# Long Baseline Neutrino Oscillations Now and in the Future: T2K and DUNE

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IceCube Particle Astrophysics Symposium Madison, WI May 5<sup>th</sup>, 2015

### Outline

- Neutrino oscillations
  - What we know and what we don't
- The T2K Experiment
  - Long-baseline oscillation analyses
- The DUNE Experiment
  - Current status
  - Future sensitivity

# **Neutrino Oscillations**

• Create in one flavor  $(v_{\mu})$ , but detect in another  $(v_{e})$ 



Each flavor (e, μ) is a superposition of different masses (1, 2)
 ν<sub>1</sub> ∧ ν<sub>μ</sub>

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$
"Mixing Matrix"

#### **Neutrino Oscillations**

• With only 2 neutrinos, the oscillation formula is simple:



#### The PMNS Mixing Matrix

 $\begin{bmatrix} v_e \\ v_\mu \\ v_\tau \end{bmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \\ v_2 \end{pmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ v_{12} & v_{13} \end{bmatrix}$ 





Бруно Понтекоры



#### Pontecorvo

Sov.Phys.JETP 6:429, 1957 Sov.Phys.JETP 26:984-988, 1968

Maki, Nakagawa, Sakata Prog.Theor.Phys. 28, 870 (1962)

#### What We Know



#### What We Don't Know



### **Oscillation Physics at T2K**



 $\Delta m_{\rm sol}^2$ 

 $\nu_{\mu} \rightarrow \nu_{e}$  Appearance:  $\theta_{13}$ ,  $\delta_{CP}$ 

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 $V_{\gamma}$ 



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#### The T2K Experiment





#### J-PARC Neutrino Beam



- 30 GeV *p* beam on graphite target to produce  $\pi^{\pm}$ ,  $K^{\pm}$
- Focus charged mesons
  - 3 Large electromagnetic "horns" act like lenses
  - 250,000 amps every ~2 seconds
- Mesons decay to produce a beam of neutrinos



### **Off-axis Beam**

- 2.5° off-axis angle
  - 2-body  $\pi$  decay gives narrow range of  $\nu$ energies
- Tune peak energy for oscillations
  - More events at max oscillations
  - Fewer backgrounds from high energy



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#### Near Detectors: ND280

- I Bean
  - Multi-component magnetized detector.
  - 2.5° off-axis

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• Measure spectrum and composition before oscillations

2.5° v beam

# ND280



- Multiple components
  - 2 scintillator trackers
  - 3 time-projection chambers
  - POD  $\pi^0$  detector
  - EM Calorimeter
  - Muon range detector interleaved in magnet
- Select CC  $v_{\mu}$  events - Long muon tracks

### ND280



- ND280 magnetized to 0.2T
  - Magnet from CERN UA1
- Curvature gives momentum and charge:

$$\frac{p}{q} = B r_{\rm curv}$$

#### ND280 Fit to Constrain Systematics



- 3 samples, 2D fit:
  - $-\mu^{-}$  momentum and angle
- Dramatically reduces uncertainty on Neutrino rate = Flux × Cross section
- Systematic uncertainty at far detector reduced from >20% to 7-8%







### Detecting Neutrinos at the FD

- Large water Chernekov detector
  - 22.5 ktons of ultra pure water
  - 11,129 20" PMTs, 40% coverage
- Cherenkov cones appear as a rings.
  - More energetic particles leave brighter rings.
- Cherenkov threshold
  - Constant *velocity* threshold gives energy thresholds proportional to *mass*





#### **Particle Identification**



#### Shower-like

• e<sup>±</sup>, γ





Track-like •  $\mu^{\pm}, \pi^{\pm}, p$ 

CC  $v_{\mu}$  Events 16 Data 64%  $v_{\mu}$  CCQE 30%  $v_{\mu}$  CC non-QE  $\nu_{\mu}$ muon track 6% NC 6 4 2 0 Reconstructed v Energy (GeV) 120 Events 91% efficiency

# How We Measure Oscillations: Disappearance

$$P(\nu_{\mu} \rightarrow \nu_{\mu}) \approx 1 - \left( \cos^{4} \theta_{13} \sin^{2} 2\theta_{23} + \sin^{2} \theta_{23} \sin^{2} 2\theta_{13} \right) \sin^{2} \left( \frac{\Delta m^{2} L}{4E_{\nu}} \right)$$

$$PDG 2013$$

$$PDG 2013$$

$$Measured Prediction Predictin Prediction Prediction Prediction Prediction Prediction Pr$$

# Fit for $v_{\mu}$ Disappearance



$$\Delta m_{32}^2 = (2.51 \pm 0.10) \times 10^{-3} \,\mathrm{eV}^2 \,\Delta m_{13}^2 = (2.48 \pm 0.10) \times 10^{-3} \,\mathrm{eV}^2$$

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#### PRL 112 (2014) 181801

# Fit for $v_{\mu}$ Disappearance





# Fit for v<sub>e</sub> Appearance



# Combine $v_e$ appearance sample with near detector **unoscillated prediction** to extract oscillation parameters.

$$P(\nu_{\mu} \rightarrow \nu_{e}) \approx \sin^{2}\theta_{23} \sin^{2}2\theta_{13} \sin^{2}\left(\frac{\Delta m_{32}^{2}L}{4E_{\nu}}\right) \left(1 + \frac{4\sqrt{2}G_{F}n_{e}E}{\Delta m_{31}^{2}}\left(1 - 2\sin^{2}\theta_{13}\right)\right) - \sin^{2}\theta_{12}\sin^{2}\theta_{23}\sin^{2}\theta_{13}\cos^{2}\theta_{13}\sin^{2}\left(\frac{\Delta m_{32}^{2}L}{4E_{\nu}}\right)\sin^{2}\left(\frac{\Delta m_{21}^{2}L}{4E_{\nu}}\right) + \cos^{2}\theta_{13}\cos^{2}\theta_{13}\sin^{2}\theta_$$

# Fit for v<sub>e</sub> Appearance



# Combine $v_e$ appearance sample with near detector **unoscillated prediction** to extract oscillation parameters.

$$P(\nu_{\mu} \rightarrow \nu_{e}) \approx \frac{\sin^{2} \theta_{23} \sin^{2} 2\theta_{13} \sin^{2} \left(\frac{\Delta m_{32}^{2}L}{4E_{\nu}}\right) \left(1 + \frac{4\sqrt{2}G_{F}n_{e}E}{\Delta m_{31}^{2}} \left(1 - 2\sin^{2} \theta_{13}\right)\right)}{-\sin^{2} \theta_{12} \sin^{2} \theta_{23} \sin^{2} \theta_{13} \cos^{2} \theta_{13} \sin^{2} \sin^{2} \left(\frac{\Delta m_{32}^{2}L}{4E_{\nu}}\right) \sin^{2} \left(\frac{\Delta m_{21}^{2}L}{4E_{\nu}}\right)}$$
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CP violation

# Joint Fits to $v_{\mu} + v_e$



- Likelihood ratio fit of  $v_{\mu}$  and  $v_{e}$  samples
- Both Frequentist and Bayesian analyses performed
- Simultaneous fit for:

$$\delta_{cp}, \ \theta_{13}, \ \theta_{23}, \ \Delta m_{32}^2$$

#### **Contours using T2K Data Only**

- sin<sup>2</sup>(θ<sub>23</sub>) shifts up to
   0.52 in response to
   large appearance
   signal
- Our appearance signal is large relative to reactor measurements
- 2013 Weighted average
  - Daya Bay
  - RENO
  - Double Chooz

 $-\sin^2(2\theta_{13}) = 0.095 \pm 0.010$ 



PRD 91 (2015) 072010

#### **Contours with Reactor Constraint**

- sin<sup>2</sup>(θ<sub>23</sub>) shifts up to
   0.52 in response to
   large appearance
   signal
- Our appearance signal is large relative to reactor measurements
- 2013 Weighted average
  - Daya Bay
  - RENO
  - Double Chooz

 $-\sin^2(2\theta_{13}) = 0.095 \pm 0.010$ 



PRD 91 (2015) 072010

### Constraint on $\delta_{\rm CP}$



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#### The DUNE Experiment

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#### **DUNE Status**



Recently reformed as a new international collaboration
 – 769 collaborators from 147 institutions



Long baseline, wide band beam allows mass hierarchy, and potentially second maximum measurements.

LBNE	ν <sub>e</sub>	anti-v <sub>e</sub>
Signal	779	139
Background	374	238



LBNE sensitivities (34 kTon × 3+3 years × 1.2 MW), but not so far off from DUNE sensitivity

## More Physics with DUNE

Infall

Neutronization

Accretion

Cooling

L (10<sup>52</sup> ergs/s) - Precision measurements of all 10 mixing angles Supernova neutrinos (MeV)  $- v_{\rho}$  in DUNE, can see neutronization Near detector physics Cross sections, exotics Non-neutrino physics Low or no background searches Time (seconds) for proton decay to Kaons

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Other oscillation physics

## Conclusions

- T2K uses a narrow-band off-axis beam to measure threeflavor neutrino mixing
  - Most precise measurement of  $\theta_{23}$ 
    - Favoring maximal disappearance
  - $> 7\sigma$  evidence of  $v_e$  appearance
  - First exclusion of a range of  $\delta_{\rm CP}$
- With full exposure, T2K may see a hint of CP violation
- DUNE is the next generation neutrino oscillation experiment
  - Good sensitivity to CP violation and mass hierarchy
  - Precision test of the 3-neutrino model
  - Lots of other oscillation and non-oscillation physics

## **Backup Slides**

#### J-PARC Neutrino Beam



#### **Near Detector**



## Accumulated Protons-on-Target



#### Accumulated Protons-on-Target



#### Accumulated Protons-on-Target



#### **Future Sensitivity**



#### Assumptions

- $7.8 \times 10^{21} \text{ POT}$
- $\sin^2(2\theta_{13}) = 0.1$  with ultimate reactor precision
- $\Delta m^2 = 2.4 \times 10^{-3} \, eV^2$
- $\sin^2(\theta_{23})$  as shown
- Normal Hierarchy
- Conservative (2012) systematic errors, correlated between nu and antinu
- Evaluated potential future sensitivity under different running conditions
  - 50% neutrino/50% antineutrino best sensitivity for the widest range of true parameters values

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## **Neutrino Oscillations**



## **Beam Modeling and Constraint**

- Simulate the beam with:
  - Fluka in target, Geant3 in beamline
- Components:

 $\begin{array}{rl} 93\% \, \nu_{\mu} & 6\% \, \overline{\nu}_{\mu} \\ 1\% \, \nu_{e} & 0.1\% \, \overline{\nu}_{e} \end{array}$ 

- Reweight hadronic interactions and π/ K production with external data
  - Reweight in target (C) and horns (Al)
  - Primary source is NA61/SHINE at CERN
    - Same beam energy and carbon target
  - Other sources for aluminum interactions

N. Abgrall *et al.* (NA61/SHINE Collaboration), Phys. Rev. C 84, 034604 (2011)
 N. Abgrall *et al.* (NA61/SHINE Collaboration), Phys. Rev. C 85, 035210 (2012)
 T. Eichten *et al.*, Nucl. Phys. B 44 (1972)
 J. V. Allaby *et al.*, Tech. Rep. 70-12 (CERN,1970)

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## Flux Constraints with External Data

- Reweight the beam MC based on external hadron production data
  - NA61/SHINE (CERN) [1][2] which has 30 GeV *p*-C collisions
  - Eitchen et al. [3] , and Allaby et al. [4]
- We reweight hadron production in both the target (carbon) and horns (aluminum)
- Tune hadron interaction rate and  $\pi/K$  production



## Flux Systematic Uncertainties

- 10-15% error on flux w/ external data
  - Hadron production
  - Beam alignment
- After fit to  $v_{\mu}$  CC data
  - Flux error alone goes from  $12\% \rightarrow 8\%$  at osc. peak
  - Central values shifted to better fit the data



## **Cross Section Simulation and Constraints**

- NEUT simulation (2012)
  - Initial interaction
    - CCQE, resonant-π, etc.
  - Final State nuclear effects
    - charge exchange,  $\pi$  absorption, etc.



#### • Parameterize models

- Some model parameters like
   *M<sub>A</sub>*, *p<sub>F</sub>*, *E<sub>b</sub>*
- Some energy-dependent normalizations
- Tune model parameters to external neutrino data
  - Primarily MiniBooNE

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## **Cross Section Systematic Uncertainties**

- Fit to ND280  $v_{\mu}$  data reduces errors correlated between ND280 and SK
  - Reduced by a factor of 2 or more
- Not all uncertainties constrained:
  - Final state nuclear effects
  - Carbon-Oxygen differences
  - $\sigma(v_e)/\sigma(v_\mu)$
  - $-\sigma(\text{anti-}\nu)/\sigma(\nu)$



## Particle Identification at the ND

- Identify particle types using topology:
  - Track-like or shower-like



 Identify individual tracks using energy deposition

- dE/dx vs. *p* in the TPC's



# Selecting $CCv_{\mu}$ in ND280

• Divide into 3 sub-samples:



#### СС 0π

- Quasielastic
   enhanced sample
- 73% purity

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#### CC 1π<sup>+</sup>

- ∆ resonance enhanced sample
- 49% purity

#### CC other

- Primarily deep inelastic scattering
- 74% purity

## **Measuring Neutrino Energy**



- The more energetic the particle, the more Cherenkov light
- In quasi-elastic events, momentum + beam angle give original neutrino energy
  - Need no information from the invisible proton

Beam direction
$$\begin{array}{c}
p_{e/\mu} \\
\theta_{beam}
\end{array}
E_{\nu} = \frac{m_p^2 - m_{n'}^2 - m_{\ell}^2 + 2m_{n'}^2 E_{\ell}}{2(m_{n'} - E_{\ell} + p_{\ell}\cos\theta_{beam})}
\end{array}$$

## **SK Event Selection**



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#### **SK Event Selection**



## SK $v_{\mu}$ Event Selection



- Limit decay electrons to exclude events with invisible  $\pi^{\pm}$ 's
  - $-\pi^{\pm}$  threshold: 212 MeV
  - $-e^{\pm}$  threshold: 0.8 MeV



## SK $v_e$ Event Selection



# SK v<sub>e</sub> Event Selection

**Beam Timing** 

**Fiducial Volume** 

Contained in ID

- Likelihood-based reco.
  - Compare *e*-like and  $\pi^0$ -like hypotheses
  - Exclude events with  $m_{\rm inv} \sim 135 \text{ MeV}$



# SK v<sub>e</sub> Event Selection

- Cross-check  $\pi^0$  background using an enriched sample of  $\pi^0$  events

- 2 *e*-like rings with  $m_{\gamma\gamma}$  = 135±50 MeV





## Systematic Uncertainties at Super-K

<i>v<sub>e</sub></i> Events	V <sub>e</sub>	$oldsymbol{ u}_{\mu}$	
ND280-constrained flux and cross section	3.1%	2.7%	>20% without
Unconstrained cross section	4.7%	5.0%	ND280
SK detector efficiency	2.4%	3.0%	
Final or secondary hadronic interactions	2.7%	4.0%	
Total	6.8%	7.7%	

## Sensitivity vs. Data Fit



## **Multinucleon Interactions**



- Neutrinos may interact with multiple nucleons
  - Looks CCQE, but has different kinematics
  - Potential explanation for  $M_A \approx 1.2$  GeV instead of 1.0 GeV
- Studied potential for bias in our result from neglecting multinucleon interactions
  - Use many fake experiments with random systematic errors

Our model:

- J. Nieves et. al., PRC83, 045501 (2011)
- J. Sobczyk, PRC86, 015504 (2012)

Suggested potential for bias in oscillations: O. Lalakulich and U. Mosel, PRC86, 054606 (2012). D. Meloni and M. Martini, PLB716, 186 (2012). P. Coloma, et al, arXiv:1311.4506 (2013).

## **Effect of Multinucleon Interactions**



## Bayesian $\delta_{CP}$ , MH, Octant Constraints

0.03

- **Bayesian** analysis can marginalize over the mass hierarchy
- Compare probabilities of different hierarchies and  $\theta_{23}$  octants

PRELIMINARY 90% Credible Interval 68% Credible Interval **Marginal Posterior 1D Posterior Mode** 68% Outside 90% Credible 0.01 Credible interval Interval 0.005 **0**<sup>l</sup> -3 -2 -1 2 0 3  $\boldsymbol{\delta}_{cp}$ NH IH Sum  $\sin^2(\theta_{23}) \leq 0.5$ 18% 8% 26%  $sin^{2}(\theta_{23}) > 0.5$ 50% 24% 74% Sum 68% 32%

#### **Bi-probability**



#### Future Sensitivity with NOvA



- A joint analysis with NOvA:
  - Increases significance of the "luckiest" point
  - Adds sensitivity in  $\delta_{\rm CP}$  regions where there would be none.



- Same assumptions, plus
  - Choose  $\sin^2(\theta_{23}) = 0.5$
  - $3.6 \times 10^{21}$  NOvA POT, even split
  - Shown both without (solid) and with (dashed) systematic errors

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Accepted by PTEP, arXiv:1409.7469 [hep-ex]

## Near Detectors: INGRID

v beam

- Modules with alternating planes of iron and plastic scintillator
- On-axis

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Measures beam intensity and direction over time.


## Near Detectors: INGRID

- Modules with alternating planes of iron and plastic scintillator
- On-axis
- Measures beam intensity and direction over time.



- Plastic scintillator
  - Light emitted as charged particles pass through
  - Light picked up by optical fibers and carried to optical detectors.



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- Argon Gas Time Projection Chamber
  - Charged particles ionize argon gas
  - Electric field drifts
    the electrons
    towards the readout
    plane
- Position and arrival time give full 3D reconstruction

