

Modeling radio emission from particle showers in dense media & air: a pedagogical overview

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Radio emission in particle showers

Key concepts:
Scales of shower & interference
Relativistic “Cherenkov-like” effects

Dense media

Atmosphere

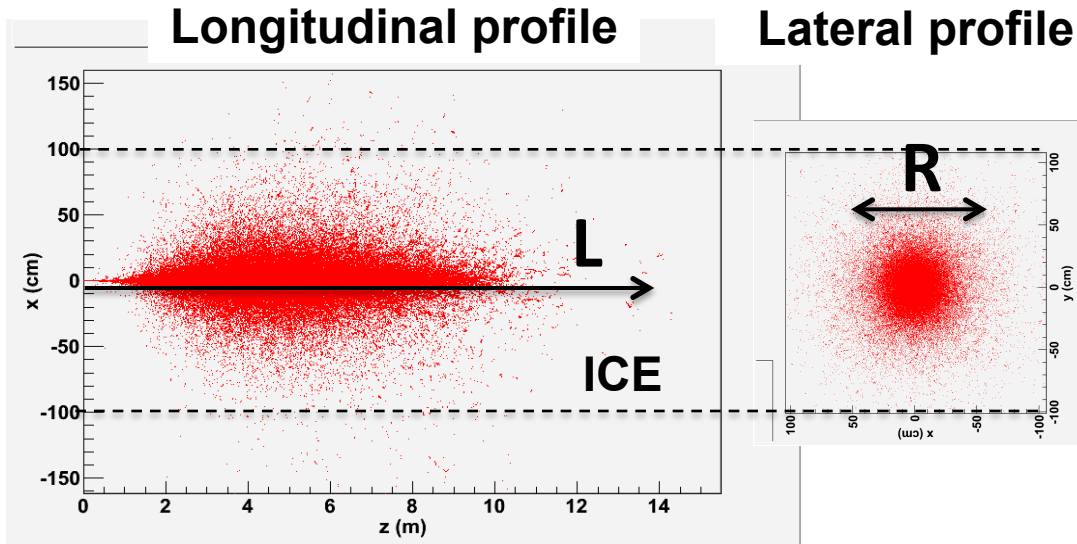
Macroscopic
modeling

Microscopic
modeling

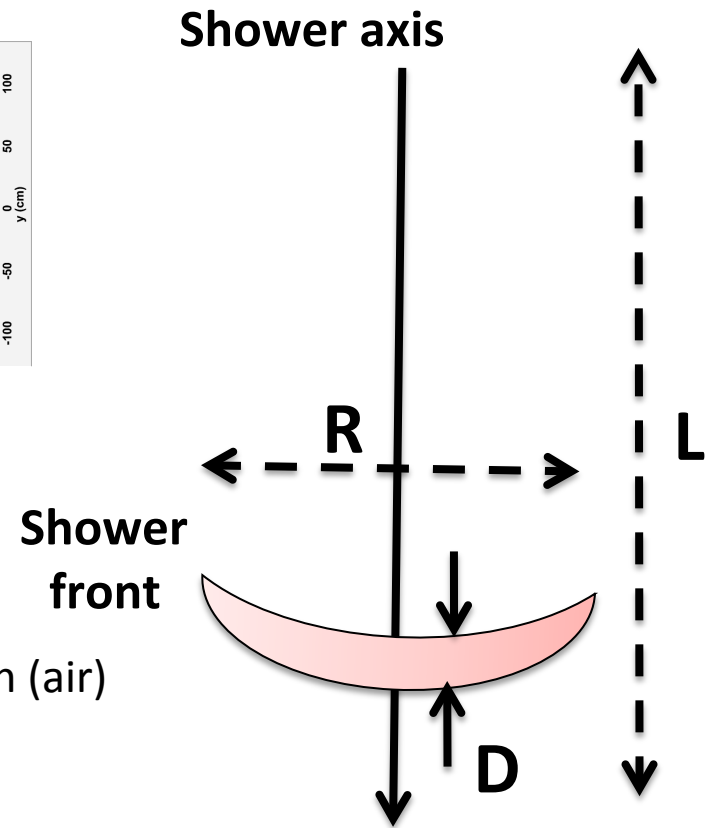
A few key concepts

The (complex) source of radiation

- **Particle shower** developing in dense media or in air.



- **Net charge** arises mainly due to:
 - Askaryan effect (dense media)
 - Askaryan effect + geomagnetic charge separation (air)
- Shower characterized by **three scales**:
 - Scale of **longitudinal** development (L)
 - Scale of **lateral** spread (R)
 - **Thickness** of shower front (D)



Interference & coherence

Fields radiated by shower particles **interfere** with each other:

- If observation wavelength $\lambda \gg$ **shower dimensions**:
 - all fields add with approx. same phases \Rightarrow **coherent emission**
 - total field proportional to number charged particles $N \sim E_{\text{shower}}$
 - power $\sim N^2 \sim E_{\text{shower}}^2$
- If $\lambda <$ **shower dimensions**:
 - fields contribute with varying phases \Rightarrow **destructive interference** sets in.
 - cut-off in the frequency (ω) spectrum.
 - shower scale (L, R or D) responsible for cut-off depends on ω and observer position.
- **Finite space-time dimensions of source** \Rightarrow
for $\omega \rightarrow 0 \Rightarrow$ radiative field $\rightarrow 0 \Rightarrow$ time integral of pulse should vanish \Rightarrow
equally large positive & negative amplitudes \Rightarrow **bi-polar pulses**

1D “line” model of shower development

Far-field observer at $\theta \neq \theta_c$

Wavefronts **NOT** in phase
(due to **longitudinal shower spread L**)

Time-domain

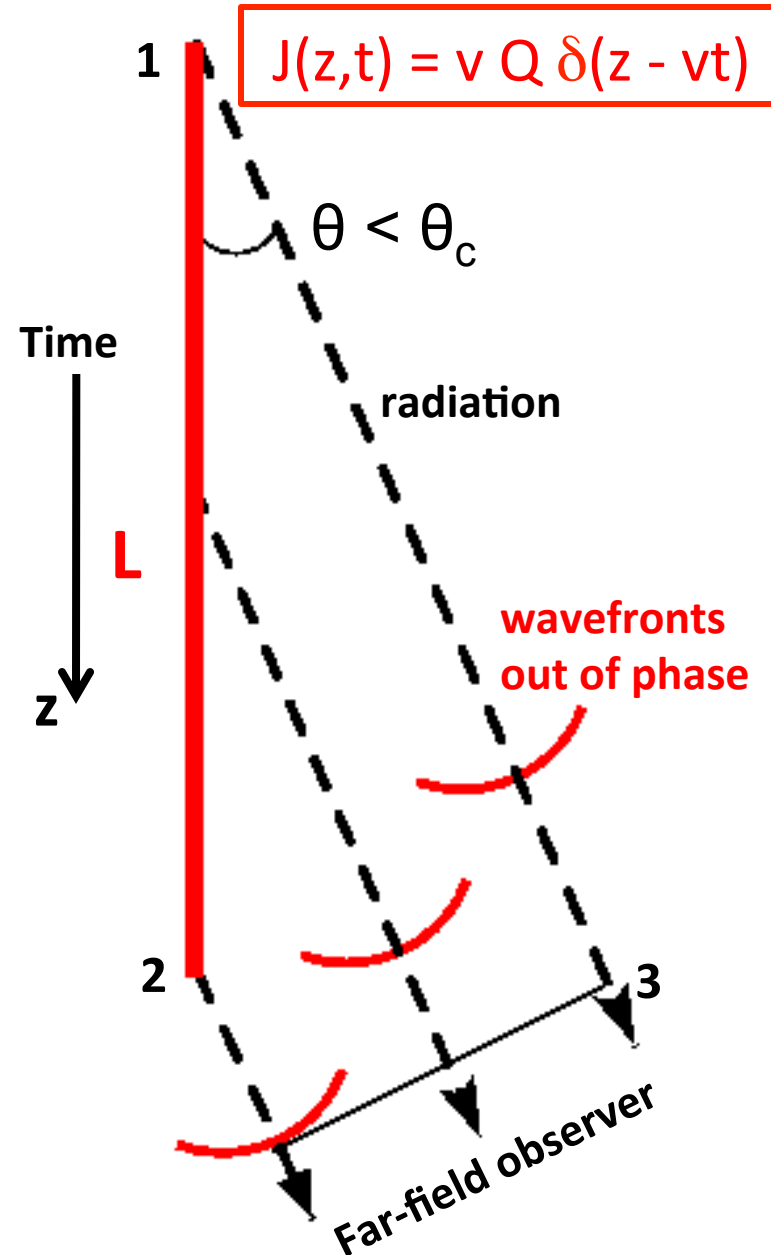
- Observer sees radiation in a **finite interval of time** depending on angle (sensitivity to scale L):

$$\Delta t_L(L, \theta) \approx L(1 - n \cos \theta) / c$$

Frequency domain

- Spectrum increases linearly with ω up to **Frequency cut-off**

$$\omega_{\text{cut}}(L, \theta) \approx 1/\Delta t_L$$



Relativistic effects

$n > 1$ & particles travel at $v > c \Rightarrow$
 “Cherenkov-like” relativistic phenomena

Source position/time (z, t) mapped to
 observer time (t_{obs}) via θ –dependent
 relation:

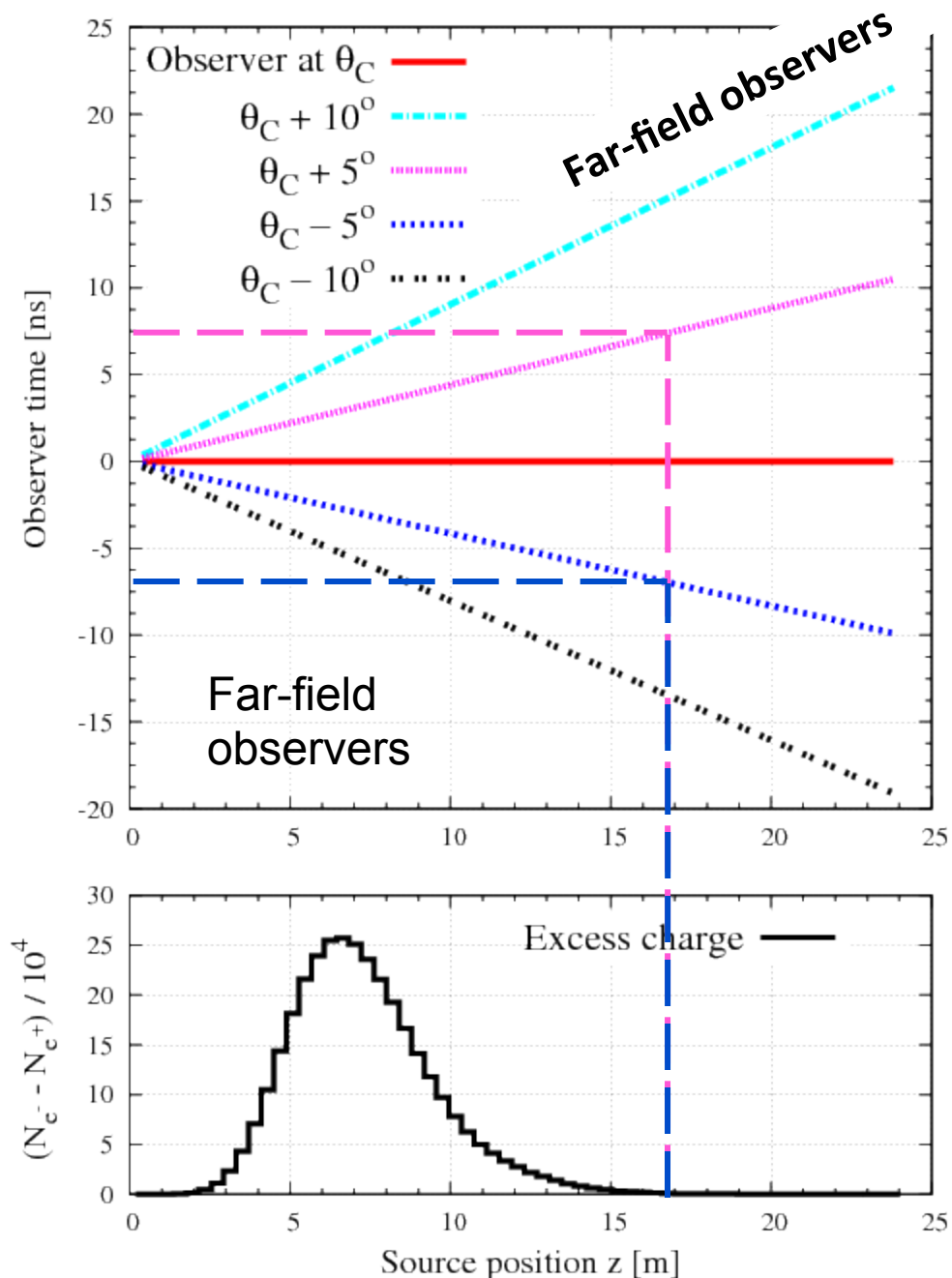
$$t_{\text{obs}} = z (1 - n \cos \theta) / c + t_0$$

$\theta = \theta_c \Rightarrow$ observer sees shower at $t=t_0$

As observer moves from θ_c shower
 appears to last longer in time.

$\theta > \theta_c \Rightarrow$ observer sees first the start of
 shower and then the end (causality)

$\theta < \theta_c \Rightarrow$ observer sees first end of the
 shower and later the start (non-causality)



2D “box” model of shower development

Assumptions:

1. 2D current: extending longitudinally over L & laterally over R .
2. Width $D=0$.
3. Uniform excess charge Q . $v > c/n$

Far-field observer at Cherenkov θ_c

Wavefronts **NOT** in phase
(due to lateral spread R of shower).

Time-domain:

- Observer sees radiation in **finite interval of time**:

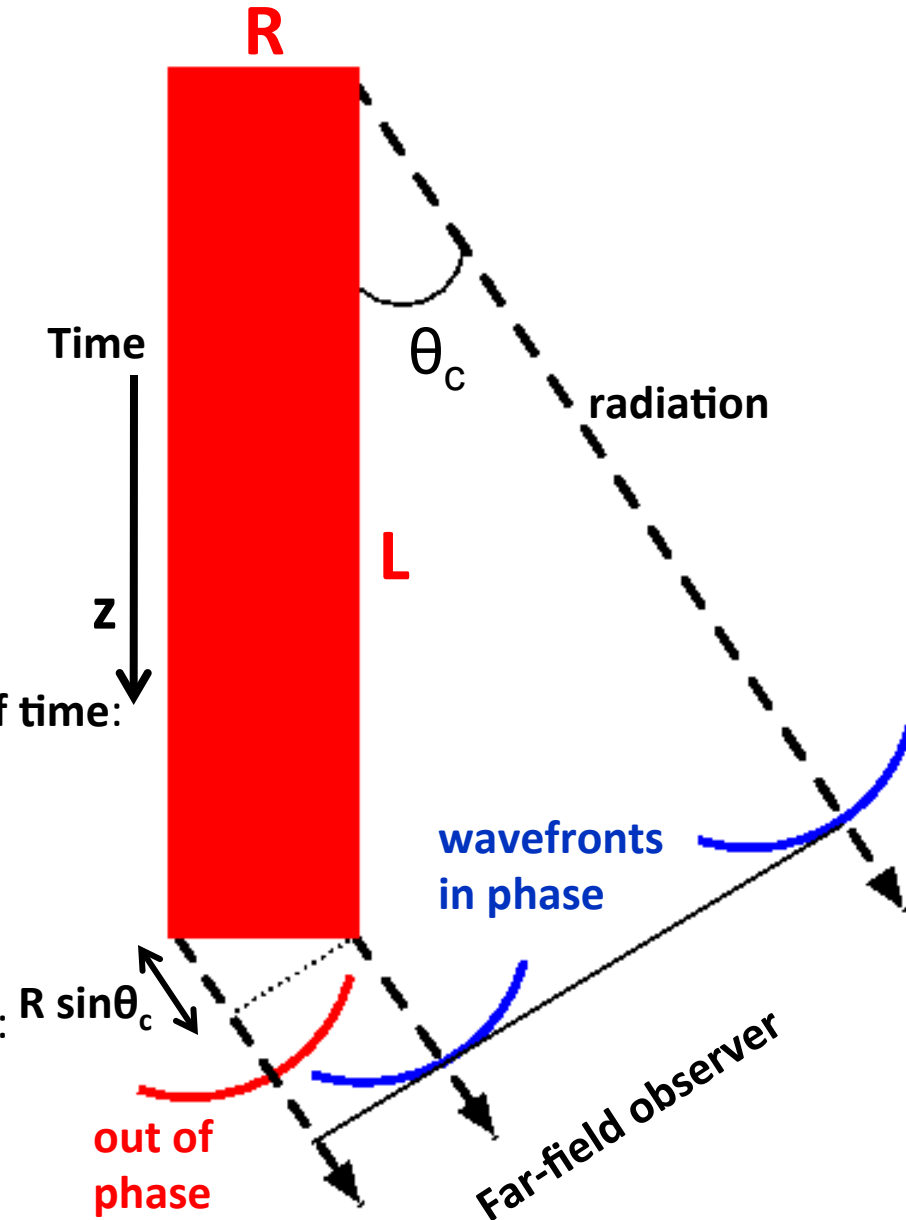
$$\Delta t_R \approx R \sin \theta_c / (c/n)$$

Frequency domain:

- Spectrum increases linearly with frequency:

Frequency cut-off

$$\omega_{\text{cut}}(R) \approx 1/\Delta t_R$$



2D “box” model of shower development

Far-field observer at $\theta \neq \theta_c$

Wavefronts **NOT** in phase
(due to **longitudinal** L & **lateral** spread R of shower)

Time-domain:

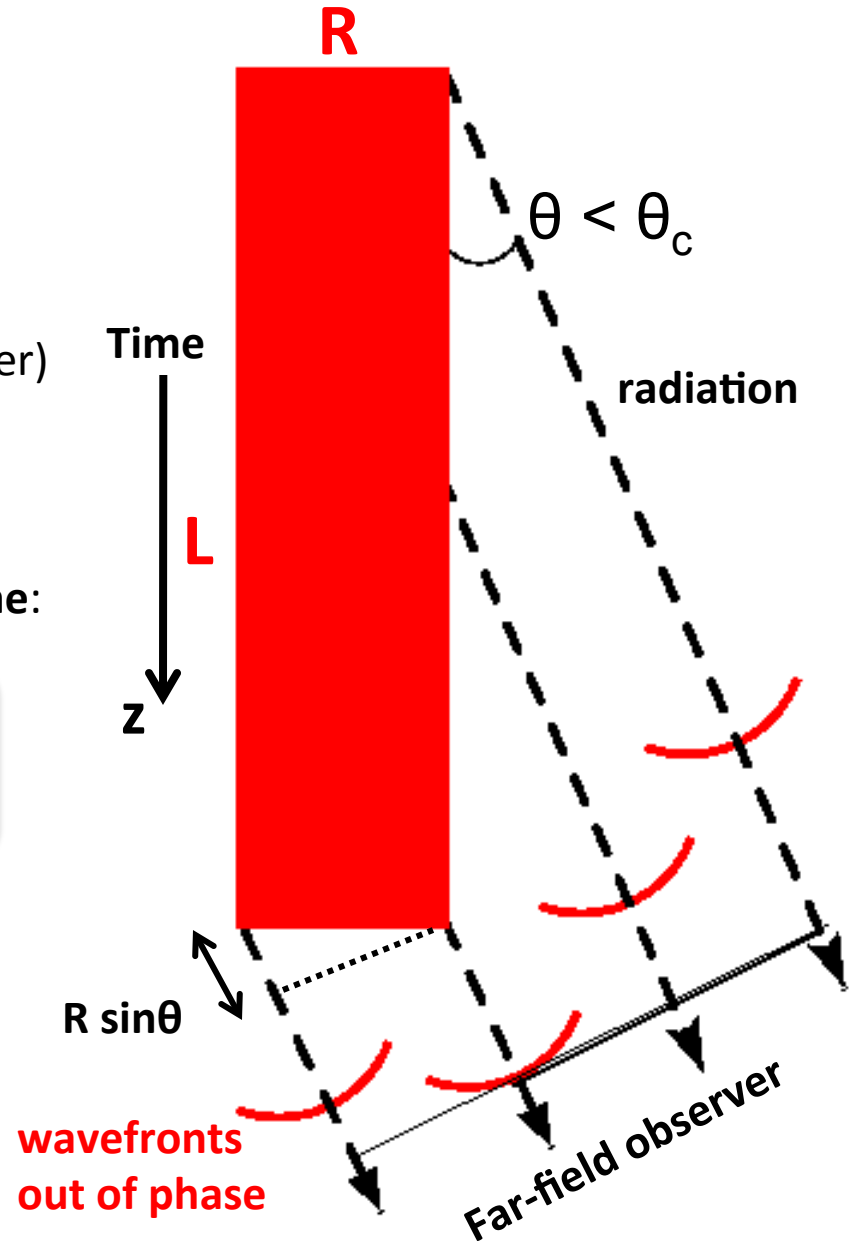
- Observer sees radiation in **finite interval of time**:

$$\Delta t \sim \max [\Delta t_R(R, \theta), \Delta t_L(L, \theta)] = \max [nR \sin\theta/c, L(1 - n \cos\theta)/c]$$

Frequency domain:

- Spectrum increases linearly with ω up to **Frequency cut-off**

$$\omega_{\text{cut}} \approx 1/\Delta t$$



2D “box” model of shower development with shower front thickness

Far-field observer at $\theta \neq \theta_c$

Wavefronts **NOT** in phase
(due to **longitudinal** L & **lateral** spread R of shower & width of shower front D)

Time-domain:

- Observer sees radiation in **finite interval of time**:

$$\Delta t \sim \max [\Delta t_R(R, \theta), \Delta t_L(L, \theta), \Delta t_D(\theta, R, D, \alpha)]$$

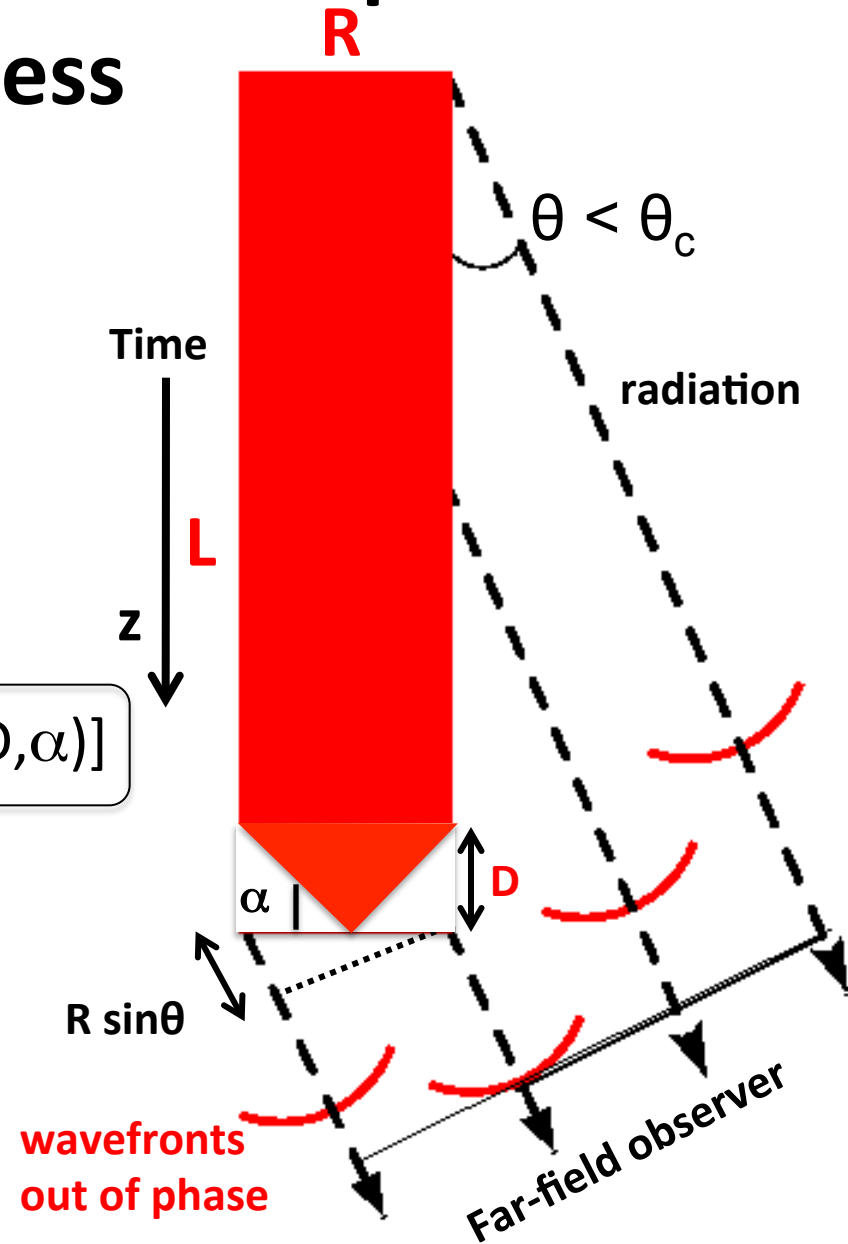
$$\Delta t_D \approx nR[(1+D^2/R^2)^{1/2} \sin(\theta - \alpha) - \sin\theta]$$

(assuming a conical shower front model)

Frequency domain:

- Spectrum increases linearly with ω up to **Frequency cut-off**

$$\omega_{\text{cut}} \approx 1/\Delta t$$



Ice

Air

Longitudinal delay

$$\Delta t_L \approx L (1 - n \cos\theta)/c$$

Lateral delay

$$\Delta t_R \approx nR \sin\theta/c$$

Shower front thickness delay

$$\Delta t_D$$

$L \approx 5 \text{ m}$, $R \approx 0.1 \text{ m}$, $n = 1.78$, $\theta_{\text{cher}} \approx 56^\circ$

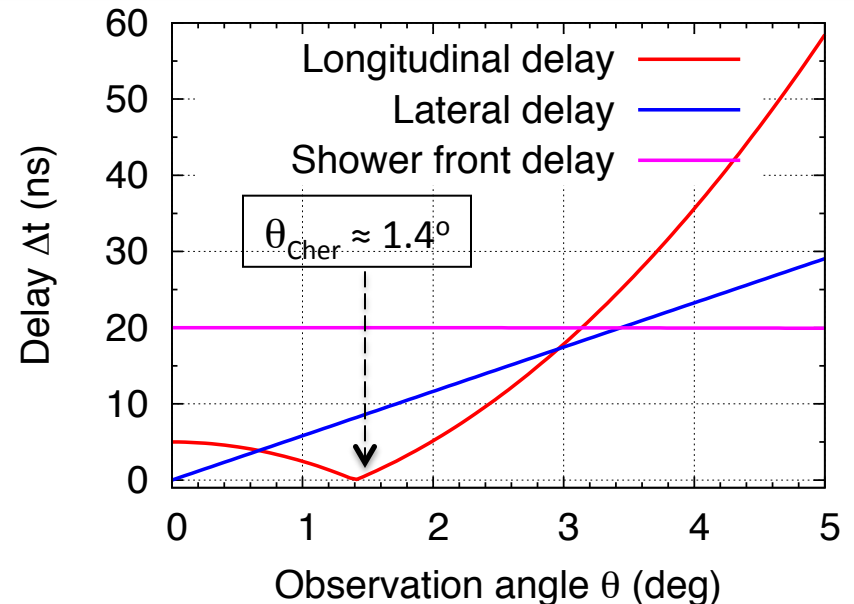
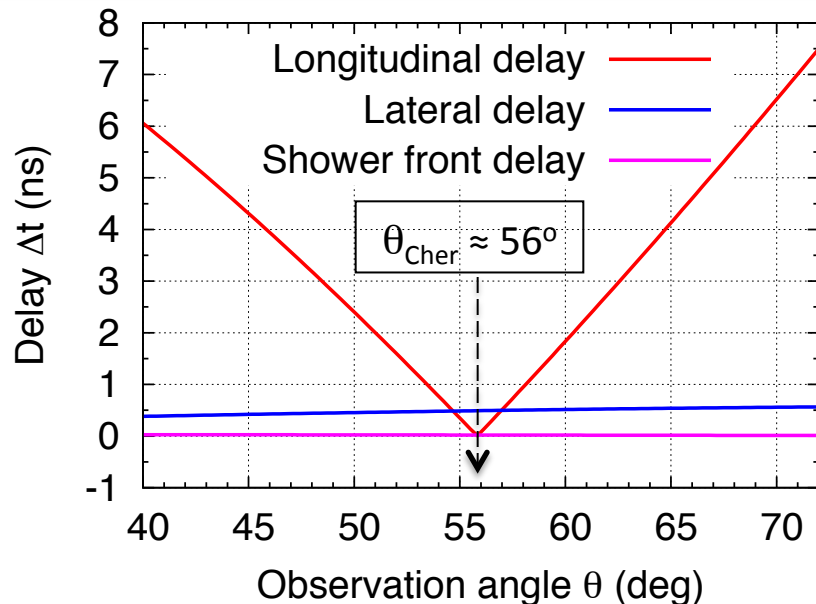
$L \approx 5 \text{ km}$, $R \approx 100 \text{ m}$, $n = 1.0003$, $\theta_{\text{cher}} \approx 1^\circ$

$\theta \approx \theta_{\text{cher}} \Rightarrow \Delta t_R > \Delta t_D > \Delta t_L \sim 0$
Lateral delay \Rightarrow cutoff @ $\sim 1 \text{ GHz}$

$\theta \approx \theta_{\text{cher}} \Rightarrow \Delta t_D \gg \Delta t_R > \Delta t_L \sim 0$
Shower front delay \Rightarrow cutoff @ 10's MHz

θ away from $\theta_{\text{cher}} \Rightarrow \Delta t_L \gg \Delta t_R > \Delta t_D$
Longitudinal delay \Rightarrow cutoff @ 100's MHz

θ away from $\theta_{\text{cher}} \Rightarrow \Delta t_L > \Delta t_R > \Delta t_D$
Longitudinal delay \Rightarrow cutoff @ $\sim \text{MHz}$



Modeling radio emission from particle showers in dense media

Net charge: dense media

- Charge separation due to **geomagnetic field unimportant**.
(irrelevance of this mechanism checked in ZHAireS simulations).
- Large-E particle interactions in Electromag. showers dominated by:
 - pair production: $\gamma \gamma \rightarrow e^+ e^-$
 - bremsstrahlung: $e N \rightarrow e N \gamma$**“electrically neutral” interactions \Rightarrow no net charge**
- Low-E (10’s of MeV) particle interactions dominated by:
 - Compton: $\gamma e^-_{\text{atomic}} \rightarrow \gamma e^-$
 - Moeller & Bhabha: $e^- e^-_{\text{atomic}} \rightarrow e^- e^-$ & $e^+ e^-_{\text{atomic}} \rightarrow e^+ e^-$
 - e^+ annihilation in flight**interactions “entrain” charge into shower \Rightarrow net charge**



G.A. Askaryan

Askaryan effect

Askaryan effect confirmed in experiments at SLAC

G.A. Askaryan
Soviet JETP 21 (1965) 658

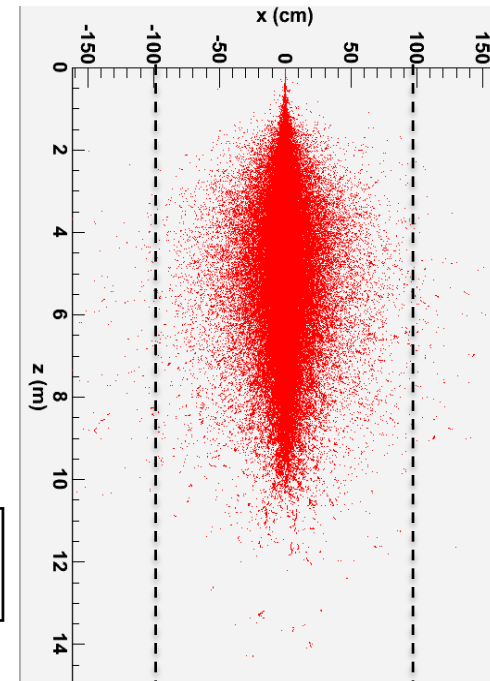
Main emission mechanism: dense media

- Net negative charge varies in time & space: $Q(t, \mathbf{x})$
 - Q travels with shower front at $v \sim c$
 - Q varies in time as number of shower particles: first increases & then decreases.
- This variation induces the bulk of radiation:

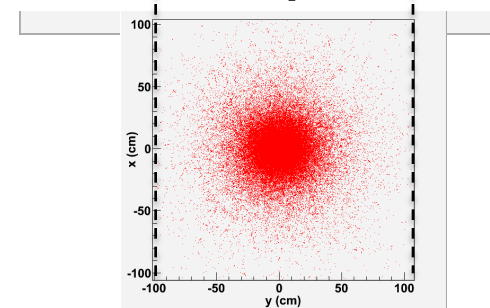
$$\vec{E}_{\text{Ask}} = -\frac{\partial \vec{A}_{\text{Ask}}}{\partial t} \propto -\frac{\partial}{\partial t} \left[\int_{-\infty}^{\infty} \underbrace{\frac{\vec{v}_{\perp} Q(\vec{x}', t')}{R}}_{\text{Askaryan current}} \underbrace{\delta\left(t - \left(t' + \frac{nR}{c}\right)\right)}_{\substack{\text{Relates observer time} \\ (t) \text{ to source time } (t') \\ \text{Cherenkov-like effects}}} d^3\vec{x}' dt' \right]$$

Distance source - observer
 $R = |\vec{x} - \vec{x}'|$

Longitudinal profile



Lateral profile



- This is known as “**Askaryan radiation**”.

Macroscopic modeling of Askaryan radiation in dense media

- ① Model excess charge $Q(t,x,y,z)$ with:
 - i. simple approximations
 - ii. input from detailed Monte Carlo simulations
- ② Apply Maxwell's equations (typically with some approximations) to obtain electric field.

1D “line” model with variable $Q(z)$

Assumptions:

- 1D line of current (varying excess charge Q) spreading over length L .
- Charge varies with depth $Q(z)$ & travels at $v > c/n$ (obtained from MC)

Askaryan radiation

Frequency domain:

E-field can be obtained simply

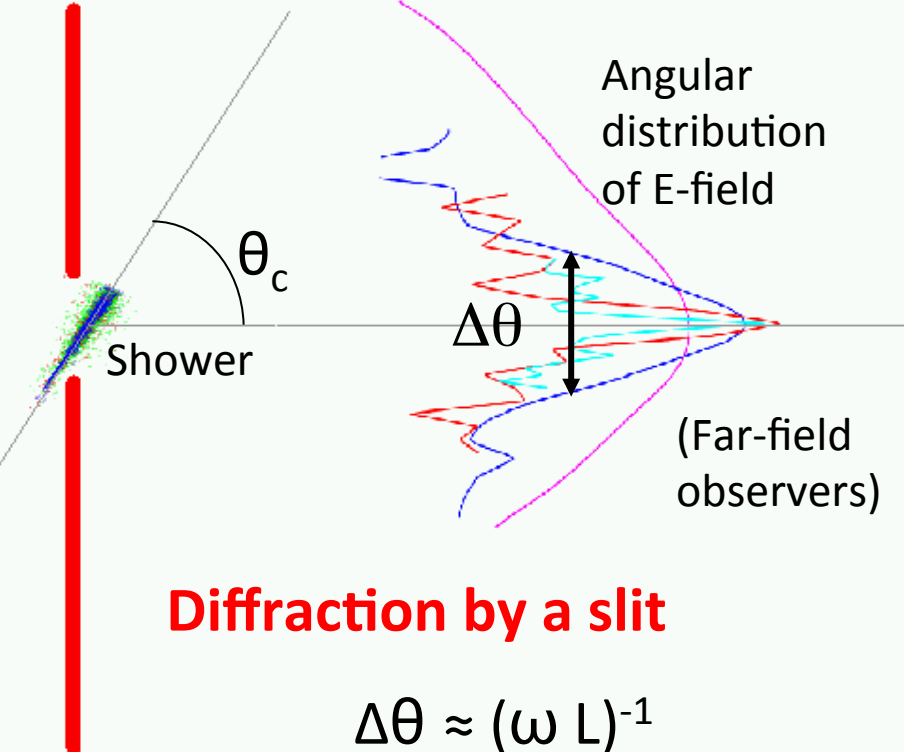
Fourier-transforming the longitudinal profile $Q(z)$ of excess charge

$$R|\vec{E}(\omega, \vec{x})| \approx \frac{e\mu_r i\omega}{2\pi\epsilon_0 c^2} e^{ikR} \sin\theta \int dz Q(z) e^{ipz}.$$

$$p(\theta, \omega) = \frac{\omega}{c} (1 - n \cos\theta)$$

$$J(z, t) = v Q(z) \delta(z - vt)$$

slit



Askaryan radiation

Time domain:

Current

$$J(z', t') = v Q(z') \delta(z' - vt')$$

(Far-field observers)



Coulomb gauge

Vector potential

$$A(t_{\text{obs}}, \theta) \approx v Q(\xi) / R$$

$\xi \rightarrow$ Retardation + time-compression effects:

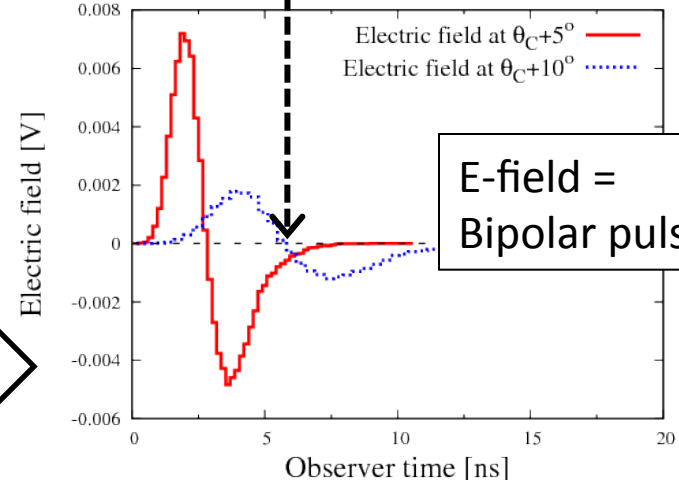
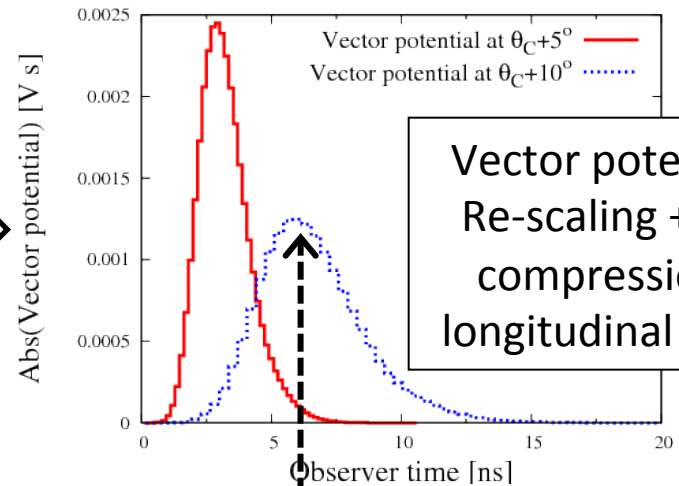
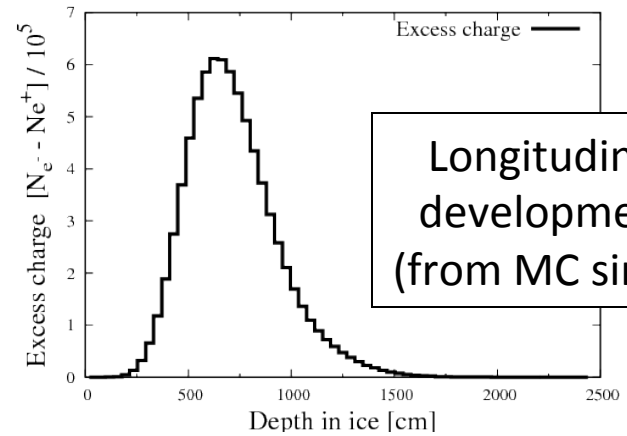
Source position (z') mapped to observer time (t_{obs}) via θ -dependent relation:

$$t_{\text{obs}} = z'(1 - n \cos \theta) / c + t_0 \quad t_{\text{obs}} = t_0 @ \theta_c$$



Electric field

$$E(t_{\text{obs}}, \theta) = dA(t_{\text{obs}}, \theta) / dt_{\text{obs}}$$



3D model with variable $Q(z')$

1D macroscopic model fails close to Cherenkov angle where lateral spread (relevant scale) determines the properties of radio emission

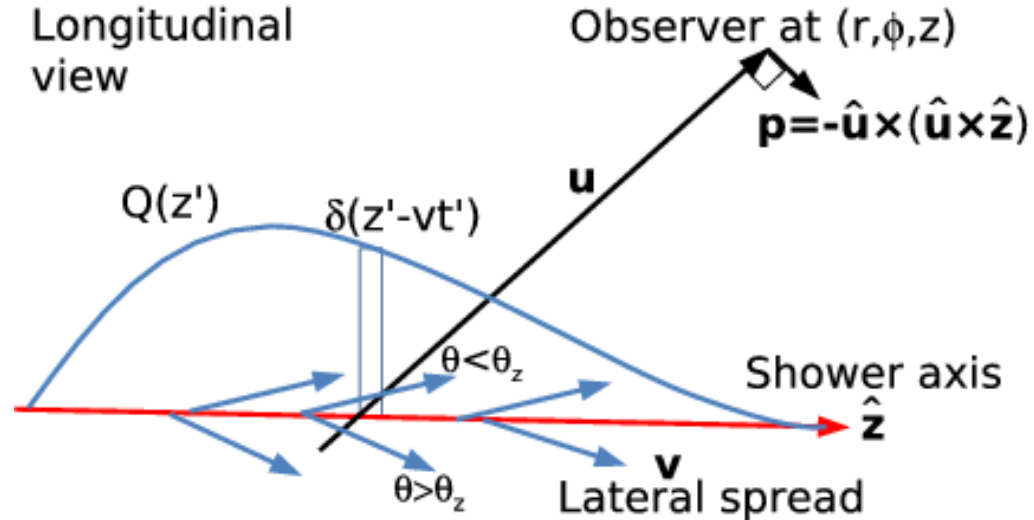
3D model

“Factorization” of current

$$J(r', \phi', z', t') = \underbrace{v(r', \phi', z')}_{\text{Lateral spread}} f(r', z') \times \underbrace{Q(z') \delta(z' - vt')}_{\text{Longitudinal spread}}$$

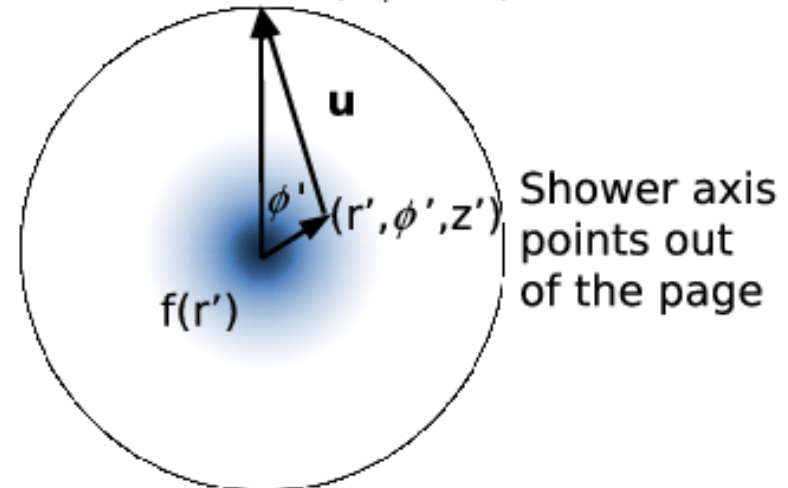
Geometry of Askaryan Radiation

Longitudinal view



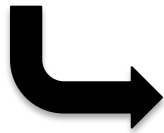
Lateral view

Observer at $(r, \phi=0, z)$



Dealing with the lateral spread:

Lateral spread is difficult to deal with when obtaining vector potential (to say the least ...)



$$\mathbf{A}(\theta, t) = \frac{\mu}{4\pi R} \int_{-\infty}^{\infty} dz' Q(z') \int_0^{\infty} dr' r' f(r', z') \times \int_0^{2\pi} d\phi' \frac{\mathbf{v}_{\perp}(r', \phi', z')}{v} \delta\left(z' \left[\frac{1}{v} - \frac{n \cos\theta}{c}\right] - \frac{nr' \sin\theta \cos\phi'}{c} + \frac{nR}{c} - t\right),$$

However if 2 assumptions are made:

- (a) Shape of lateral density depends weakly on depth z' : $f(r', z') \approx f(r')$
- (b) Radial velocities depend weakly on depth z' : $v(r', \phi', z') \approx v(r', \phi')$



$$\mathbf{A}(\theta, t) = \frac{\mu}{4\pi R} \sin\theta \underbrace{\int_{-\infty}^{\infty} dz' Q(z')}_{\text{Longitudinal}} \underbrace{\mathbf{F}\left(t - \frac{nR}{c} - z' \left[\frac{1}{v} - \frac{n \cos\theta}{c}\right]\right)}_{\text{Lateral}}$$

"Factorization" of longitudinal & lateral contributions



$$\begin{aligned} & \mathbf{F}\left(t - \frac{nR}{c} - z' \left[\frac{1}{v} - \frac{n \cos\theta}{c}\right]\right) \quad \text{Form factor} \\ &= \frac{1}{\sin\theta} \int_0^{\infty} dr' r' \int_0^{2\pi} d\phi' f(r') \frac{\mathbf{v}_{\perp}(r', \phi')}{v} \\ & \times \delta\left(z' \left[\frac{1}{v} - \frac{n \cos\theta}{c}\right] - \frac{nr' \sin\theta \cos\phi'}{c} + \frac{nR}{c} - t\right). \end{aligned}$$

J. A-M, A. Romero-Wolf, E. Zas, PRD **84**, 103003 (2011)
 form factor introduced first in:
 R. Buniy, J.P. Ralston, PRD **65**, 016003 (2001)

“Quasi- universal” form factor from MC simulations:

At Cherenkov angle only lateral spread is relevant

(all shower depths z' are seen at the same time – recall “box “model)

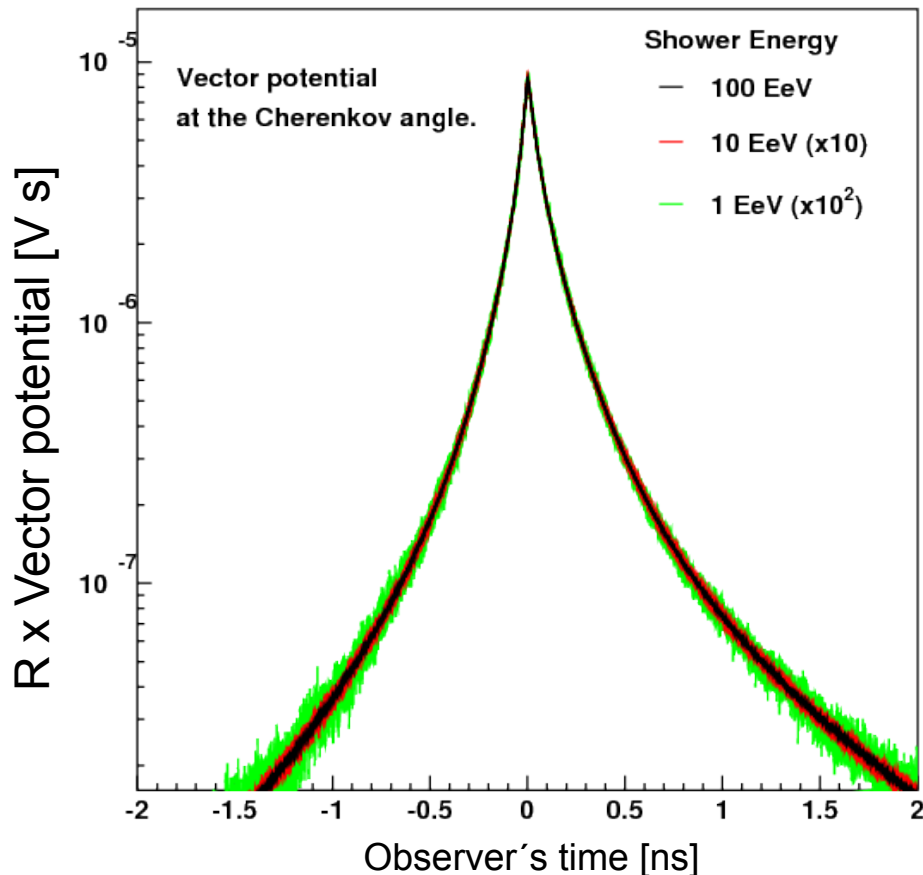
hence, the vector potential is only due to the lateral spread \Rightarrow form factor

Vector potential at θ_C :
obtained in MC sims.

$$\mathbf{A}(\theta_C, t) = \frac{\mu}{4\pi R} \sin\theta_C \mathbf{F}\left(t - \frac{nR}{c}\right) \int_{-\infty}^{\infty} dz' Q(z')$$

Form factor

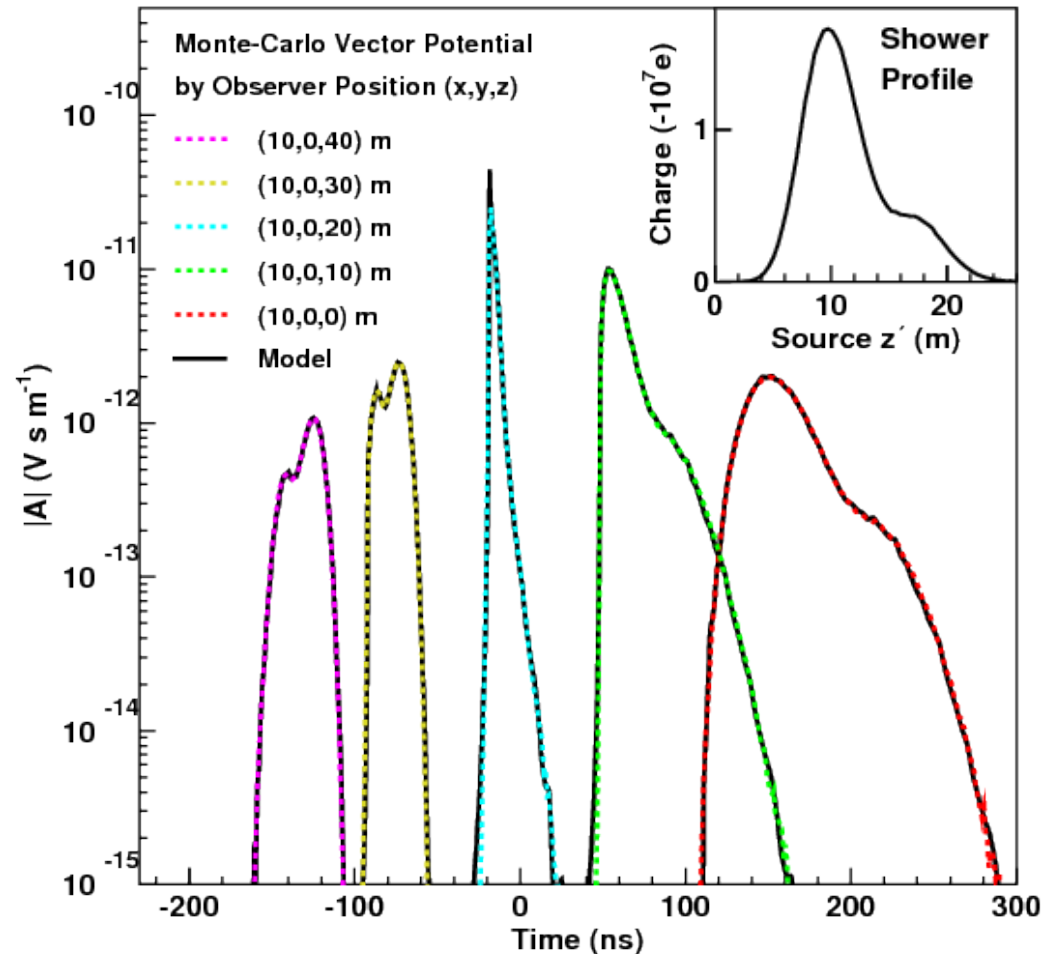
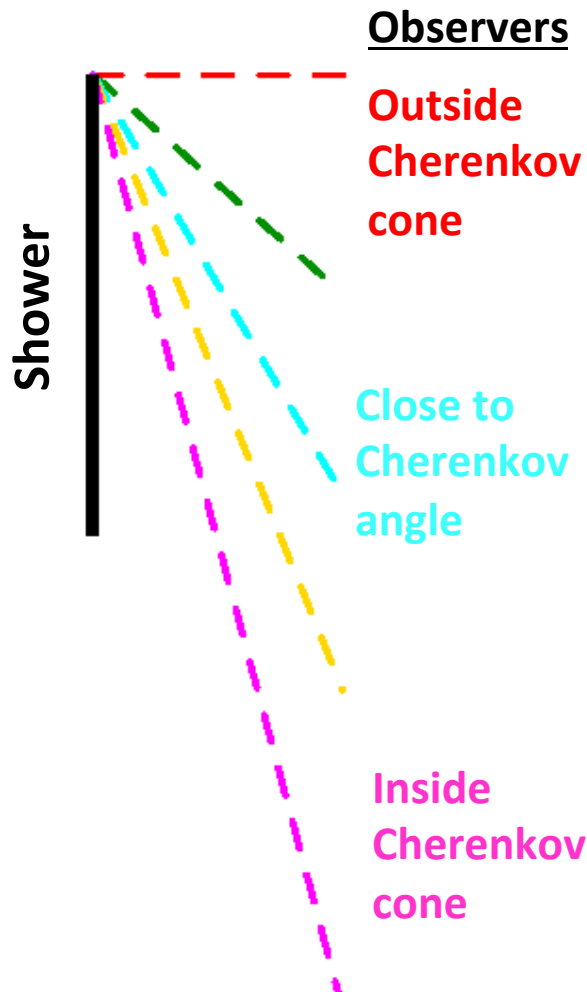
Shower tracklength:
obtained in MC sims.



- $\mathbf{A}(\theta_{\text{Cher}}, t)$ quasi-universal function
 - Scales with primary energy
 - Dependence with primary: e, p
- Asymmetric due to observer's position & radial components of velocity
- Existing parameterisation.

Macroscopic model vs full MC

$$A(\theta, t) = \frac{\mu}{4\pi R} \sin\theta \underbrace{\int_{-\infty}^{\infty} dz' Q(z')}_{\text{Longitudinal}} \underbrace{F\left(t - \frac{nR}{c} - z' \left[\frac{1}{v} - \frac{n \cos\theta}{c} \right]\right)}_{\text{Lateral (form factor)}}$$



Microscopic modeling: Monte Carlo simulations

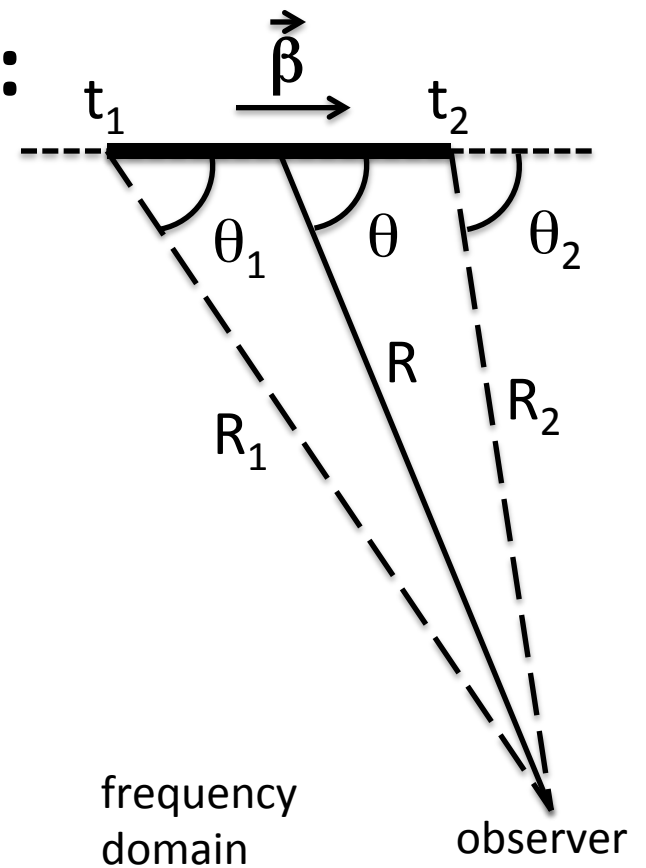
- ① Model $Q(t,r)$ as a **collection of individual charged particle tracks** using detailed Monte Carlo sims. of shower development
 - ② Obtain **electric field from 1 particle track** from 1st principles.
 - ③ **Add fields** from individual tracks (superposition principle).
 - Automatically takes into account interference (coherence effects)
- Approach taken in various **Monte Carlo codes** in dense media:
 - ZHS (Zas-Halzen-Stanev et al.) Zas, Halzen, Stanev PRD **45**, 362 (1992)
 - GEANT3.21 & 4 Razzaque et al. PRD **65**, 103002 (2002), Hussain & McKay PRD **70**, 103003 (2004)
 - ZHAireS (ZHS+Aires) J. A-M, W. R. Carvalho, M. Tueros, E. Zas, Astropart. Phys. **35**, 287-299 (2012)

Field of straight particle track: endpoints & ZHS algorithms

endpoints assumes emission only from instantaneous acceleration/deceleration at end points of track

$$|\vec{E}(\omega)| = +\frac{q}{c} \left(\frac{e^{ikR_1}}{R_1} \right) \left(\frac{e^{i\omega t_1}}{1 - n\beta \cos \theta_1} \right) \beta \sin \theta_1 - \frac{q}{c} \left(\frac{e^{ikR_2}}{R_2} \right) \left(\frac{e^{i\omega t_2}}{1 - n\beta \cos \theta_2} \right) \beta \sin \theta_2$$

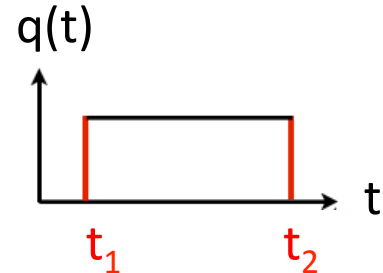
T. Huege et al., PRE **84**, 056602 (2011)



ZHS starts with current & solves Maxwell's equations in dielectric medium

$$|\vec{E}(\omega)| = \frac{q}{c} \left(\frac{e^{ikR}}{R} \right) \left(\frac{e^{i\omega(1-n\beta \cos \theta)t_2} - e^{i\omega(1-n\beta \cos \theta)t_1}}{1 - n\beta \cos \theta} \right) \beta \sin \theta$$

E. Zas, F. Halzen, T. Stanev PRD **45**, 362 (1992)



$$\mathbf{J}(\mathbf{x}, t) = -ev\delta^{(3)}(\mathbf{x} - \mathbf{x}_0 - vt)\Theta(t - t_1)\Theta(t_2 - t)$$

Pros & cons

endpoints

$$|\vec{E}(\omega)| = +\frac{q}{c} \left(\frac{e^{ikR_1}}{R_1} \right) \left(\frac{e^{i\omega t_1}}{1 - n\beta \cos \theta_1} \right) \beta \sin \theta_1 - \frac{q}{c} \left(\frac{e^{ikR_2}}{R_2} \right) \left(\frac{e^{i\omega t_2}}{1 - n\beta \cos \theta_2} \right) \beta \sin \theta_2$$

- ✓ Handles near-field $kR \sim 1$
- ✗ Infinite at Cherenkov angle
- ✗ Tends to a constant term for $\omega \rightarrow 0$
- Existing algorithm also in time-domain

ZHS

$$|\vec{E}(\omega)| = \frac{q}{c} \left(\frac{e^{ikR}}{R} \right) \left(\frac{e^{i\omega(1-n\beta \cos \theta)t_2} - e^{i\omega(1-n\beta \cos \theta)t_1}}{1 - n\beta \cos \theta} \right) \beta \sin \theta$$

- ✗ Valid as long as $kR \gg 1$
- ✓ Finite limit at Cherenkov angle.
- ✓ Tends to 0 for $\omega \rightarrow 0$ (bipolar pulses)
- Existing algorithm also in time-domain

Several facts:

- ✓ Both give the same result in the far-field:
 $1/R_1 = 1/R_2 = 1/R$ & $kR_1 = kR$ & $kR_2 = kR - kc\beta(t_2 - t_1) \cos \theta$
- ✗ endpoints uses ZHS formula close to Cherenkov angle to avoid infinities
- ✓ ZHS limit at Cherenkov angle validated with exact calculation (see next slide)
- ☐ SLAC T510 experiment (talks A. Zilles & K. Mulrey)) testing the two algorithms.

Validation of ZHS algorithm: comparison to Exact field calculation

Exact field calculation:

✓ No approximations whatsoever when solving Maxwell's equations for a single straight track.

– No far-zone limitation

✓ Accounts for all features of field

Better than 1% agreement as long as observer is in far-field zone: $kR \gg 1$

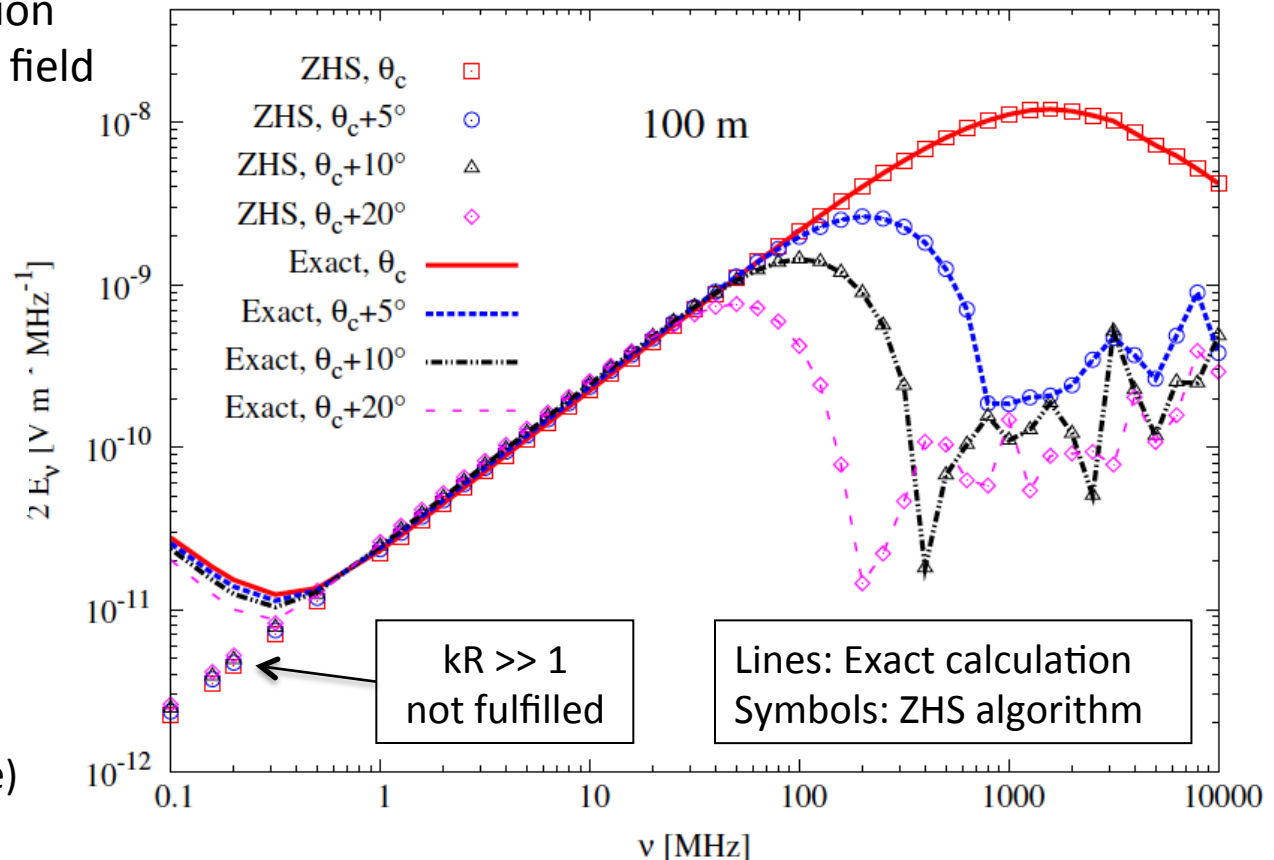
$$kR \sim 3.7 \left(\frac{\nu}{100 \text{ MHz}} \right) \left(\frac{R}{1 \text{ m}} \right) \gg 1.$$

Aside: For a very long (infinite) track, ZHS algorithm reproduces analytical Cherenkov formula (see paper below & backup slide)

Frequency spectrum 10 TeV electron shower in ice using:

- EXACT calculation of field from a single track (lines)
- ZHS algorithm (symbols)

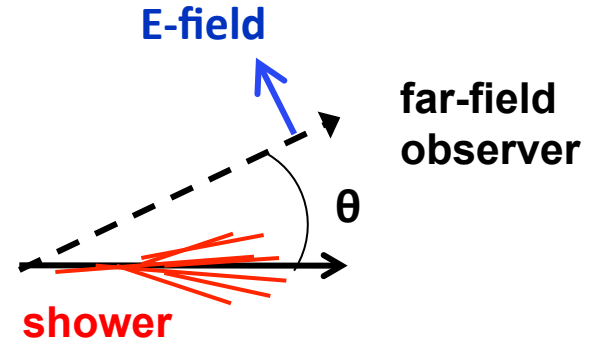
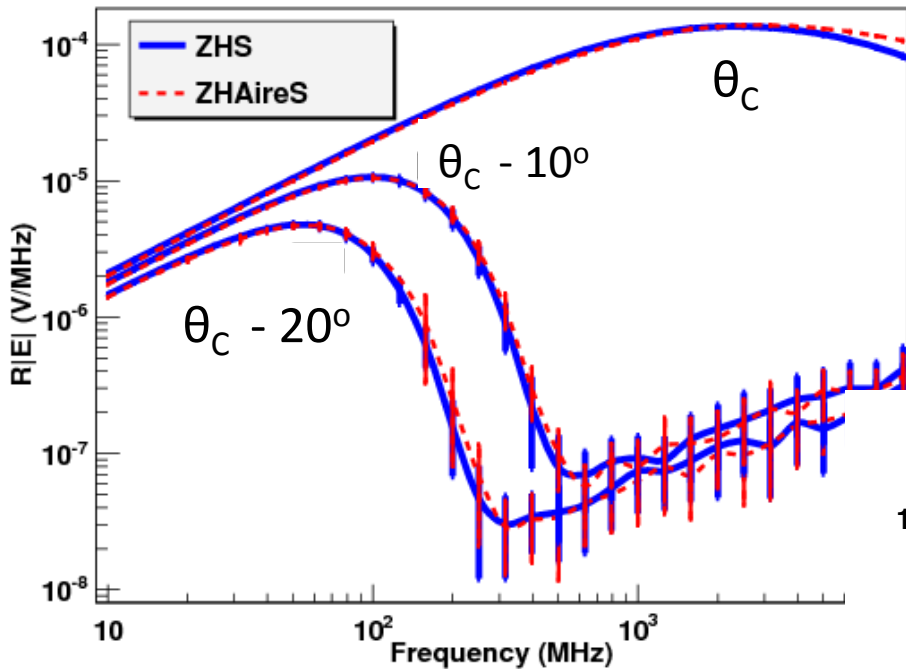
(Observers at various off-axis angles w.r.t. Cherenkov angle)



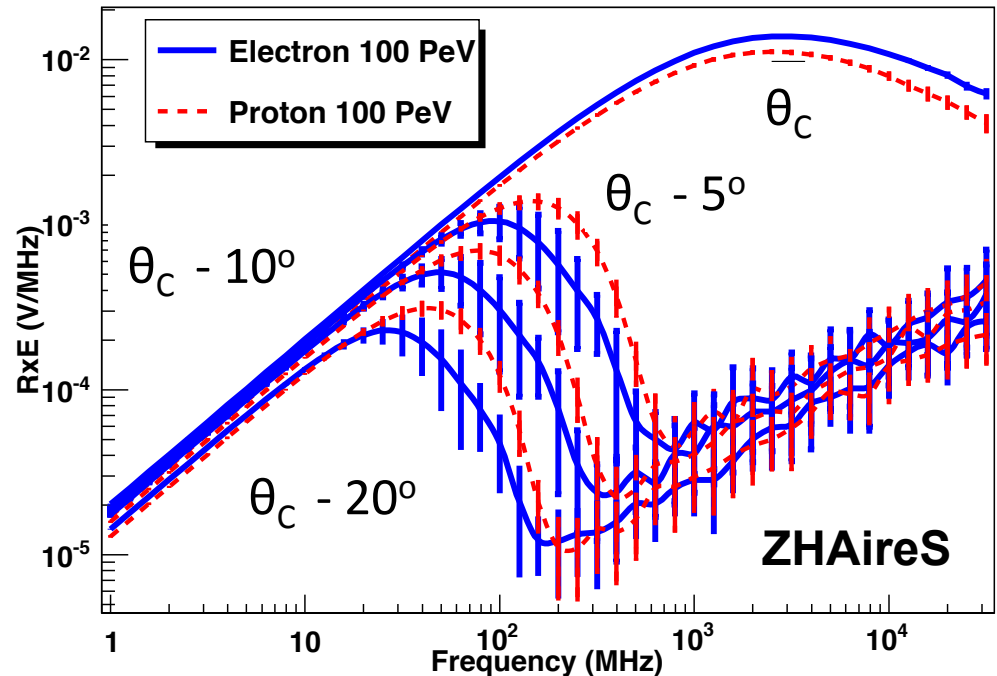
A few example results of microscopic modeling (ice)

Askaryan radiation: Frequency spectrum

Electron 1 PeV ice. ZHS vs ZHAireS

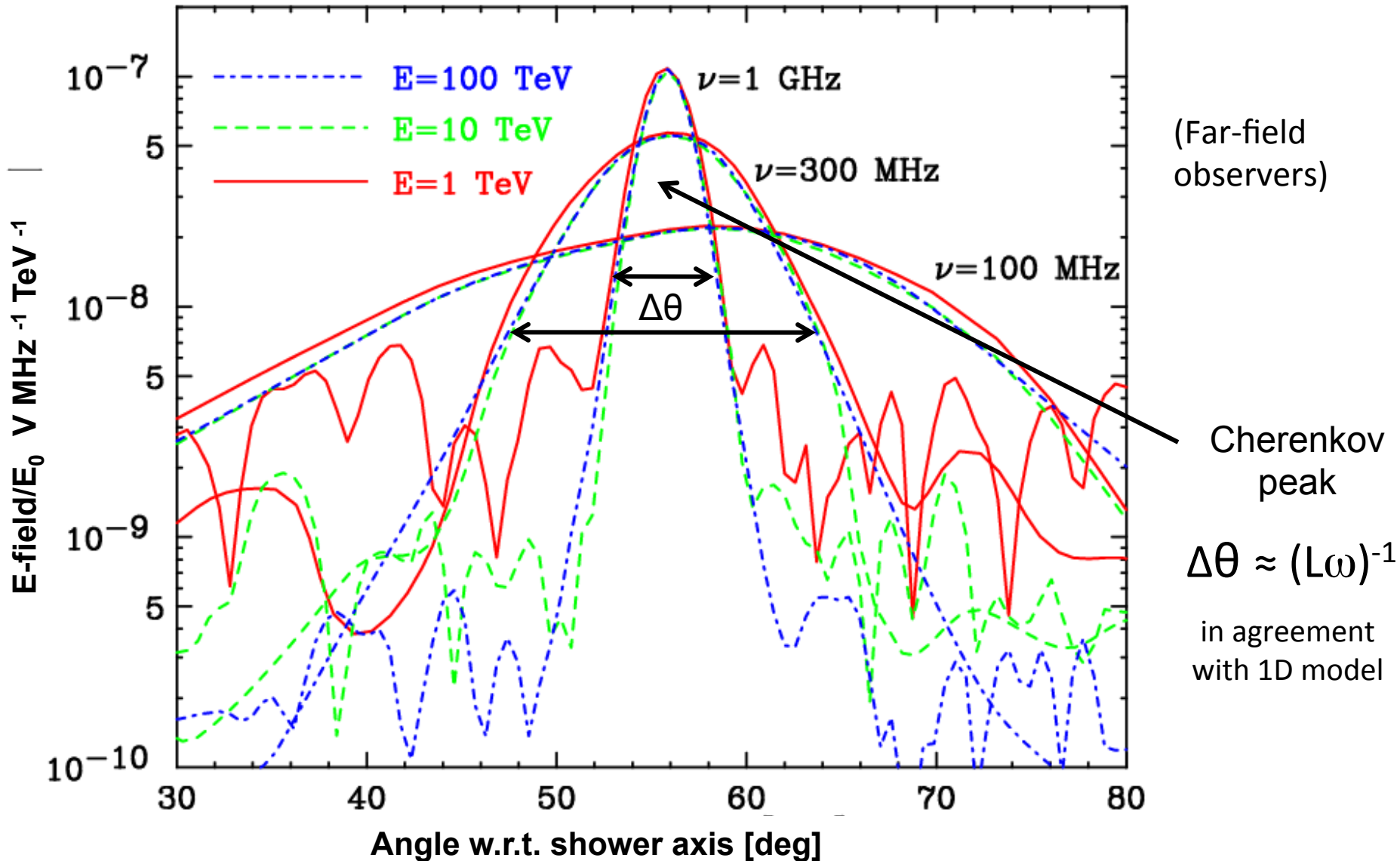


Electron & proton 100 PeV ice



- Microscopic models allow studies of proton electron & ν -induced showers including the LPM effect.
- Cut-off frequencies roughly as predicted by simplest models.

Angular pattern: diffraction effects



ZHS ice

Askaryan radiation

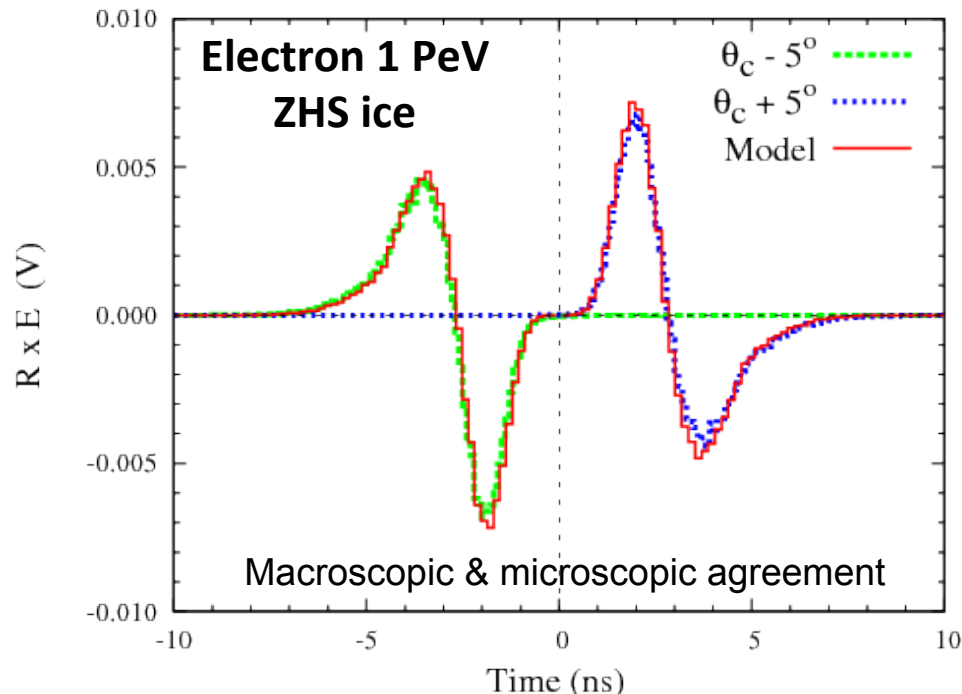
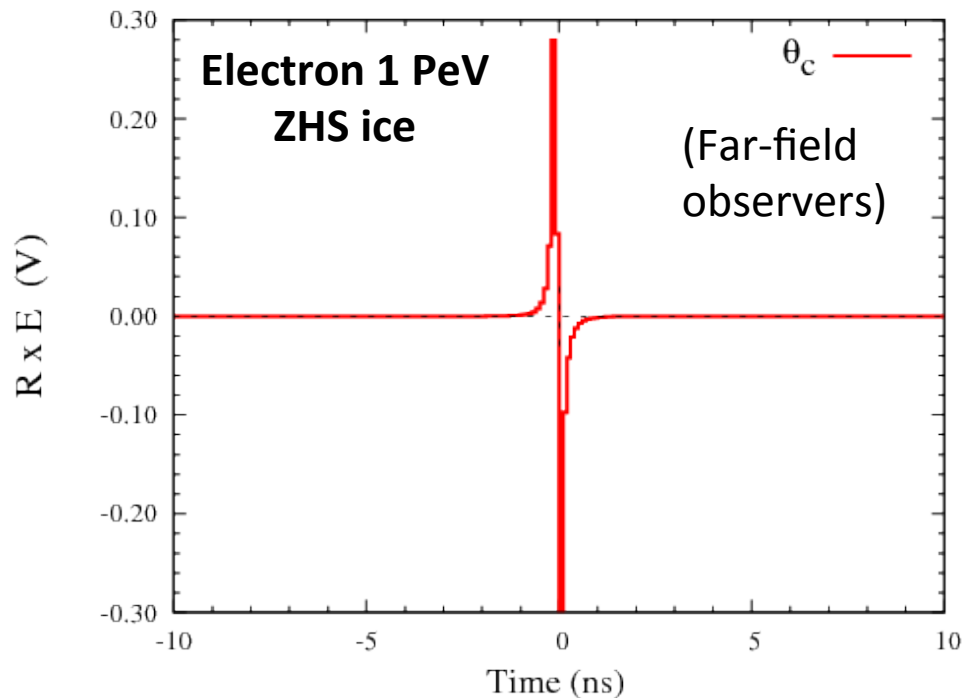
Time domain MC

Narrow nano-second signal at Cherenkov angle

Time duration increases as observer moves away from θ_c

Relativistic effects apparent:
Time reversal inside & outside Cherenkov cone: pulses are reflections of each other.

... as expected from simple models.

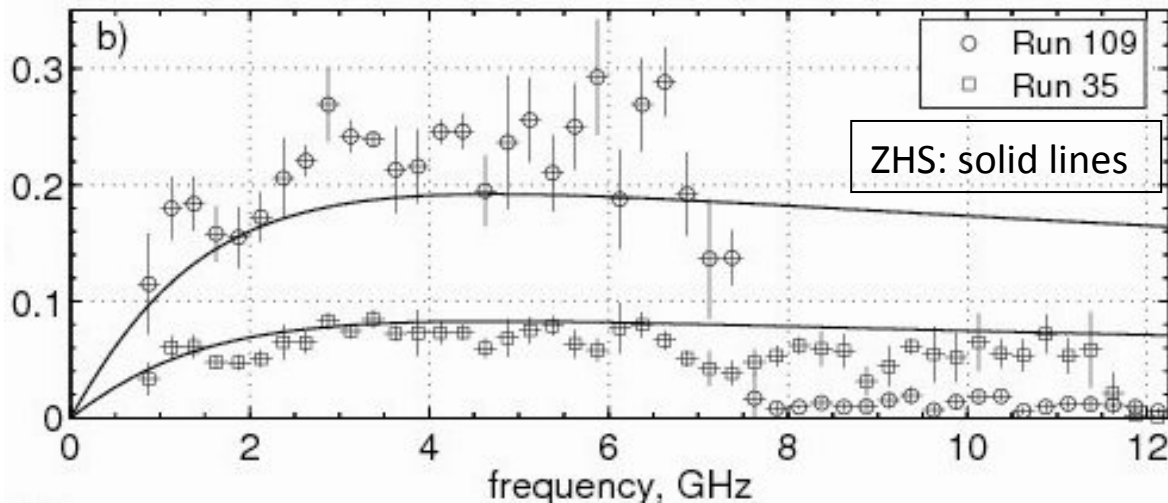


Models & SLAC data: sand, salt, ice

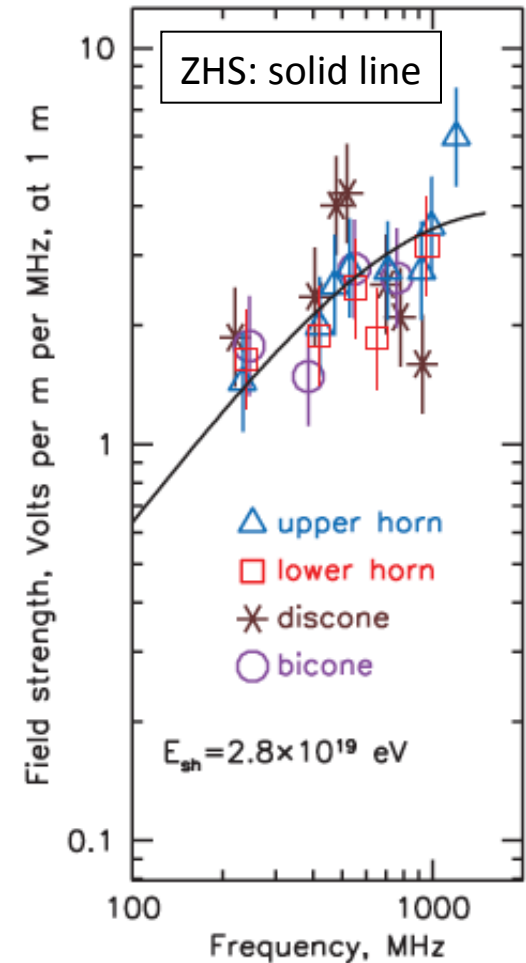
Bunches of \sim GeV bremsstrahlung γ dumped in sand & salt & ice: $E_0 \sim 6 \times 10^{17} - 10^{19}$ eV.

- Askaryan effect seen.
- Linearly polarized signal.
- Power in radio waves goes as Energy squared
- Bipolar pulses in time-domain

Frequency spectrum (Salt)



Frequency spectrum (Ice)

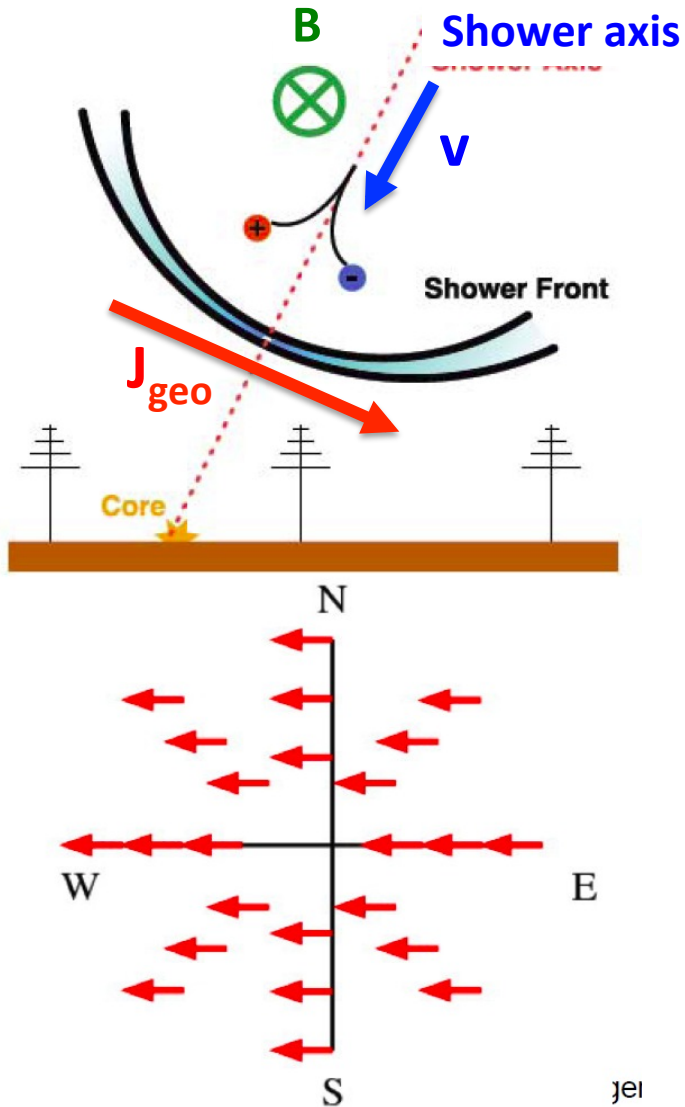


D. Saltzberg et al. PRL **86** (2001);
P. Gorham et al. PRD **72** (2005) 023002
P. Miocinovic et al. PRD **74** (2006),
P. Gorham et al. PRL **99** (2007)

See also SLAC T-510 experiment (talks Mulrey & Zilles)

Modeling radio emission from particle showers in air

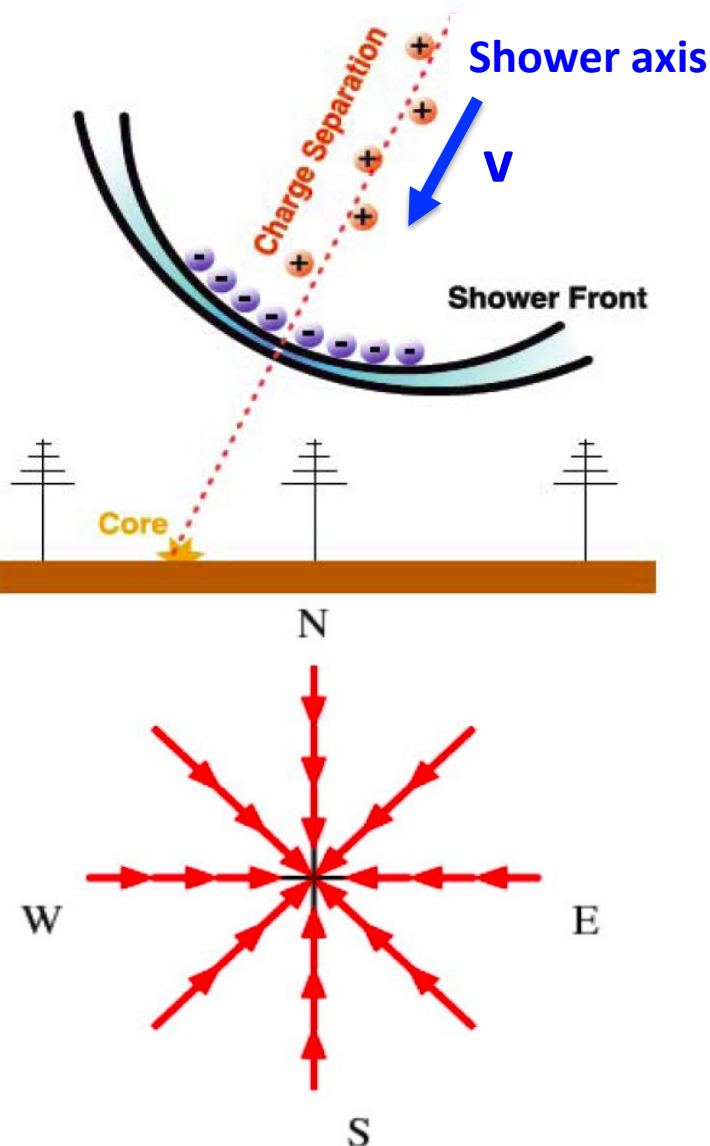
Net charge: Geomagnetic mechanism



- Separation of e^- & e^+ in magnetic field of Earth.
- Induces **drift electric current** (J_{geo}) approx. perpendicular to shower axis (v) and magnetic-field (B) i.e. parallel to $(v \times B)$
- Current travels with shower front & **varies in time as number of particles**:
 - this induces the bulk of radiation.
 - responsible for bi-polar pulses
- Electric field:
 - **magnitude** $\sim B \sin \alpha$ (α = angle between B and v)
 - approx. **polarized along direction of Lorentz force: $v \times B$**

Approx. polarization pattern on shower plane

Charge-excess (Askaryan) mechanism



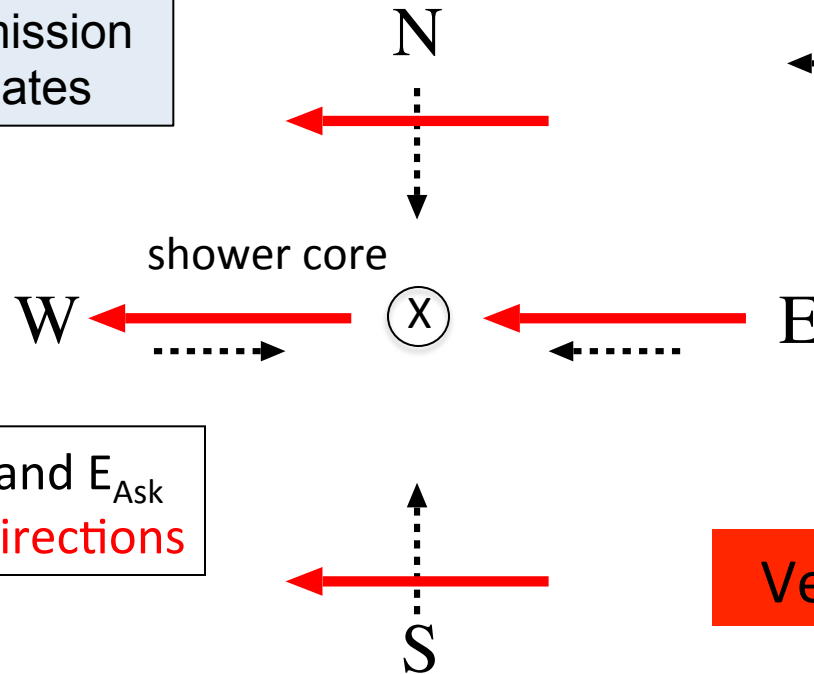
- As shower develops, it drags atomic e- of atmosphere that “entrain” into shower, mainly due to Compton scattering of shower photons: $\gamma e\text{-(atomic)} \rightarrow \gamma e\text{-}$
 - ~ 20-30 % of excess e- induced
- Induces electric current (J_{Ask}) approx. along shower axis (v).
- Current travels with shower front & varies in time as excess of e-:
 - this induces bulk of radiation due to charge excess.
 - bi-polar pulses
 - can become dominant if shower axis is parallel to magnetic field
- Electric field approx. polarized perpendicular to axis v (i.e. radial)

Interference between both mechanisms

Vectorial addition of geomagnetic and charge-excess fields induces asymmetries in total electric field

Geomagnetic emission typically dominates

← geomagnetic
←····· Askar'y an



East of core E_{geo} and E_{Ask} point in **same direction**

West of core E_{geo} and E_{Ask} point in **opposite directions**

Vertical $\theta=0^\circ$ shower

Approx. polarization pattern on shower plane

Paradigm tested with MC simulations: see J.A-M et al. talk at this meeting

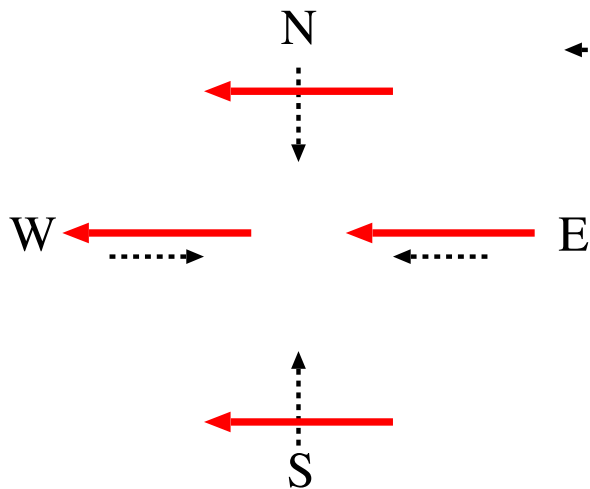
Asymmetries in the Lateral Distribution of radio signal

ZHAireS simulations

← geomagnetic

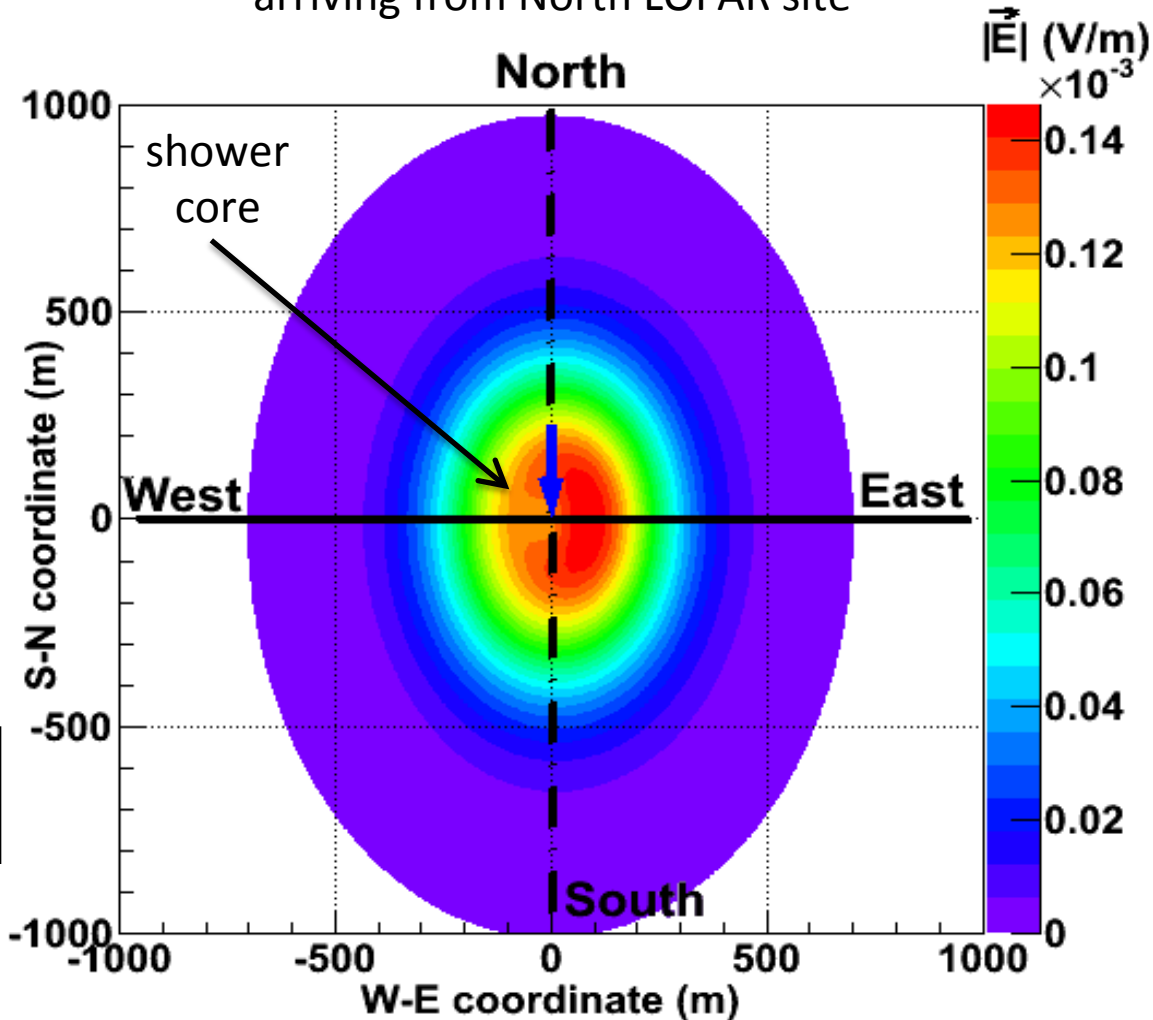
←···· Askar‘yan

Proton, 10^{17} eV, 45°
arriving from North LOFAR site



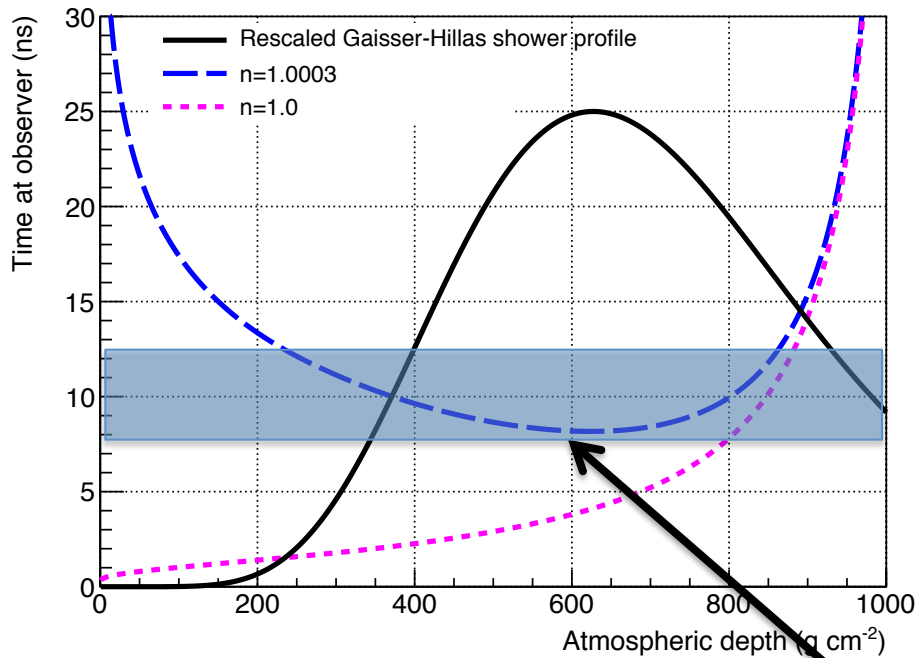
1-dimensional function cannot describe complex pattern of signals
 $|E|$ depends on r and ϕ (azimuthal angle of observer)

2-dimensional pattern of electric field modulus on ground



Cherenkov-like phenomena

- Relativistic effects play a crucial role in emission.
- Stem from the fact that refractive index of air $n > 1$ (~ 1.000325 at sea level)
- Shower particles ($E > \text{few MeV}$) travel faster than radio waves:

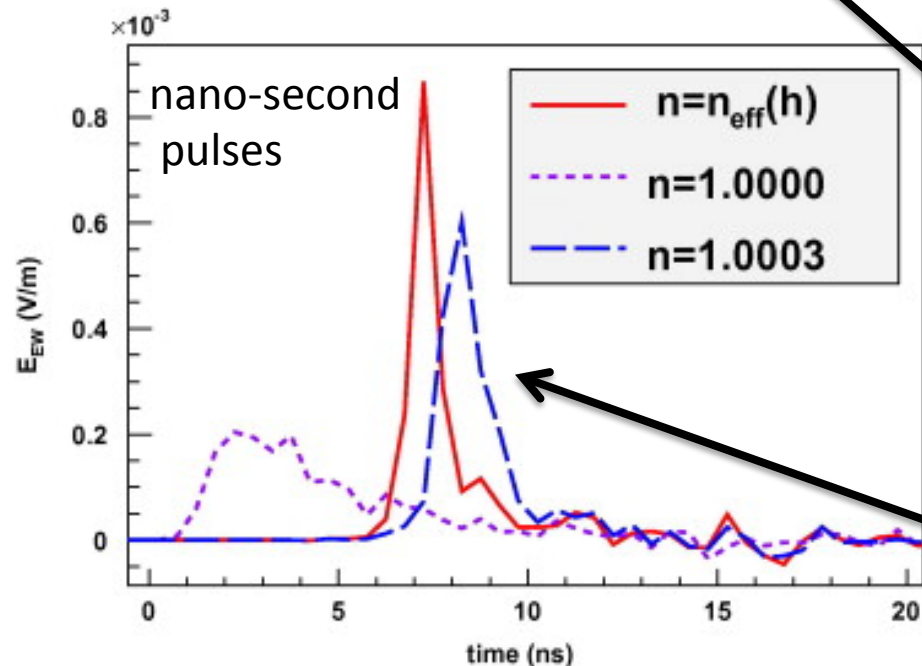


Waves from different parts of shower development can arrive simultaneously at observer

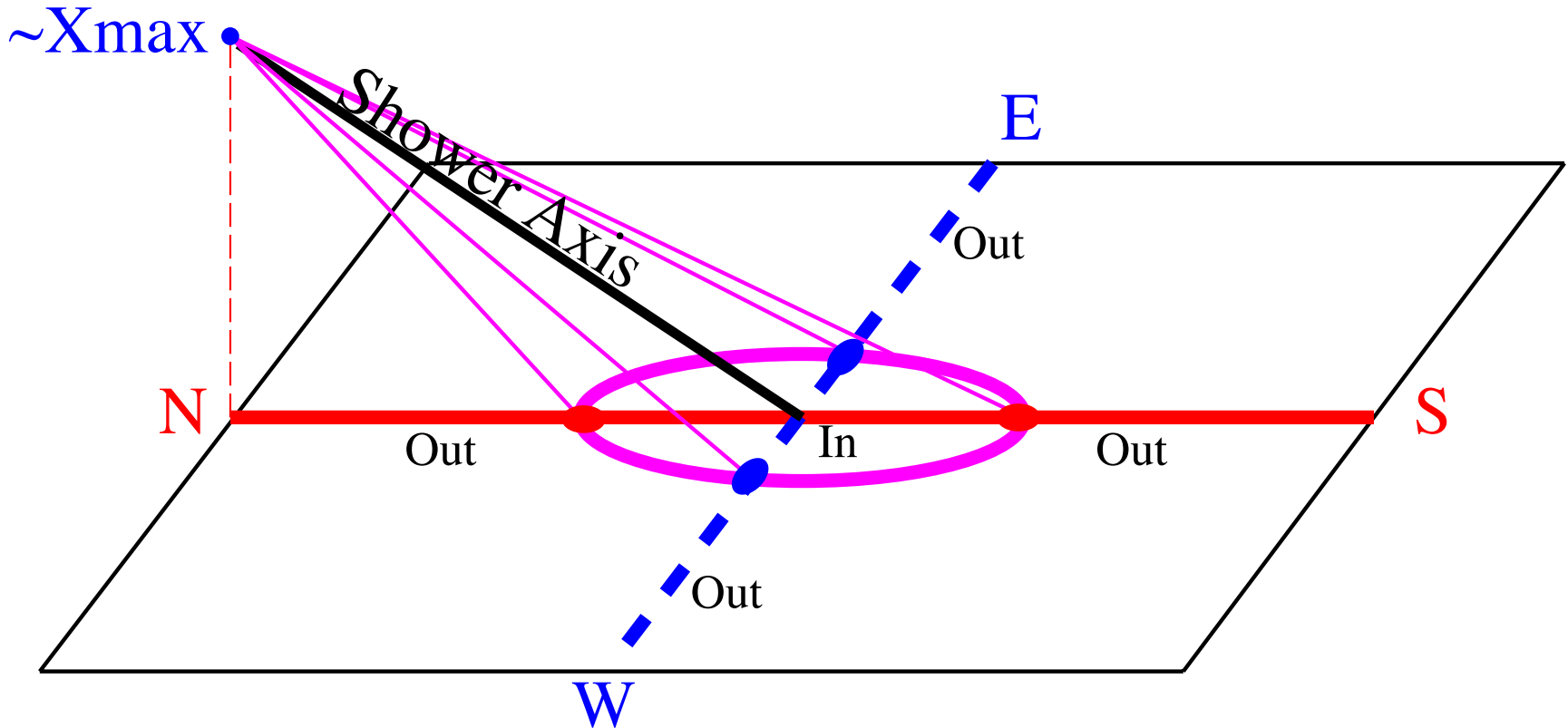
This **observer** "sees" wide region around shower max. in just a few ns



Time-compression boosting emission. High Frequency components up to GHz

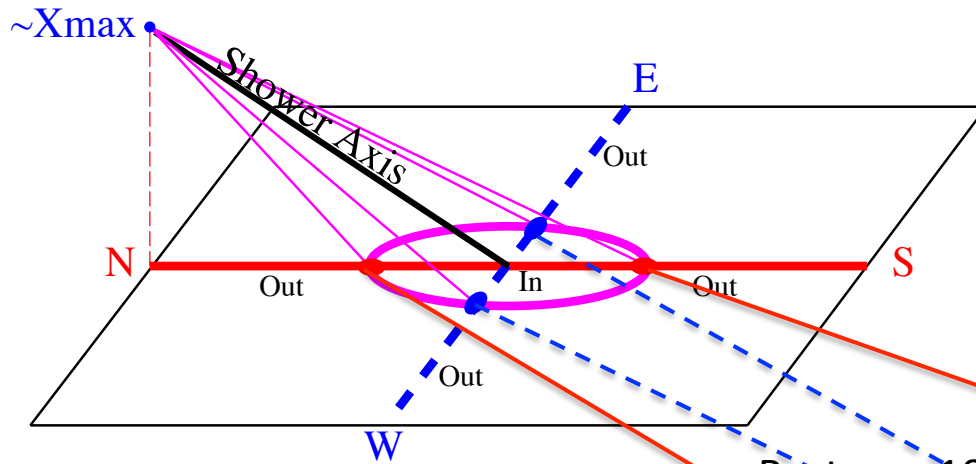


Cherenkov ring

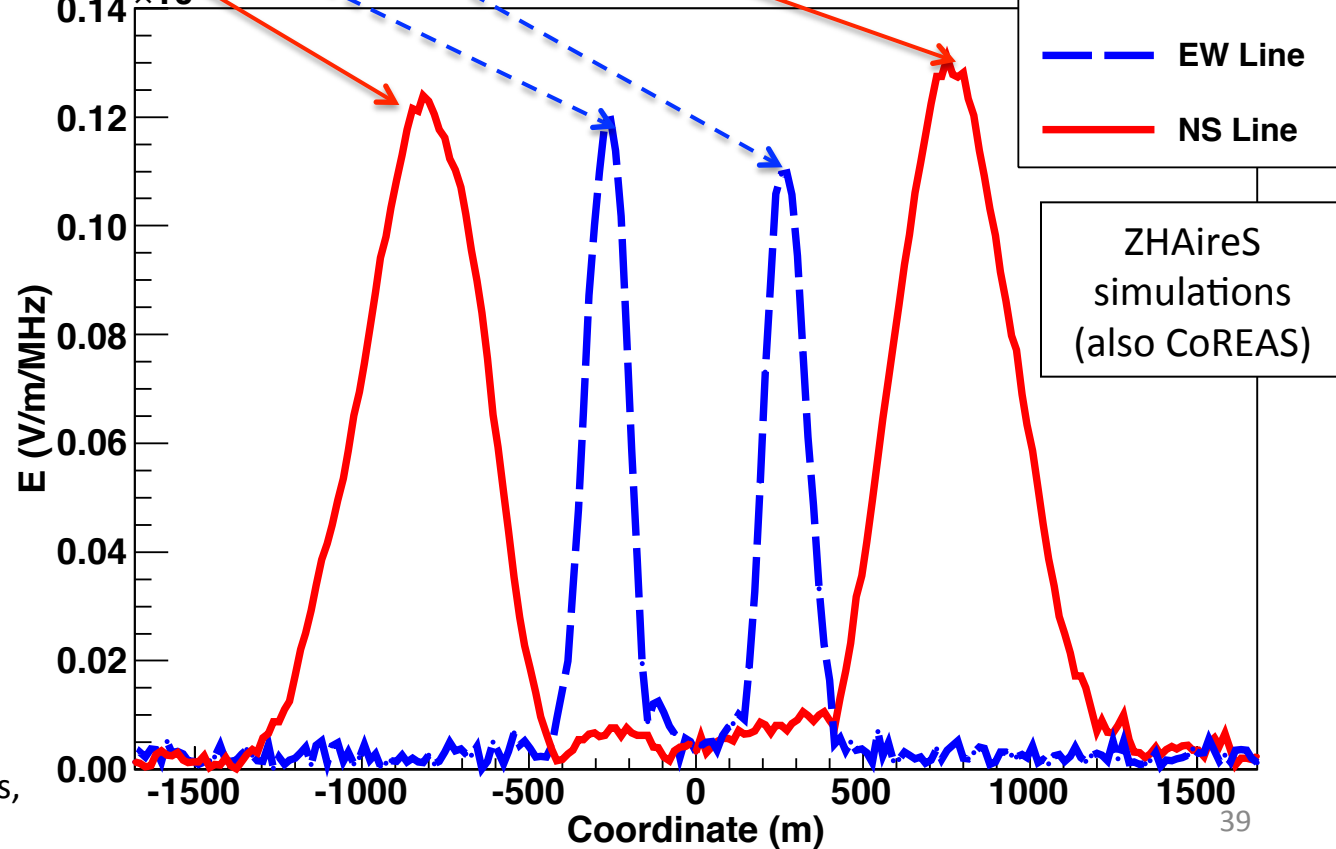


Amplitude of electric field largest for observers viewing depth of maximum (X_{\max}) at Cherenkov angle (~ 1 deg.) \rightarrow induces Cherenkov ring

Cherenkov ring



$\times 10^{-3}$ Proton - 10^{19} eV - 70 deg - from North



Cherenkov ring seen in Monte Carlo simulations.

(Elliptical ring due to projection effects)

Modern models and Monte Carlo codes (Air)

- more „microscopic“
- MGMR time-domain, analytic, parametrized shower, fast, free parameters, summing up „mechanisms“
 - EVA time-domain, parameterisation of distributions derived from cascade equations or MC
 - SELFAS2 time-domain, shower from universality, summing up vector potentials for tracks
 - REAS3.1 time-domain, histogrammed CORSIKA showers, endpoint formalism
 - ZHAireS time- and frequency-domain, Aires showers, ZHS formalism **Also works in dense dielectric media**
 - CoREAS time-domain, CORSIKA showers, endpoint formalism

Macroscopic GeoMagnetic (MGMR)

Radiation Model

- **Analytic approach** – simplified macroscopic description of (**point-like**) currents in space & time with input from MC simulations.

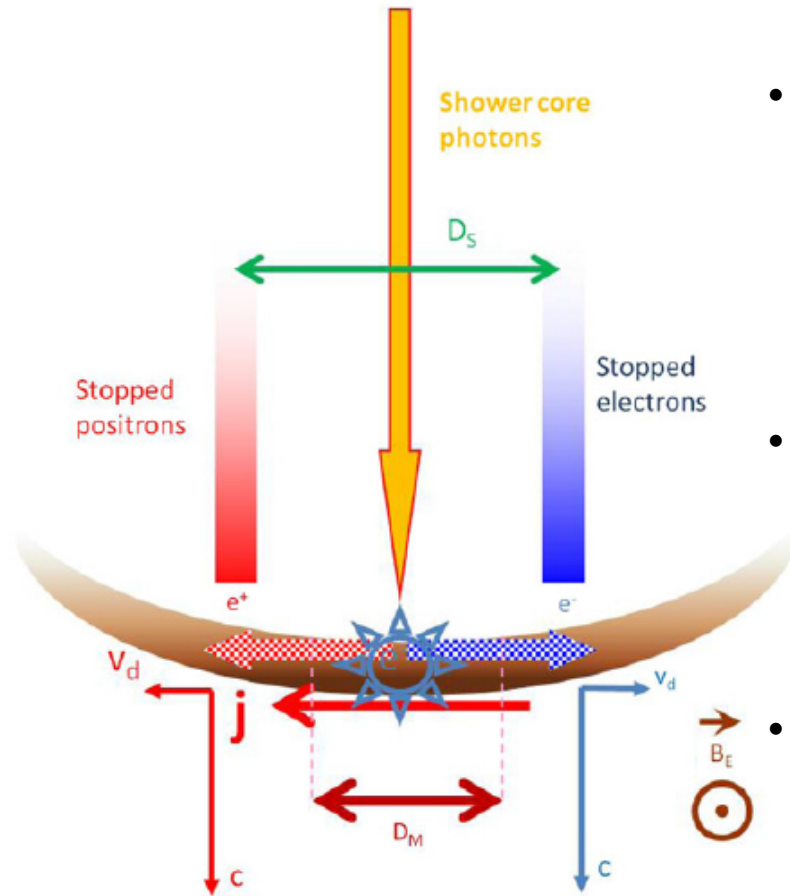
- Model for **transverse** current induced by Earth's magnetic field:

$$j(x,y,z,t) = \underbrace{\langle v_d q \rangle}_{\text{drift velocity}} \overbrace{e N_e f_t(t_r)}^{\text{longitudinal profile}}$$

- **Time variation of current** induces bulk of emission:

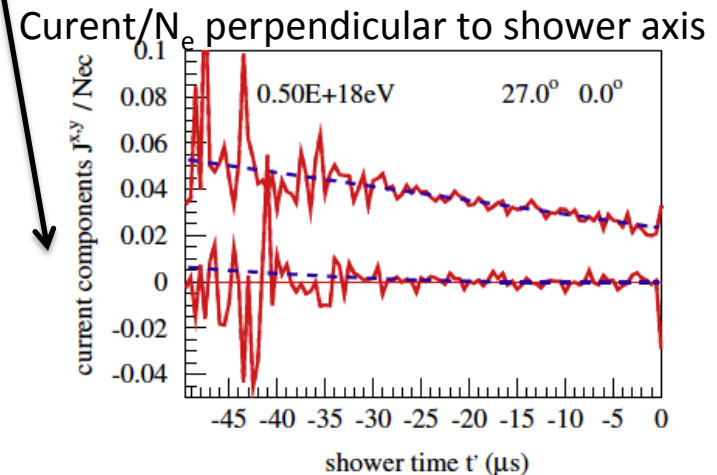
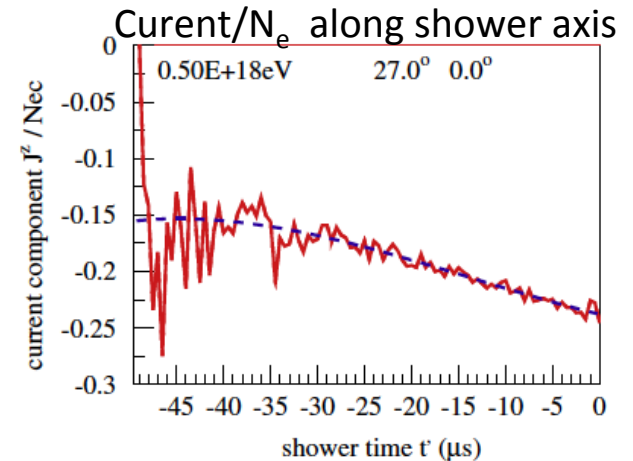
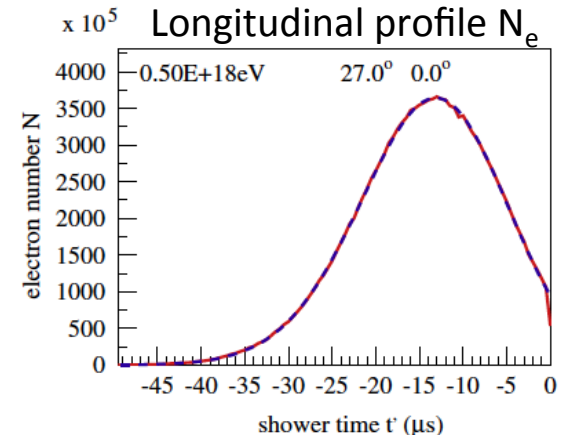
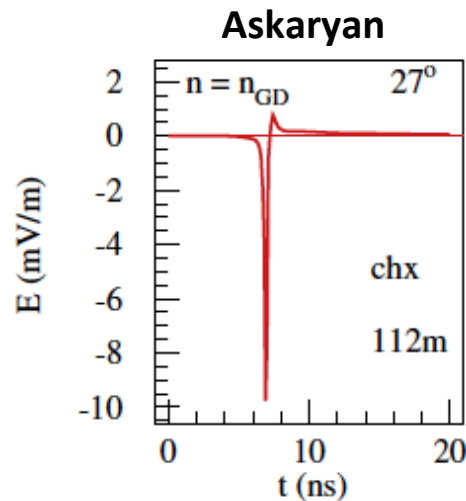
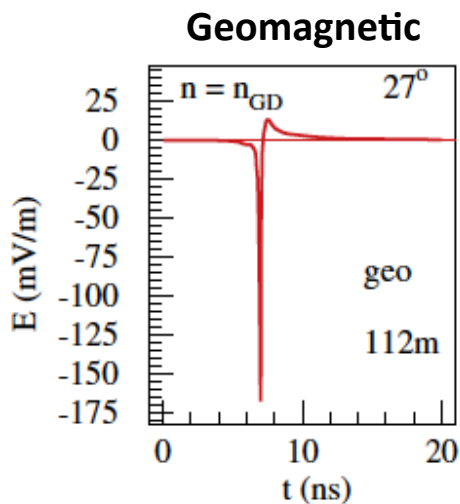
$$E_x(t, d) \approx J \frac{c^2 t_r^2 4}{d^4} \left[\boxed{t_r \frac{df_t(t_r)}{dt_r}} + f_t(t_r) \right] \text{ at large distances to core}$$

- **Other contributions** (in order of relevance):
 - Time-variation of **charge-excess** longitudinal current.
 - Moving Geomagnetic current itself.
 - Dipole momentum moving with shower front.
 - Charged plasma “left behind” by shower: at rest but increases as shower develops \Rightarrow radiates



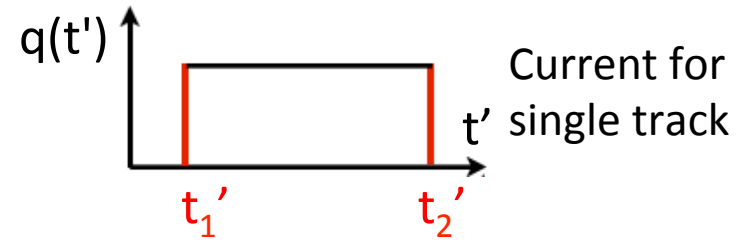
Electric fields using Variable n in Air (EVA)

- More precise currents in space & time from MC sims. of shower development (CONEX + B field)
- **Smooth parameterizations** of currents to perform semi-analytic calculations of fields: geomagnetic & Askaryan contributions.
- Realistic refractive index $n(h)$: Cherenkov-like phenomena

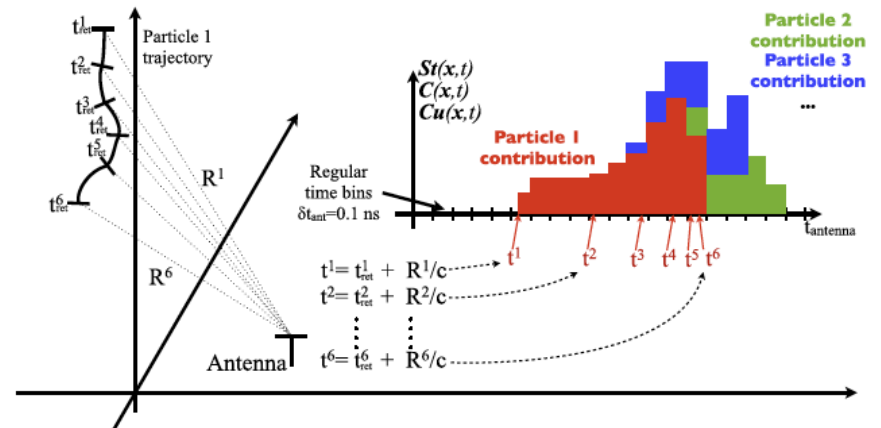


Simulation of Electric Field in Air Showers (SELFAS)

- Shower sampled from universal distributions:
 - GIL Longitudinal profile, energy distribution, momentum direction, lateral distribution, delay time (shower front thickness), charge excess
- Sample e+ and e- of shower front (3D)
- Track each e+/e- along their trajectory:
 - magnetic field deflection
 - energy loss
 - multiple scattering



- Calculate field of each track



- Sum up all individual fields at any space-time observer position

$$\mathbf{E}_{tot}(\mathbf{x}, t) = \frac{1}{4\pi\epsilon_0} \left\{ \underbrace{\sum_{i=1}^{\zeta} \left[\frac{\mathbf{n}_i q_i(t_{ret})}{R_i^2 (1 - \boldsymbol{\beta}_i \cdot \mathbf{n}_i)} \right]_{ret}}_{\text{Static contribution}} + \underbrace{\frac{1}{c} \frac{\partial}{\partial t} \sum_{i=1}^{\zeta} \left[\frac{\mathbf{n}_i q_i(t_{ret})}{R_i (1 - \boldsymbol{\beta}_i \cdot \mathbf{n}_i)} \right]_{ret}}_{\text{Time variation of excess charge}} - \underbrace{\frac{1}{c^2} \frac{\partial}{\partial t} \sum_{i=1}^{\zeta} \left[\frac{\mathbf{v}_i q_i(t_{ret})}{R_i (1 - \boldsymbol{\beta}_i \cdot \mathbf{n}_i)} \right]_{ret}}_{\text{Time variation of geomagnetic current}} \right\}$$

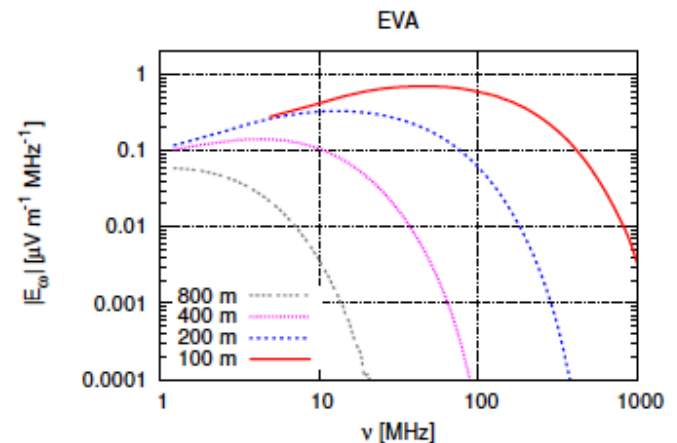
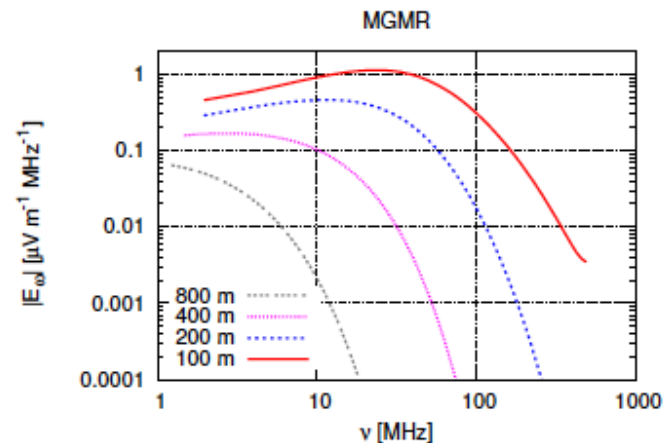
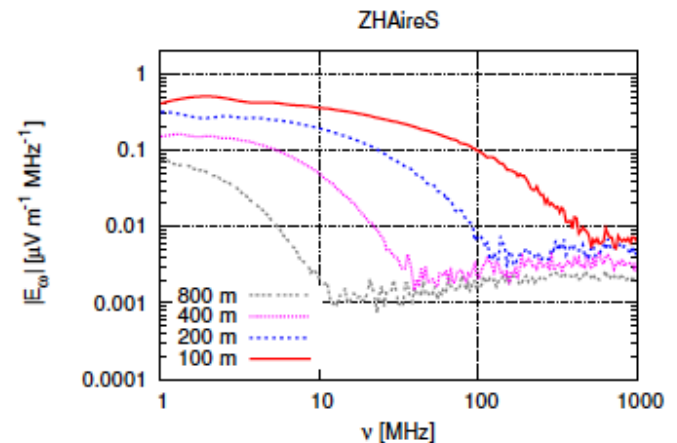
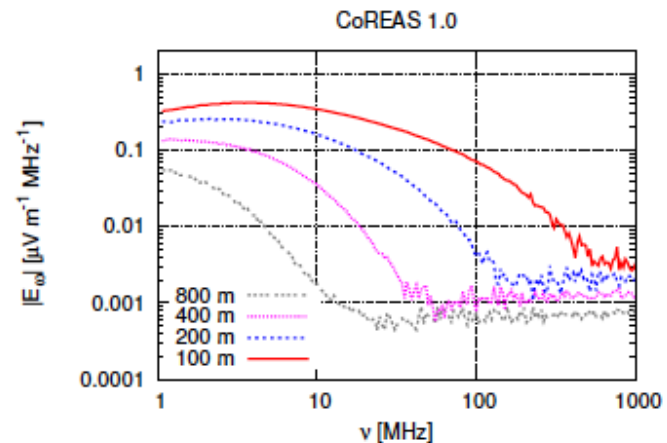
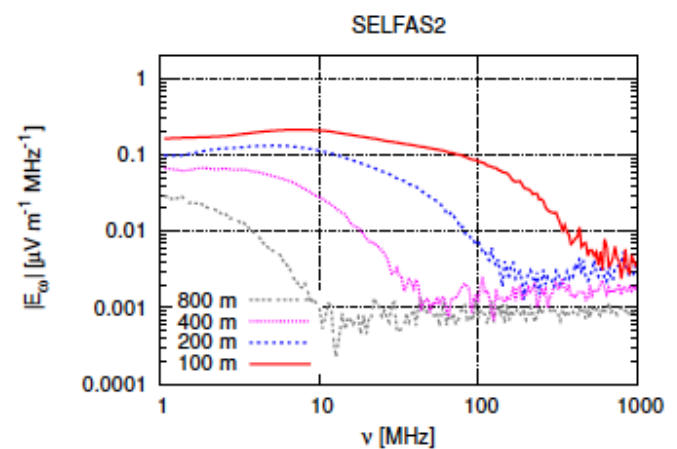
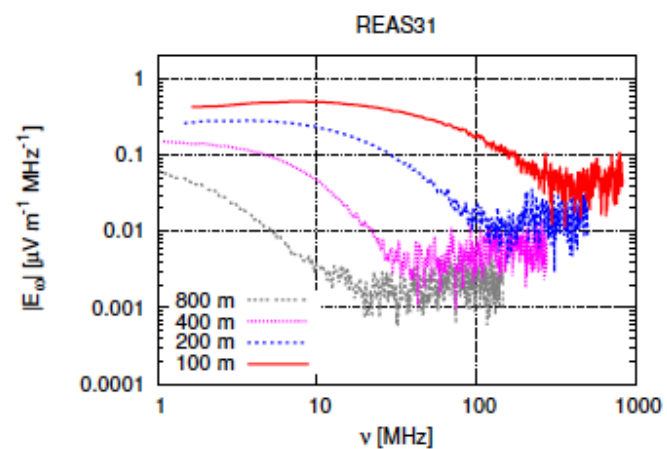
CoREAS & ZHAireS

- **Microscopic models** for the calculation of radio emission from EAS
 - Particles tracked in full shower Monte Carlo simulations
- Superposition of radio emission from individual e^+ and e^-
 - Time-domain calculation
 - Based on “endpoint formalism”
- Superposition of radio emission from individual e^+ and e^-
 - Time & freq-domain calculation
 - Based on “ZHS algorithm”
- No prior assumptions for “emission mechanisms” – 1st principles.
- Both include realistic refractive index:
 - Treatment of ref. index in curved atmosphere: matters above zenith ~ 80 deg.
- All features available in underlying shower codes can be used:
 - Different primaries, energies, directions,...
 - ALL hadronic interaction models
 - Different sites on Earth
 - Many hadronic interaction models
 - Also works in dense media
 - Handles reflection of radio emission on Antarctic ice cap
see talk by E. Zas

**A few example results of
macro & microscopic modeling
(air)**

Proton
 $E = 10^{17}$ eV
 $\theta = 0$ deg.
 Ref. index $n = 1$
 Pierre Auger site

Frequency spectra
 (absolute amplitudes)
 different distances to
 shower core



Proton

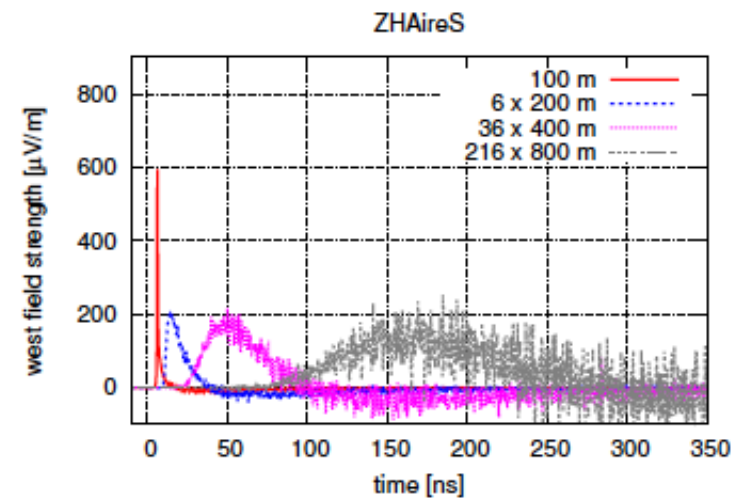
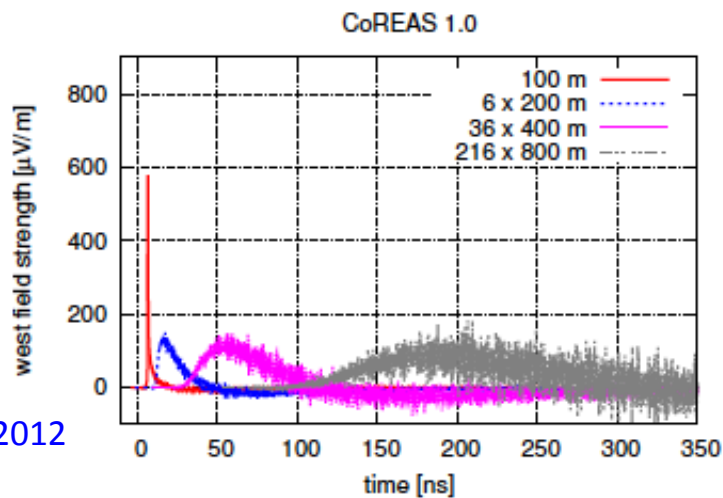
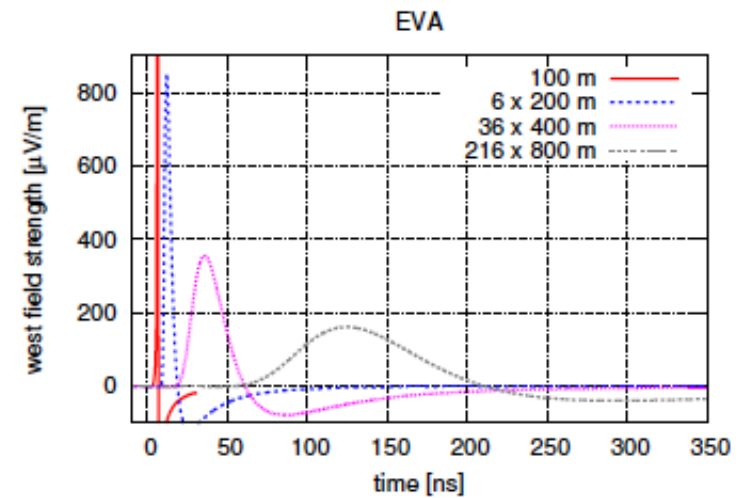
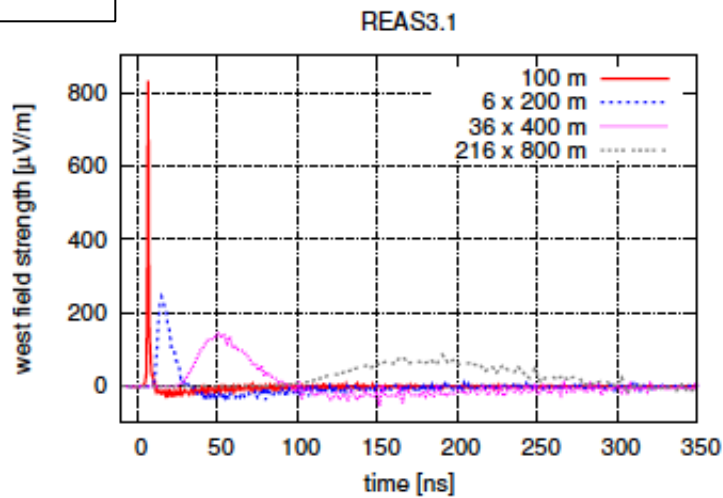
$E = 10^{17}$ eV

$\theta = 0$ deg.

Realistic n

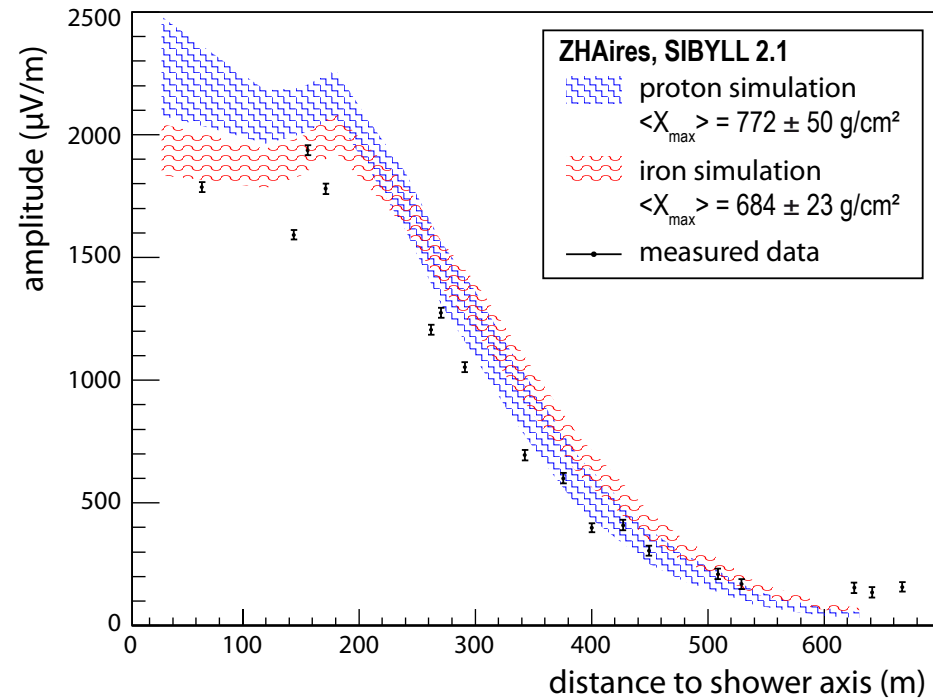
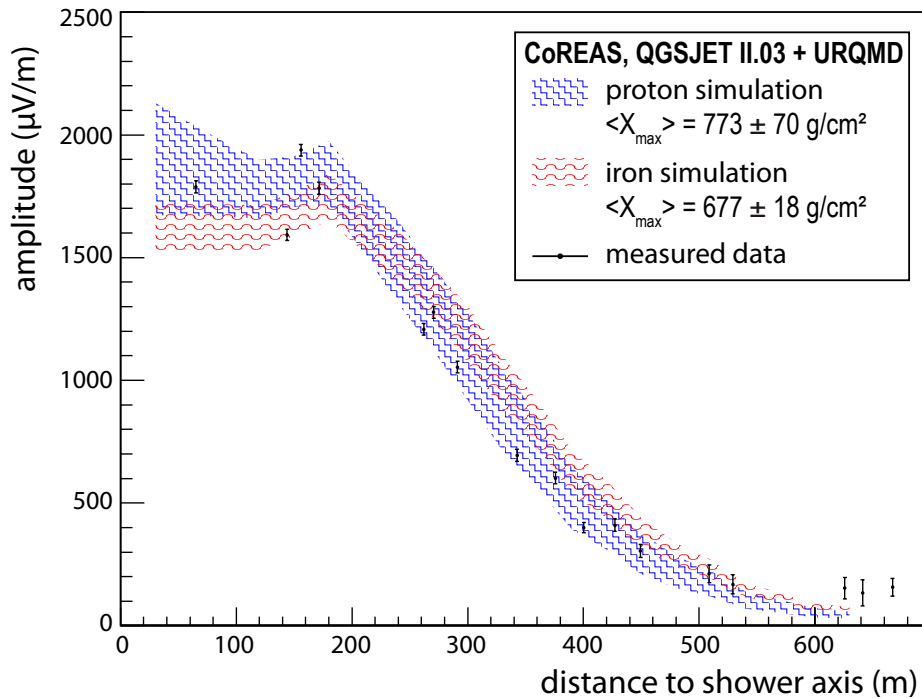
Pierre Auger site

West component of electric field
at different distances to shower core



Models & data

AERA data: hybrid (SD & radio) event: $E \sim 4 \cdot 10^{18}$ eV, $\theta \sim 58$ deg.



F. Schröder (Auger – AERA) ICRC13

Also micro & macro models satisfactorily compared to:

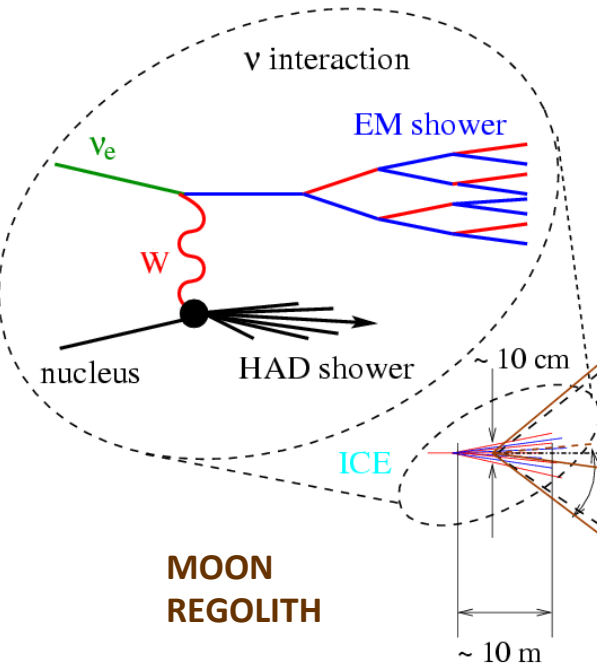
- Auger-AERA polarization data - Pierre Auger Collab. PRD **89**, 052002 (2014)
- LOFAR data - S. Buitink et al.
- SLAC T-510 data – K. Mulrey et al.

Conclusions

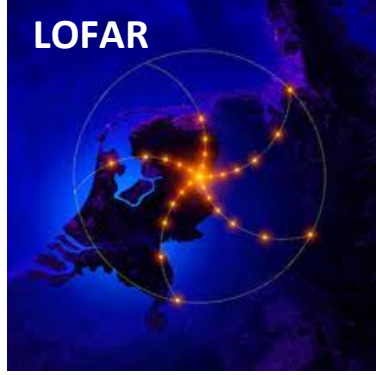
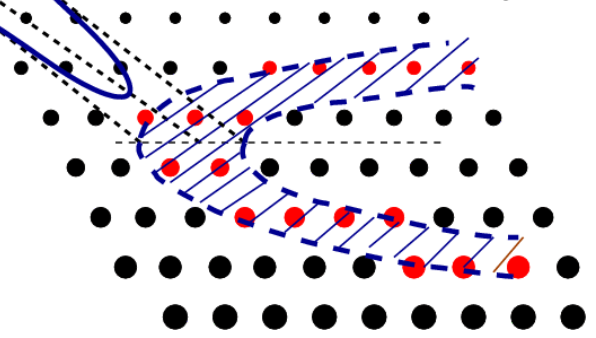
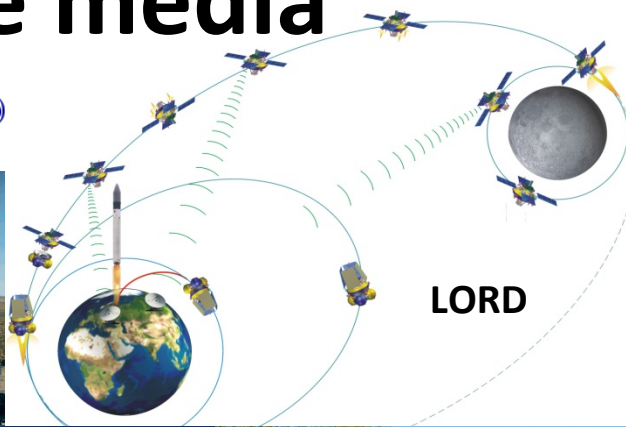
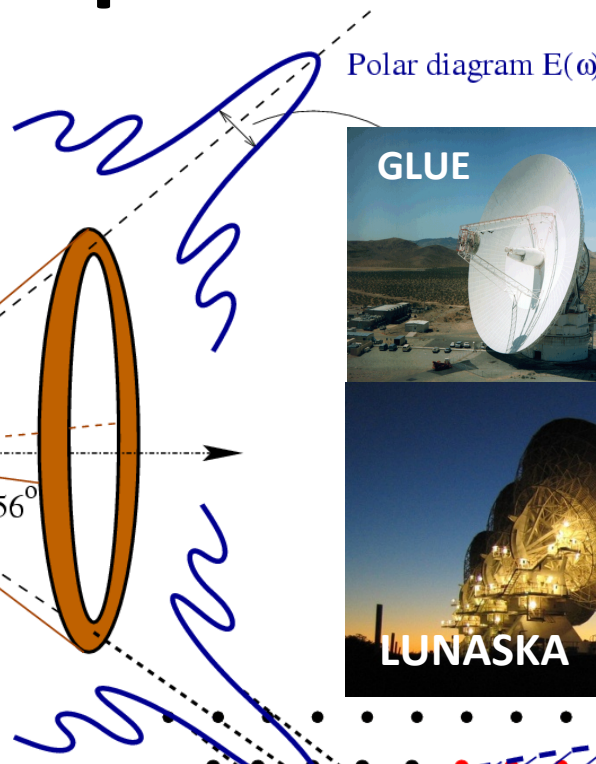
- **Good understanding** of radiation mechanisms in dense media and air:
 - Dense media: Askaryan mechanism (radiation).
 - Air: Interference between Askaryan & geomagnetic mechs.
- **Cherenkov-like effects** play crucial role.
- **Macroscopic & Microscopic** modeling:
 - Agreement in many situations: especially in dense media.
 - Complementary: both needed !
- **Benchmark has to be the data:**
 - Several models in good agreement with data (talk T. Huege).

Backup slides

The radio technique in dense media



dense $\rightarrow \rho \approx 1 \text{ g cm}^{-3}$



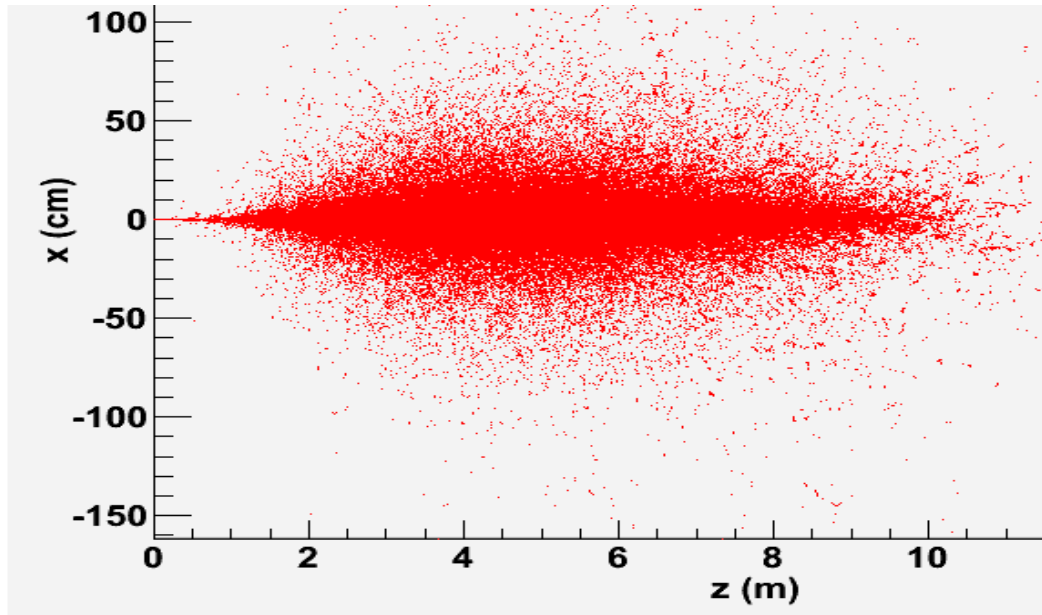
RICE - ARA - ARIANNA



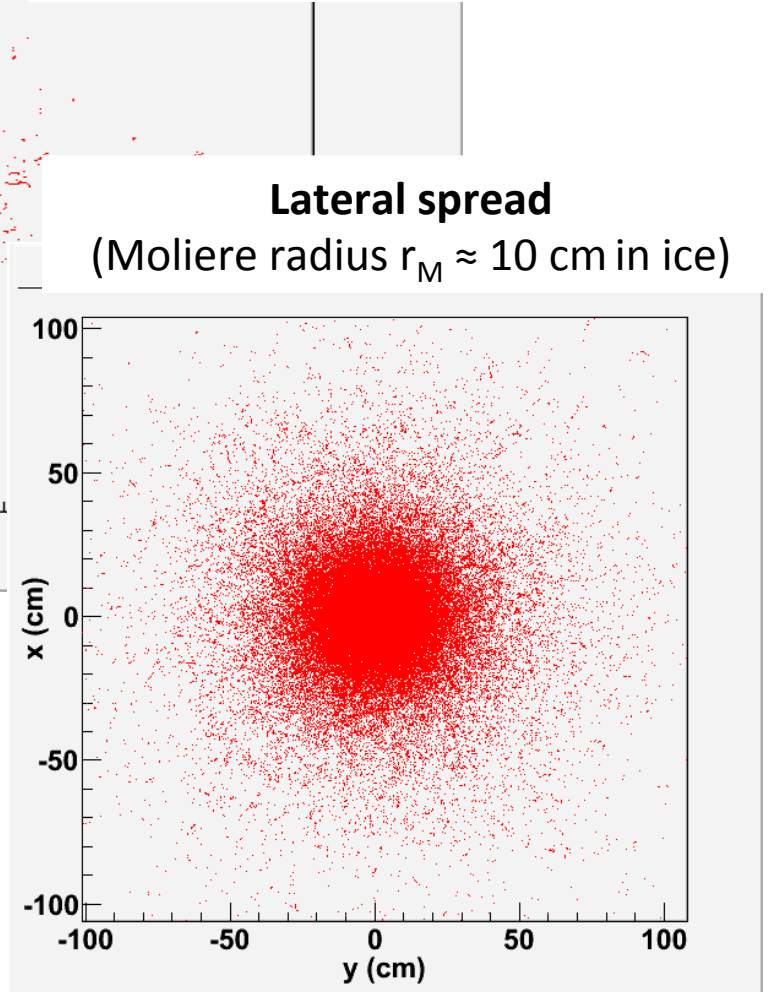
Many experimental initiatives

Dimensions & speed of the source

Longitudinal spread
(Radiation length $X_0 \approx 39$ m in ice)



**1 TeV electron shower
ice**



Lateral spread
(Moliere radius $r_M \approx 10$ cm in ice)

Longitudinal spread in ice increases as:

$$\begin{cases} \log E & E < 1 \text{ PeV} \\ E^{0.3-0.5} & E > 1 \text{ PeV} \text{ can reach } \approx 100 \text{ m} \end{cases}$$

Lateral spread varies slowly with E

Ultra-relativistic electrons above $K \approx 100$ keV

$$v > c/n \quad (n=1.78)$$

Askaryan effect

G. Askar'yan, Soviet Phys. JETP 14, 441 (1962)

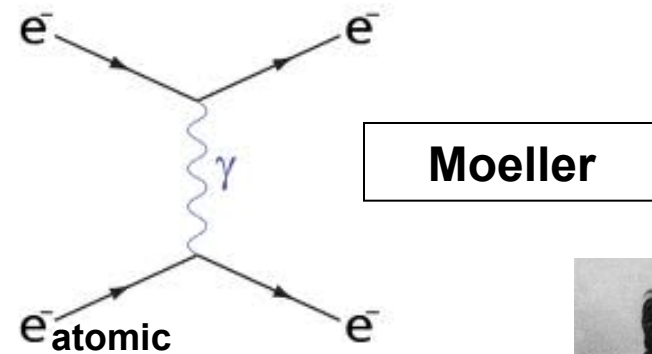
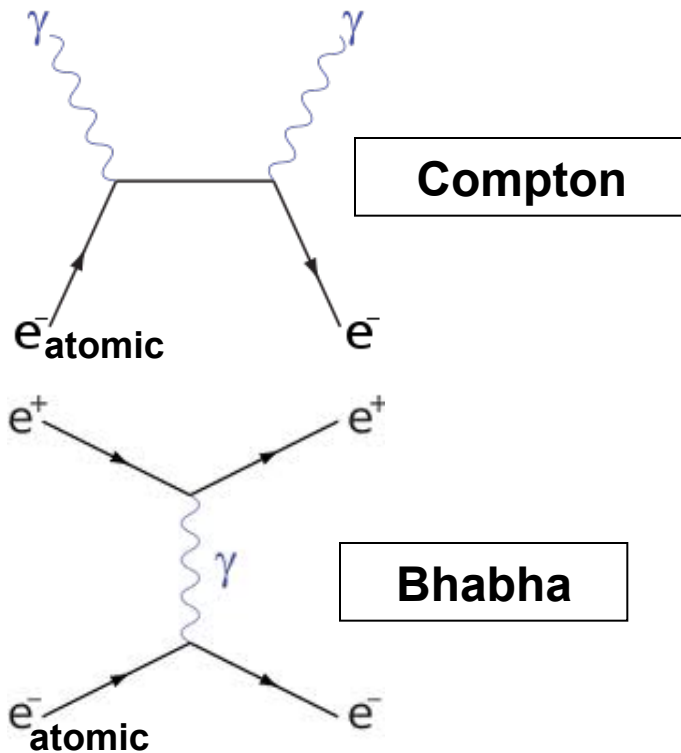
- “Entrainment” of electrons from the medium as shower penetrates

Excess negative charge develops (electrons) →

$$\Delta q = \frac{N(e^-) - N(e^+)}{N(e^-) + N(e^+)} \approx 25\%$$

G.A. Askaryan, Soviet JETP 21 (1965) 658

- Main interactions contributing:



e⁺ annihilation

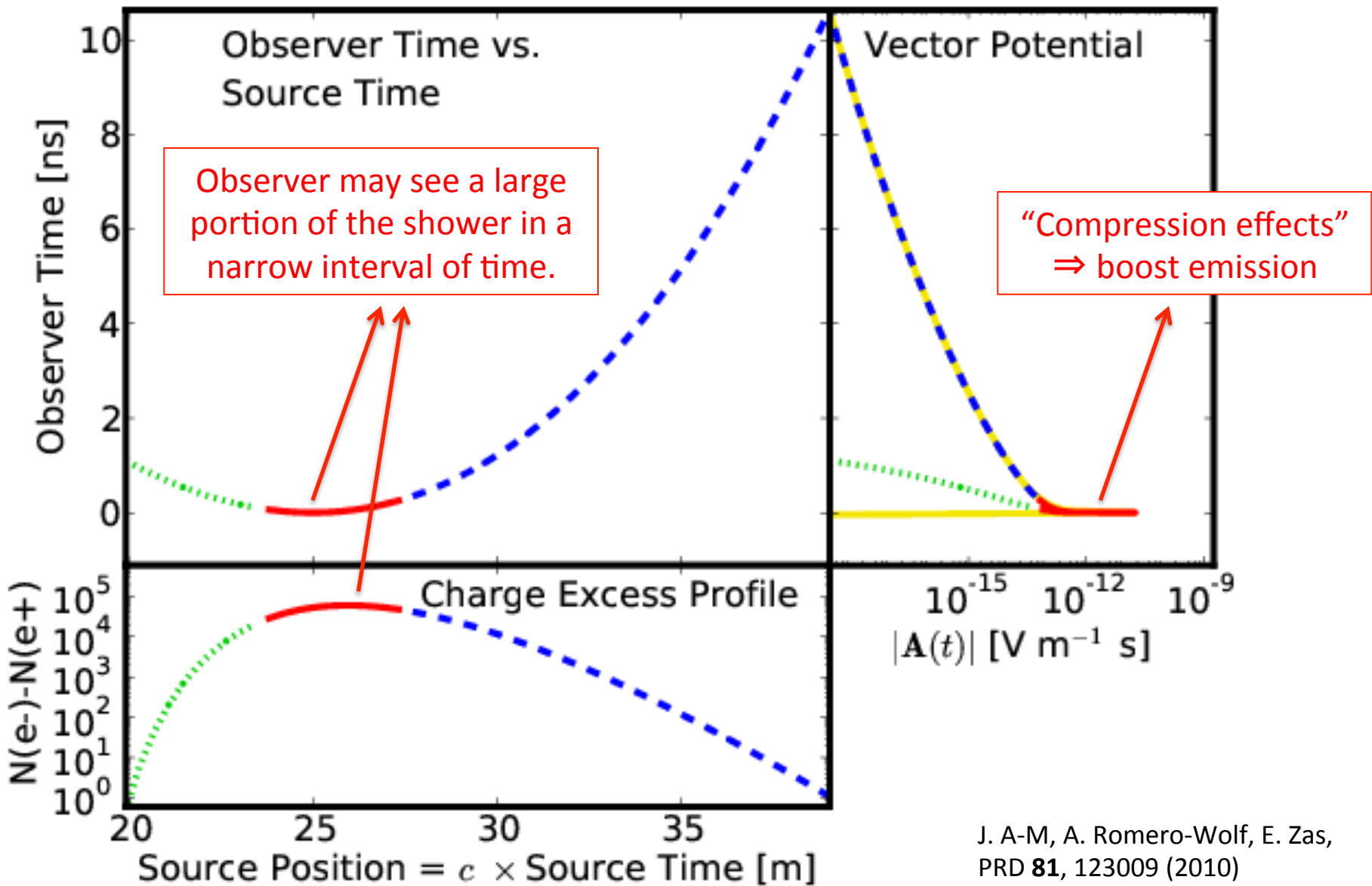


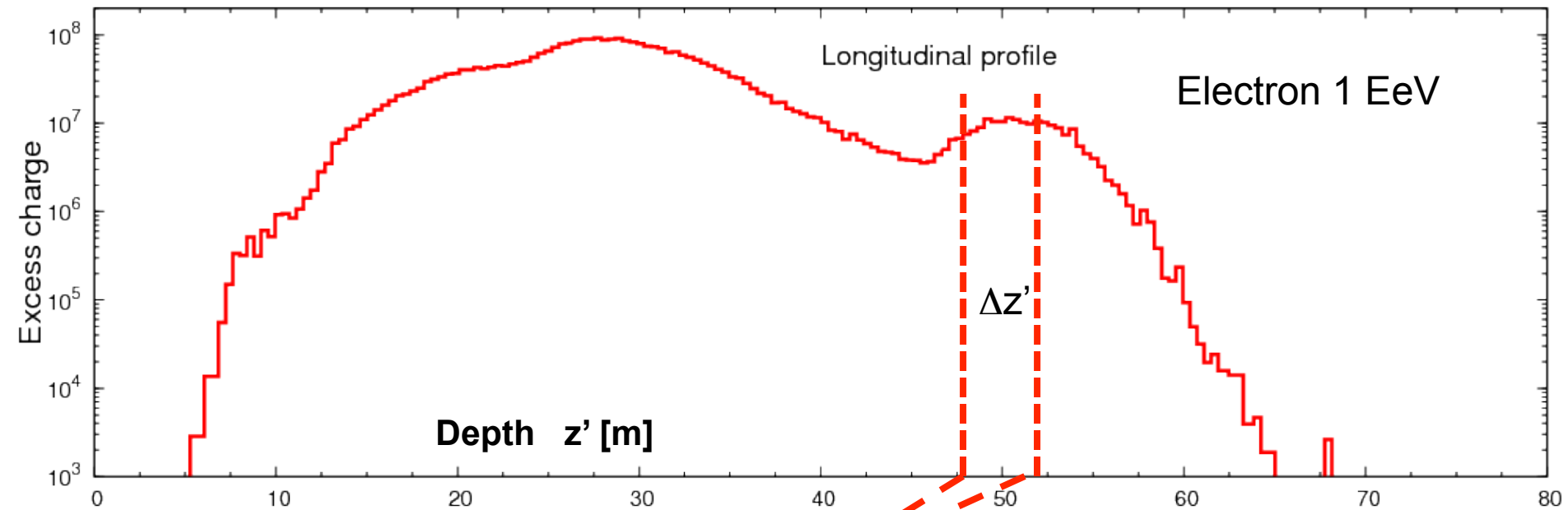
G.A. Askaryan

Askaryan effect confirmed in SLAC experiments

Askaryan effect present in any medium with bound electrons (for instance in air).

Observer not in the far-field

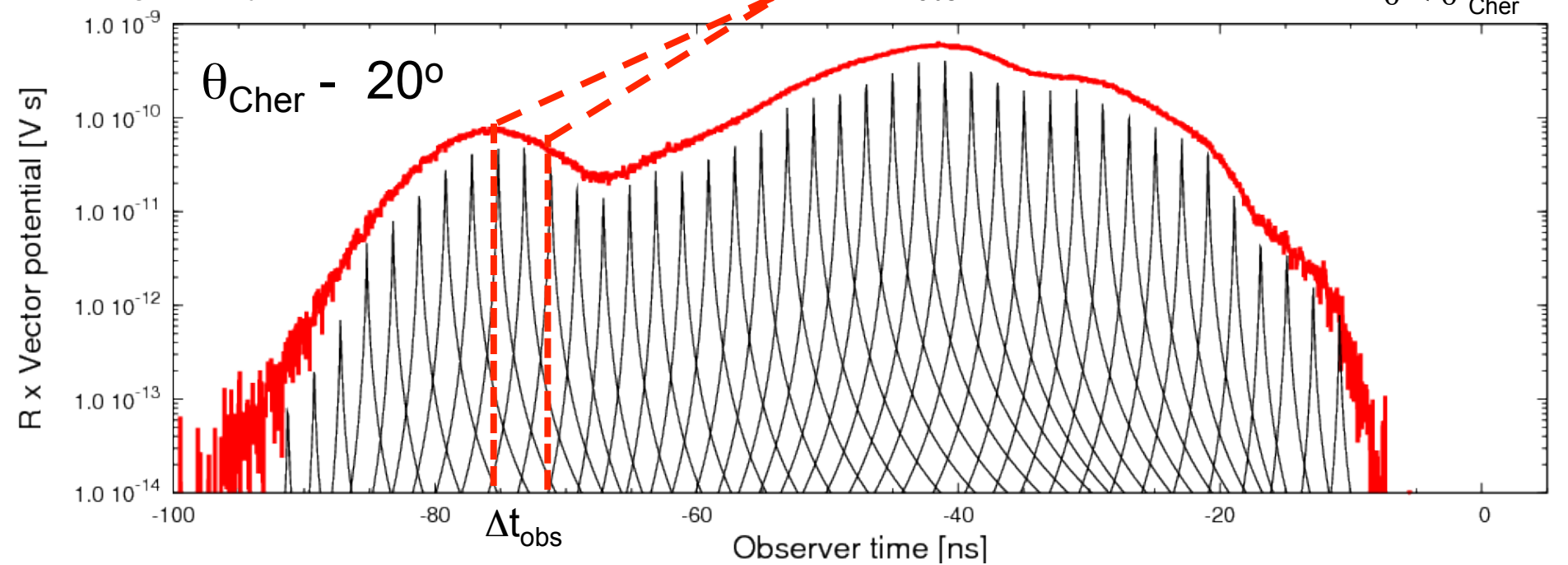


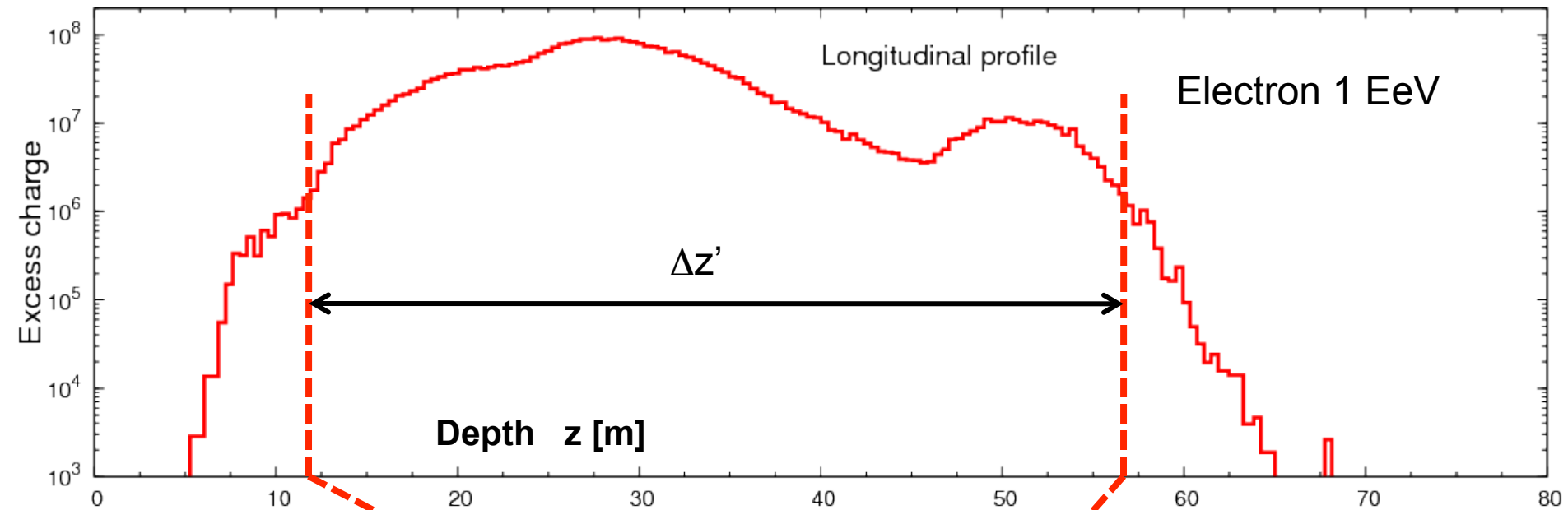


contribution to A due to lateral spread at $\Delta z'$
 weighted by $\mu Q(z)/4\pi R$

$$\Delta t_{\text{obs}} = \Delta z' (1 - n \cos \theta) / c$$

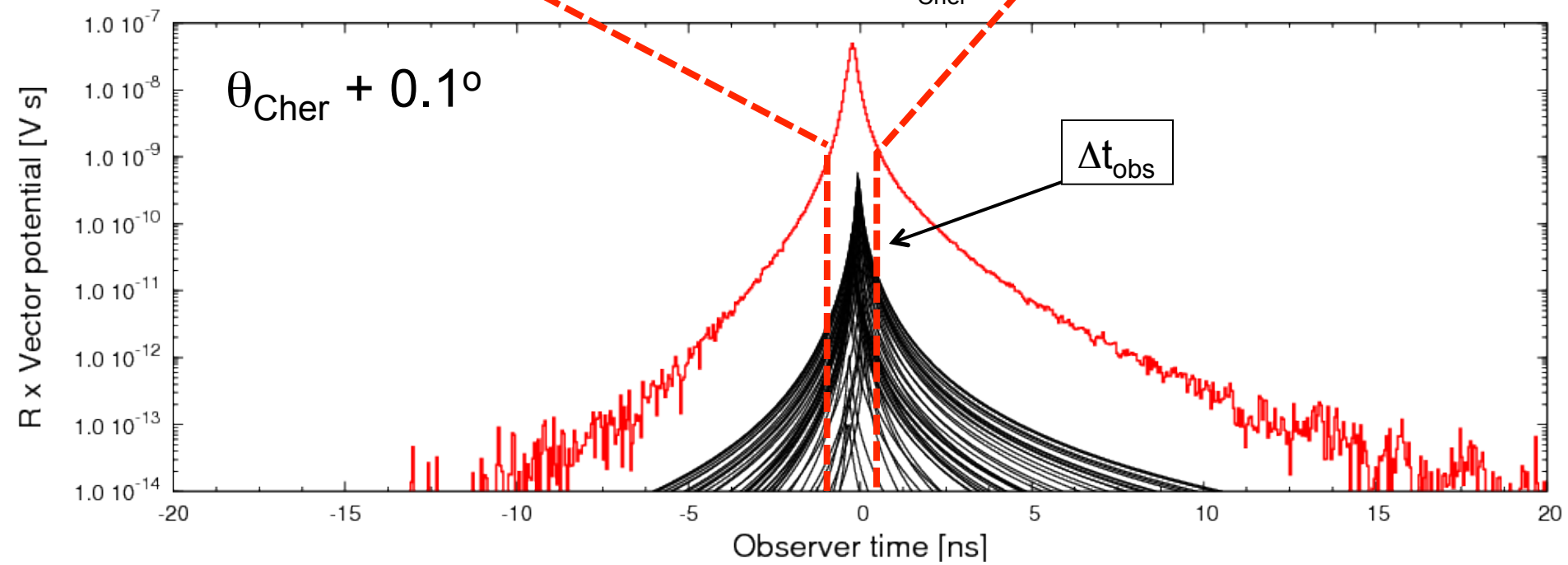
Time reverses
 $\theta < \theta_{\text{Cher}}$





Large compression in time
when θ is close to θ_{Cher}

$$\Delta t_{\text{obs}} = \Delta z (1 - n \cos \theta) / c$$



The Zas-Halzen-Stanev (ZHS) code

ZHS-”multi-media”

- Based on ZHS originally developed in 1993.
- **Electromagnetic showers** only ($E < 100$ EeV - thinned)
 - All EM processes included (bremss, pair prod., Moeller, Compton, Bhabha, e^+ annihilation, dE/dX ,...)
- **Multi-media**: (Almost) any dense, dielectric & homogeneous medium can be used
 - Ice, sand, salt, Moon regolith,...
- Tracking of particles in small linear steps + **ZHS algorithm**
- E-field can be calculated in:
 - Time-domain & Frequency-domain.
 - Far-field (Fraunhofer) and near-field (Fresnel).

[J. A-M, C.W. James, R.J. Protheroe, E. Zas, *Astropart. Phys.* **32**, 100 (2009)]

[J. A-M, A. Romero-Wolf, E. Zas, *PRD* **81**, 123009 (2010)]

Also GEANT 3.21 & 4

Razzaque et al. *PRD* **65**, 103002 (2002)

Hussain & McKay *PRD* **70**, 103003 (2004)

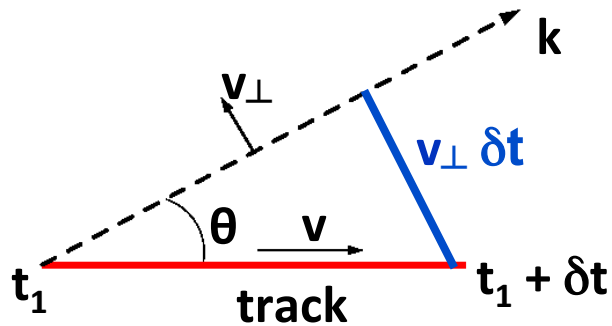
Field single track: frequency domain (ZHS algorithm)

Maxwell's equations \Rightarrow Fourier-components of Electric field $\mathbf{E}(\omega, \mathbf{x})$ emitted by charged particle traveling along finite straight track at constant speed \mathbf{v} :

$$\vec{\mathbf{E}} \propto \omega \, e^{\frac{\exp(i \mathbf{k} R)}{R}} \exp\left[i (\omega - \vec{\mathbf{k}} \cdot \vec{\mathbf{v}}) t_1 \right] \vec{\mathbf{v}}_{\perp} \delta t \frac{\sin \varphi}{\varphi}$$

Labels for the terms in the equation:

- ω : frequency
- $\frac{\exp(i \mathbf{k} R)}{R}$: global phase
- $\exp[i (\omega - \vec{\mathbf{k}} \cdot \vec{\mathbf{v}}) t_1]$: phase factor
- $\vec{\mathbf{v}}_{\perp} \delta t$: tracklength
- $\frac{\sin \varphi}{\varphi}$: diffraction



$$\varphi = \omega \delta t (1 - n \beta \cos \theta)$$

E. Zas, F. Halzen, T. Stanev PRD **45**, 362 (1992)

1. Finite limit at Cherenkov angle.
2. Valid approximations as long as $kR \gg 1$ (k = wavenumber, R = distance to observer)
3. Existing algorithm also in time-domain: J. A-M, A. Romero-Wolf, E. Zas, PRD **81**, 123009 (2010)

Radiation from a shower: frequency domain

Contributions to E-field from all charged particles tracks

Phase factors (different for each particle)

$$E \propto \sum_{\text{charged particles}} E_i \propto \omega \sum e_i v_{i\perp} \delta t_i \exp[i\omega (1 - n \beta_i \cos\theta) t_{li}] \frac{\sin \varphi_i}{\varphi_i}$$

Charge of each particle

$$\varphi_i = \omega \delta t_i (1 - n \beta_i \cos \theta)$$

If $\theta \approx \theta_C$ or $\lambda_{\text{obs}} \gg$ shower dimensions (small enough ω) at θ
 → Phase factors \approx equally small → COHERENCE (@ MHz-GHz)

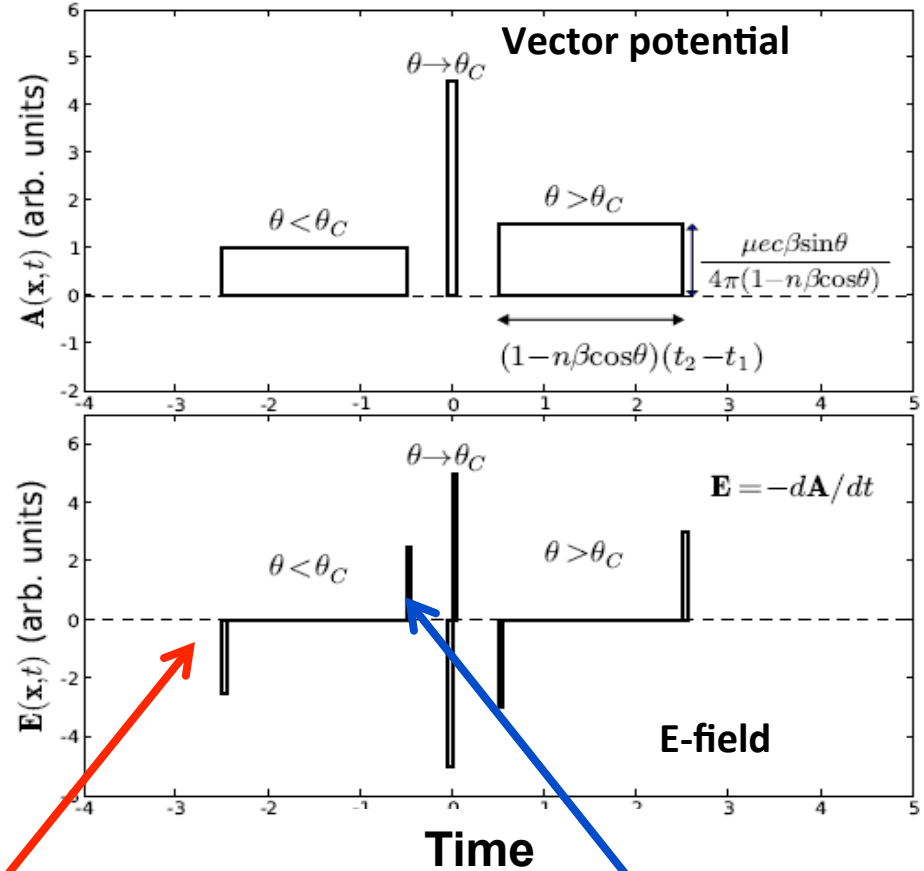
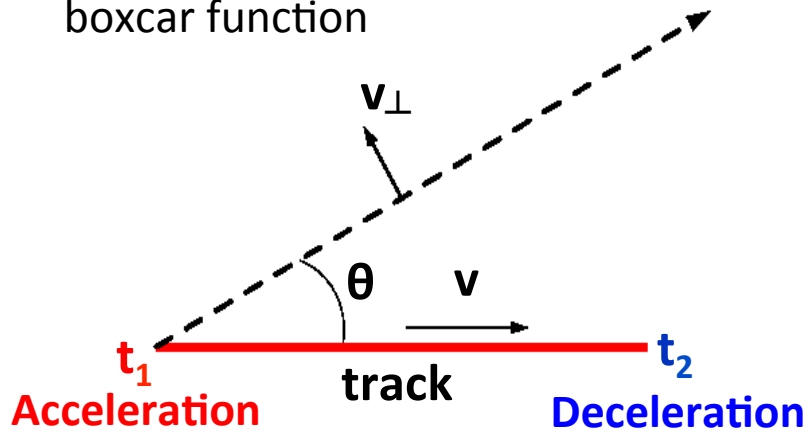
$$E \approx \omega \sum (-e) \mathbf{v}_i \delta t_i + \omega \sum (+e) \mathbf{v}_i \delta t_i \approx \omega \sum (-e) \mathbf{v}_i \delta t_i$$

electrons
positrons
charge excess

Field single track: Time domain (ARZ algorithm)

Maxwell's equations → Radiation comes from instantaneous acceleration at start & deceleration at end of particle track

Current modeled as a boxcar function



J. A-M, A. Romero-Wolf, E. Zas, PRD **81**, 123009 (2010)

The "ARZ algorithm"

$$RE(t, \theta) = -\frac{e\mu_r}{4\pi\epsilon_0 c^2} \mathbf{v}_\perp \frac{\delta(t - \frac{nR}{c} - (1 - n\beta \cos\theta)t_1) - \delta(t - \frac{nR}{c} - (1 - n\beta \cos\theta)t_2)}{(1 - n\beta \cos\theta)}$$

ARZ algorithm can be obtained Fourier-transforming the ZHS algorithm

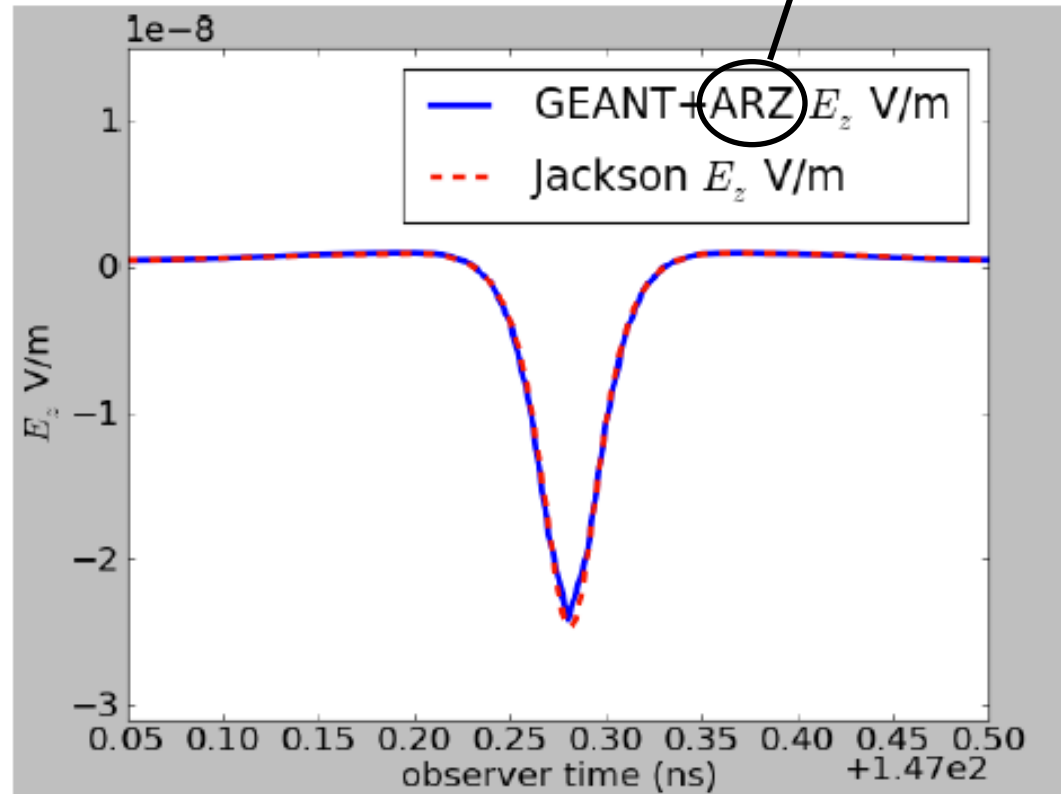
Comparison ZHS algorithm & Jackson

A-M, Romero-Wolf, Zas, PRD **81**, 123009 (2010)]

Compare results from previous slides to the analytical result for circular motion using formula (14.14) of Jackson's Electrodynamics 3rd edition.

$$\vec{E}(\vec{x}, t) = \frac{e}{4\pi\epsilon_0 c} \left[\frac{\hat{n} \times \left[(\hat{n} - \vec{\beta}) \times \dot{\vec{\beta}} \right]}{(1 - \vec{\beta} \cdot \hat{n})^3 R} \right]_{ret}$$

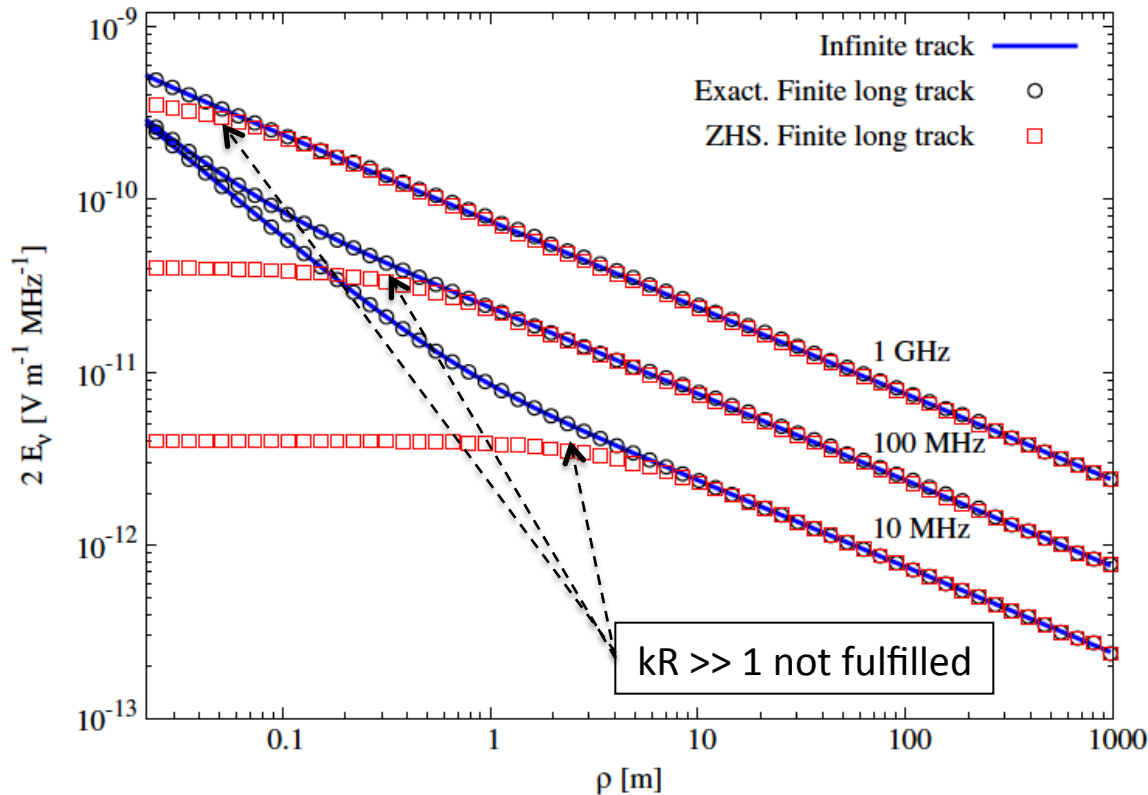
This shows that the ARZ calculation using the vector potential from tracks reproduces the analytical result with high fidelity.



A. Romero-Wolf & K. Belov
(Proposal to test geosynchrotron in SLAC)

ZHS algorithm describes Cherenkov radiation: the case of an infinite track

Infinite track at constant speed => the only radiation is Cherenkov



Better than 1% agreement between
the 3 calculations as long as observer
is in far-field zone:

$$kR \sim 3.7 \left(\frac{\nu}{100 \text{ MHz}} \right) \left(\frac{R}{1 \text{ m}} \right) \gg 1.$$

Behavior with distance of field
emitted at various frequencies by:

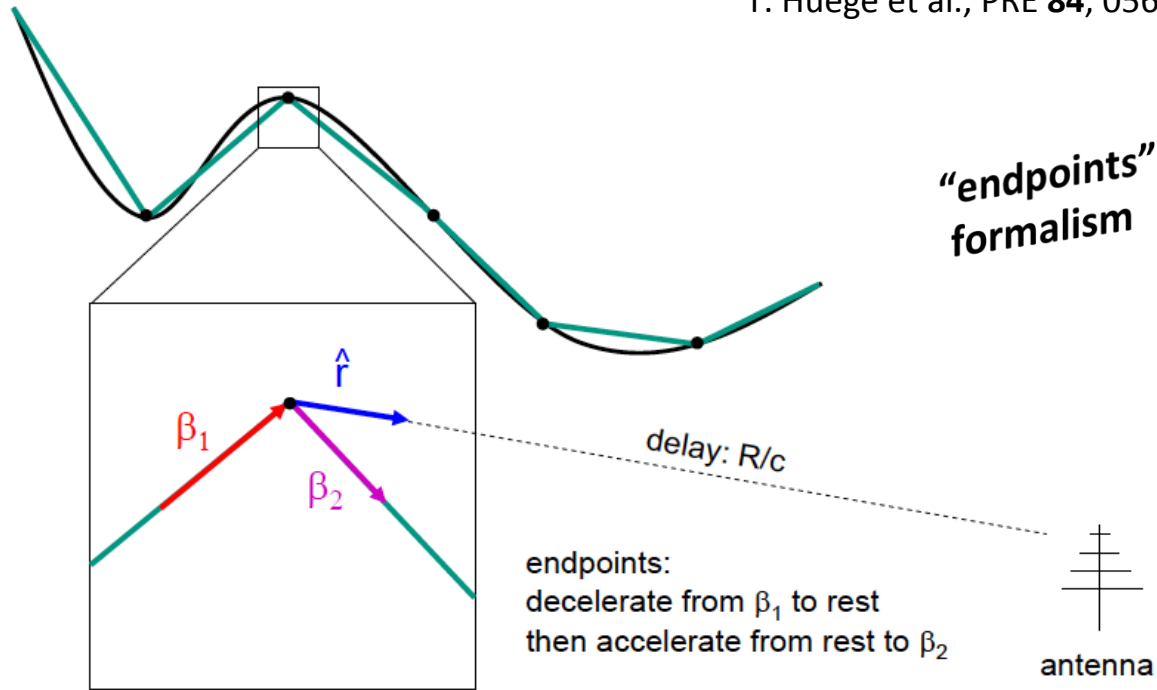
Infinite track =
Analytical calculation of
Cherenkov radiation (Afanasiev)

Exact finite long track =
result of EXACT field calculation
for a very long track (1.2 km).

ZHS Finite long track =
result of ZHS algorithm for a very
long track (1.2 km)

Field single track: frequency domain (endpoints algorithm)

T. Huege et al., PRE **84**, 056602 (2011)



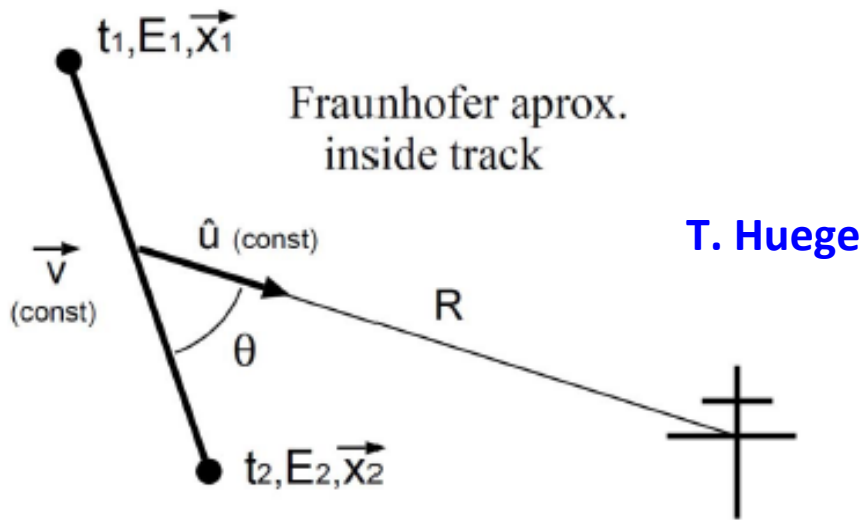
Frequency-domain

$$\vec{E}_{\pm}(\vec{x}, \nu) = \pm \frac{q}{c} \frac{e^{ikR(t'_0)}}{R(t'_0)} \frac{e^{2\pi i \nu t'_0}}{1 - n \vec{\beta}^* \cdot \hat{r}} \hat{r} \times [\hat{r} \times \vec{\beta}^*]$$

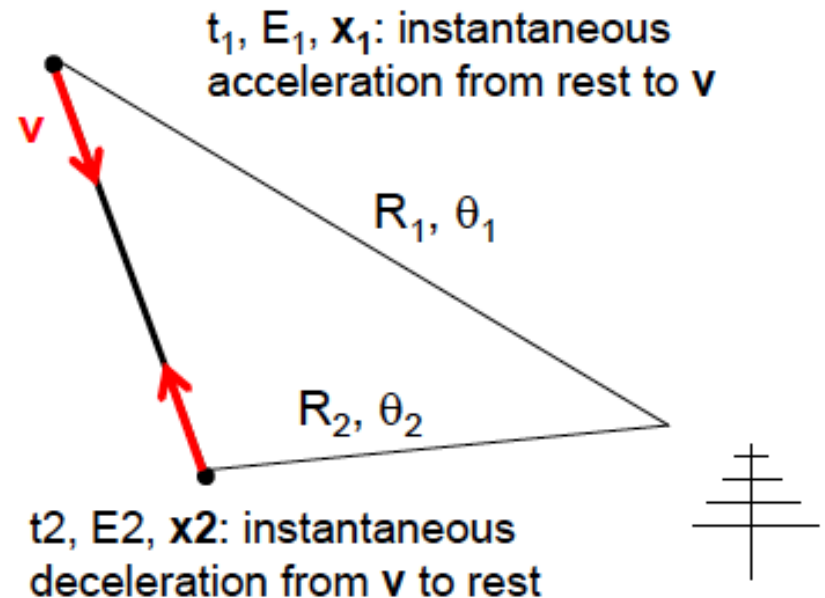
1. Radiation only from endpoints: acceleration & deceleration events along particle trajectory
2. Breaks down at Cherenkov angle => adopts ZHS treatment
3. Existing algorithm also in time-domain

ZHS algorithm vs endpoints

- sum up vector potentials, do time-derivative at the end



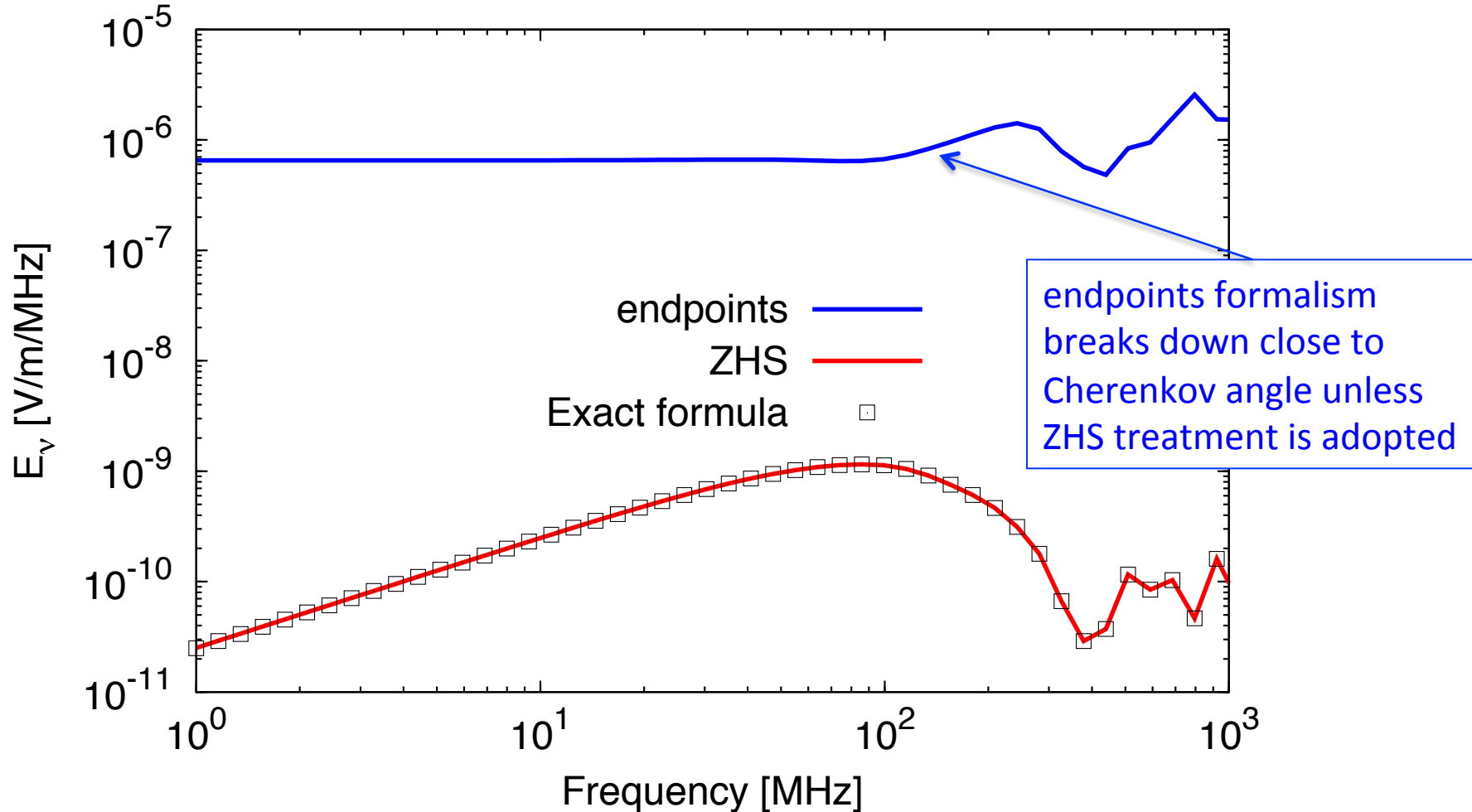
- do time-derivative at start, sum up electric fields



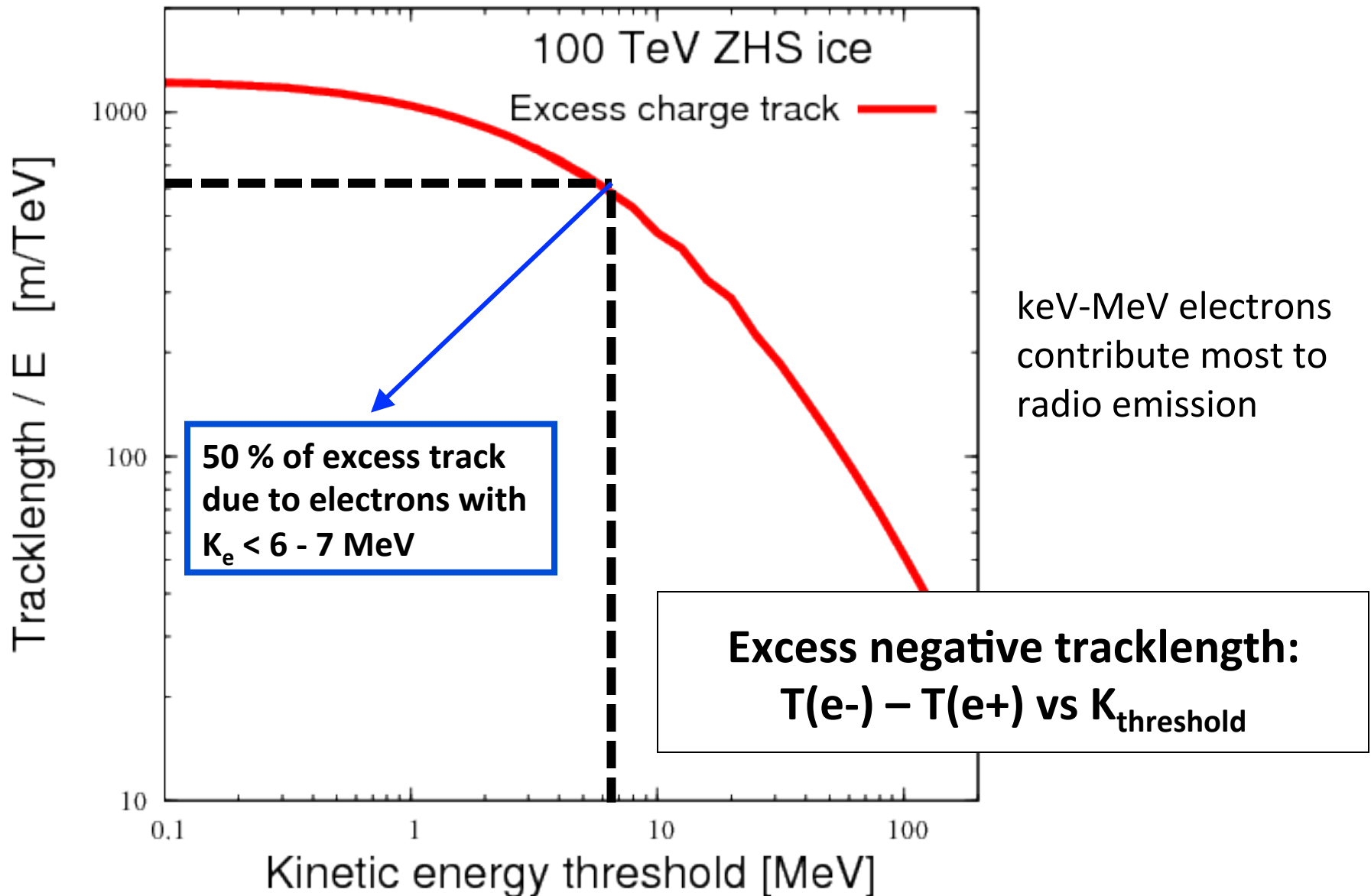
- Both equivalent in the far-field & at angles away from the Cherenkov angle
- endpoints reverts to ZHS close to Cherenkov angle to avoid singularities
- ZHS limit close to Cherenkov angle validated with exact calculation (see previous slides)
- SLAC T510 experiment (talk at this meeting) will test these algorithms.

ZHS vs exact calculation vs (pure) endpoints

10 TeV shower ice. $R=100$ m, $\theta_c + 10^\circ$



Modeling with MC: “Low” energies matter...

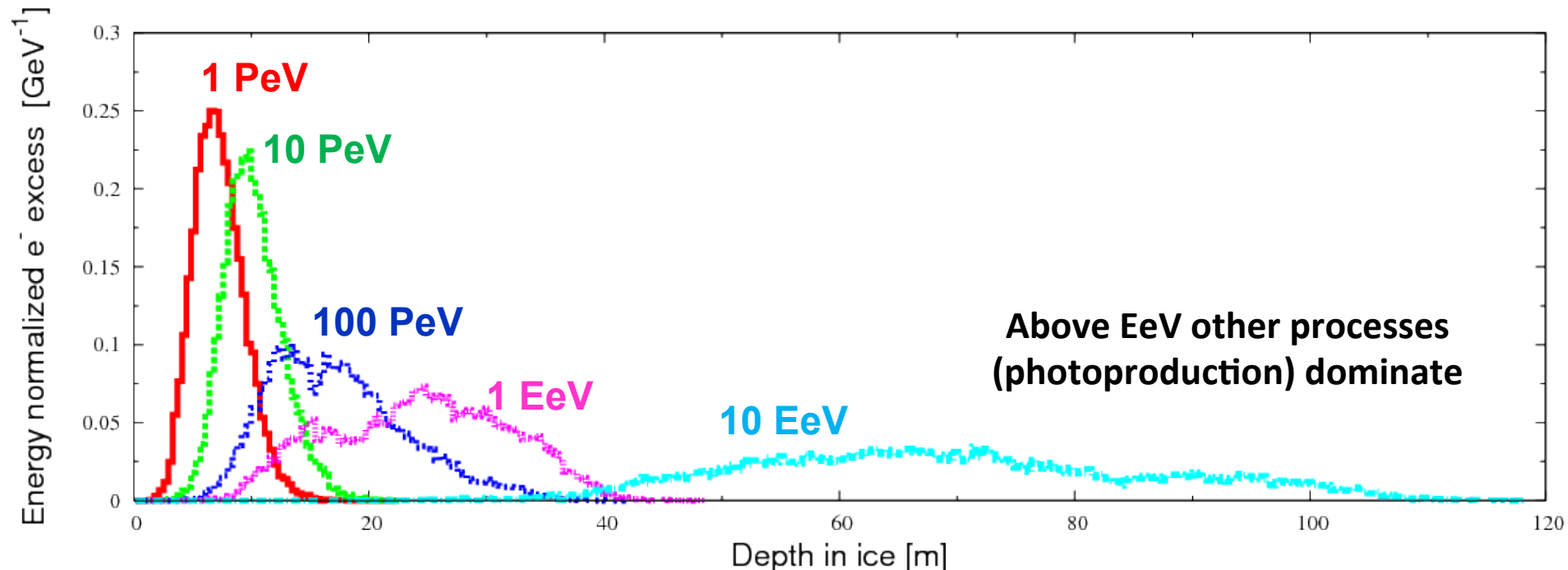


LPM effect in EM showers

Screening effect on electron & photon interaction reduces bremsstrahlung & pair-production cross sections w.r.t. Bethe-Heitler predictions

Electromagnetic showers having $E > E_{\text{LPM}}$ (~ 2 PeV in ice – medium dependant):

- Long. dimension L increases faster than $\sim \log E$, typically as E^β , $\beta \sim \frac{1}{3} - \frac{1}{2}$
Produces multiple lumps in long. development at highest energies.
- Lateral dimension R does not change much with shower energy.



Askaryan radiation

Time-domain

LPM showers

Vector potential traces shape of longitudinal profile:
 maxima \rightarrow zero-crossings

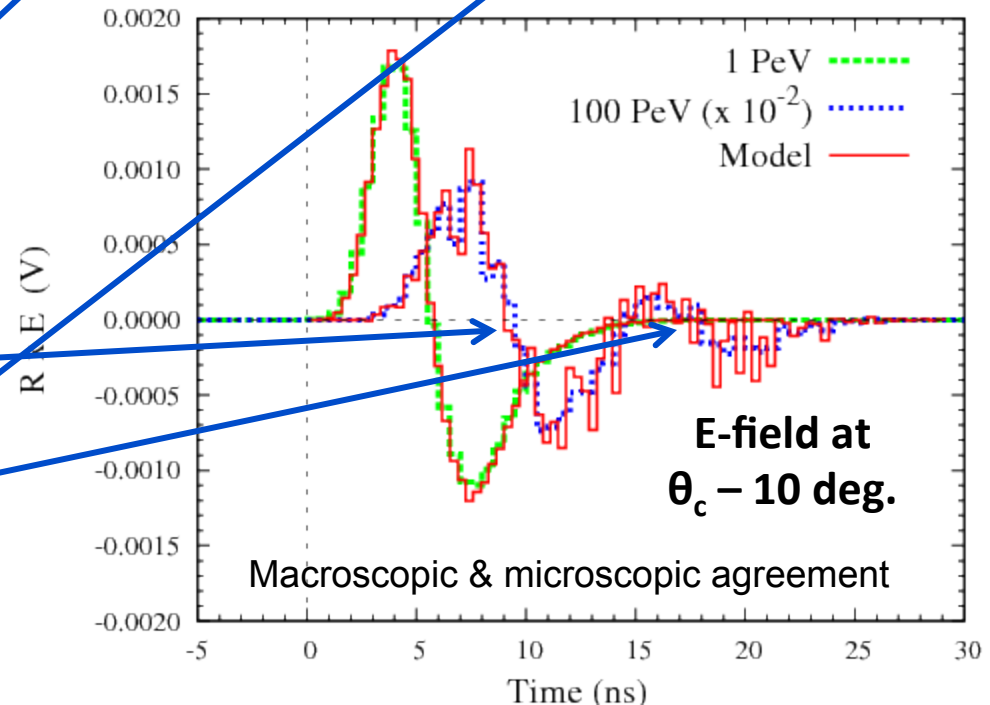
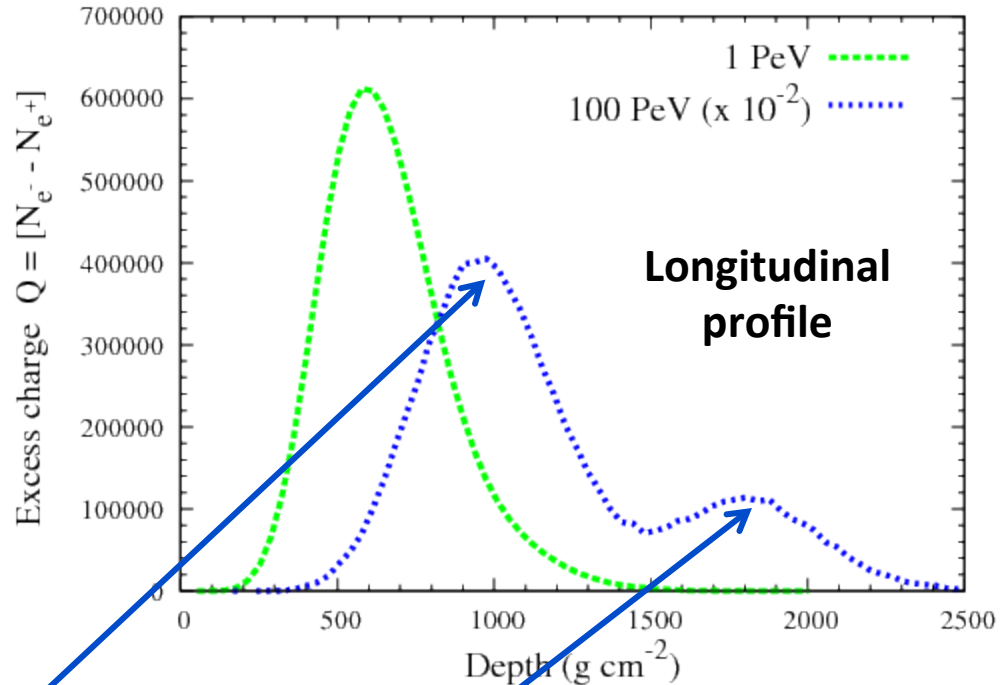
E-field (*derivative of vector potential*) exhibits multiple peaks in LPM showers.

... as expected.

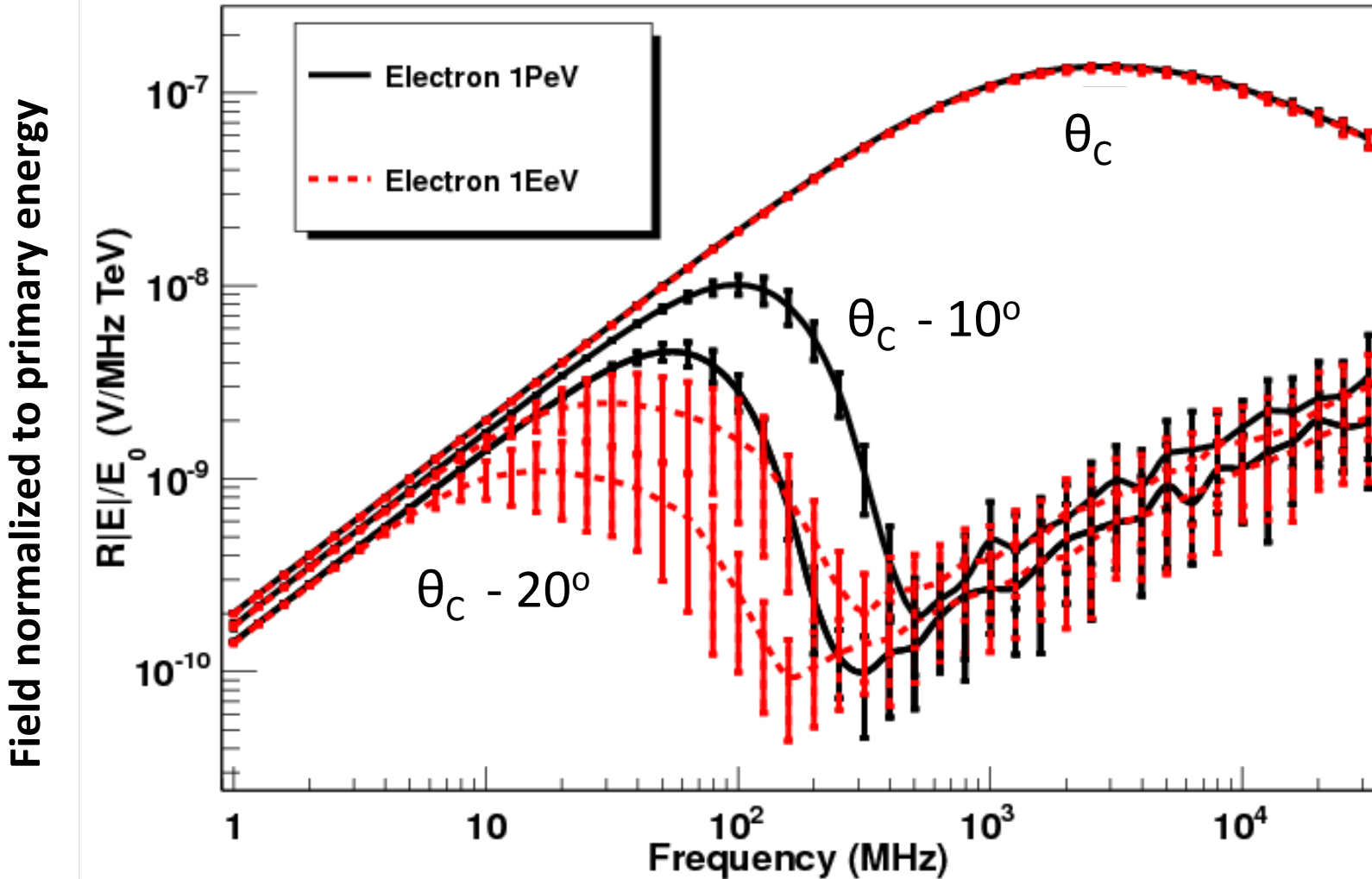
1st peak:
1st zero

2nd peak
2nd zero

(Far-field observers)



Frequency spectrum in “LPM showers”



- Elongated profiles at EeV induce smaller cut-off frequencies at $\theta \neq \theta_c$
- Cut-off frequency at Cherenkov angle unaffected.
- Large shower-to-shower fluctuations

Hadronic showers

Primary proton, nucleus, jet in neutrino interaction.

Hadronic core (penetrating) continually feeding EM component through $\pi^0 \rightarrow \gamma \gamma$

Markedly electromag. character:

% of primary energy into EM component

$\approx 69\%$ at 1 TeV

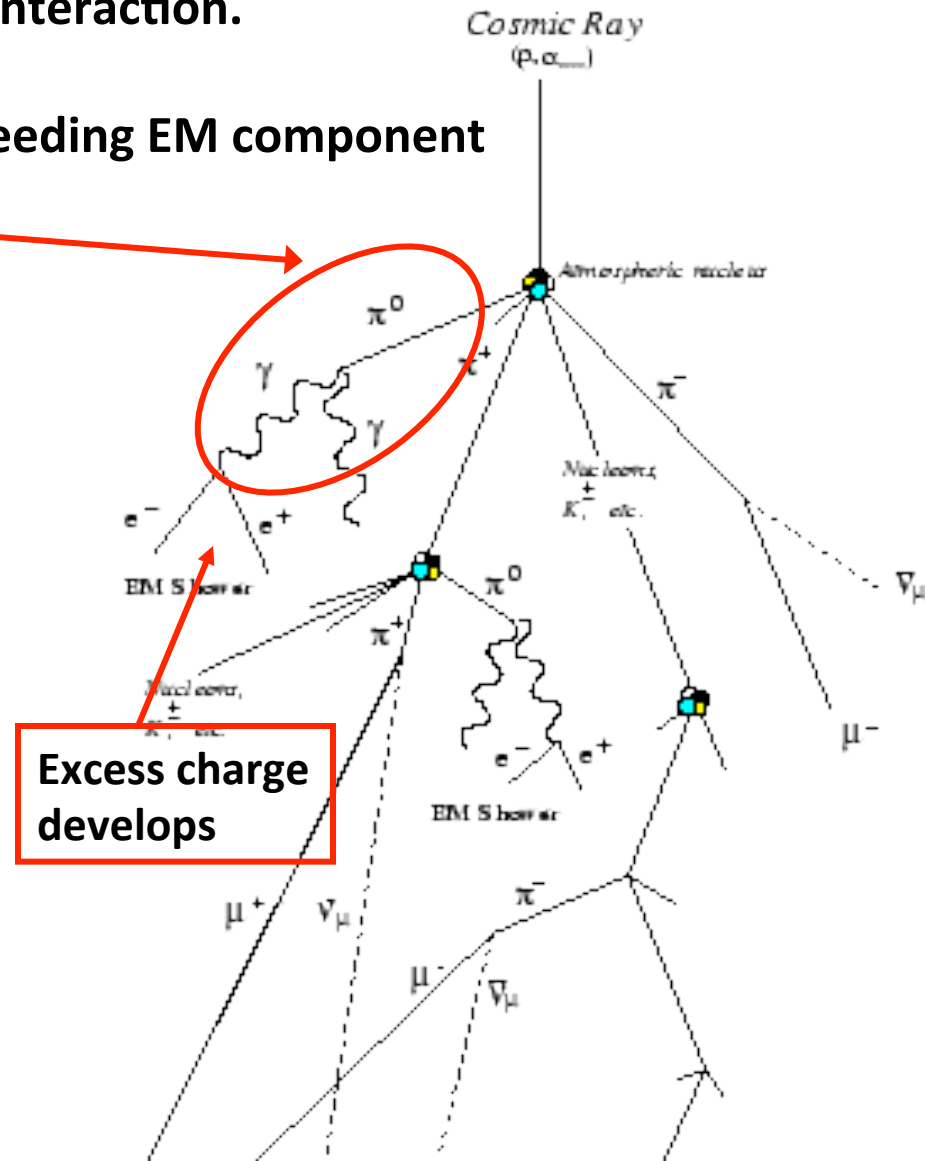
$\approx 89\%$ at 1 PeV

$\approx 93\%$ at 1 EeV

Showers emit radiation more efficiently as energy increases.

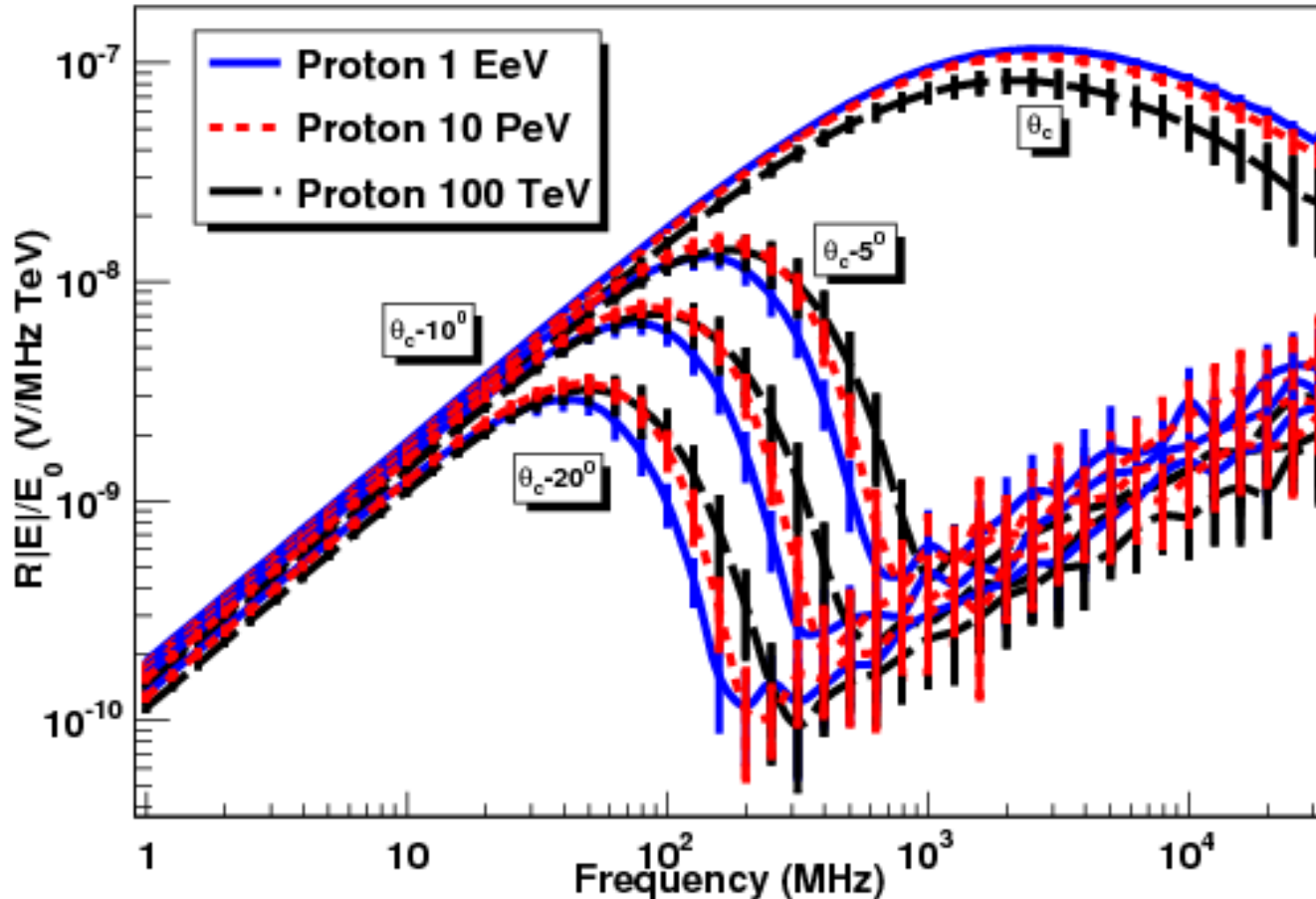
LPM less effective: Weakened effects of shower elongation with energy.

Lateral spread decreases slowly with shower energy.



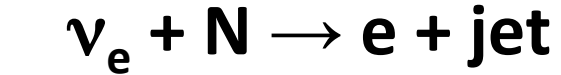
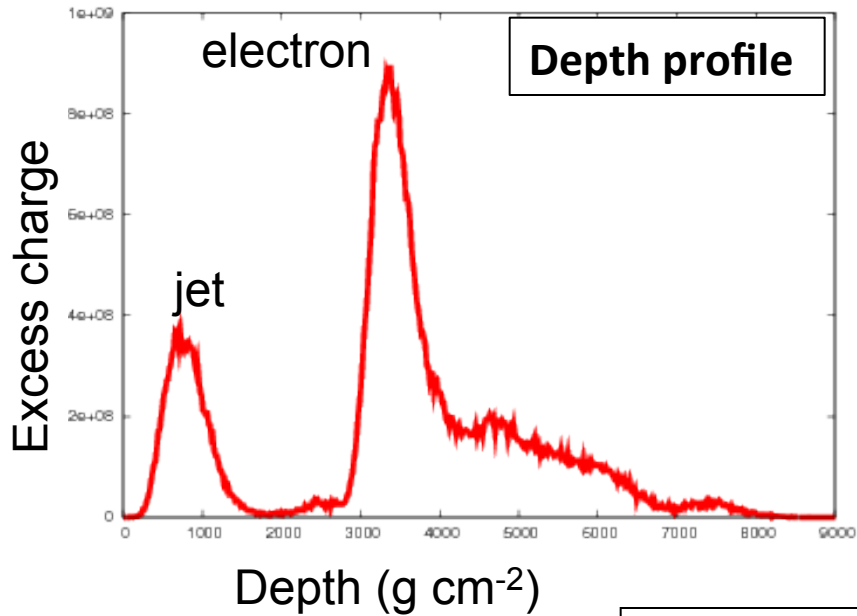
Freq. spectrum – Hadronic showers

- Slow elongation with energy \rightarrow small cut-off frequencies at $\theta \neq \theta_c$
- Cut-off frequency at Cherenkov angle increases slowly with energy



Contribution to radio-emission from:
protons + charged pions + muons + charged kaons < **2%** above PeV

Time-pulses in ν -induced showers



$$E(\nu_e) = 10 \text{ EeV}$$

$$E(\text{electron}) = 8 \text{ EeV}$$

$$E(\text{hadronic jet}) = 2 \text{ EeV}$$

Vector potential

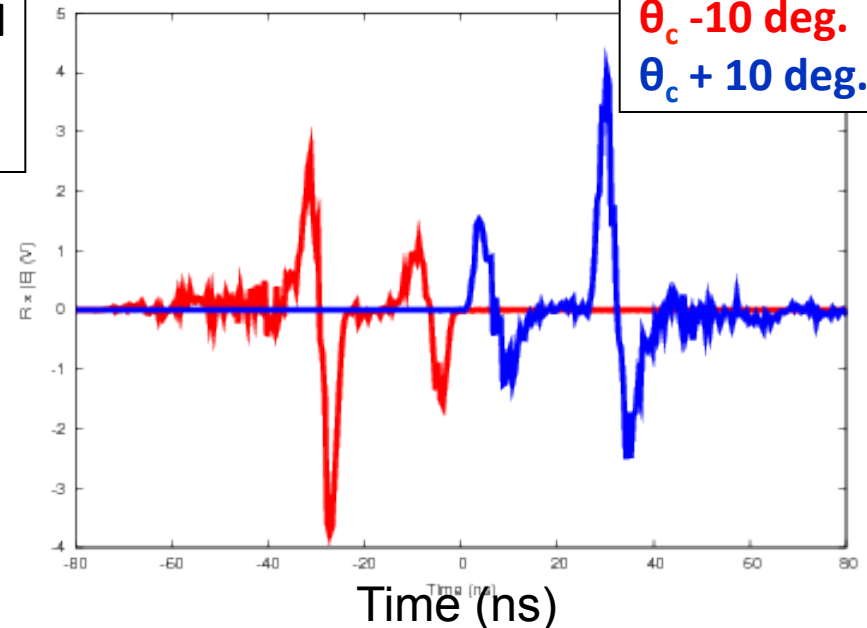
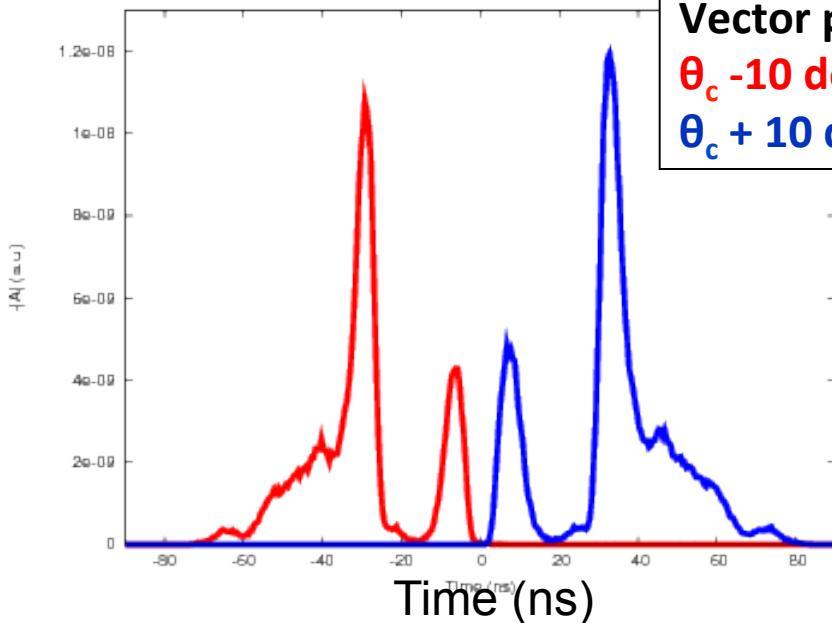
$\theta_c - 10 \text{ deg.}$

$\theta_c + 10 \text{ deg.}$

Electric field

$\theta_c - 10 \text{ deg.}$

$\theta_c + 10 \text{ deg.}$

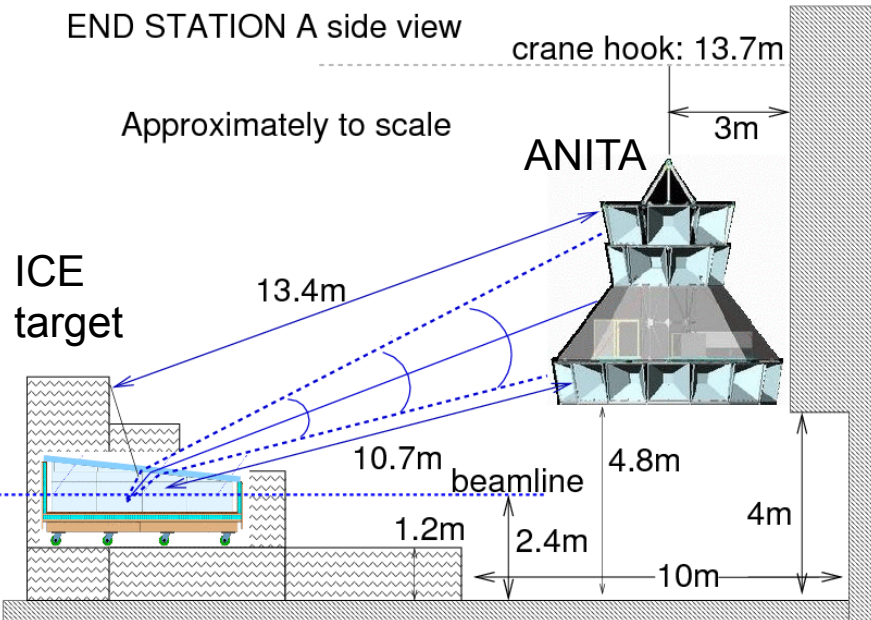
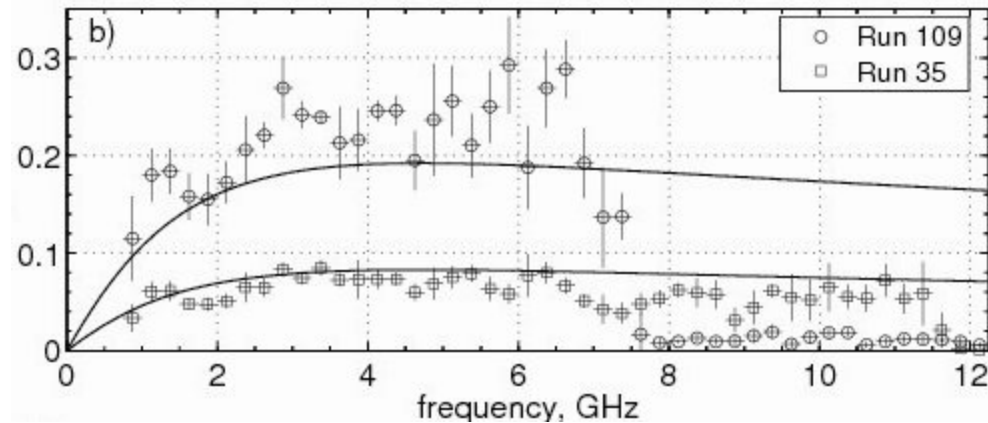


Experiments at SLAC: sand, salt & ice

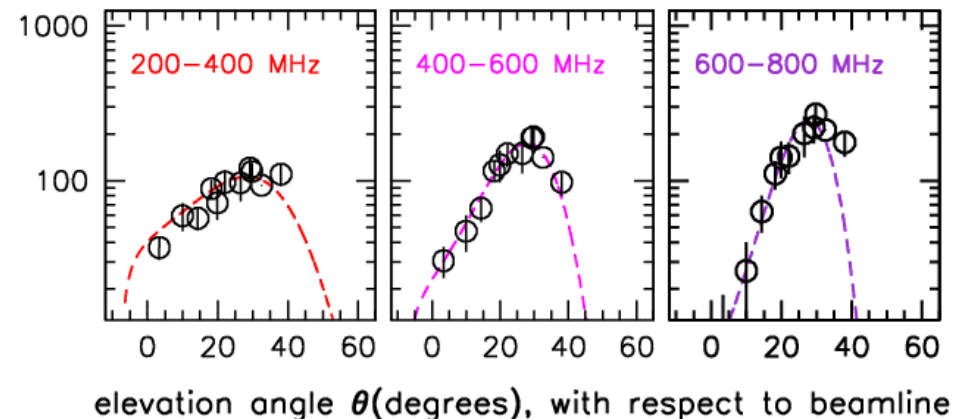
Bunches of \sim GeV brems. photons dumped in sand & salt & ice: $E_0 \sim 6 \times 10^{17} - 10^{19}$ eV.

- Askaryan effect seen !!!
- Linearly polarized signal
- Power in radio waves goes as E_0^2
- Bipolar pulses in time-domain
- Agreement with theoretical expectations

Frequency spectrum

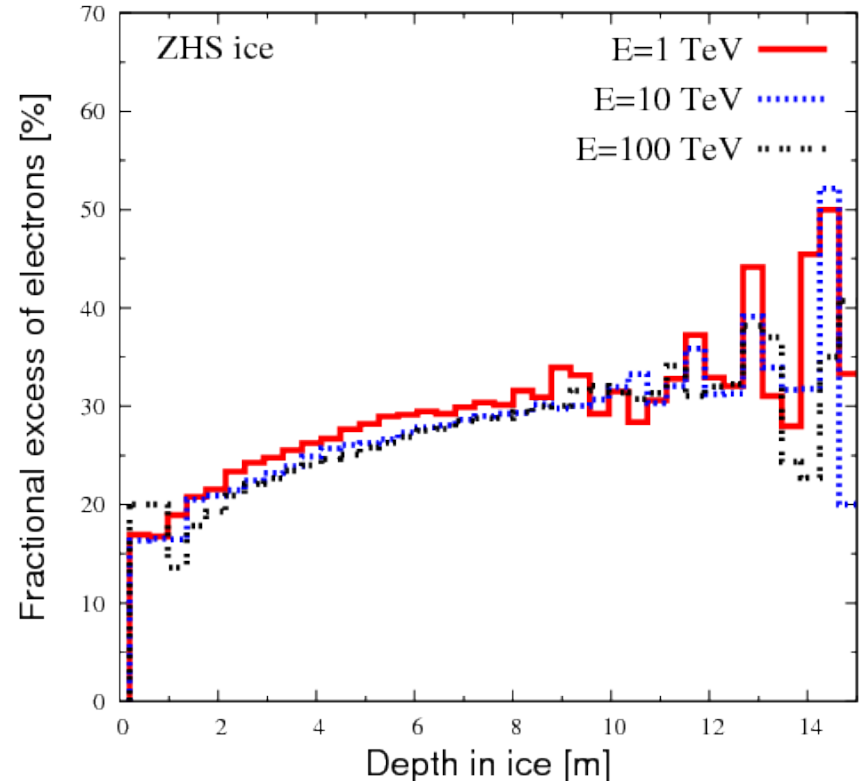
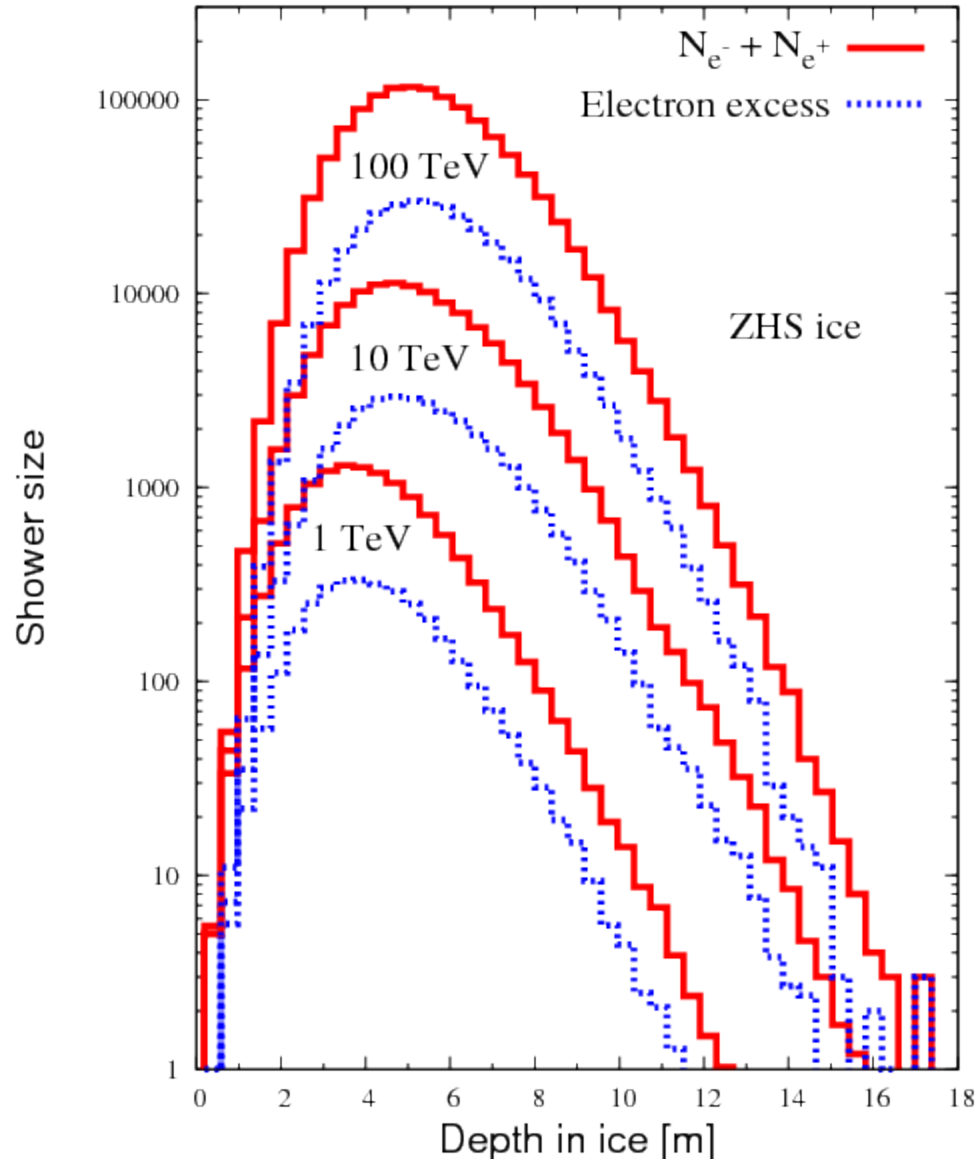


Angular distribution of electric field



Excess negative charge

$$\Delta q = \frac{N(e^-) - N(e^+)}{N(e^-) + N(e^+)} \approx 25\%$$

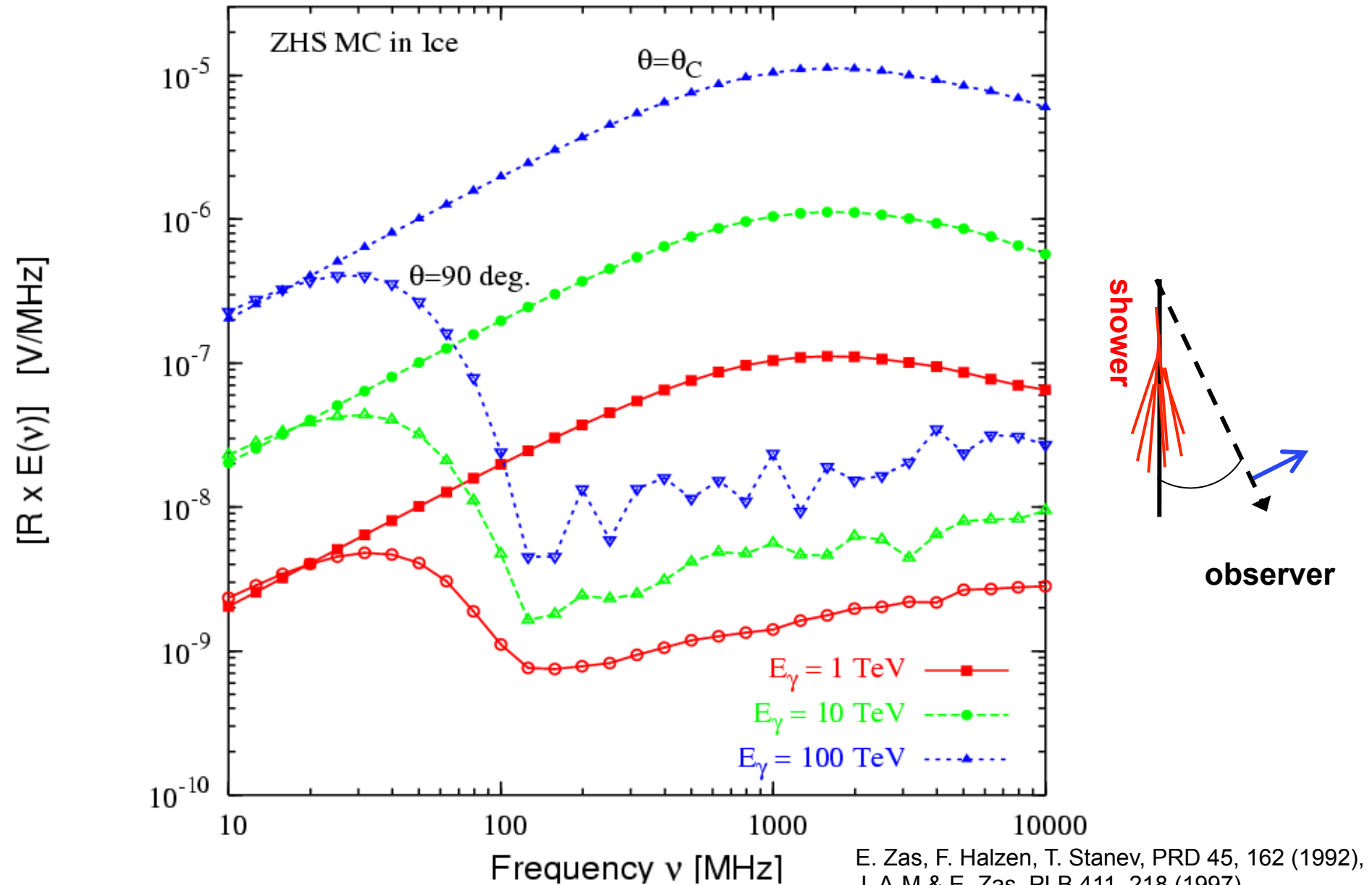


Δq scales with shower E.

Δq increases slowly with depth.

Δq depends on medium.

Frequency spectrum – EM showers



E. Zas, F. Halzen, T. Stanev, PRD 45, 162 (1992),
J. A-M & E. Zas, PLB 411, 218 (1997)

Conclusions from “box” model

- **Far-field observer at Cherenkov angle (θ_c):**
 - Spread in time of pulses and frequency cut-off determined by lateral spread of shower (R).
- **Far-field observer at $\theta \neq \theta_c$:**
 - Spread in time of pulses and frequency cut-off (mainly) determined by longitudinal spread of shower (L).

Conclusion from 1D line model

- Modelling signal away from Cherenkov simple & straightforward
 - Time-domain: vector potential = rescaling & time-transforming longitudinal profile.
 - Freq.-domain: Electric field = Fourier transform of longitudinal profile.

(Longitudinal profile easy/fast to obtain with MC simulations)

Conclusion from 3D model

- Modelling signal at any θ is simple & straightforward
 - Vector potential = convolution longitudinal & lateral contributions, with appropriate rescaling & time-compression.
 - Lateral contribution = form factor (easily obtained in MC sims. from vector potential at Cherenkov angle)
 - Longitudinal profile modeled with MC sims. (fast !)
- Procedure works in the far-field & “near”-field
(near-field = distances $>$ lateral shower dimensions i.e. > 1 m)
- Procedure works also for p, ν showers
 - Simply use lateral contribution corresponding to hadronic showers or a mixture in case of ν_e Charged Current

Parameterisations of radio signals

- CoREAS parametrization and online calculator for AERA
 - GAP2013-084 (A. Huber, T. Huege)
 - calculator at: <http://www-ik.fzk.de/~huege/coreasparam.html>
- CoREAS parametrization for Europe (LOFAR) location
 - [arXiv:1402.2872](https://arxiv.org/abs/1402.2872) (A. Nelles et al.)
- method to calculate complete asymmetric radio LDF from ZHAireS simulations along one observer azimuth direction
 - [arXiv:1402.3504](https://arxiv.org/abs/1402.3504) (J. Alvarez-Muniz et al.)