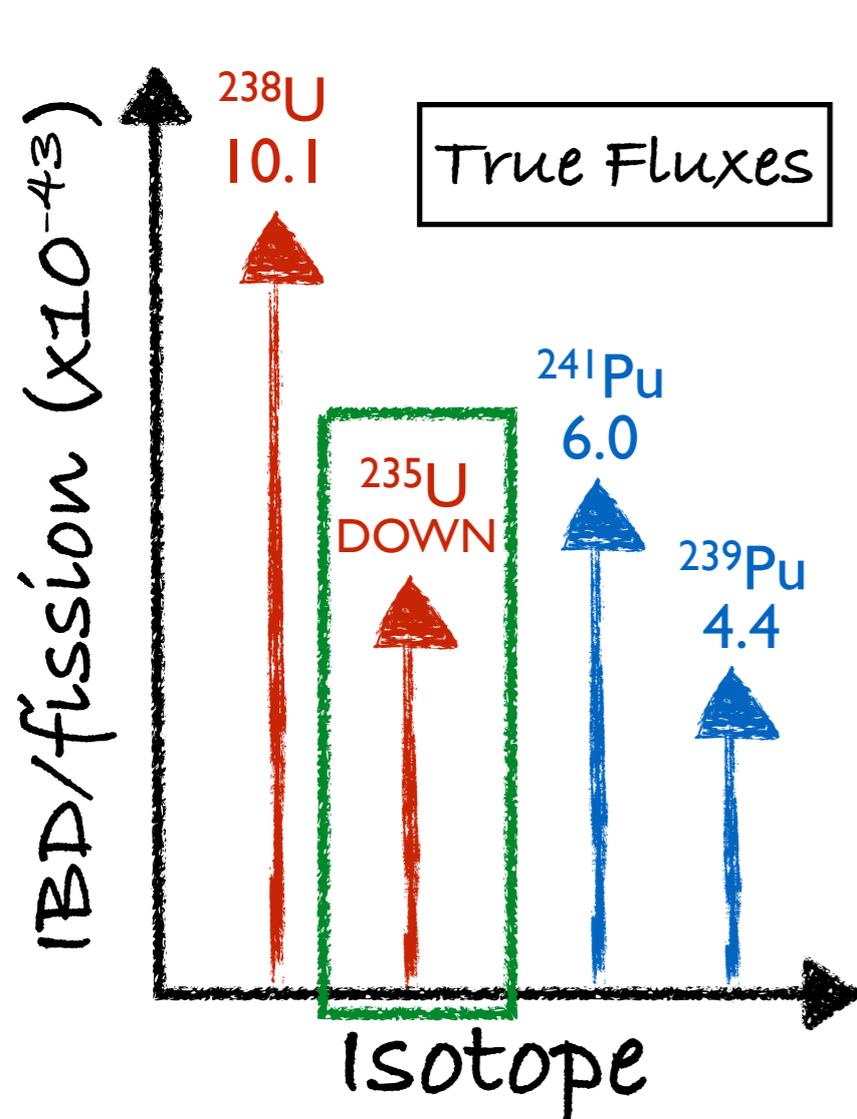
- When plotting IBD/fission versus F_{239} , we see a slope in data
- Very clear that flux is changing with changing fission fraction.
- Not too surprising; models predict ^{239}Pu makes fewer $\bar{\nu}_e$
 - Seen before in previous experiments: Rovno (90's); SONGS (00's)
- Surprising: measured, predicted slope do not agree at 2.6σ





Result: Flux Evolution

- Also consider: total flux prediction is too high by 5.4%
- Suggests that ^{235}U prediction, in particular, is too high
- Some more complicated scenarios still allowed, i.e.: ^{239}Pu UP + sterile nu
- Editorial opinion: The whole reason we introduced sterile neutrinos to this picture was to avoid having to admit the models were wrong. Hmmmmm...



Result: Fits to Individual Isotopes



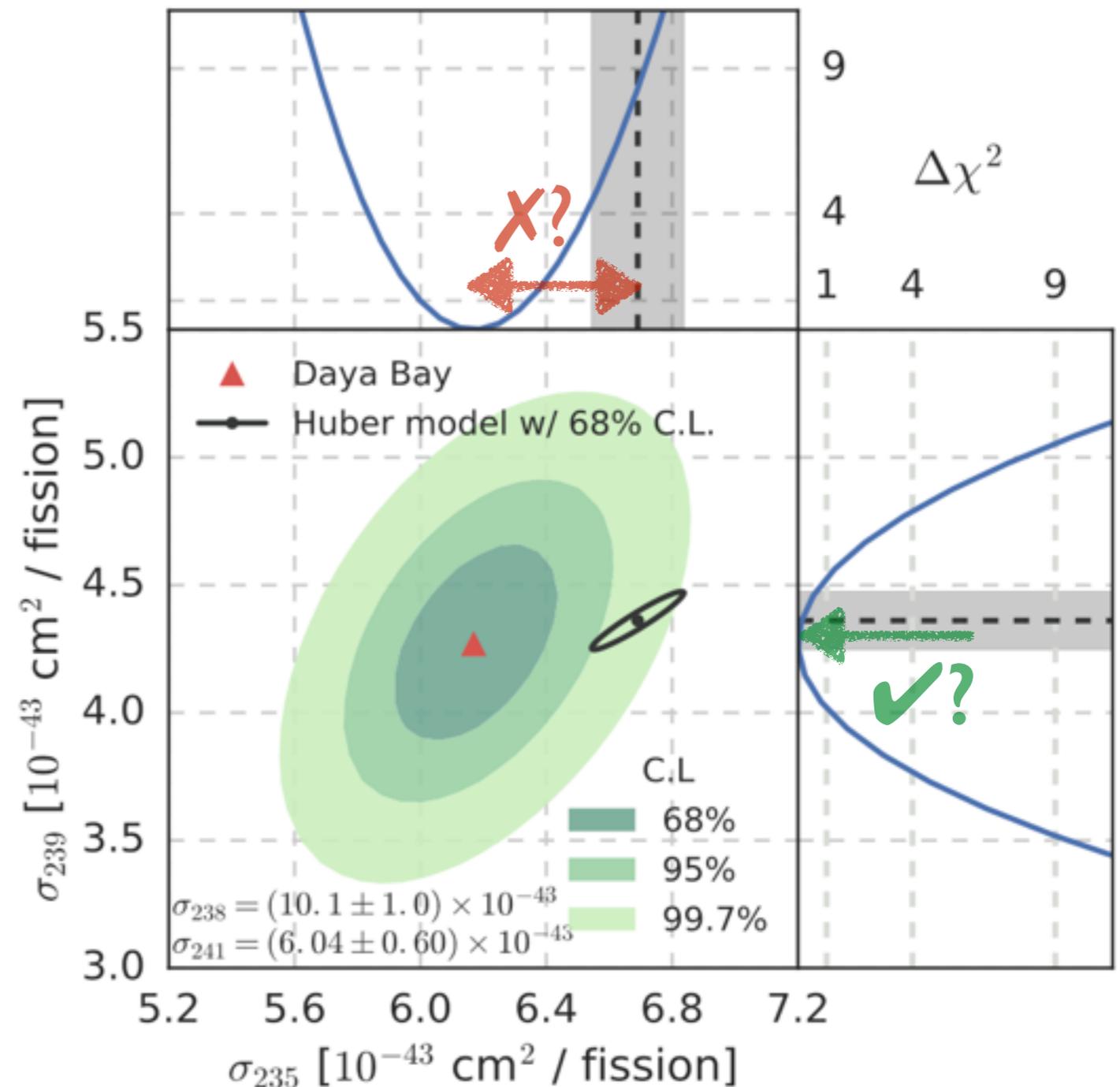
- Use this data to explicitly fit IBD/fission for ^{235}U , ^{239}Pu
 - Assume loose (10%) uncertainties on sub-dominant ^{238}U , ^{241}Pu

● As expected, fitted ^{235}U is lower than the model

- ^{239}Pu matches model well.

● Note: CLs are significant, but not overwhelming

- With more statistics, better systematics, there is a chance these results could shift.
- Future DYB measurements would be valuable!



Result: Spectrum Evolution



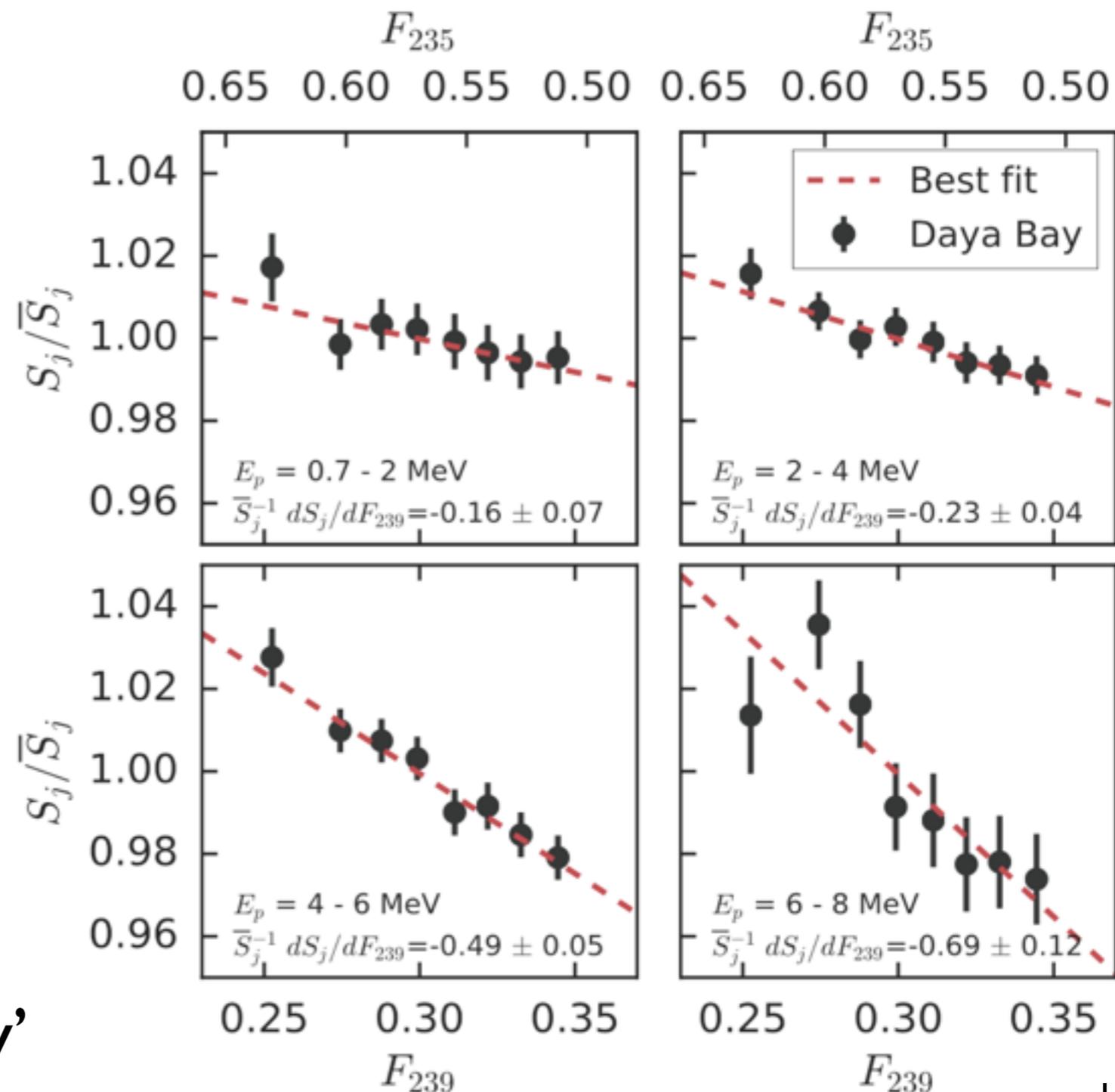
- Shift gears: what if we add IBD energy into the mix?
 - Examine evolution in 4 separate energy ranges

- Slope is different for different energy ranges

- Put another way: IBD spectrum changes w/ F_{239}

- This is the first unambiguous measurement of this behavior

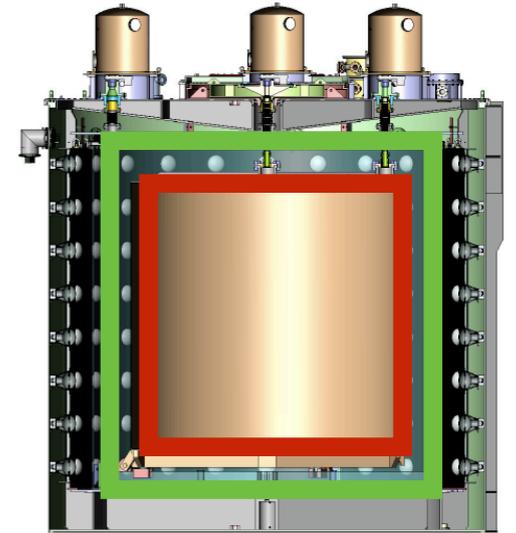
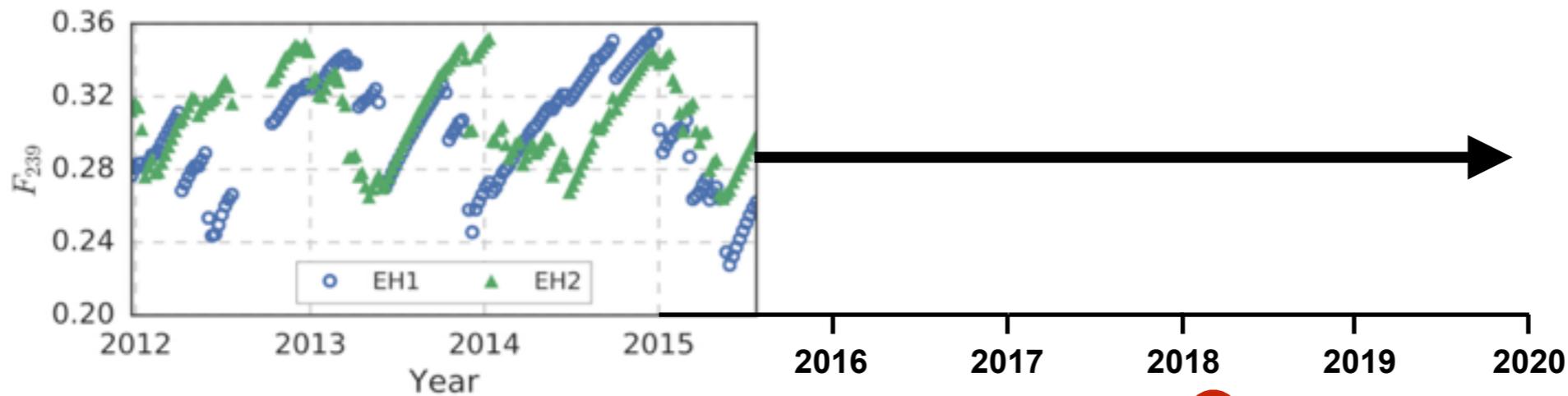
- Matches models ~well; more statistics needed to address 'spectrum anomaly'



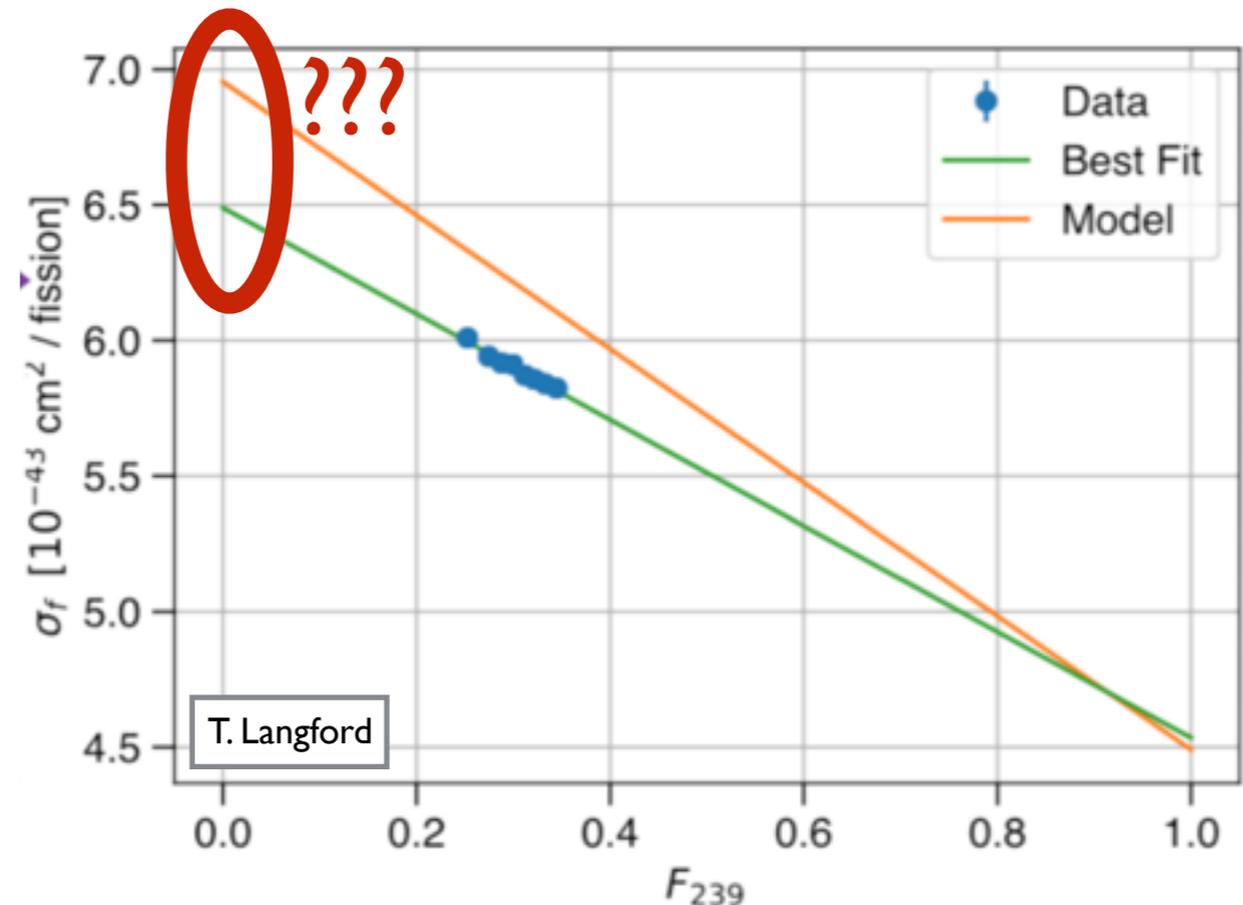


Future Prospects

- Daya Bay will improve its statistically limited measurement
 - Improved $nH + nGd$ IBD analysis: $\sim 1.6x$ more statistics
 - ~ 3.5 years of data down; 4.5 years of data to go!



- Highly-enriched uranium cores provide a chance to sample wider fission fraction ranges ($100\% \text{ }^{235}\text{U}$)
 - Precise flux measurements at new short-baseline experiments (like PROSPECT) could be helpful





Thanks!

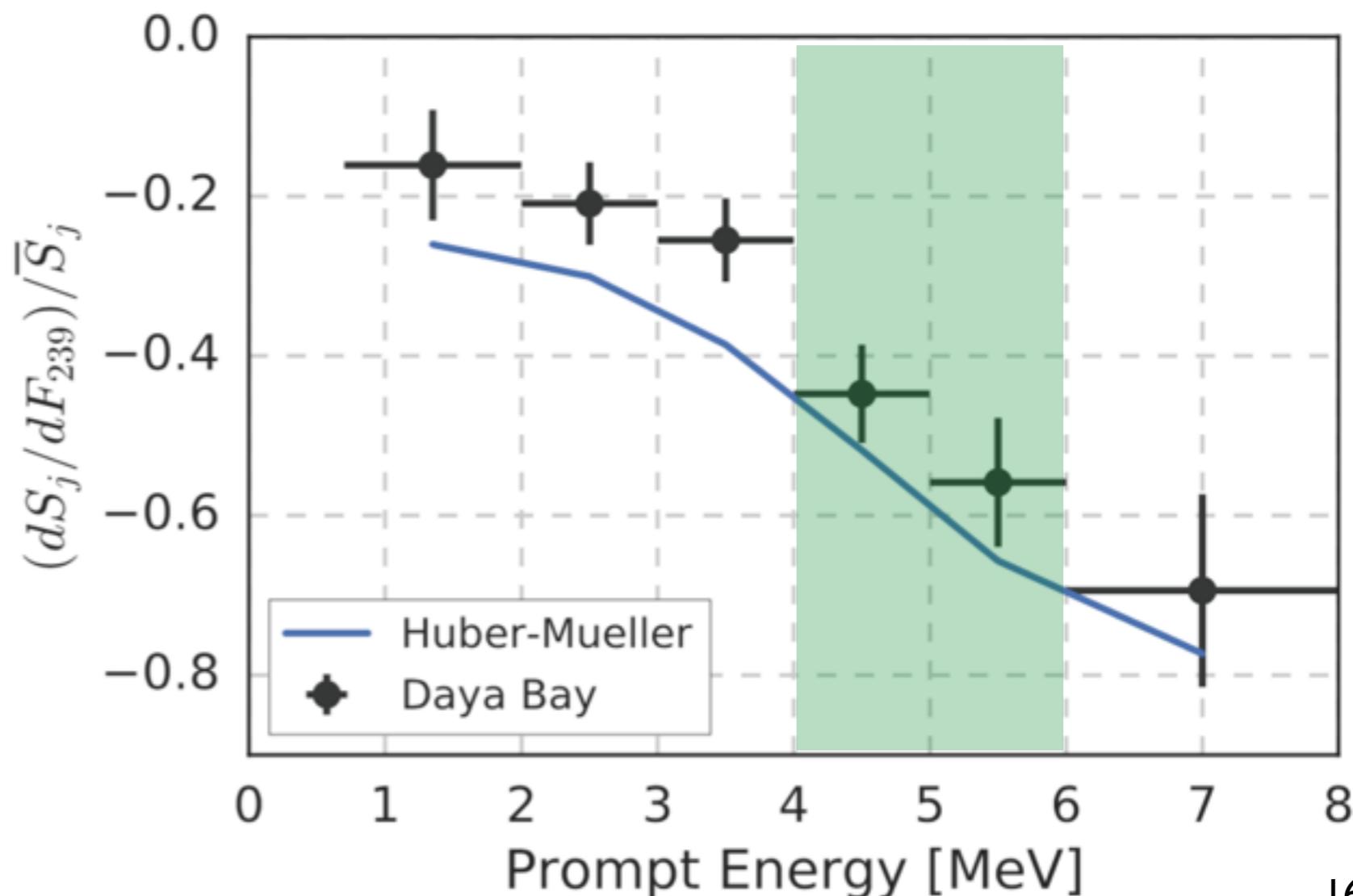
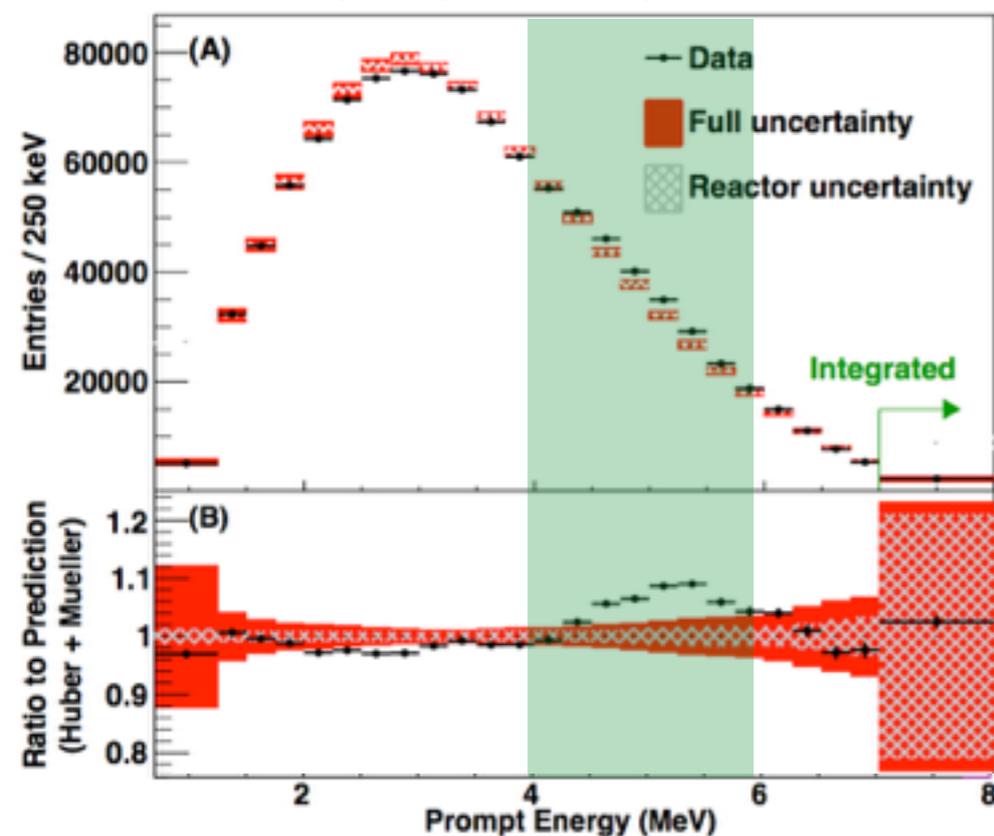
Questions?

Spectrum Evolution: Data-Model Comparison



- 4-6 MeV region: no strange behavior visible WRT models
 - No major indication that ‘bump’ data-model discrepancy comes from a particular isotope.
 - Data-model offset seems (maybe?) a little bit reduced, but more statistics are required to say something meaningful.

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Note: From IBD/day to IBD/fission

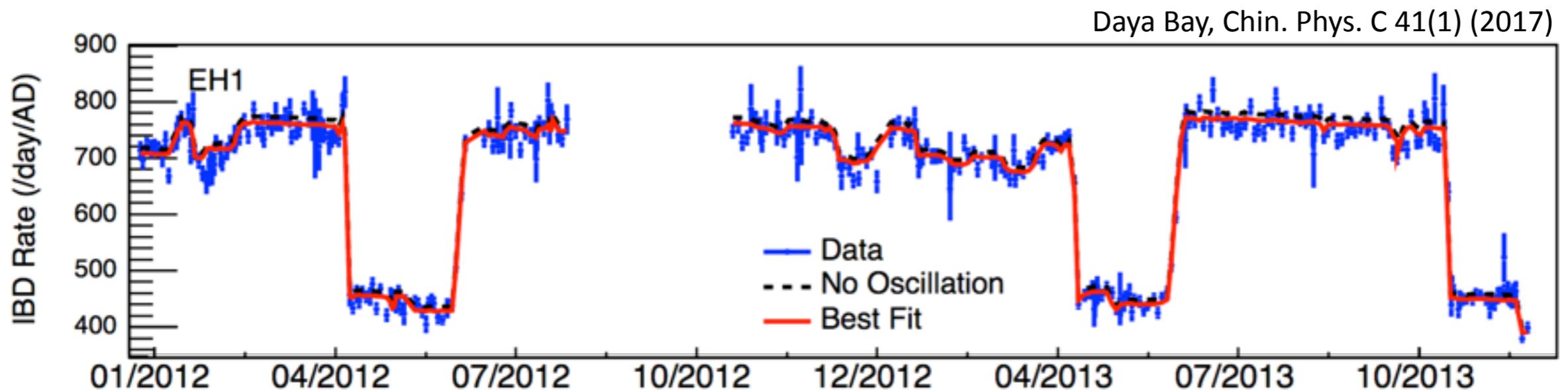


- IBD/day depends on many time-variable quantities:
 - Reactor status and thermal power
 - Power released per fission
 - Detector livetime
 - Some other more minor, nearly-constant stuff
target mass

- Show final plots in terms of IBD/fission:

$$\sigma_f = \sum_i F_i \sigma_i$$

- Basically take IBD/day and divide out all these variable quantities on a week-by-week basis

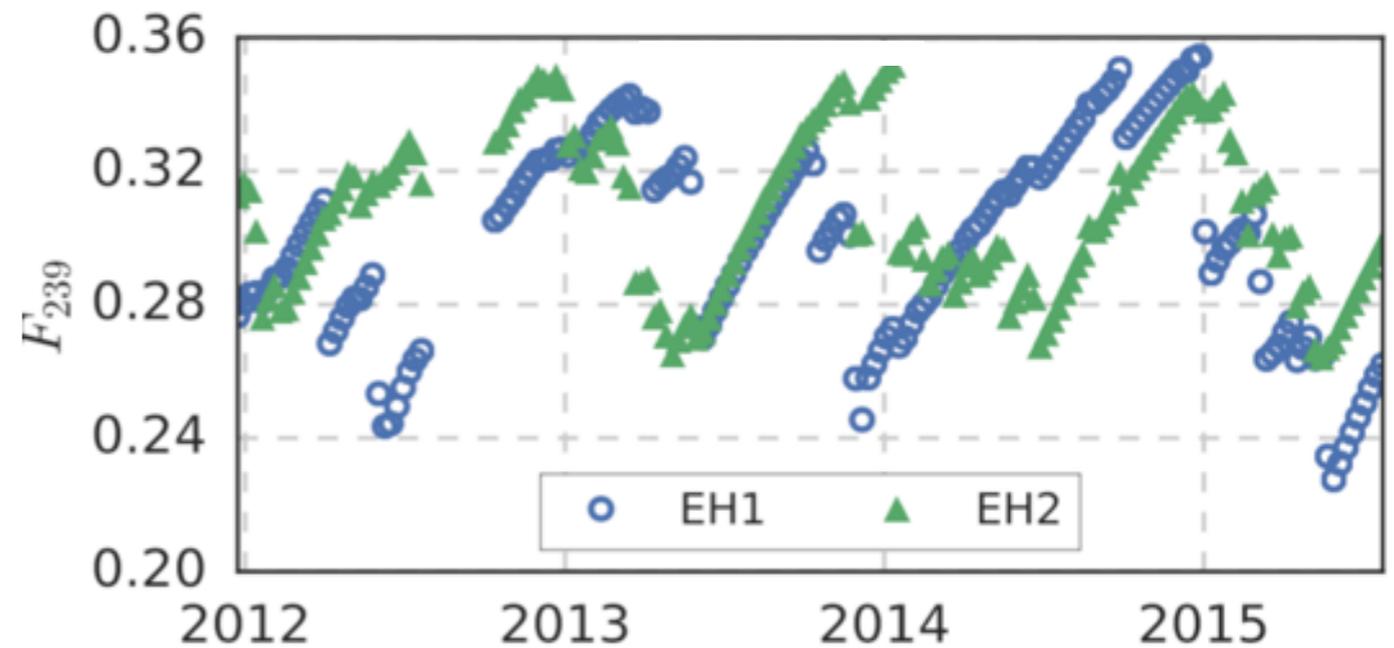




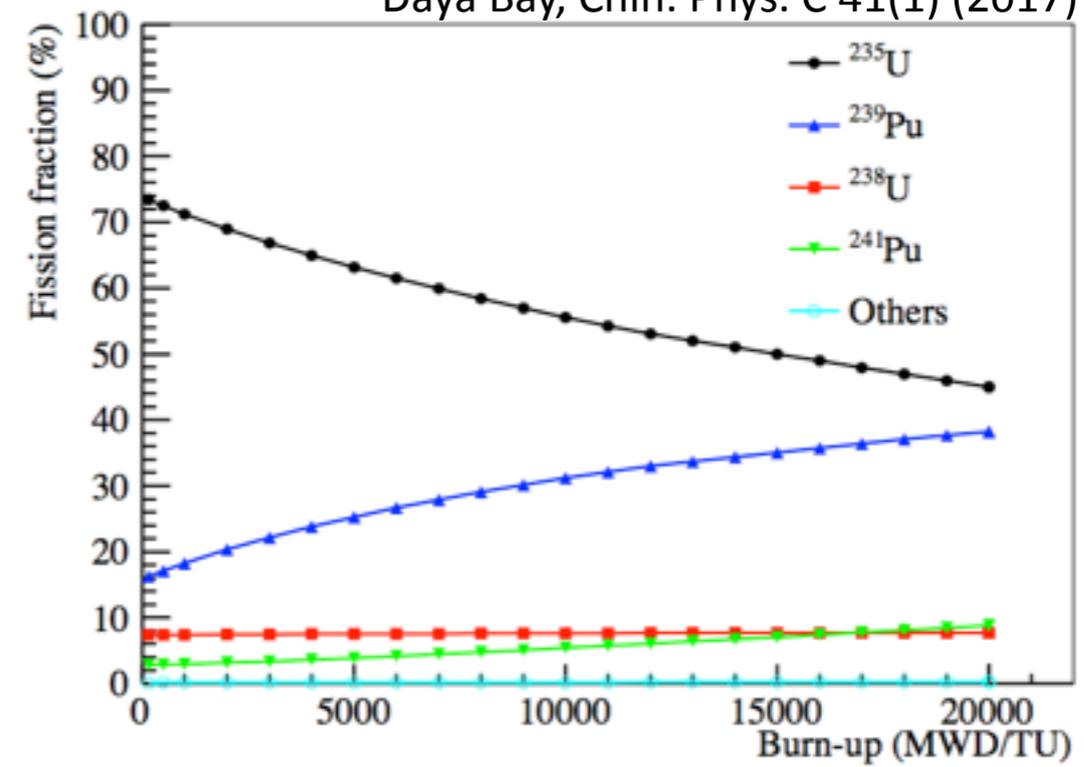
Systematics: Reactor

- Uncertainties from various inputs to our F_i definition are not too large
- Reactor power small (0.5%), ~ constant in time, reactor-uncorrelated
- reactor fission fraction sizable (5% relative); constant in time, reactor-correlated
- energy per fission very small, time-constant
- oscillations, baselines: very small, time-constant ;)
- We can get into nitty gritty details in backup slides if people want...
- Statistics dominate this uncertainty

$$F_i(t) = \frac{\sum_{r=1}^6 \frac{W_{th,r}(t)\bar{p}_r f_{i,r}(t)}{L_r^2 \bar{E}_r(t)}}{\sum_{r=1}^6 \frac{W_{th,r}(t)\bar{p}_r}{L_r^2 \bar{E}_r(t)}}$$



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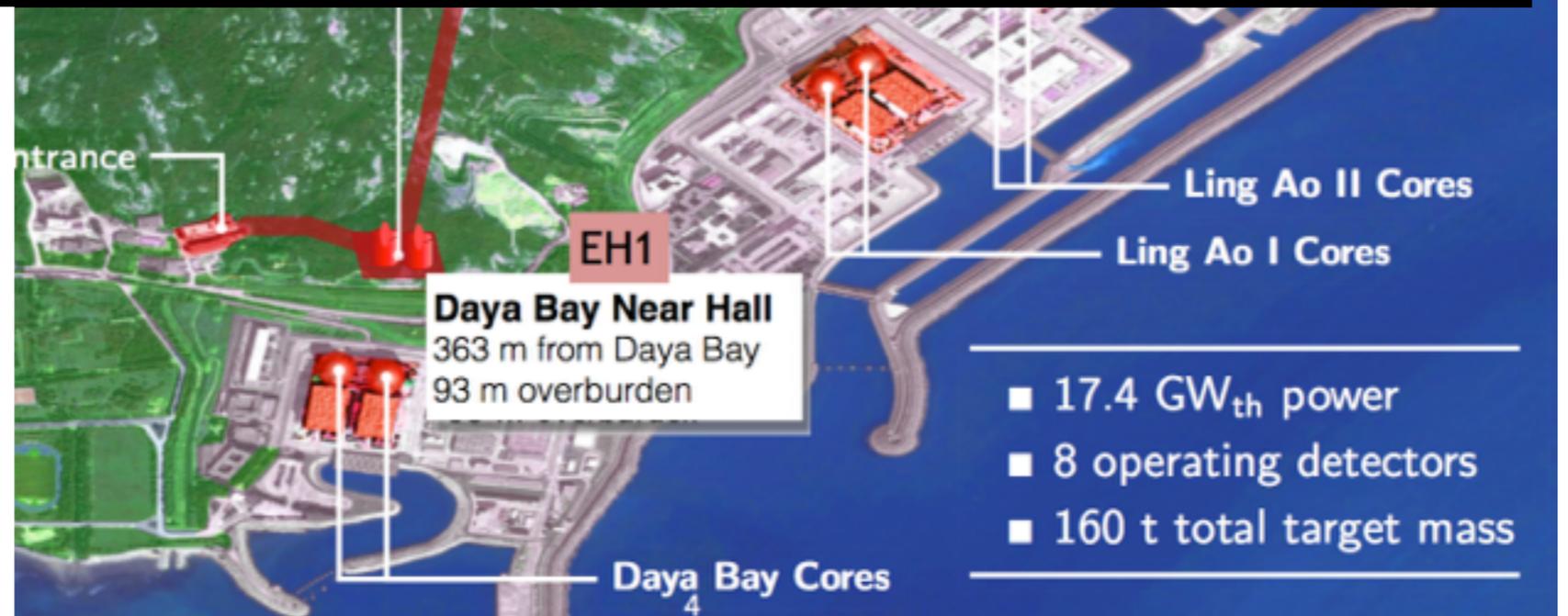
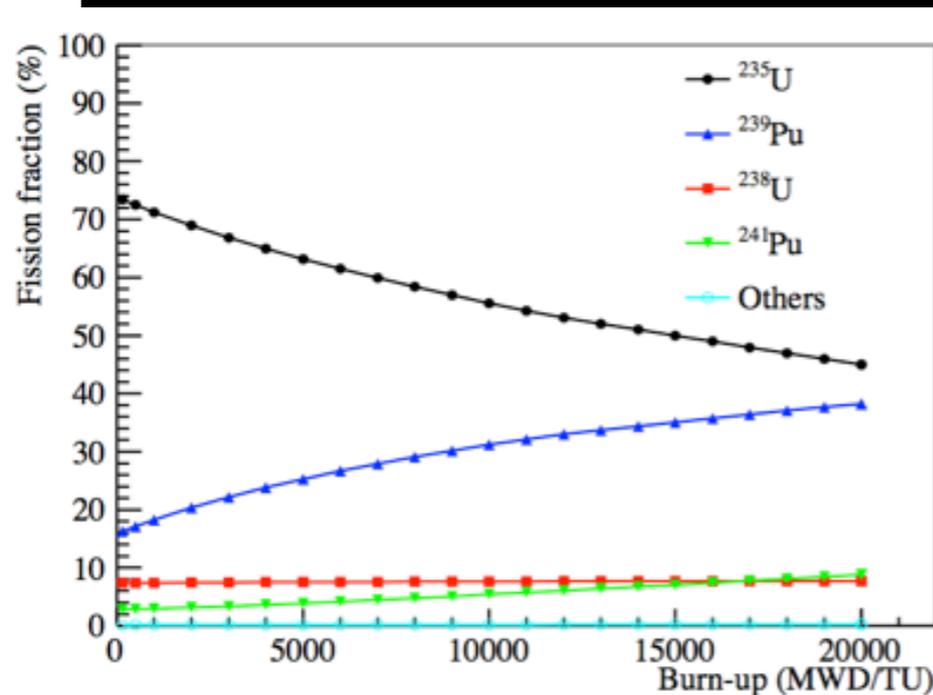
Cancellation Between Cores?



- Reactor cores' cycles are not aligned (that would be dumb!!)
- Q: Isn't there some cancellation of fission fraction variation?



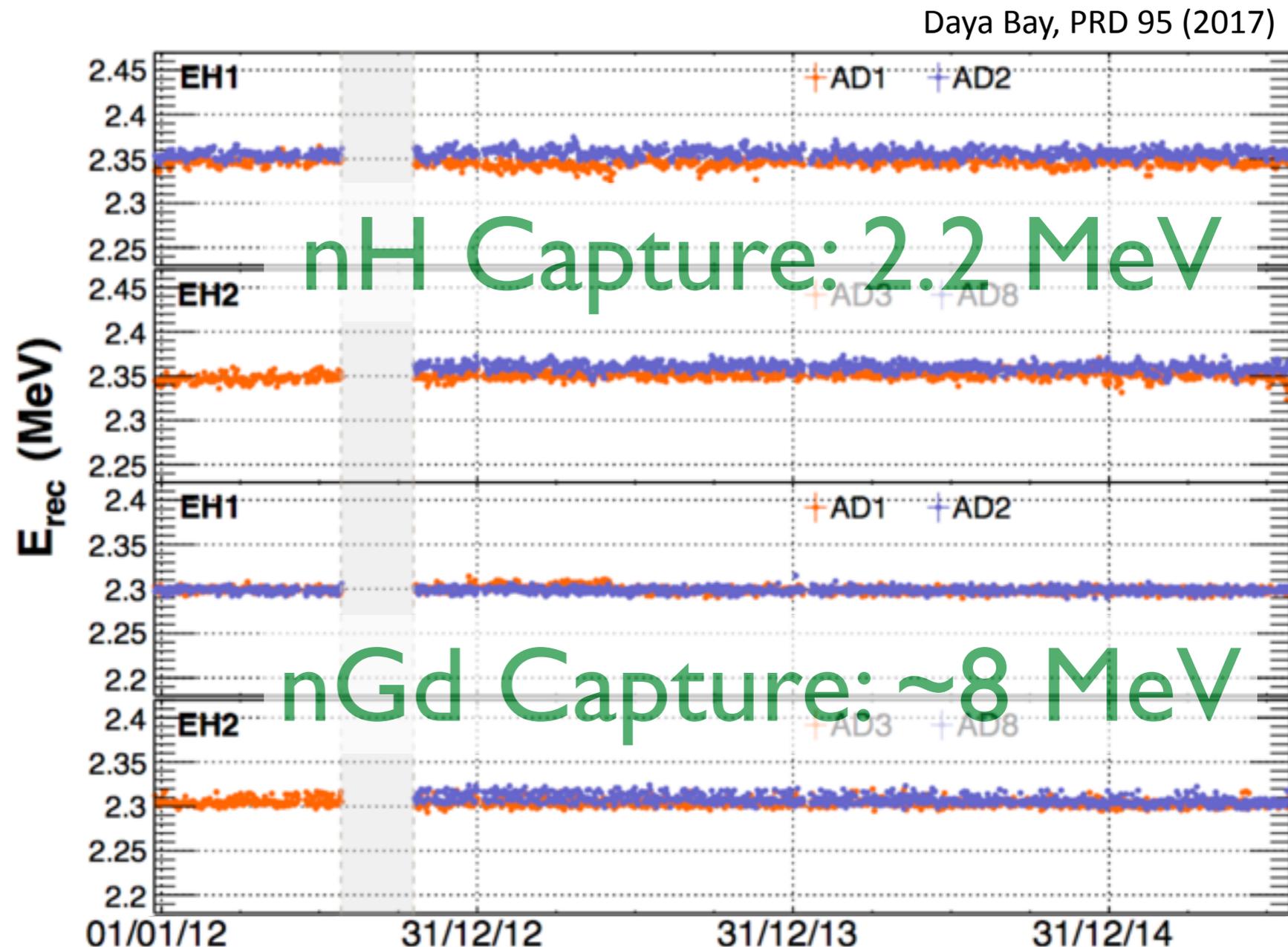
A: Yes, BUT it's not complete (phew!)



Systematics: Detector



- Major consideration: how does a detector change over time?
 - Reconstructed energy scales are **extremely** time-stable ($<0.1\%$ variation)
 - Most inefficient IBD cuts are energy-based: also time-stable ($<0.1\%$ variation)
- Statistics REALLY dominate this uncertainty
- Absolute detection efficiency is also important, as we will see in a bit.

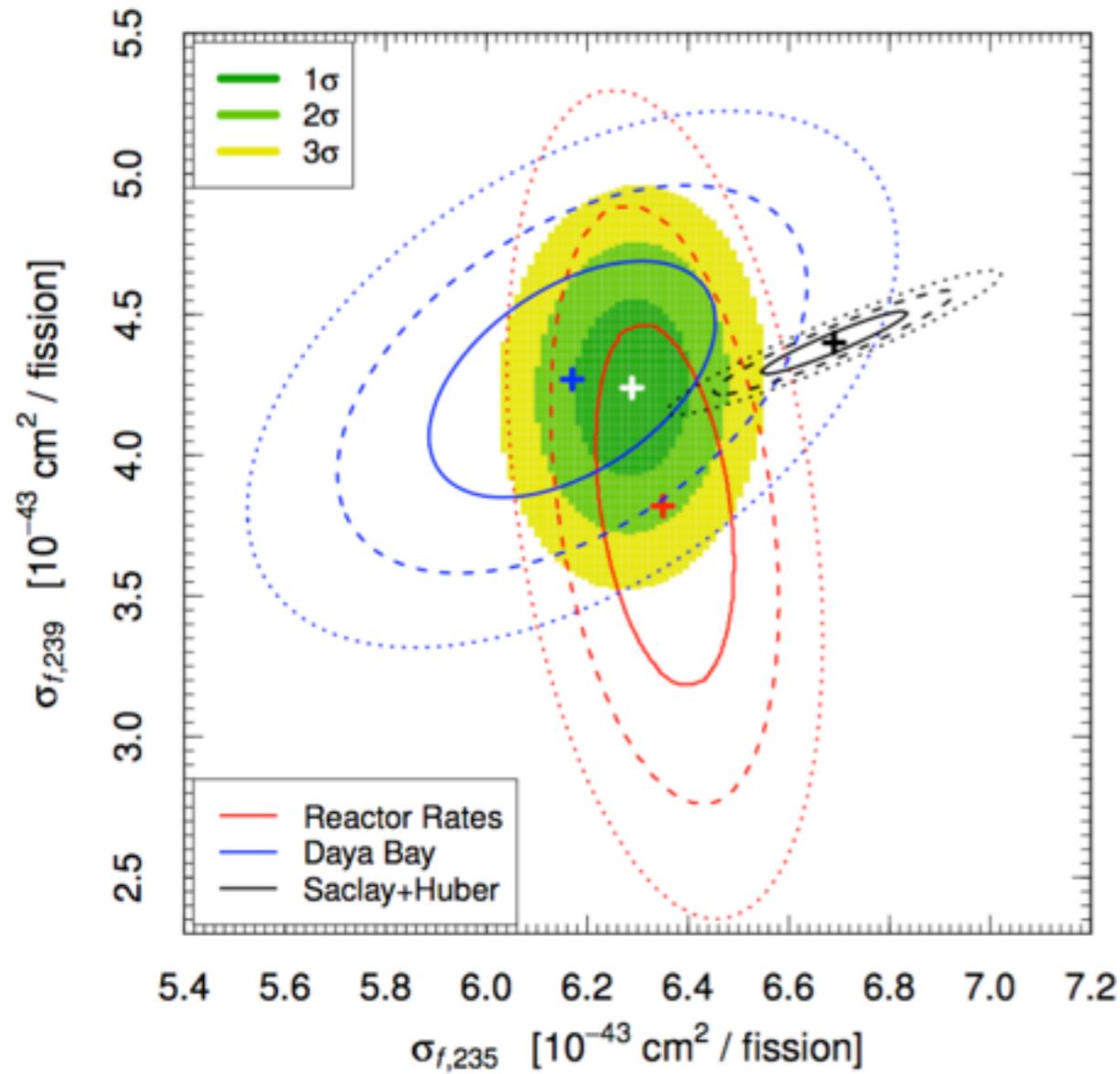


Global Fits: Input Data



a	Experiment	f_{235}^a	f_{238}^a	f_{239}^a	f_{241}^a	$R_{a,SH}^{\text{exp}}$	σ_a^{exp} [%]	σ_a^{cor} [%]	L_a [m]	
1	Bugey-4	0.538	0.078	0.328	0.056	0.932	1.4	} 1.4	15	
2	Rovno91	0.606	0.074	0.277	0.043	0.930	2.8		18	
3	Rovno88-1I	0.607	0.074	0.277	0.042	0.907	6.4	} 3.8	18	
4	Rovno88-2I	0.603	0.076	0.276	0.045	0.938	6.4		18	
5	Rovno88-1S	0.606	0.074	0.277	0.043	0.962	7.3		} 2.2	18
6	Rovno88-2S	0.557	0.076	0.313	0.054	0.949	7.3			25
7	Rovno88-2S	0.606	0.074	0.274	0.046	0.928	6.8	} 3.8	18	
8	Bugey-3-15	0.538	0.078	0.328	0.056	0.936	4.2	} 4.0	15	
9	Bugey-3-40	0.538	0.078	0.328	0.056	0.942	4.3		40	
10	Bugey-3-95	0.538	0.078	0.328	0.056	0.867	15.2		95	
11	Gosgen-38	0.619	0.067	0.272	0.042	0.955	5.4	} 2.0	37.9	
12	Gosgen-46	0.584	0.068	0.298	0.050	0.981	5.4		} 3.8	45.9
13	Gosgen-65	0.543	0.070	0.329	0.058	0.915	6.7			64.7
14	ILL	1	0	0	0	0.792	9.1		8.76	
15	Krasnoyarsk87-33	1	0	0	0	0.925	5.0	} 4.1	32.8	
16	Krasnoyarsk87-92	1	0	0	0	0.942	20.4		92.3	
17	Krasnoyarsk94-57	1	0	0	0	0.936	4.2	0	57	
18	Krasnoyarsk99-34	1	0	0	0	0.946	3.0	0	34	
19	SRP-18	1	0	0	0	0.941	2.8	0	18.2	
20	SRP-24	1	0	0	0	1.006	2.9	0	23.8	
21	Nucifer	0.926	0.061	0.008	0.005	1.014	10.7	0	7.2	
22	Chooz	0.496	0.087	0.351	0.066	0.996	3.2	0	≈ 1000	
23	Palo Verde	0.600	0.070	0.270	0.060	0.997	5.4	0	≈ 800	
24	Daya Bay	0.561	0.076	0.307	0.056	0.946	2.0	0	≈ 550	
25	RENO	0.569	0.073	0.301	0.056	0.946	2.1	0	≈ 410	
26	Double Chooz	0.511	0.087	0.340	0.062	0.935	1.4	0	≈ 415	

Global Fits: Result



	SH	Reactor Rates	Daya Bay	Combined
$\sigma_{f,235}$	6.69 ± 0.14	6.35 ± 0.09	6.17 ± 0.17	6.29 ± 0.08
$\sigma_{f,239}$	4.40 ± 0.11	3.82 ± 0.43	4.27 ± 0.26	4.24 ± 0.21

TABLE I. Comparison of the theoretical Saclay+Huber (SH) values of the cross sections per fission $\sigma_{f,235}$ and $\sigma_{f,239}$ with those obtained from the fit of the reactor rates, from the Daya Bay data [5], and from the combined fit. The units are $10^{-43} \text{ cm}^2/\text{fission}$.

Other Theta I 3 Experiments?

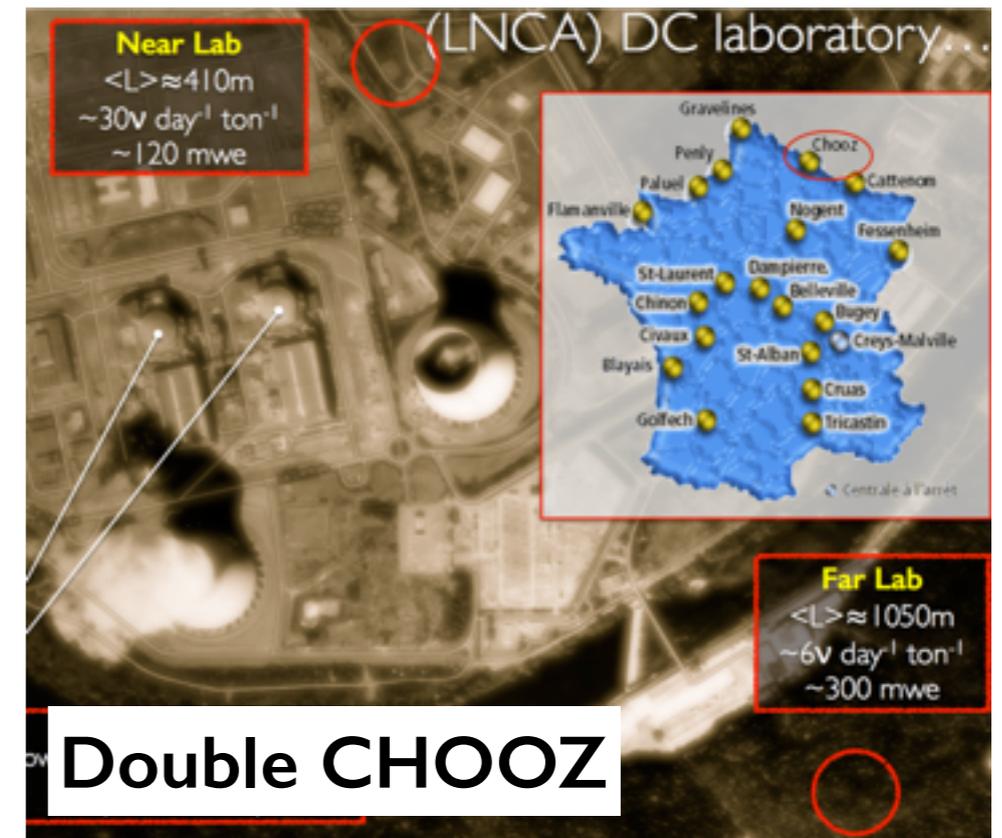


- Double Chooz

- Pro: only 2 reactors, so variation in fission fraction will be a bit higher
- Con: IBD statistics much lower:
~1000/day (DYB: ~4000/day nGd+nH);
ND running since 2015: ~0.4M IBD current (DYB: >4M IBD nGd+nH)

- RENO

- Similar core-sampling for RENO, DYB
- Con: only 1 (smaller) near detector:
16 tons; ~650 IBD/day (DYB: 80 tons)

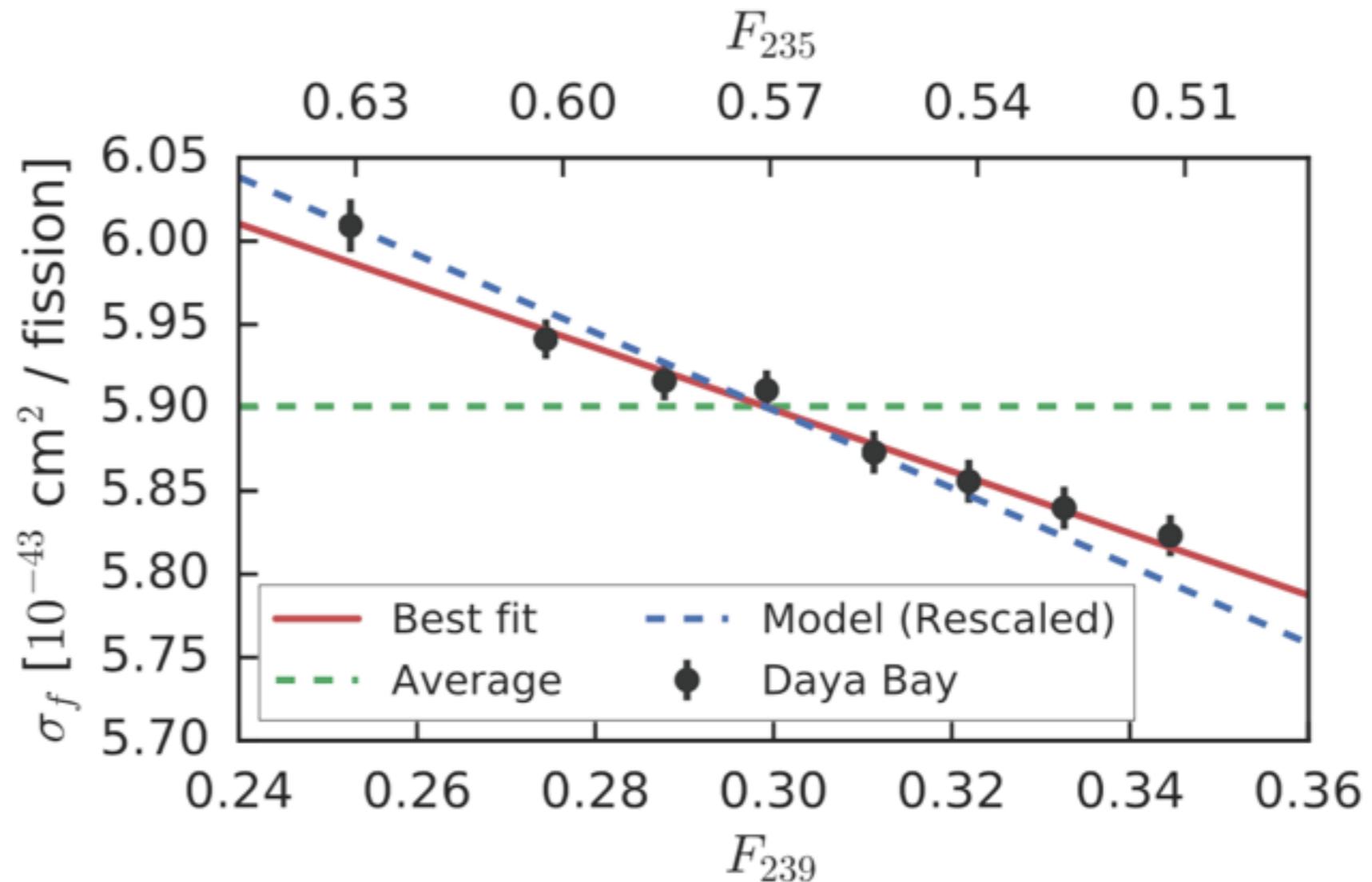
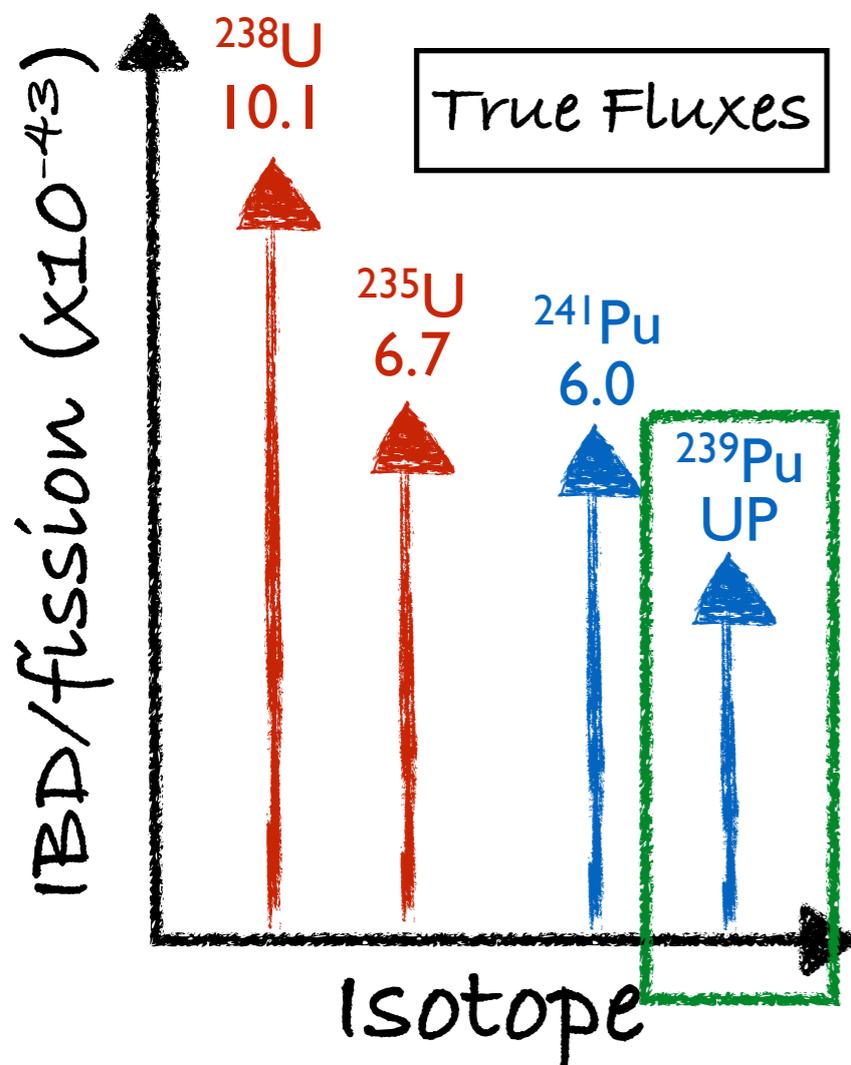


- Despite statistical limitations, it would be interesting to see new flux evolution results from these collaborations

Result: Flux Data-Model Comparison



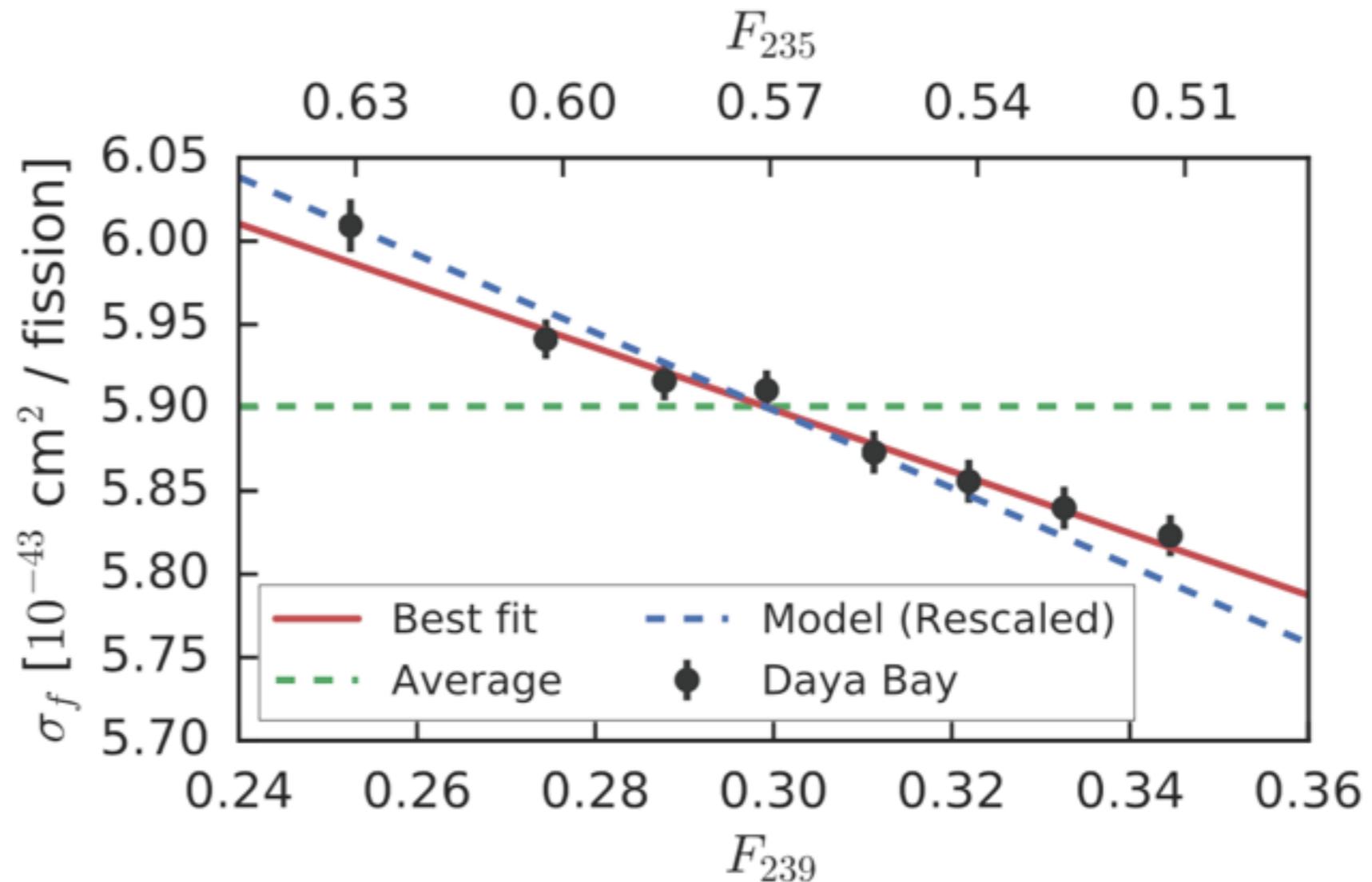
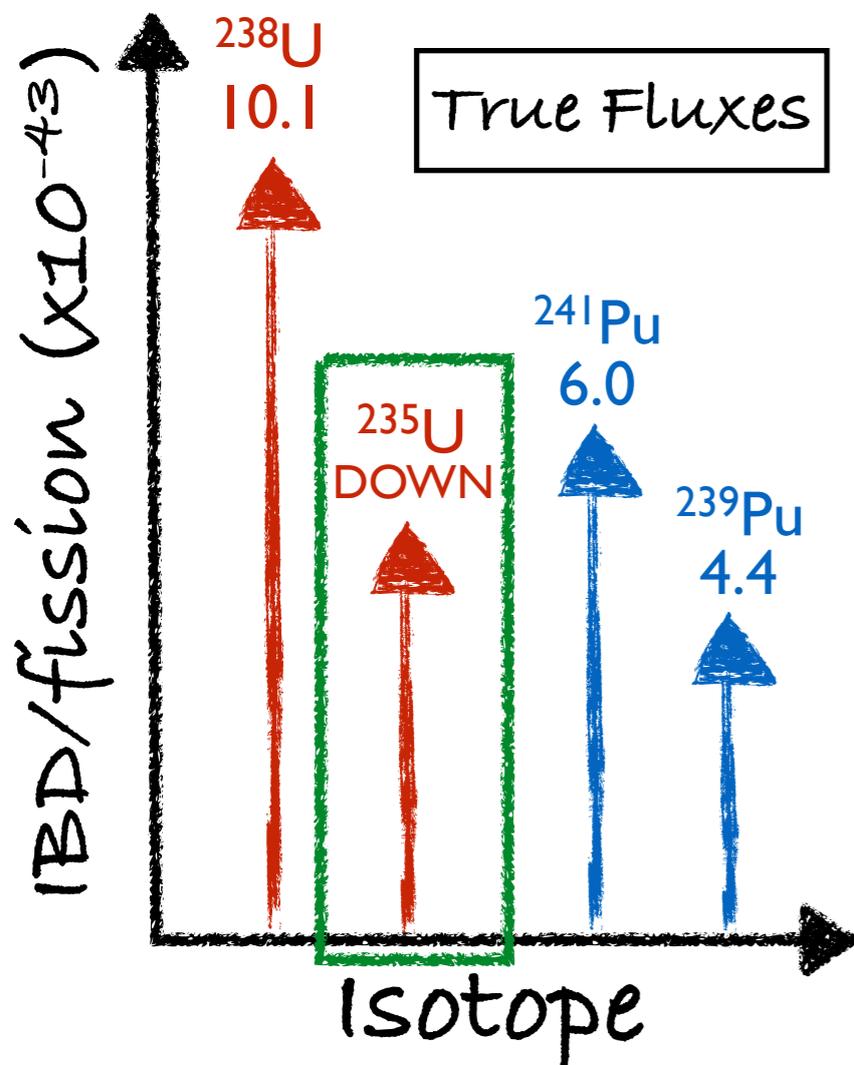
- Measured slope is different than model prediction by 3.1σ
- Could mean a couple things:
 - ^{239}Pu prediction is too low



Result: Flux Data-Model Comparison



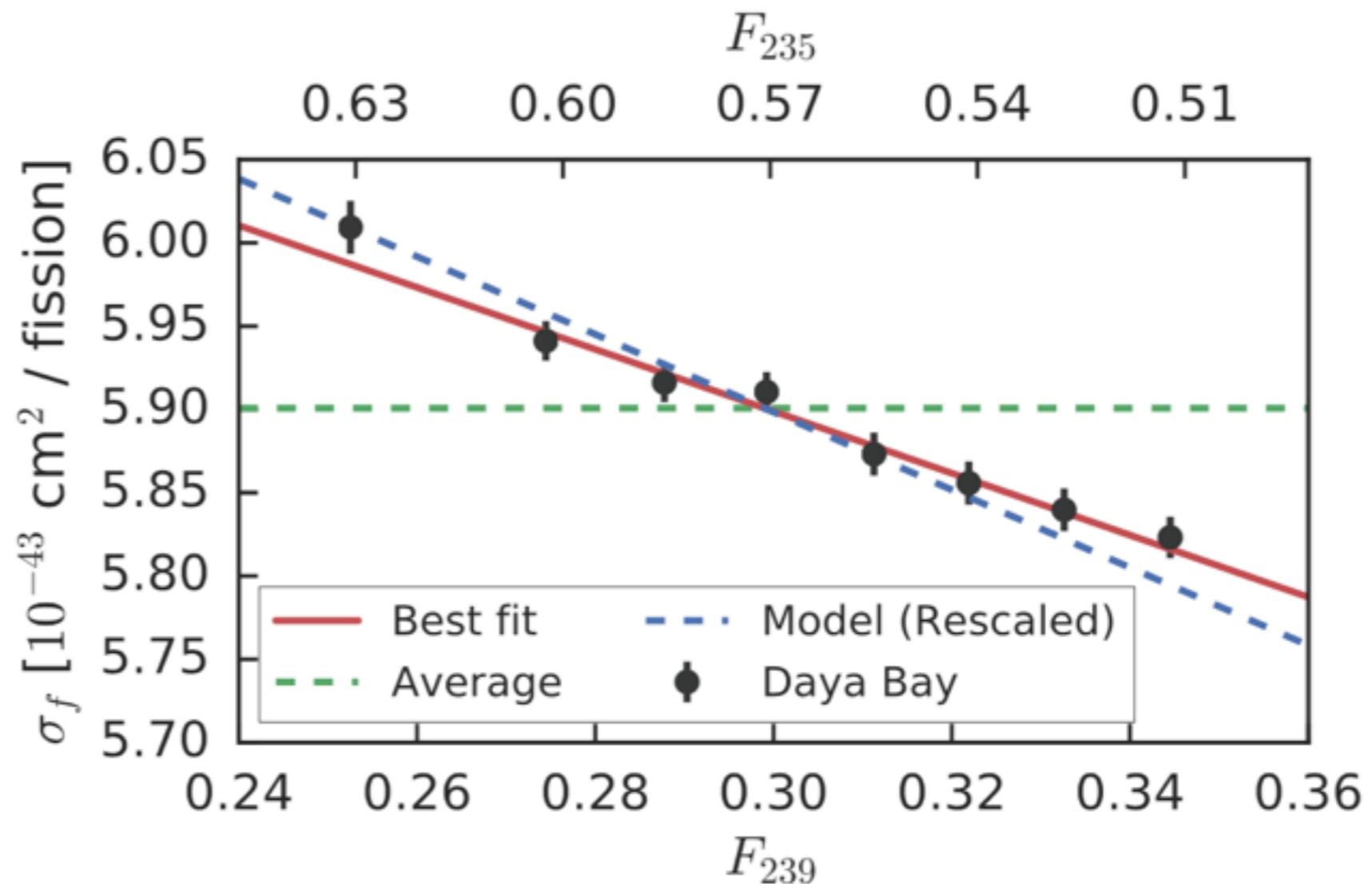
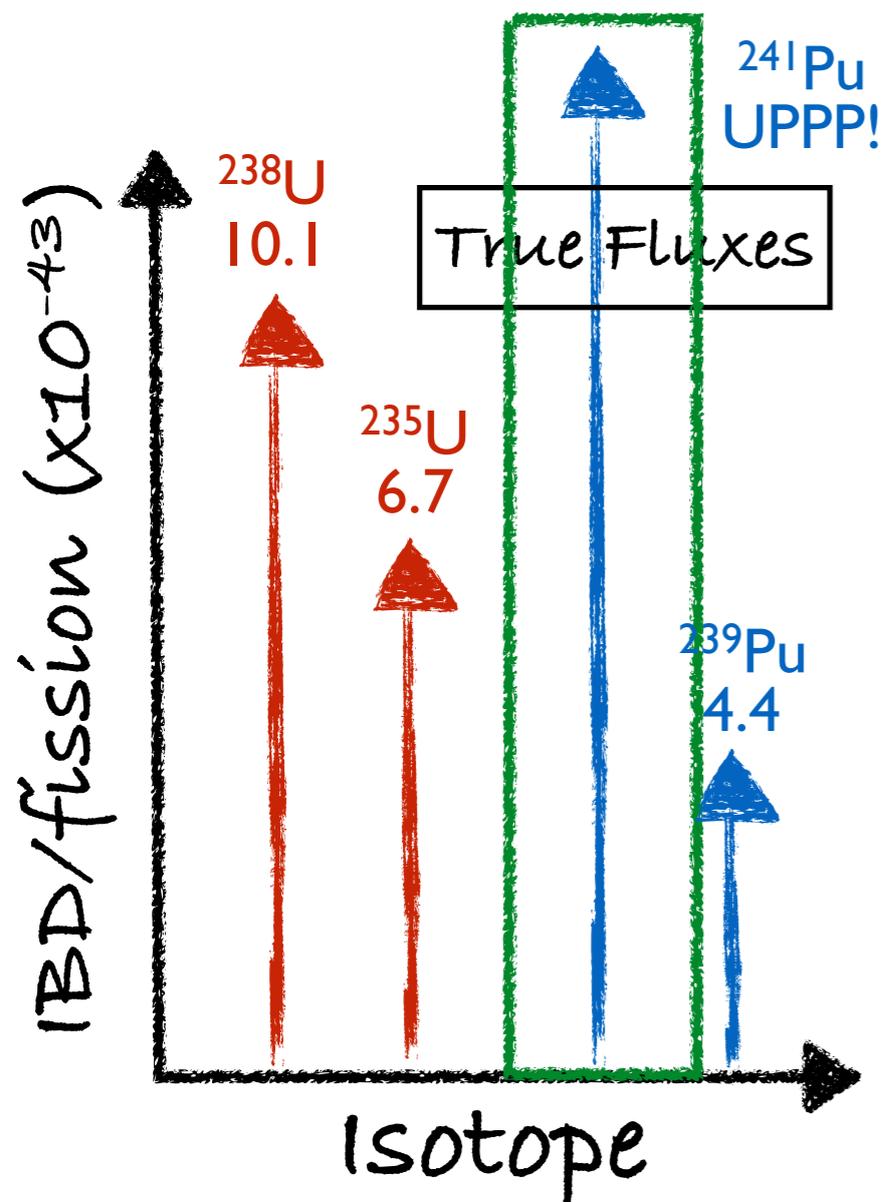
- Measured slope is different than model prediction by 3.1σ
- Could mean a couple things:
 - ^{239}Pu prediction is too low
 - ^{235}U prediction is too high



Result: Flux Data-Model Comparison



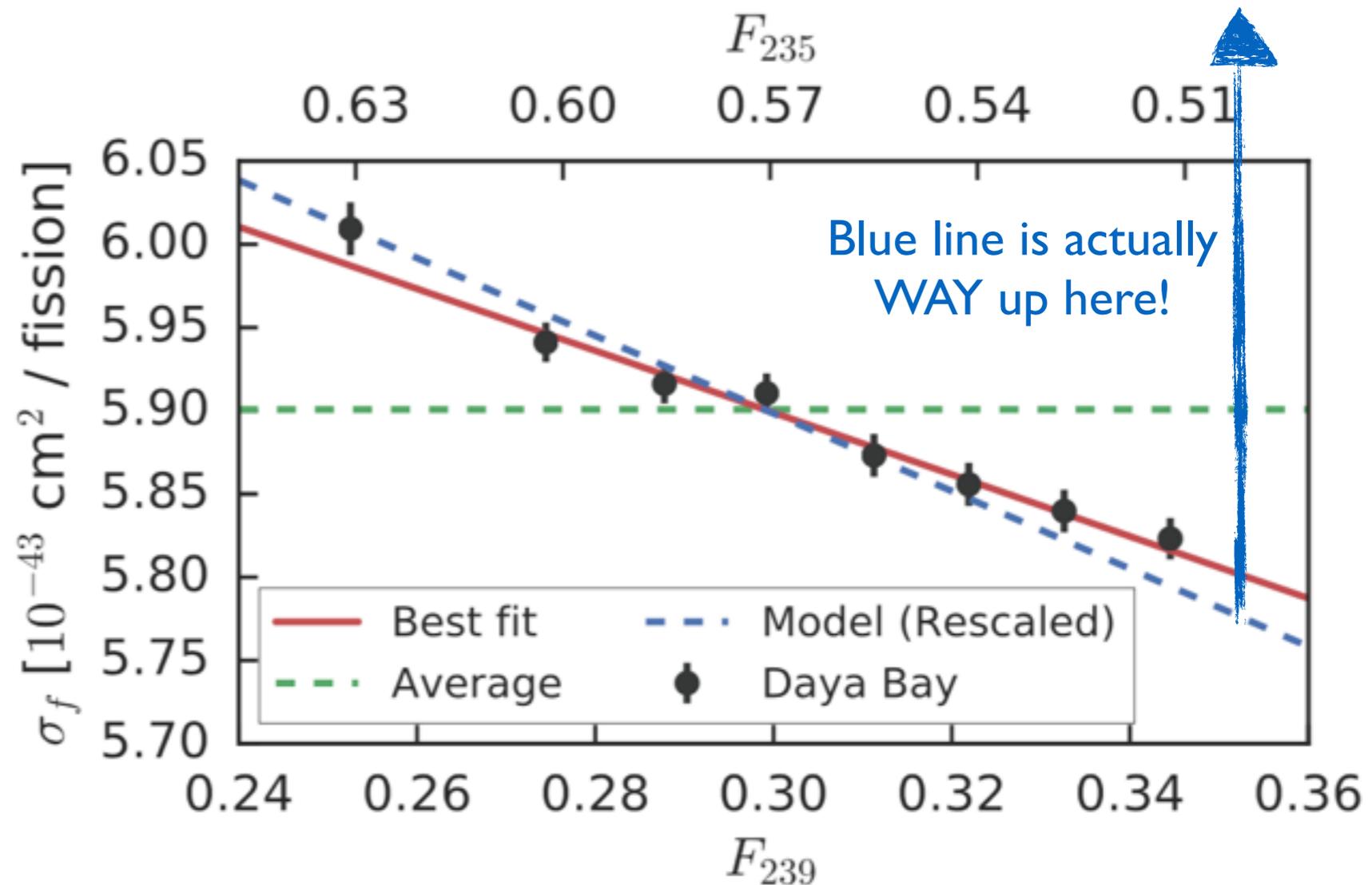
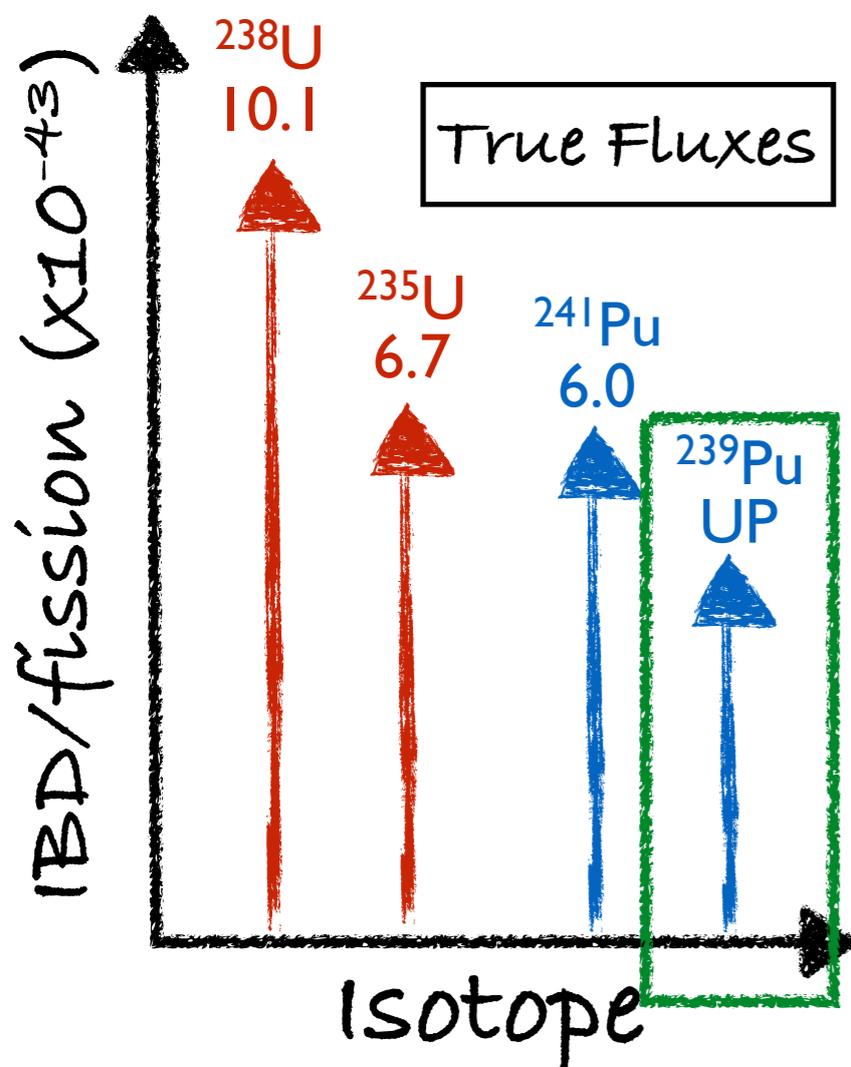
- Measured slope is different than model prediction by 3.1σ
- Could mean a couple things:
 - ^{239}Pu prediction is too low
 - ^{235}U prediction is too high
 - Something is WAY off with ^{238}U , ^{241}Pu



Result: More Complicated Scenarios



- NOTE: result doesn't explicitly rule out sterile nu altogether
 - Some more complicated scenarios still allowed, i.e.: ^{239}Pu UP + sterile nu
- An editorial opinion:
 - The whole reason we introduced sterile neutrinos to this reactor picture was to avoid having to admit the models were wrong... Hmmmmm.....



Predicting $S_i(E)$, Neutrinos Per Fission



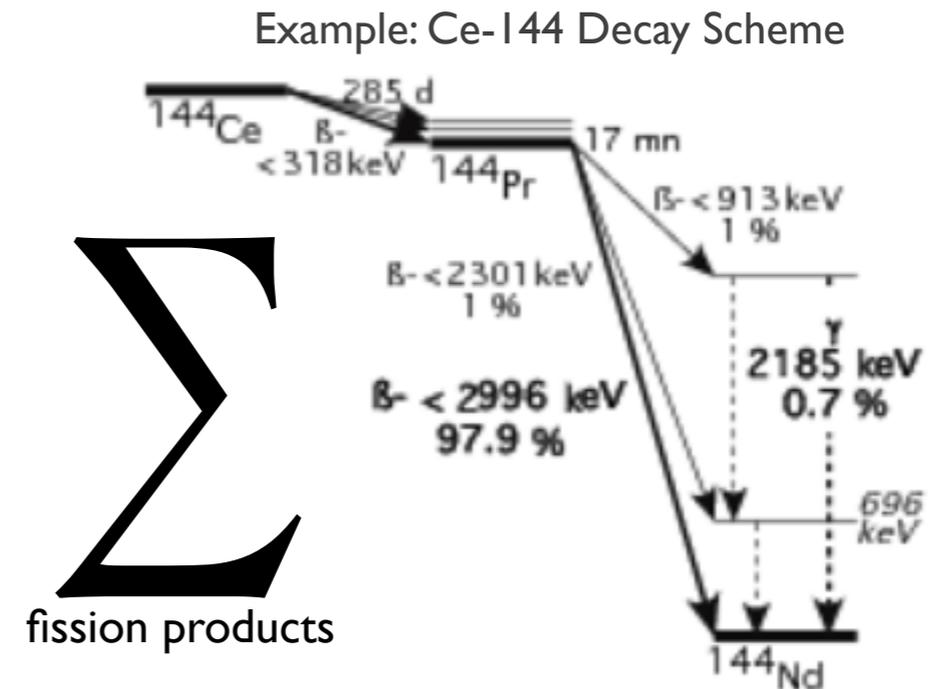
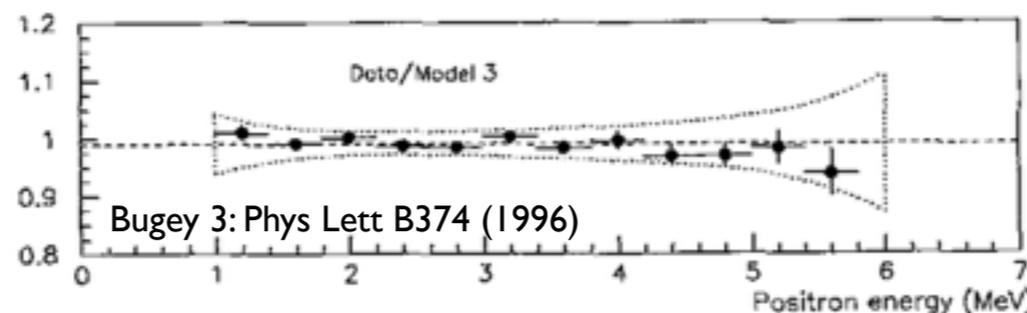
- Two main methods:

- *Ab Initio* approach:

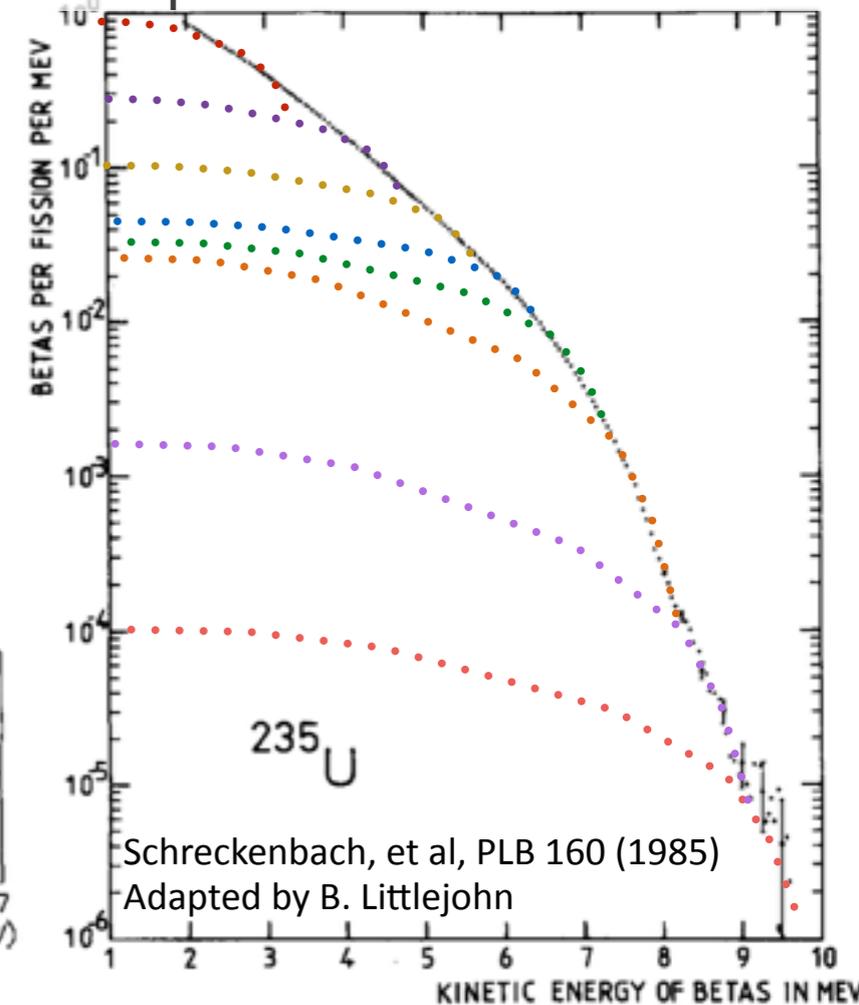
- Calculate spectrum branch-by-branch w/ databases: fission yields, decay schemes, ...
- **Problem:** rare isotopes / beta branches: missing, possibly incorrect info...

- Conversion approach

- Measure beta spectra directly
- Convert to $\bar{\nu}_e$ using 'virtual beta branches'
- **Problem:** 'Virtual' spectra not well-defined: what forbiddenness, charge, etc. should they have?
- The preferred method until recently - matched measured fluxes and spectra.



Example: Fit virtual beta branches



Predicting $S_i(E)$, Neutrinos Per Fission



- Early 80s: ILL $\bar{\nu}_e$ data fits newest *ab initio* spectra well

Davis, Vogel, et al., PRC 24 (1979)

Kown, et al., PRD 24 (1981)

- 1980s: New reactor beta spectra: measurements — conversion now provides lower systematics

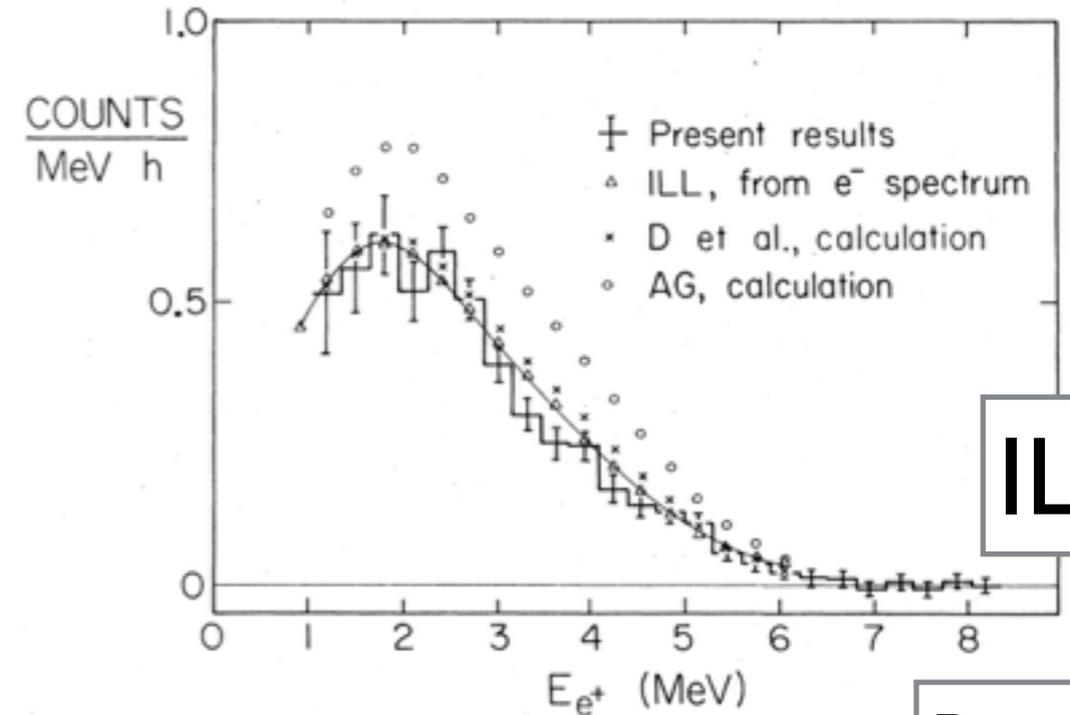
Schreckenbach, et al., Phys Lett B160 (1985)

Schreckenbach, et al., Phys Lett B218 (1989)

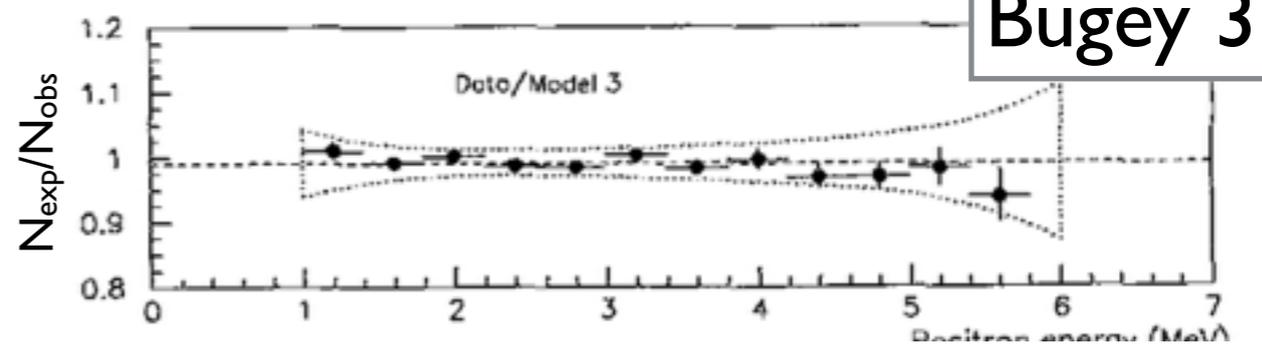
- 1990s: Bugey measurements fit converted spectrum well

B. Achkar, et al., Phys Lett B374 (1996)

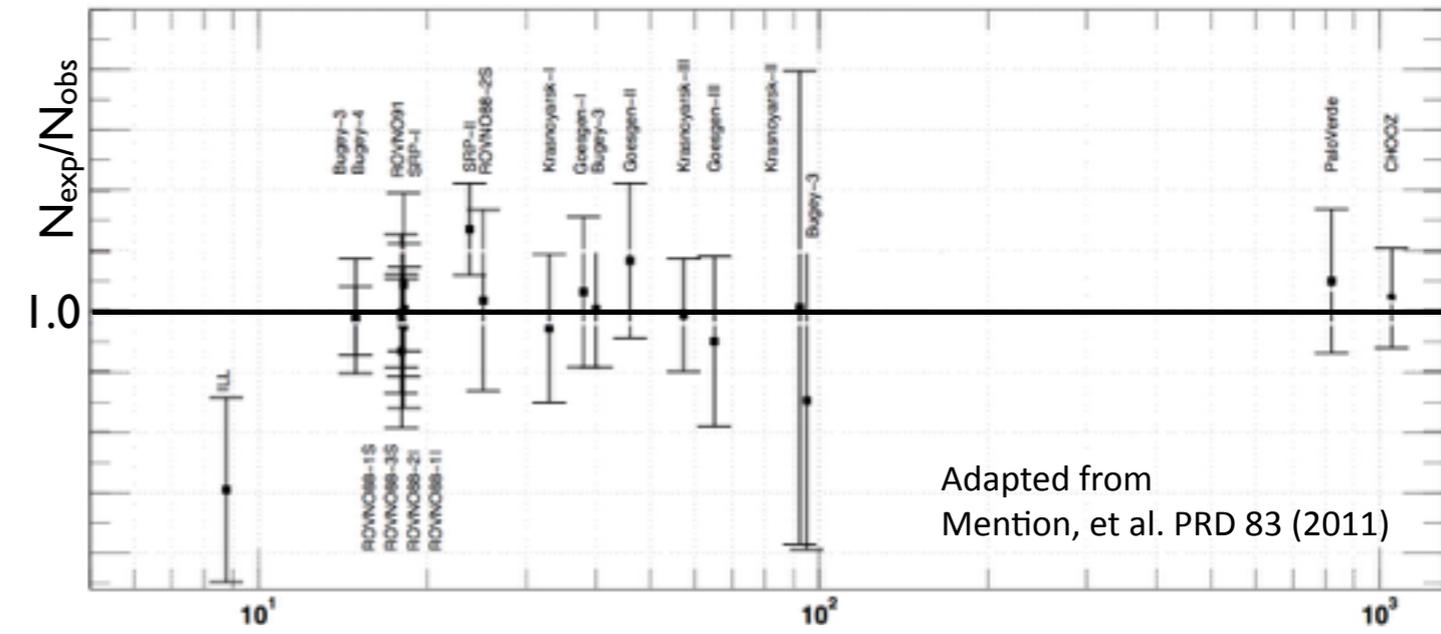
- 1980s-2000s: Predicted, measured fluxes agree



ILL



Bugey 3



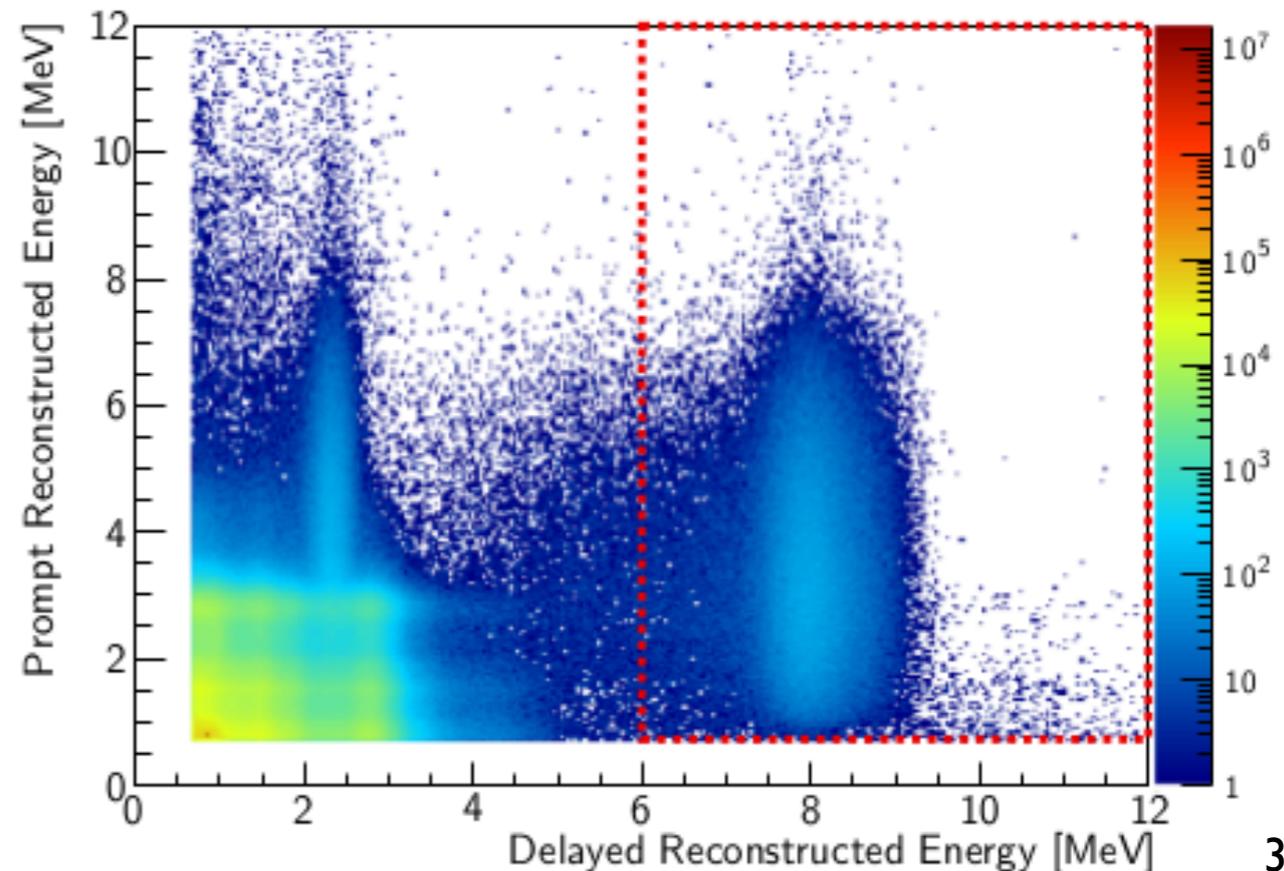
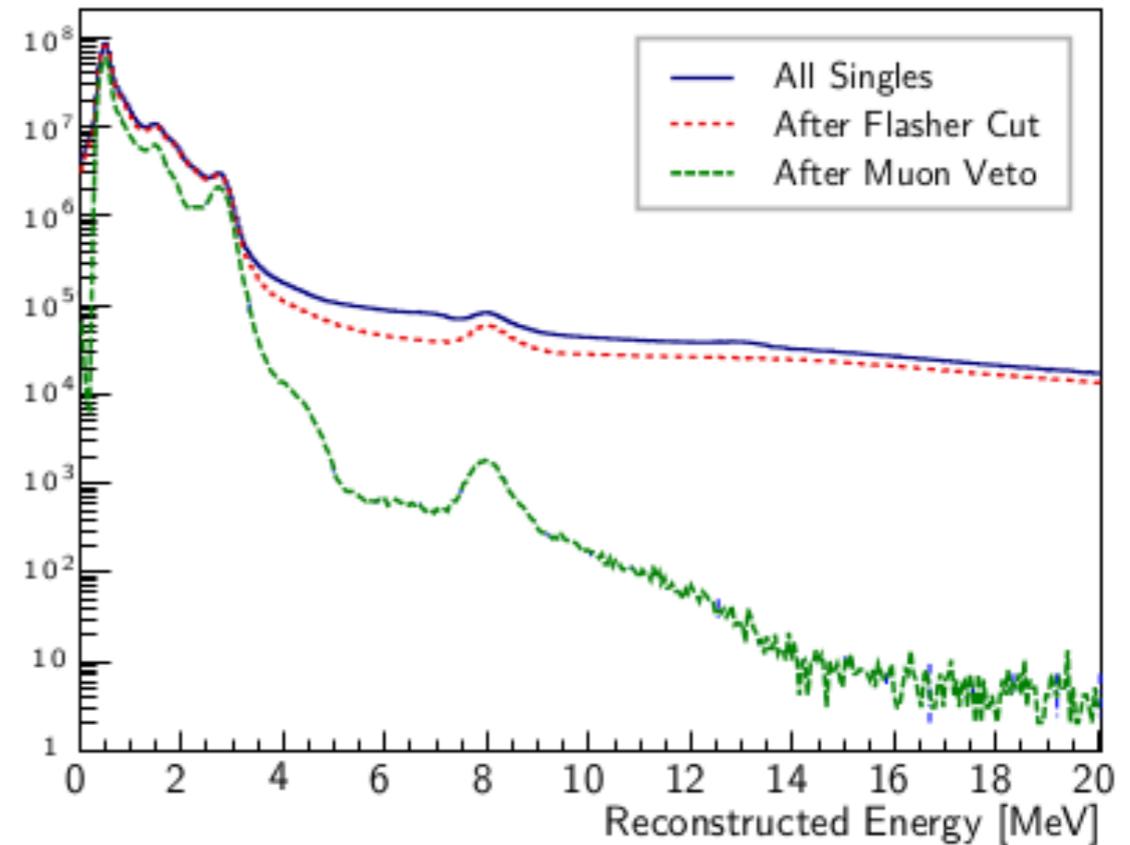
Adapted from Mention, et al. PRD 83 (2011)

Distance to reactor (m)

IBD Signal Selection



- ① Reject spontaneous PMT light emission ("flashers")
- ② Prompt positron:
 $0.7 \text{ MeV} < E_p < 12 \text{ MeV}$
- ③ Delayed neutron:
 $6.0 \text{ MeV} < E_d < 12 \text{ MeV}$
- ④ Neutron capture time:
 $1 \mu\text{s} < t < 200 \mu\text{s}$
- ⑤ Muon veto:
 - Water pool muon (>12 hit PMTs):
Reject $[-2\mu\text{s}; 600\mu\text{s}]$
 - AD muon (>3000 photoelectrons):
Reject $[-2 \mu\text{s}; 1400\mu\text{s}]$
 - AD shower muon ($>3 \times 10^5$ p.e.):
Reject $[-2 \mu\text{s}; 0.4\text{s}]$
- ⑥ Multiplicity:
 - No additional prompt-like signal
 $400\mu\text{s}$ before delayed neutron
 - No additional delayed-like signal
 $200\mu\text{s}$ after delayed neutron

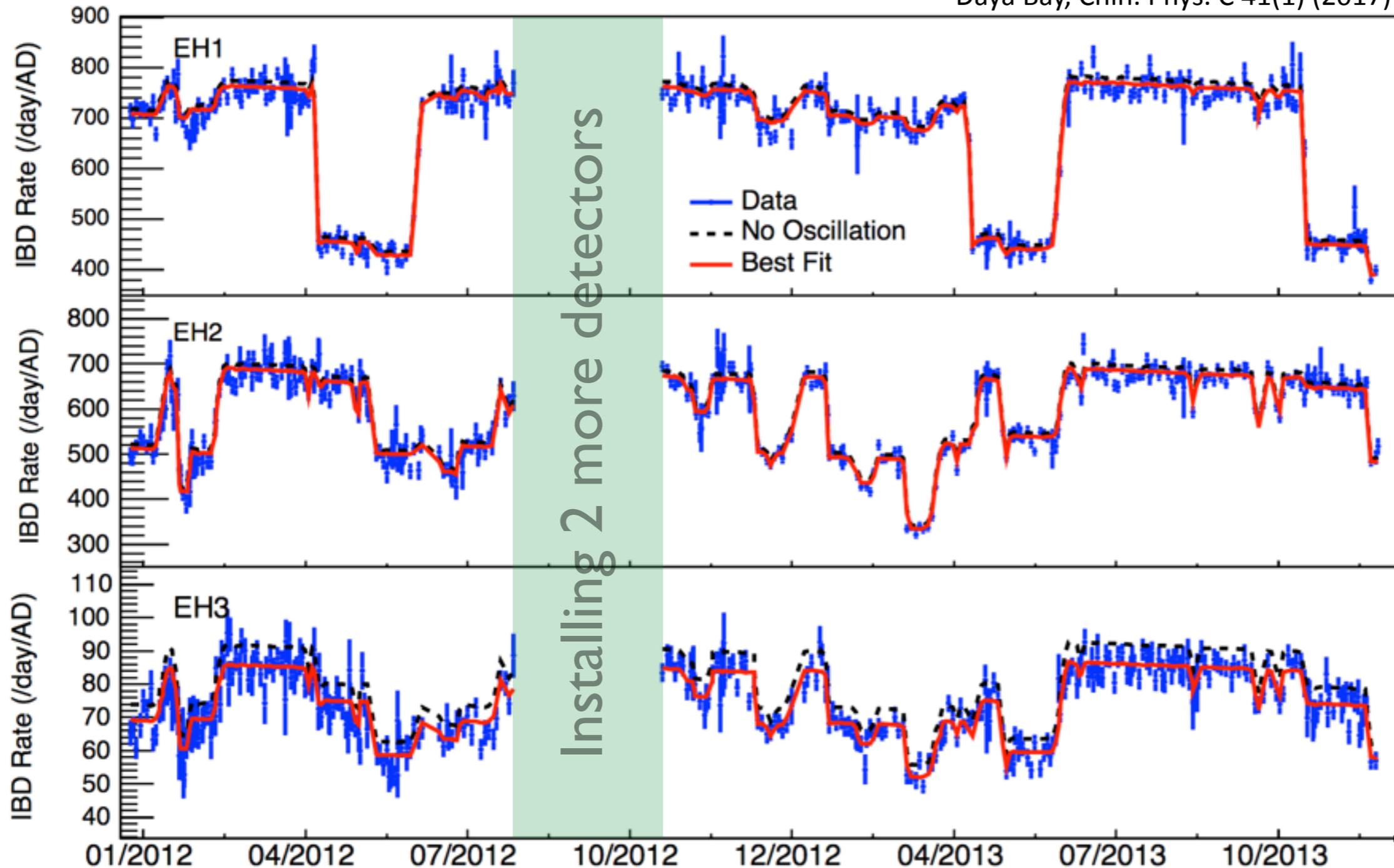


IBD Candidate Detection Rates



- ~ 400-800 IBDs in each Near Site AD per day (x4 ADs)
- Can see when reactors are turned on and off

Daya Bay, Chin. Phys. C 41(1) (2017)



Note:
1230-day dataset
goes to July 2015

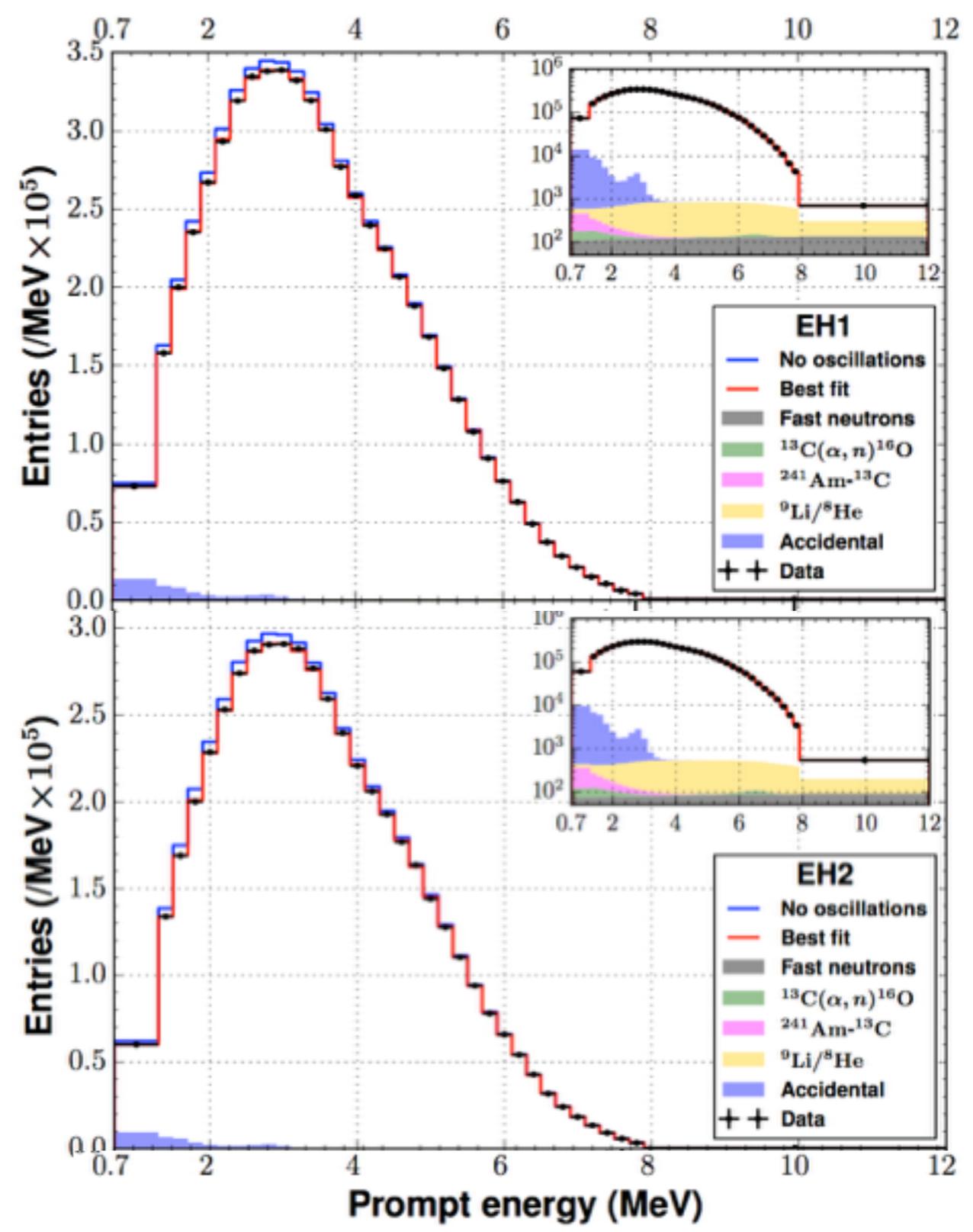
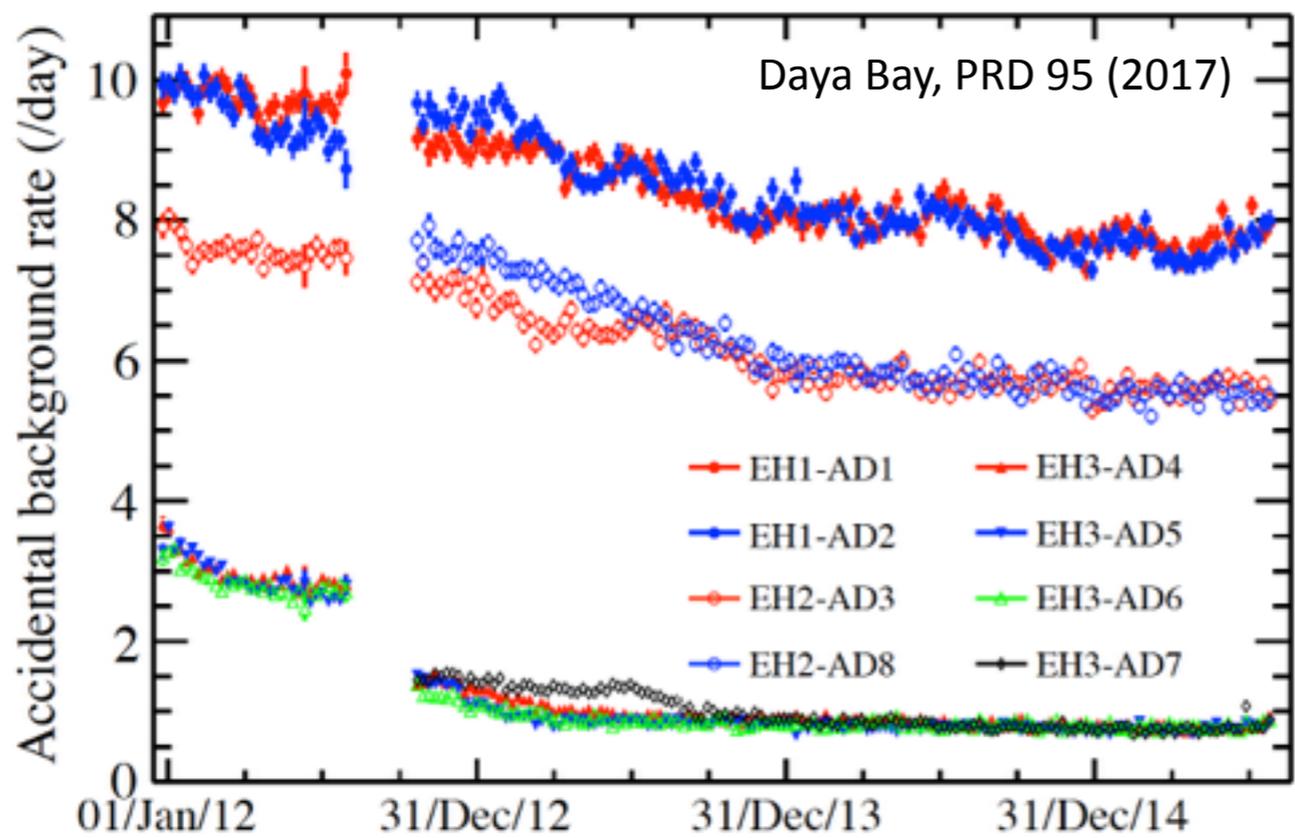
Daya Bay: A Low-Background Experiment



- Backgrounds make up <2% of Near Site IBD candidates

- Primary bkg: accidentally coincident triggers
 - 1.3% of near-site signal; ~20% variation in rate with time.
- Other backgrounds are constant over time.

Daya Bay, PRD 95 (2017)



Reactor Prediction Possibilities



- A litany of hypotheses HOW the flux/spectrum are incorrect:

- Maybe it's specifically related to beta-decays:

- Maybe forbidden decays aren't treated properly. [Hayes, et al, PRL 112 \(2014\), PRD 92 \(2016\)](#)
- Maybe prominent beta branches measurements are incorrect. [See TAS measurements...](#)
- Maybe fission isotope beta spectrum measurements are wrong. [Dwyer+Langford, PRL 114 \(2015\)](#)

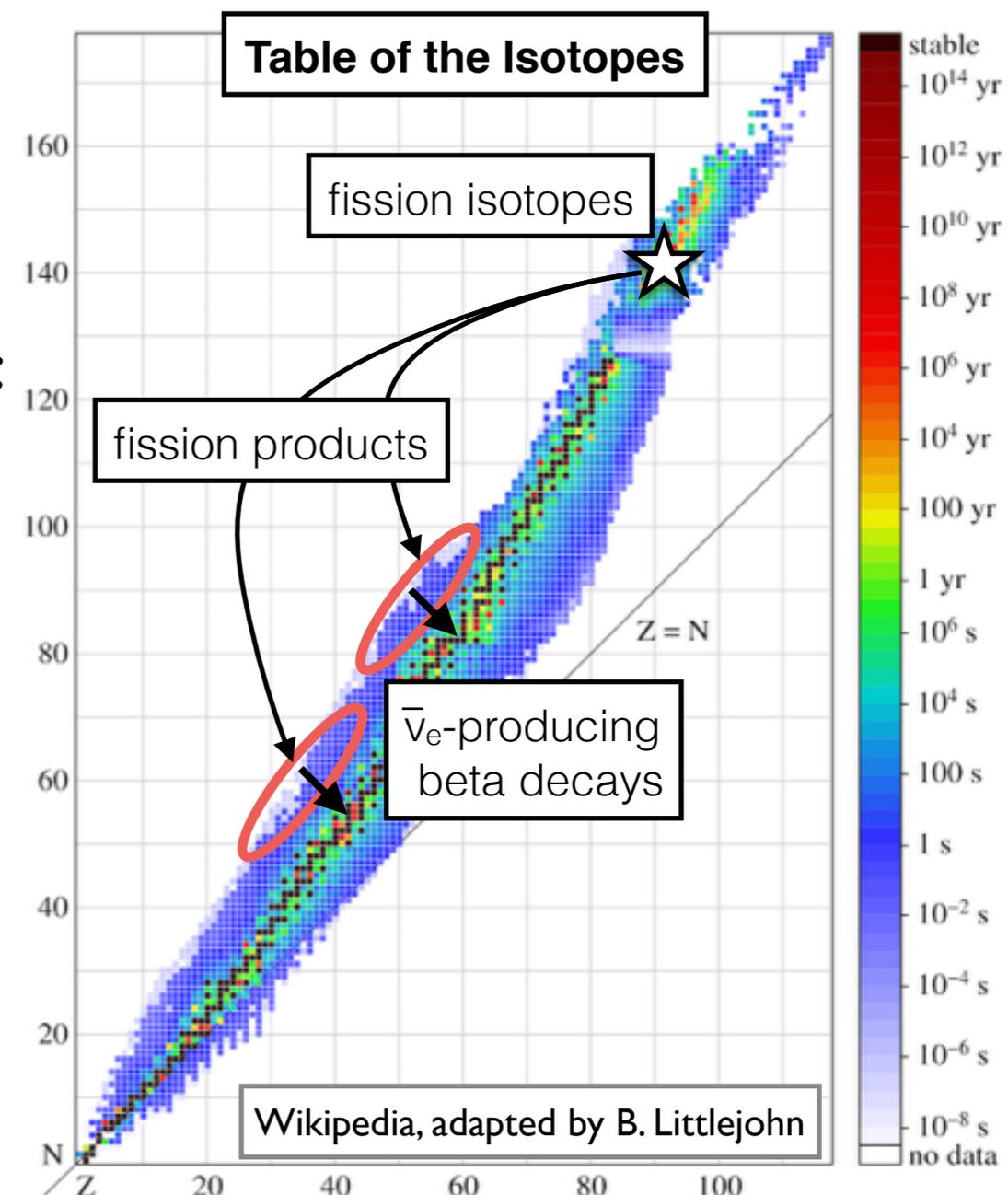
- Maybe it's specifically related to fission yields:

- Fission yield databases are incorrect! [Sonzogni, et al PRL 116 \(2016\)](#)
- Fission yield dependence on neutron energy not considered correctly. [Hayes, et al, PRD 92 \(2016\)](#)

- Maybe there's an issue with ***ONLY*** U238 [Hayes, et al PRD 92 \(2016\)](#)

- Maybe there's an issue with ***ONLY*** Pu239 or U235 [Buck, et al, Phys. Lett. B 765 \(2017\)](#)

- Etc...



Reactor Prediction Possibilities



- A litany of hypotheses HOW the flux/spectrum are incorrect:

- Maybe it's specifically related to beta-decays:

How can future measurements address these hypotheses?

- N
- N
- N

- Maybe fission isotope beta spectrum measurements are wrong. [Dwyer+Langford, PRL 114 \(2015\)](#)

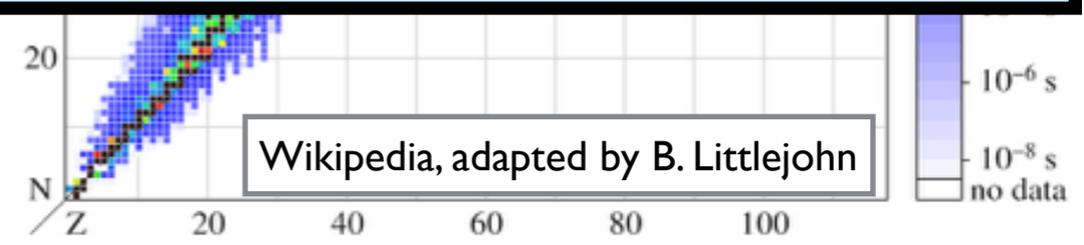
- Maybe it's specifically related to fission yields:



If they COULD be addressed, it might change the way we think about OTHER hypotheses (like sterile neutrinos!)

- Maybe there's an issue with OPER Pu239 or U235 [Buck, et al, Phys. Lett. B 765 \(2017\)](#)

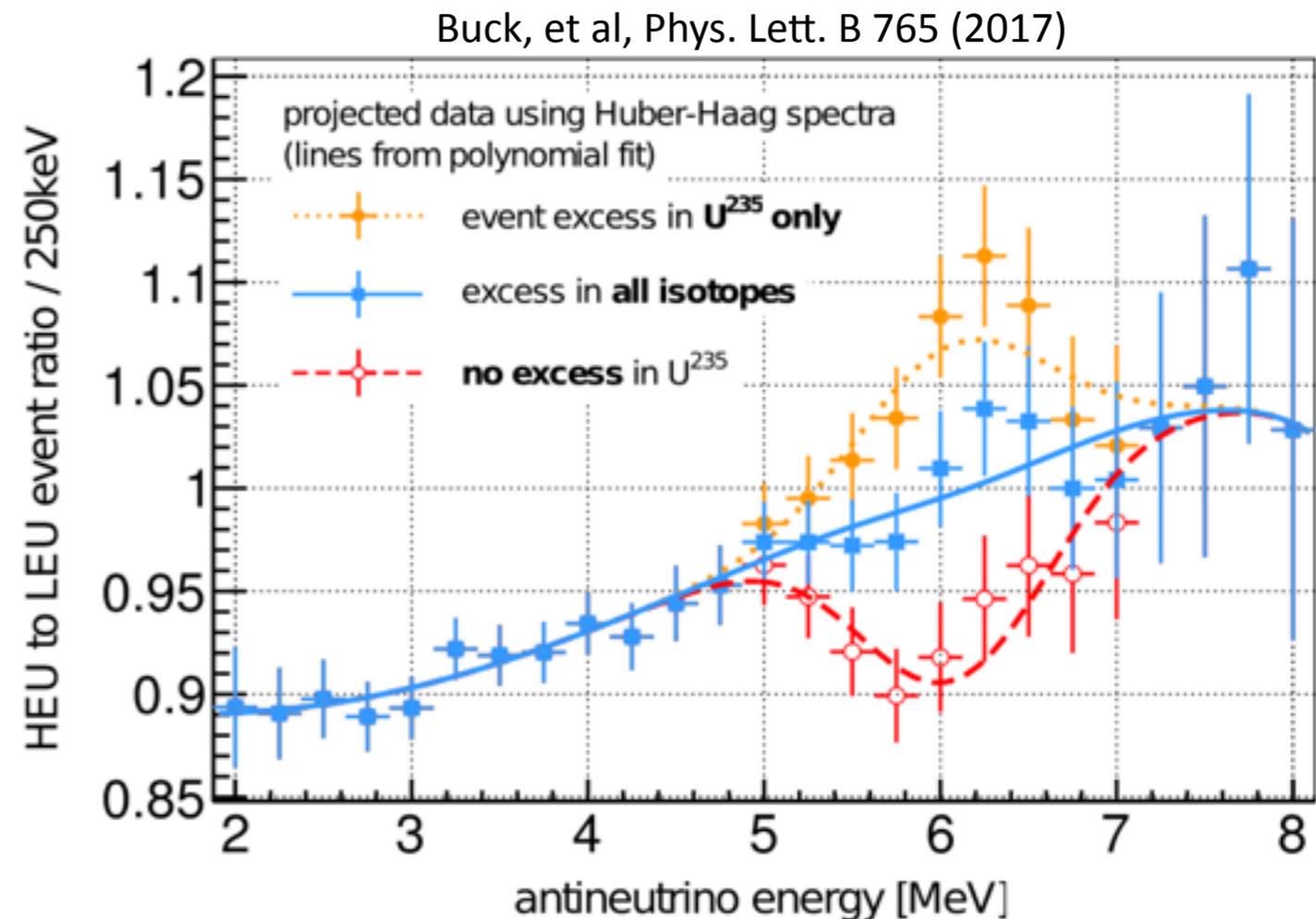
- Etc...



Example: Only ^{239}Pu , or Only ^{235}U ?



- HEU reactors burn only ^{235}U
 - What will the data:model comparison from 4-6 MeV look like from HEU?
 - No bump = bump mainly from U235
 - Larger bump = bump mainly from Pu239
 - Same bump = something else is responsible...
- Upcoming SBL reactor experiments are crucial
 - PROSPECT: HFIR reactor
 - STEREO: ILL reactor
 - Solid: BR2 reactor
- Good reason to believe these experiments, combined with θ_{13} experiments, can produce meaningful new constraints.

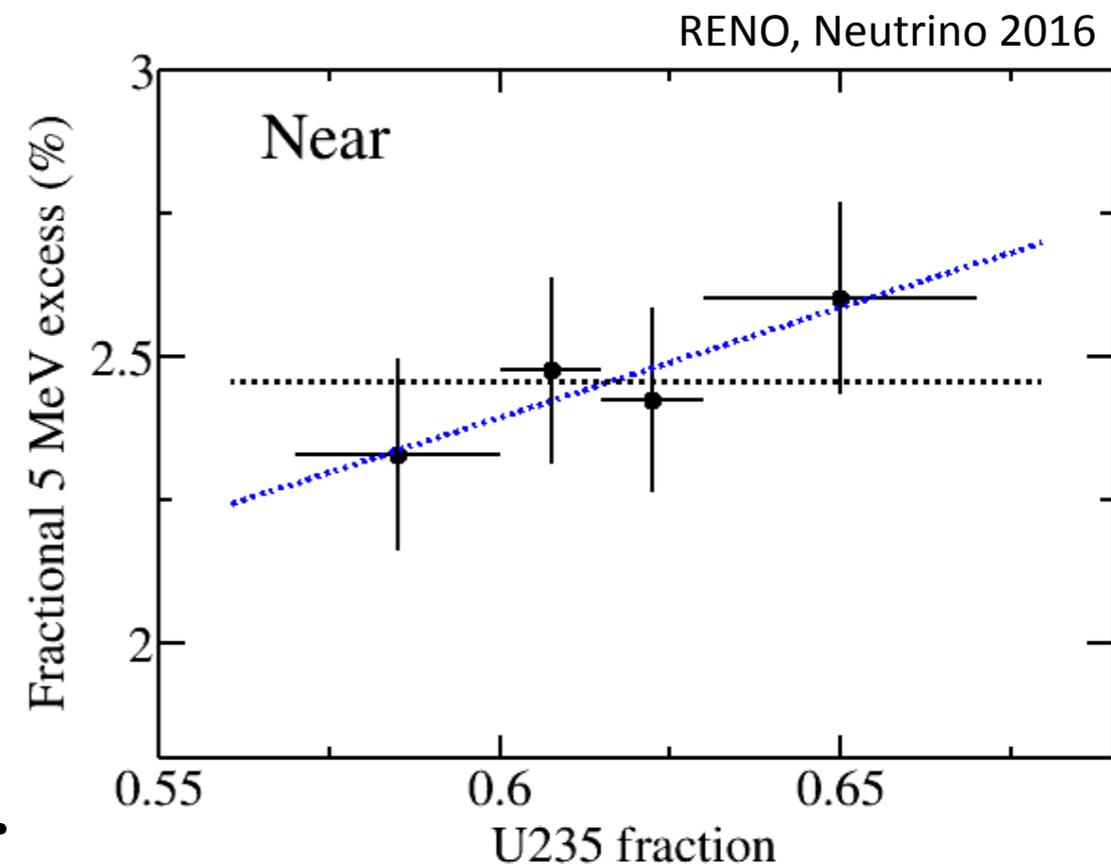
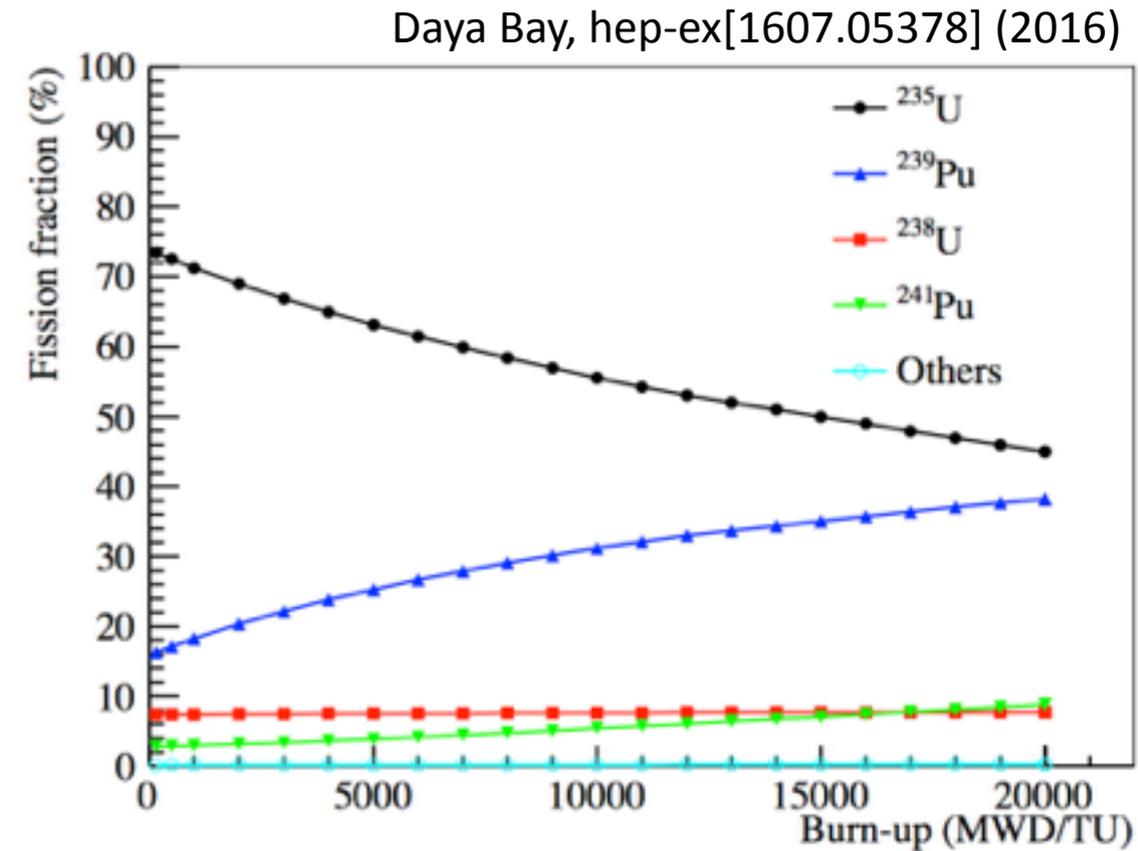


Example: hypothetical STEREO-Double Chooz spectral ratio

Only ^{239}Pu , or Only ^{235}U ?



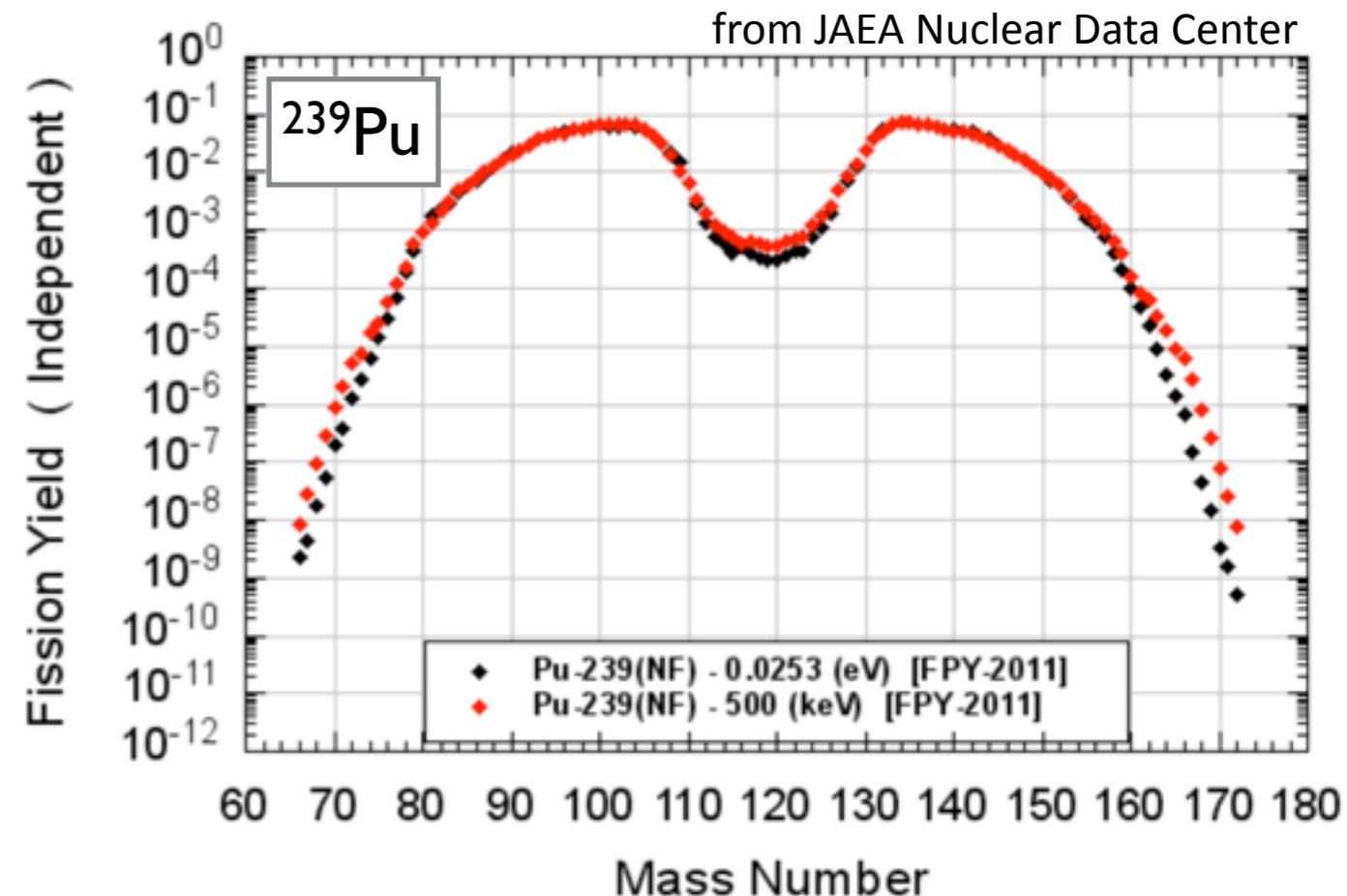
- Each θ_{13} experiment has reactors with varying ^{235}U and ^{239}U fractions
- Perhaps changes in bump size will accompany changes in fission fractions?
 - Note: nobody has actually measured a change in spectrum, let alone the bump, with burnup... (Rovno in 1994, maybe?)
 - Needless to say: this is VERY difficult...
- RENO's first look: inconclusive
 - No change visible within statistics
 - However, context is missing: how much change should one expect?
 - Example: If the bump is all from ^{235}U , what would that look like on this plot?
- More investigation should be done...



Example: Neutron Energy Issues?



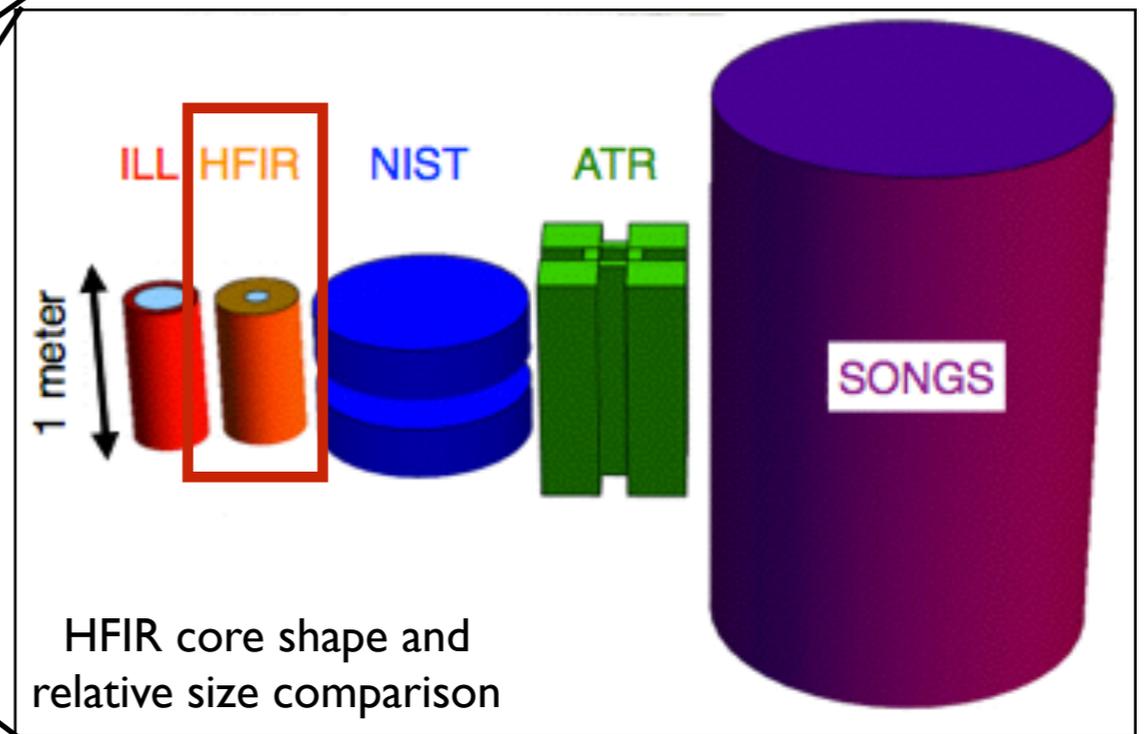
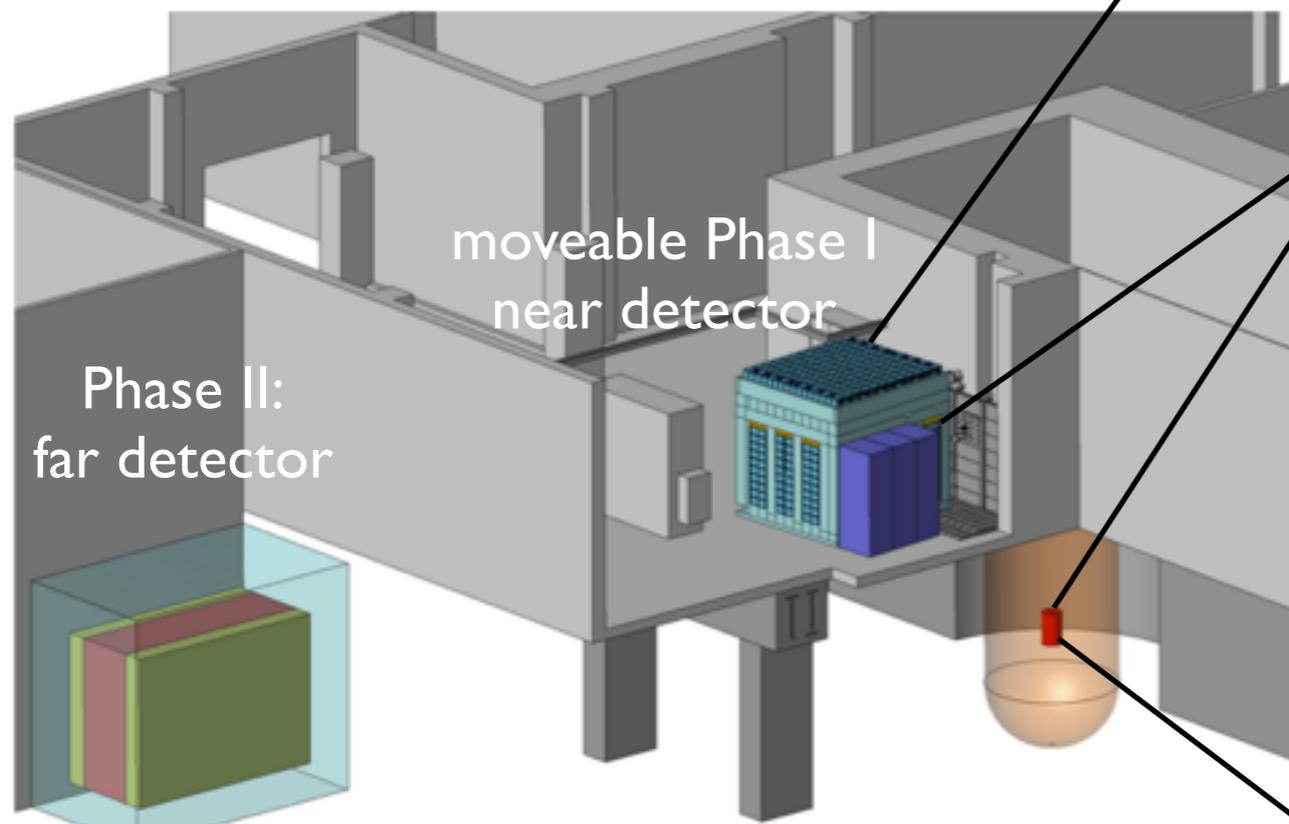
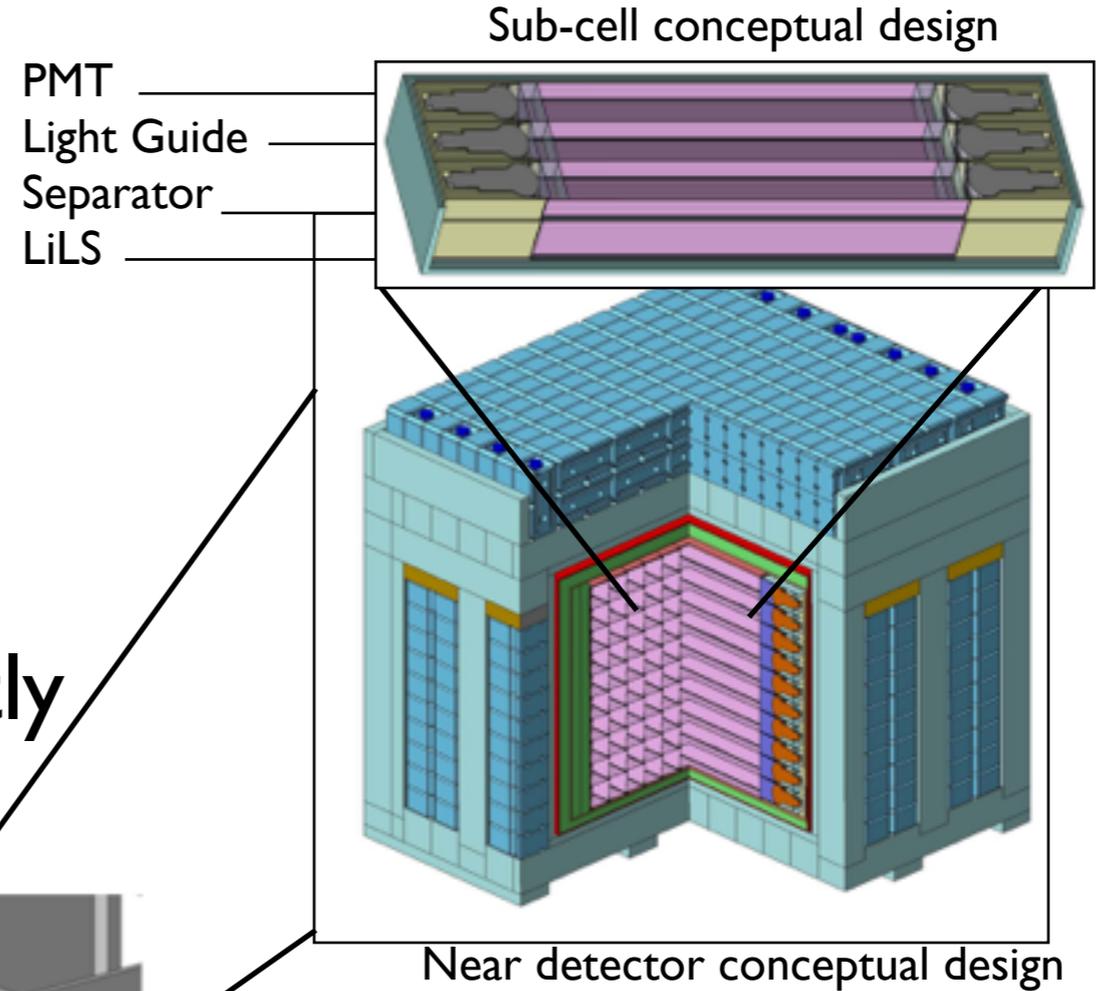
- Models based on ^{235}U , ^{239}Pu , ^{241}Pu beta spectra measurements: these come from thermal neutrons only
 - θ_{13} experiment reactors have a mix of thermal, epithermal and fast neutrons...
 - It is well-known that fission yields vary with neutron energy
 - Big question: how big of an effect does this have on the reactor spectrum?
 - Could measure with different reactor types:
 - HFIR: More epithermal neutrons
 - NIST: Fewer epithermal neutrons
 - PROSPECT just got a new travel itinerary.....? ;)
 - Note: effects may differ for ^{235}U , ^{239}Pu (must measure both...)



PROSPECT Experimental Layout



- HEU Reactor: HFIR
- Segmented liquid scintillator target region: ~3 tons for near detector (Phase I)
- Moveable: 7-12 m baselines
- Measure ^{235}U flux while directly probing sterile oscillations



Two-detector PROSPECT deployment at HFIR