

First results of the AMS-02 experiment on the ISS

***J. Casaus
On behalf of the
AMS-02 Collaboration***

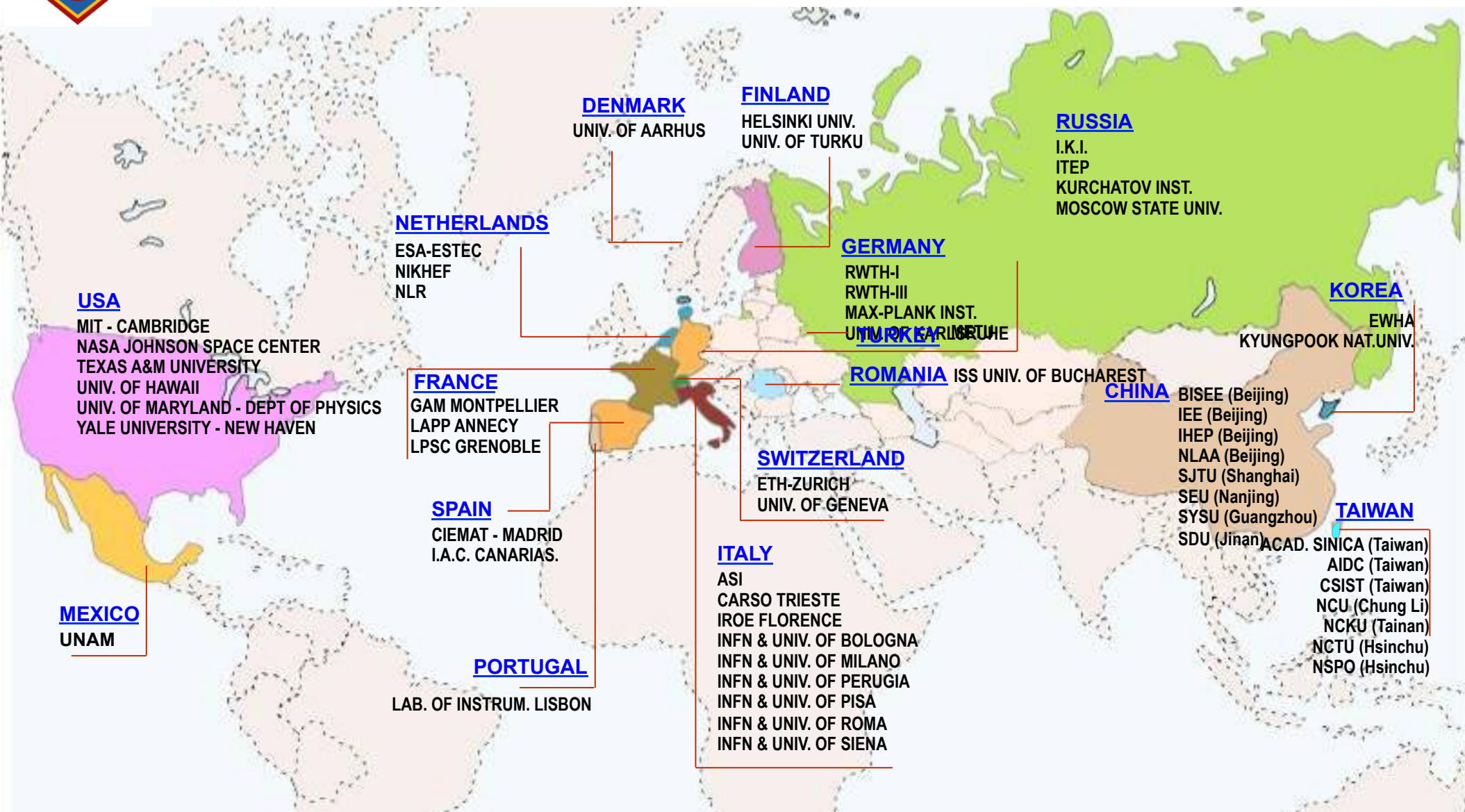
CIEMAT (Madrid)

CRA 2013, WIPAC, 26-28 Sep 2013



AMS International Collaboration

16 Countries, 60 Institutes
600 Physicists





AMS: A TeV precision, multipurpose spectrometer in space

TRD
Identify e^+ , e^-



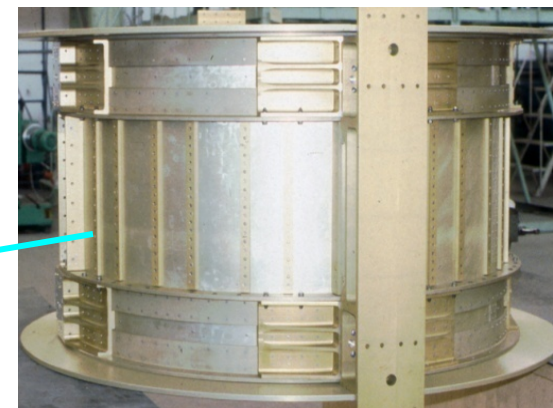
Silicon Tracker
 Z, P

Particles and nuclei are defined by their charge (**Z**) and energy (**$E \sim P$**)

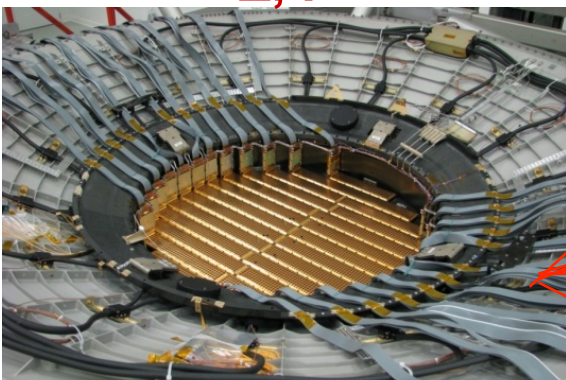
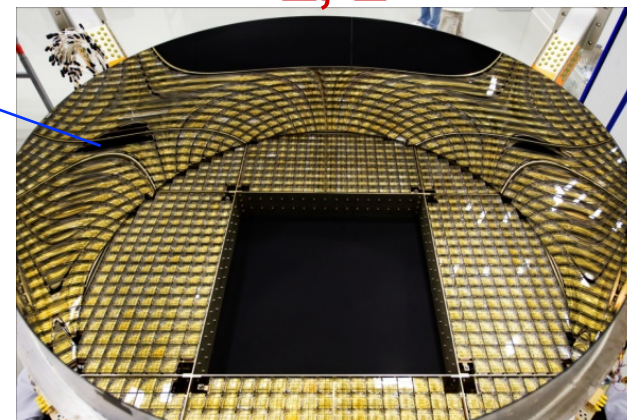
TOF
 Z, E



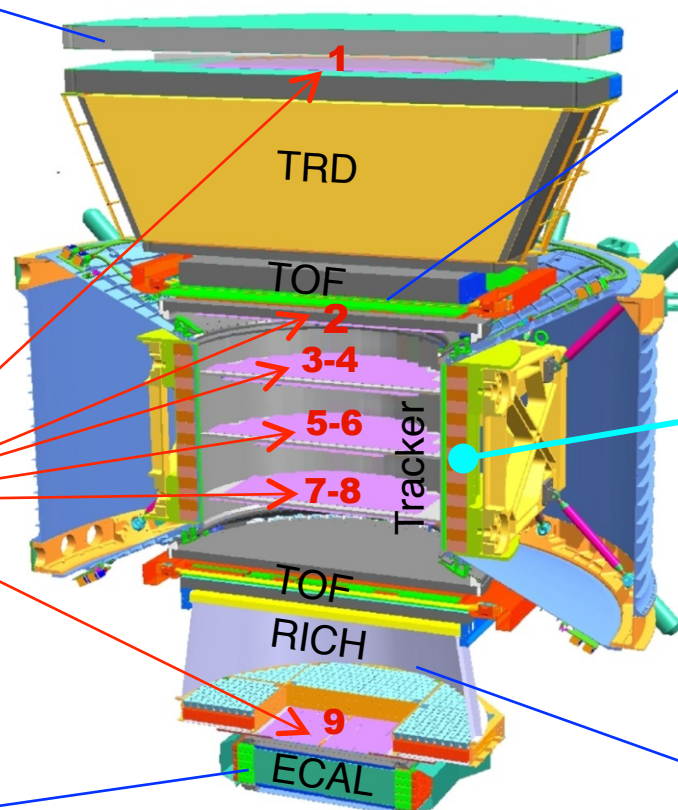
Magnet
 $\pm Z$



RICH
 Z, E

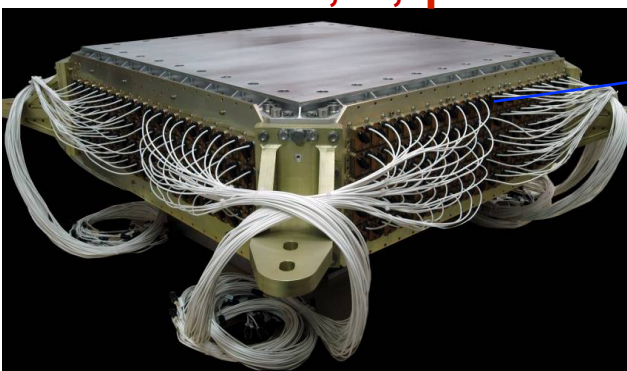


ECAL
 E of e^+ , e^- , γ



Z, P are measured independently by the Tracker, RICH, TOF and ECAL

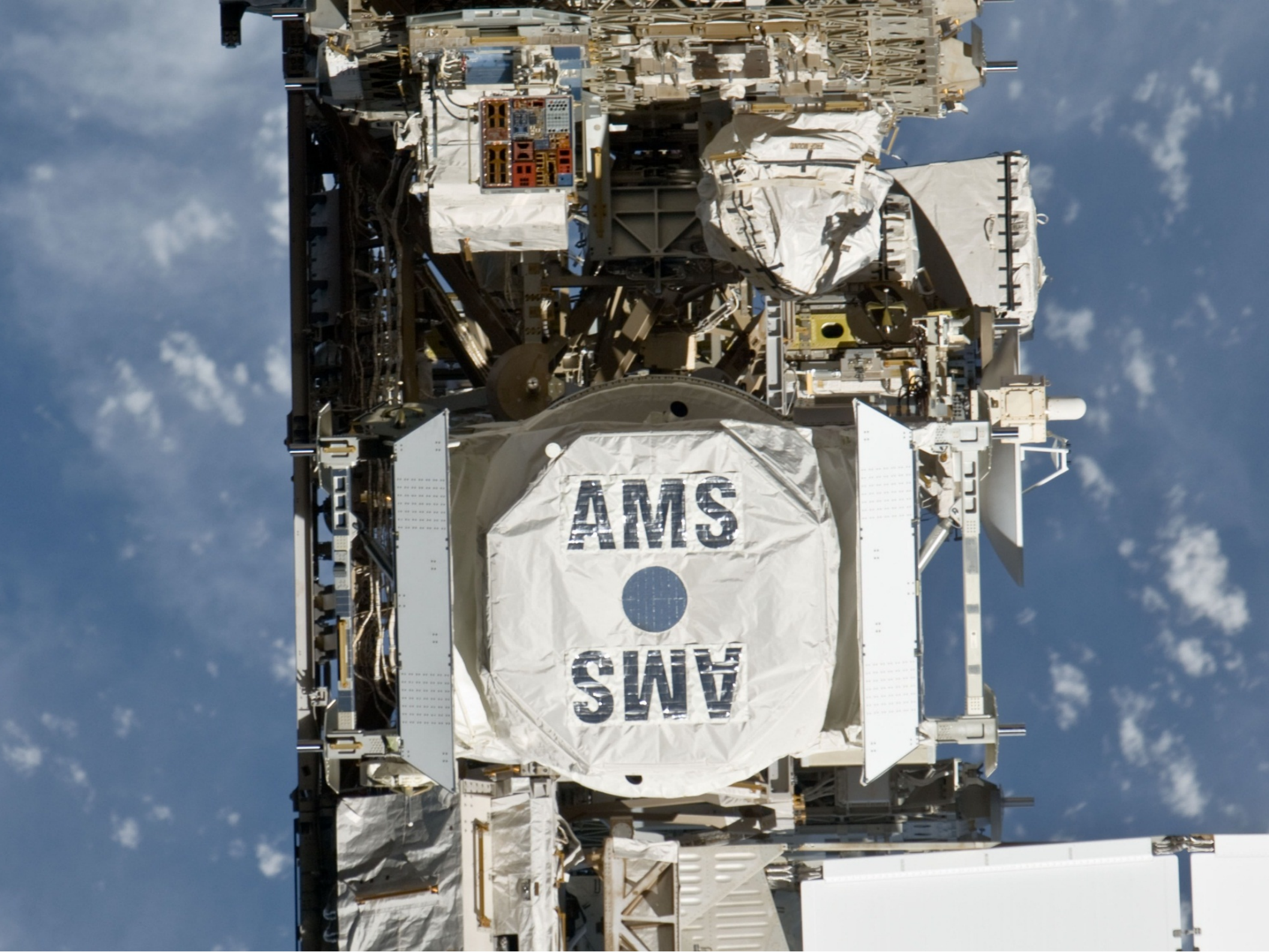
5m x 4m x 3m
7.5 tons





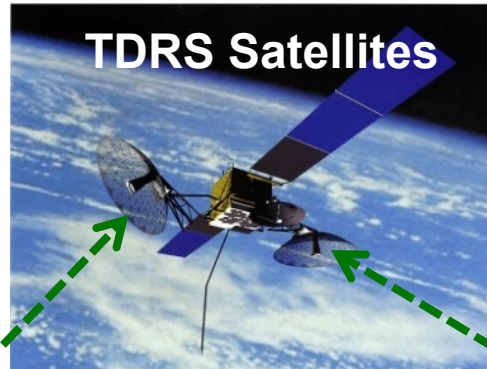
May 16, 2011







AMS Operations



TDRS Satellites

White Sands, NM



24 hours
x 365 days
x 10-20 years



300,000 channels at 2 KHz,
650 onboard processors

1118 temperature sensors,
298 heaters



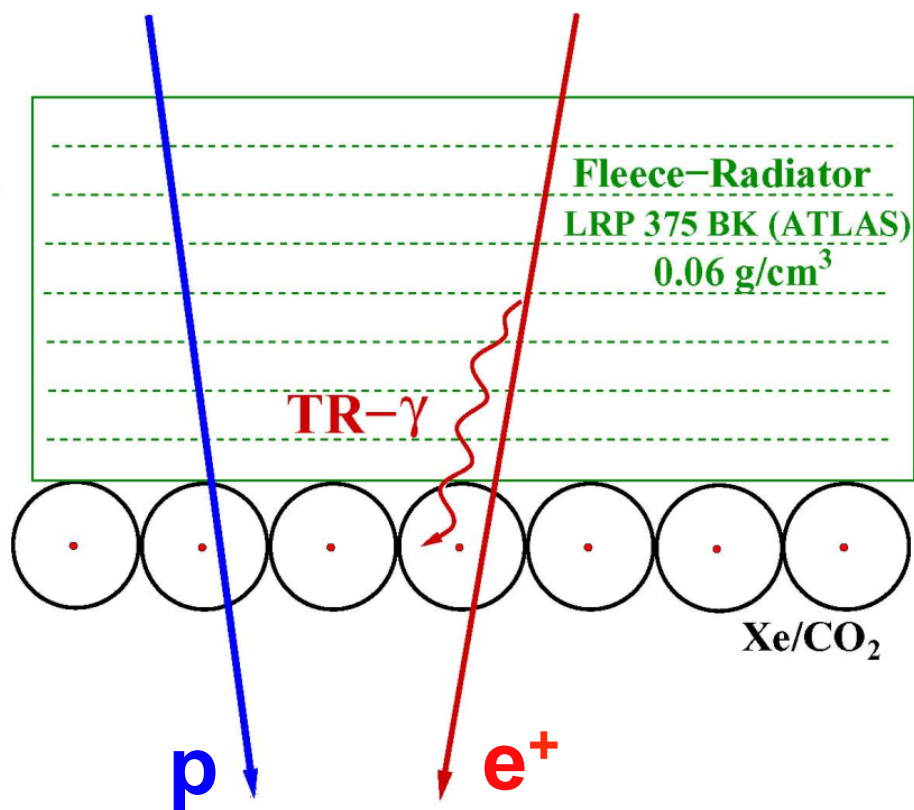
Payload Operations Control
Center at CERN



Transition Radiation Detector.

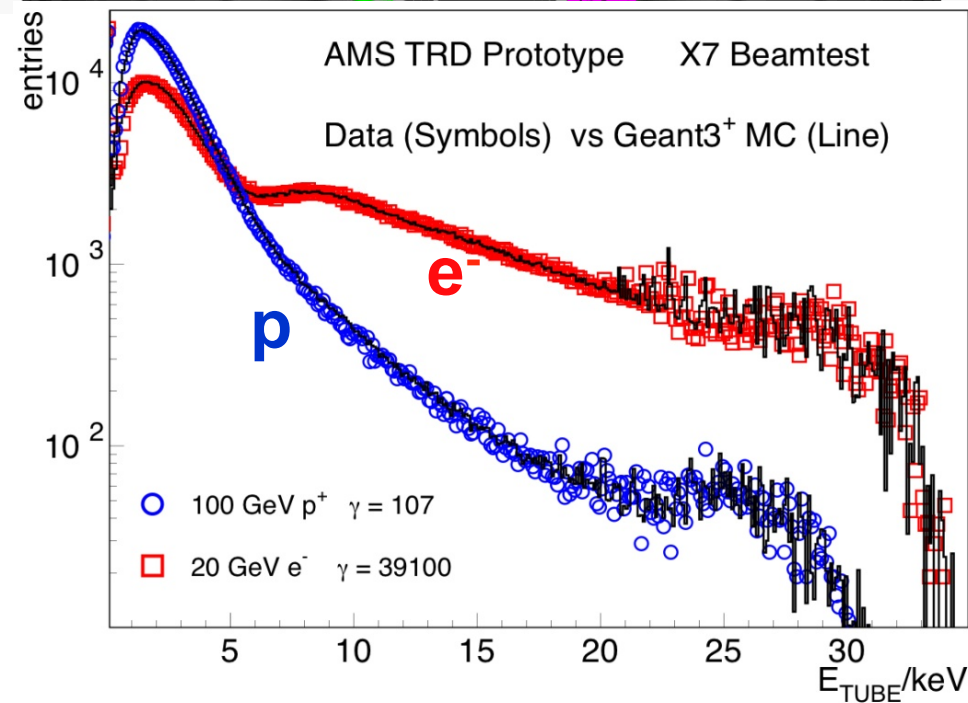
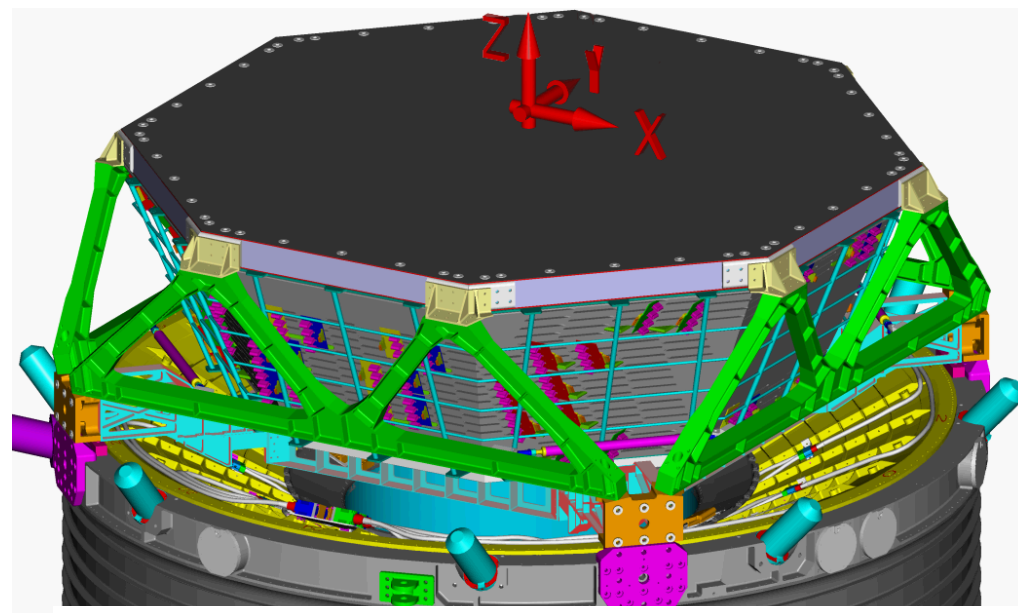
Identify e^+ , reject P

One of 20 Layers



Leak rate: $\text{CO}_2 \approx 5 \mu\text{g/s}$

Storage: 5 kg, >20 years lifetime

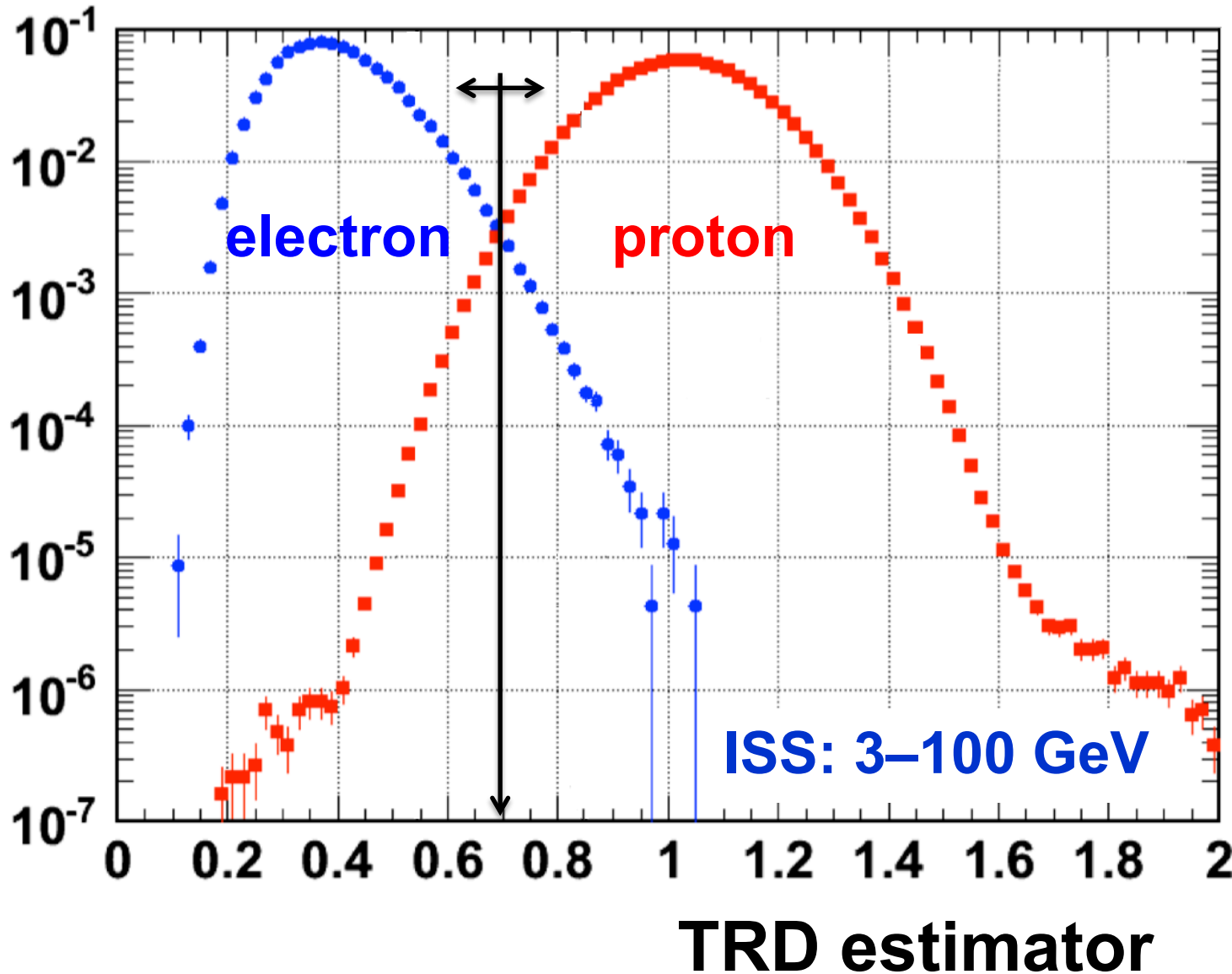




TRD performance on ISS

$$\text{TRD estimator} = -\ln(P_e/(P_e + P_p))$$

Probability

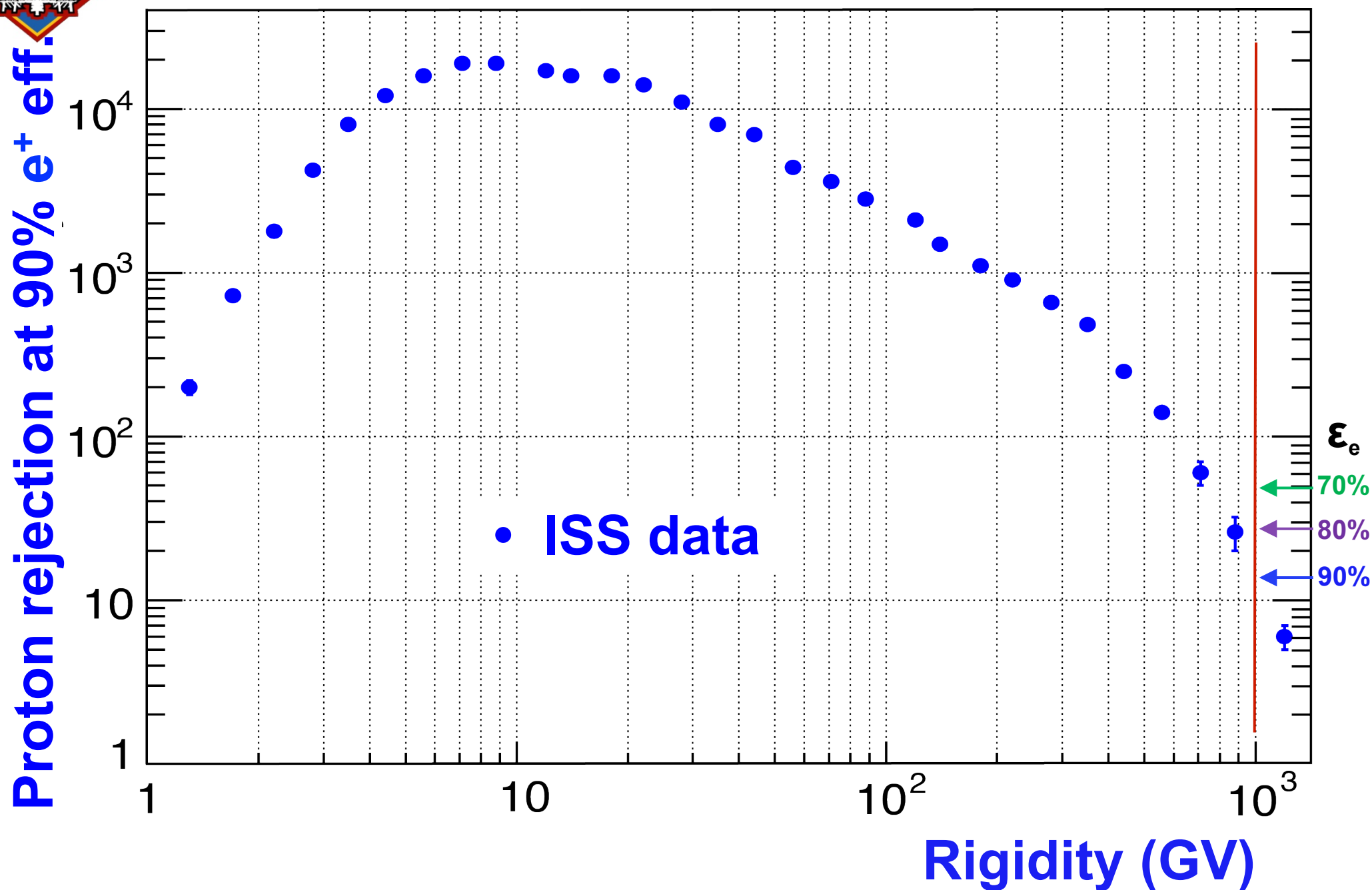


Normalized probabilities
 P_e and P_p

$$P_e = \frac{1}{n} \prod_i^n P_e^{(i)}(A)$$
$$P_p = \frac{1}{n} \prod_i^n P_p^{(i)}(A)$$

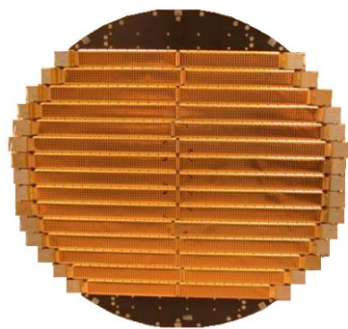


TRD performance on ISS

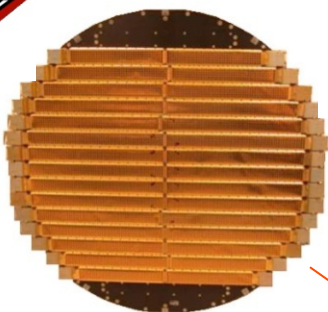




1

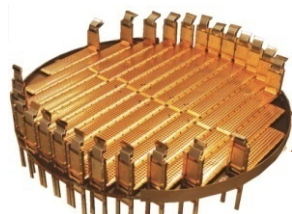


2



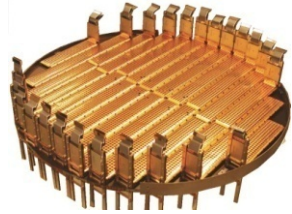
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4



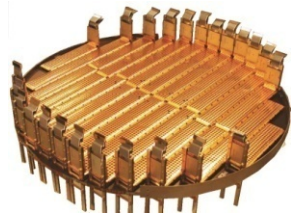
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6

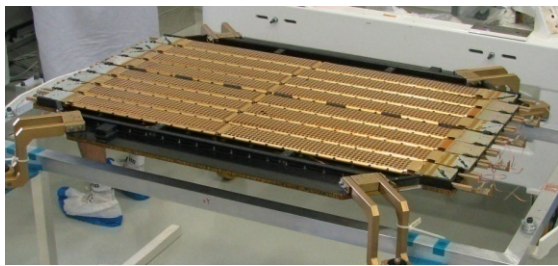


7

8

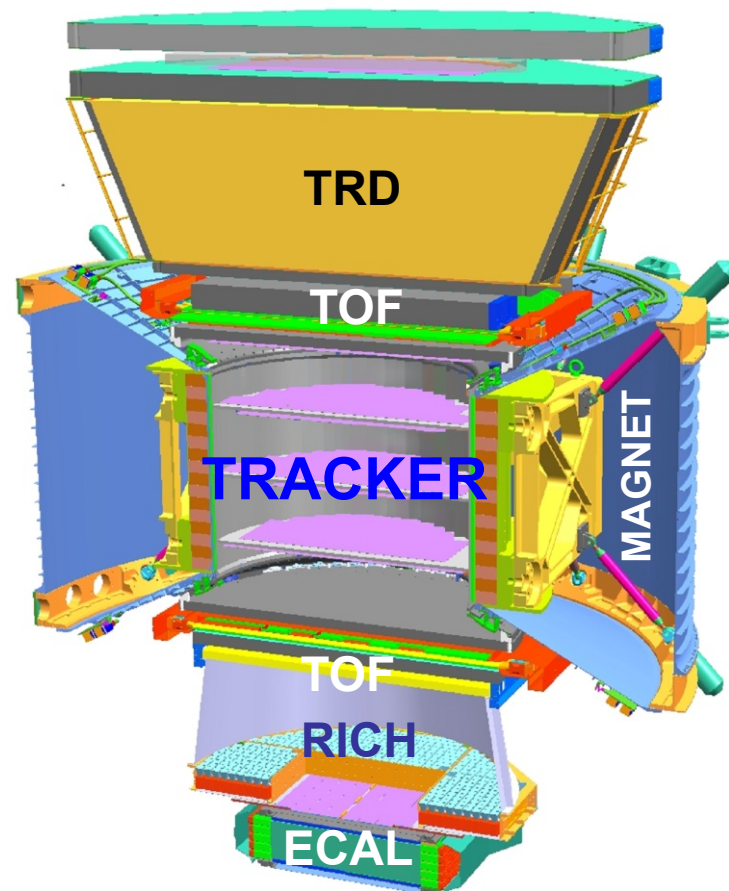
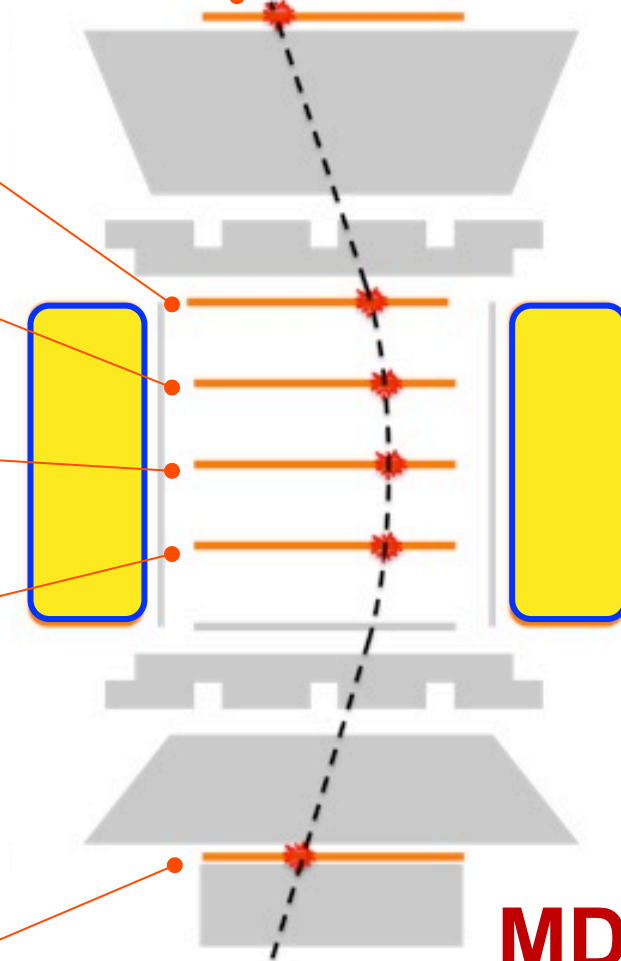


9



Tracker: The coordinate resolution is $10\ \mu$

Stability of the Inner Tracker is monitored by the Tracker Laser Alignment System.
Outer Tracker Alignment via cosmic rays

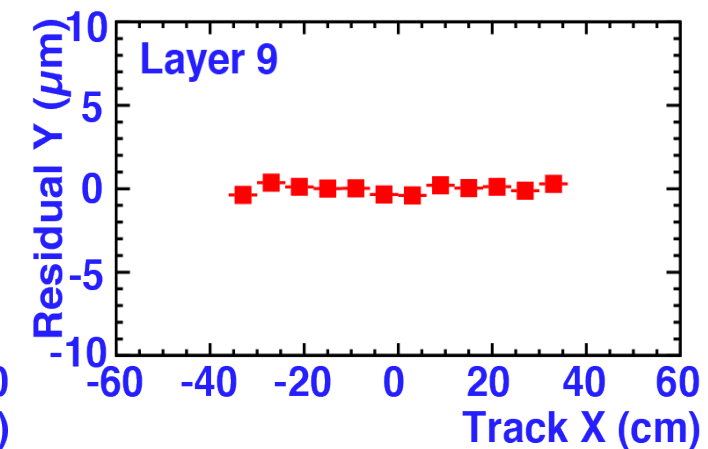
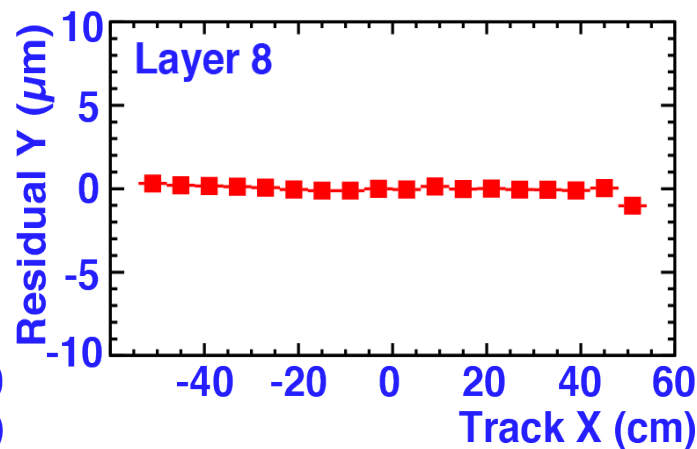
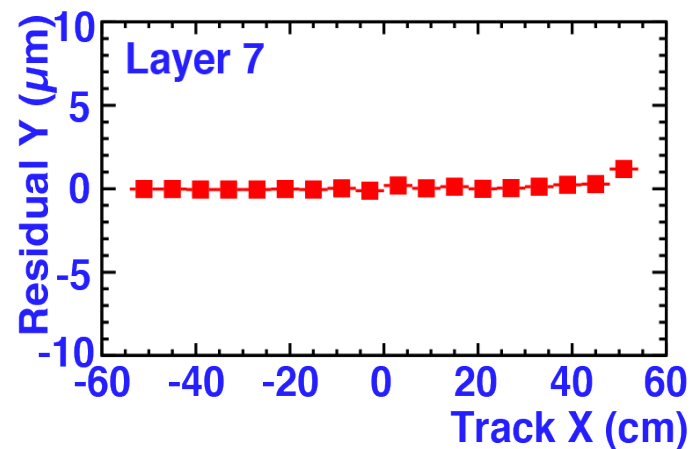
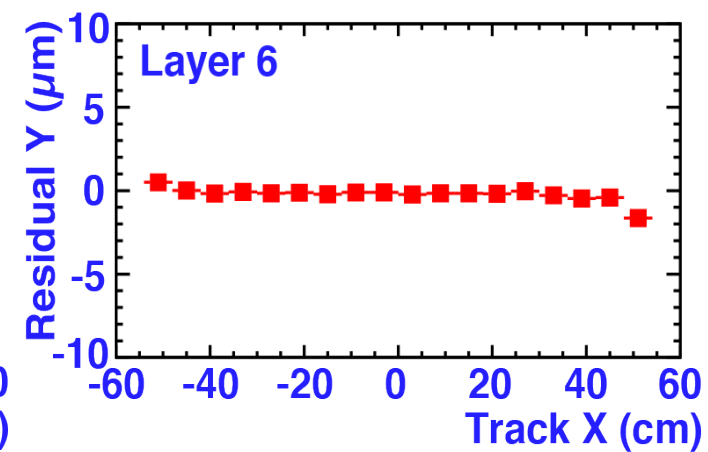
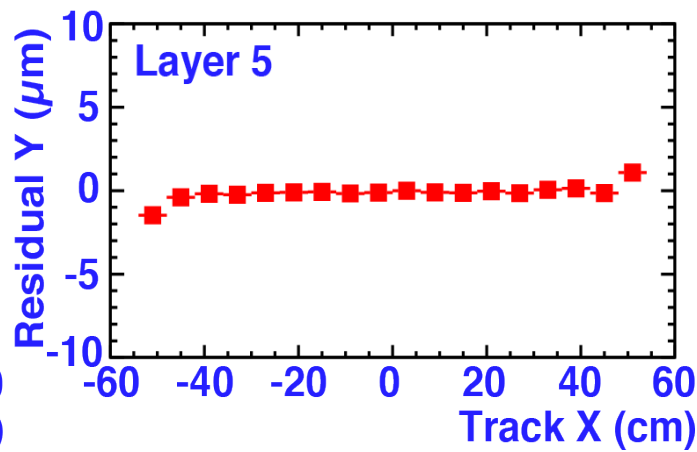
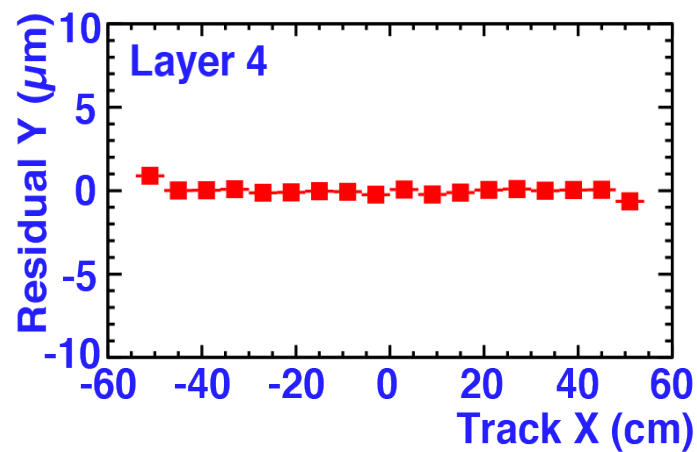
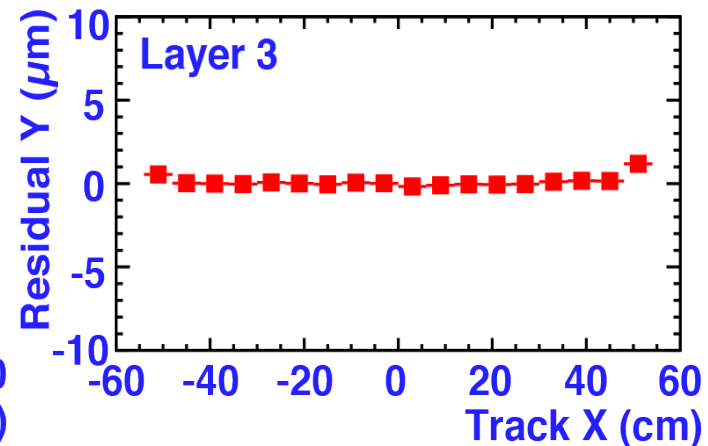
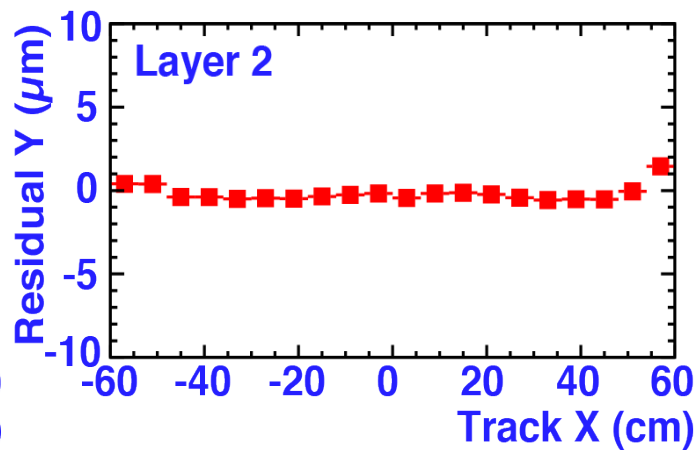
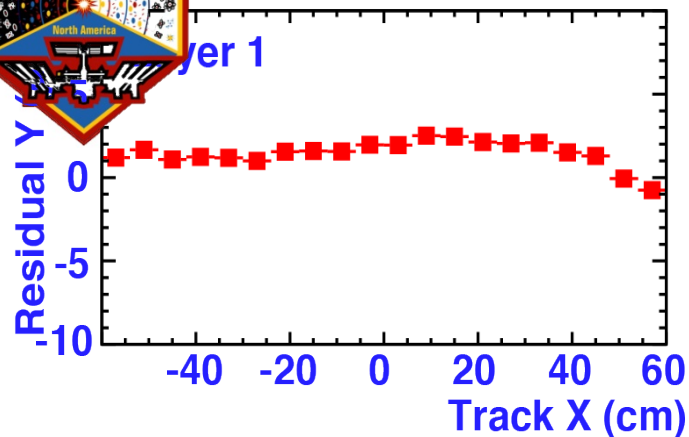


MDR $\sim 2.0\ \text{TV}$

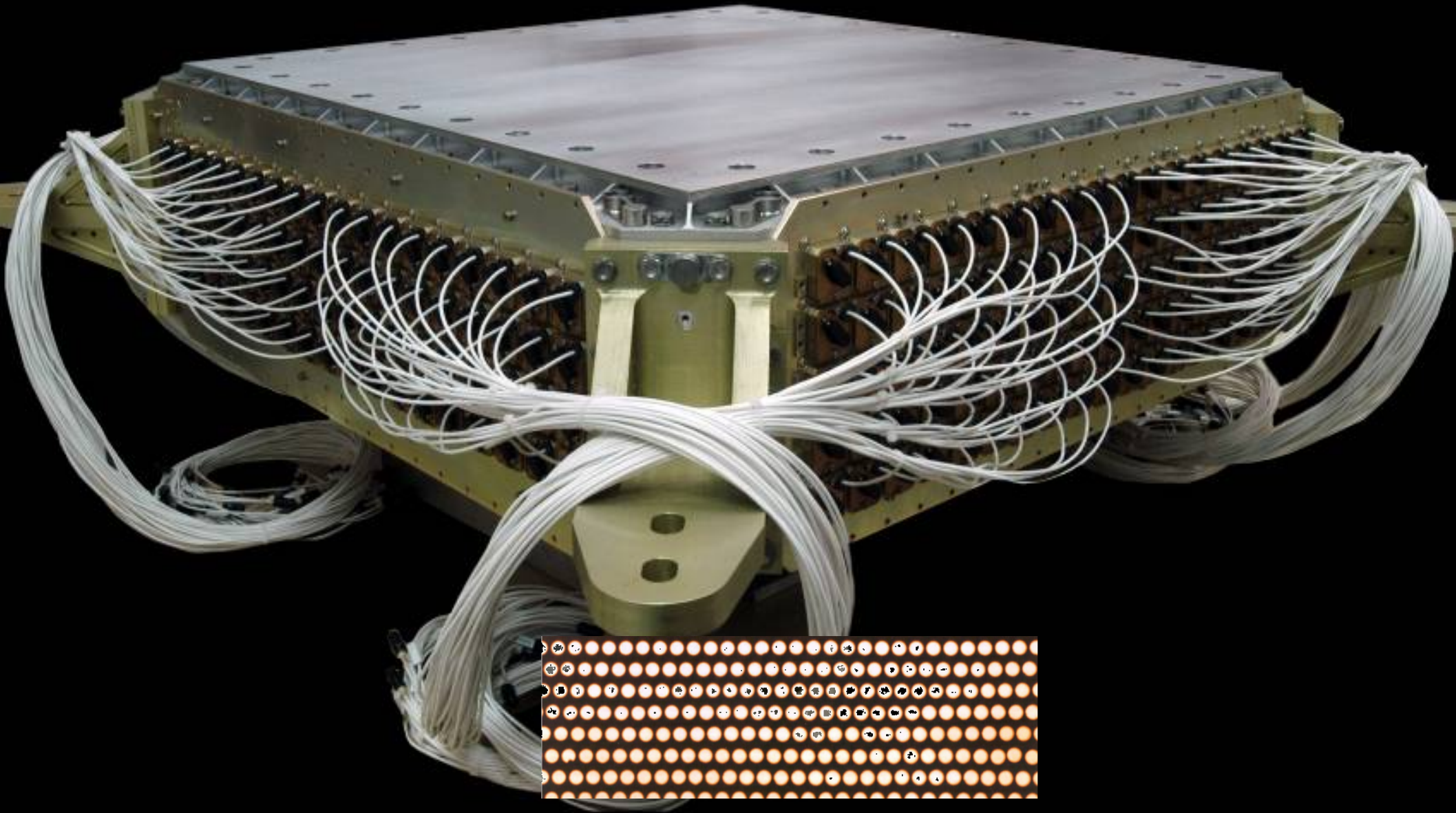
$E / |p|$ matching



Alignment accuracy of the 9 Tracker layers over 18 months



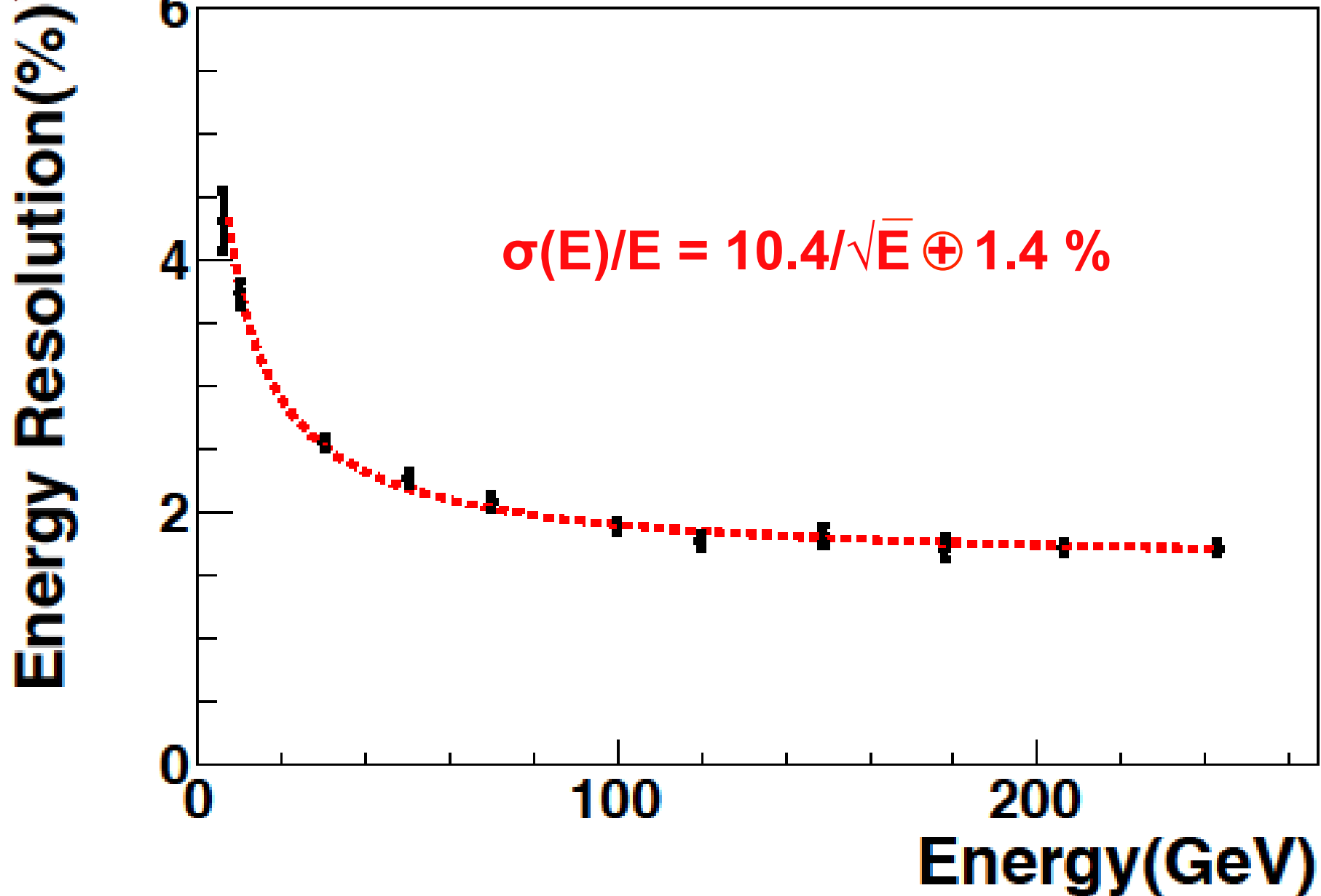
Calorimeter (ECAL)



50,000 fibers, $\phi = 1\text{mm}$, distributed uniformly inside 600 kg of lead which provides a precision, 3-dimensional, $17X_0$ measurement of the directions and energies of light rays and electrons up to 1 TeV



ECAL Performance



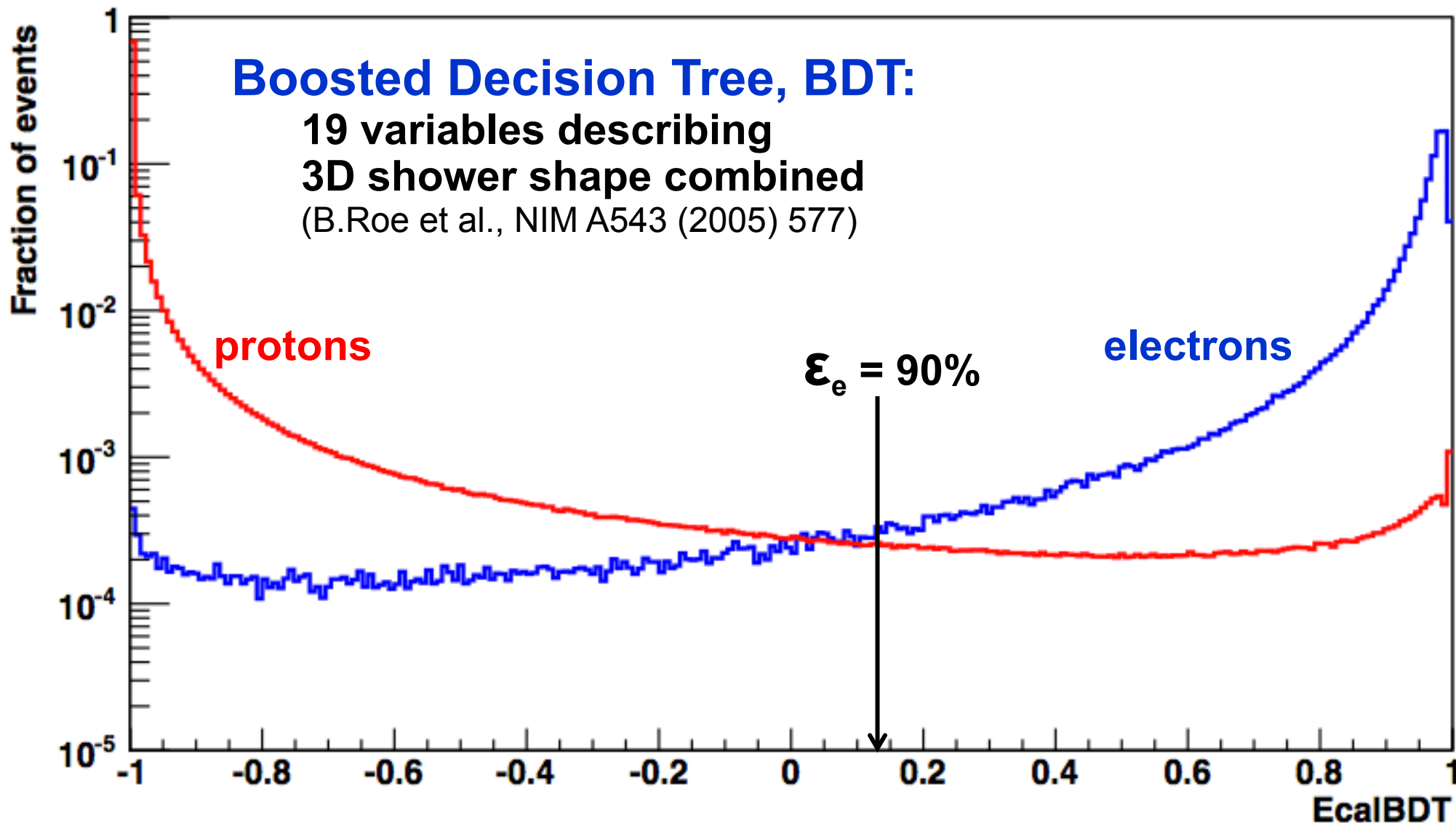


Separation of protons and electrons with ECAL

ISS data: 83–100 GeV

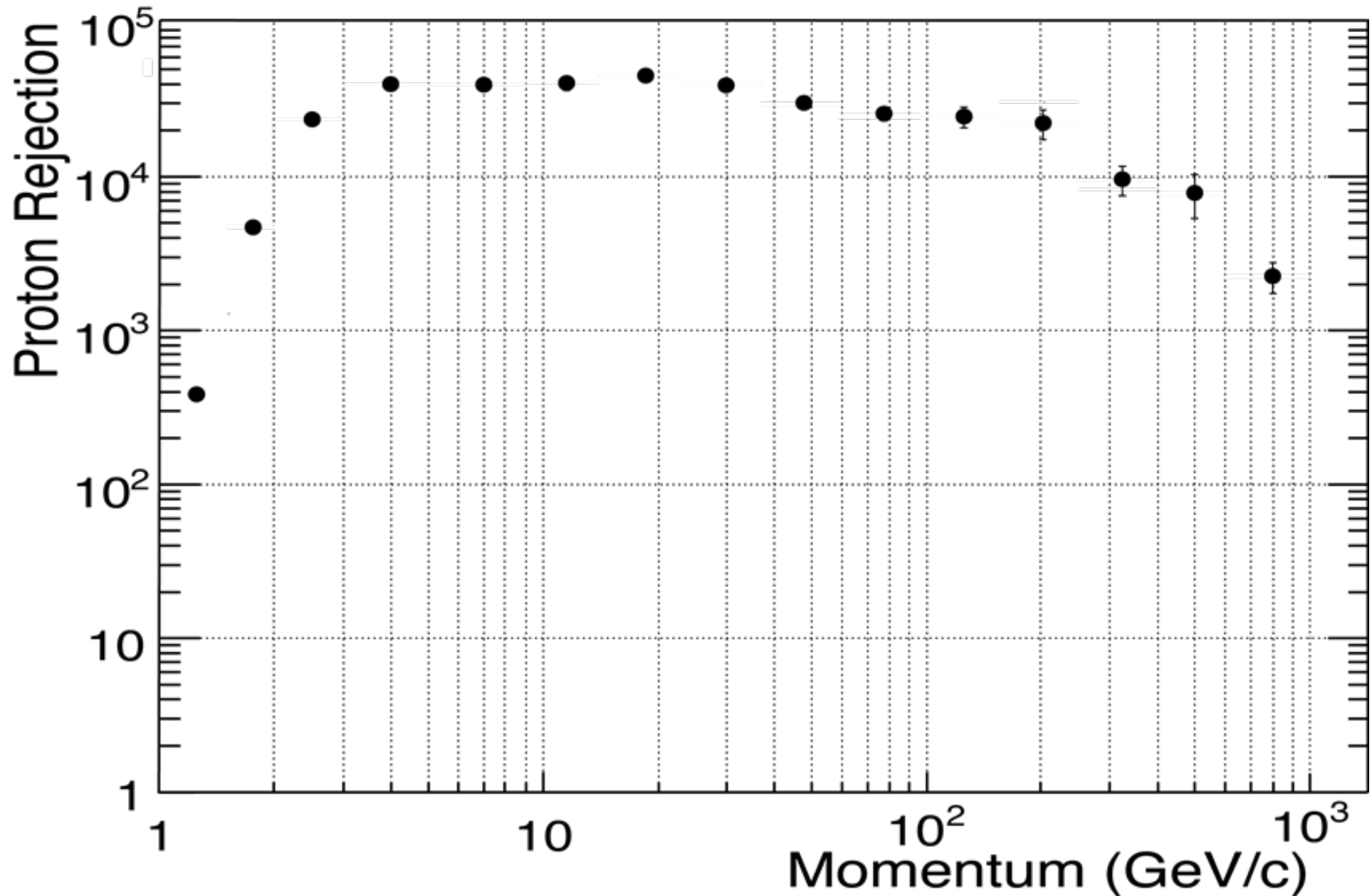
Boosted Decision Tree, BDT:

**19 variables describing
3D shower shape combined**
(B.Roe et al., NIM A543 (2005) 577)





Data from ISS: Proton rejection using the ECAL





Results from the first 2 years of AMS

- Individual flux measurements:
 - Proton flux 1 GV - 1.8 TV
 - Helium flux 2 GV - 3 TV
 - Electron flux 1 - 500 GeV
 - Positron flux 1 - 350 GeV
 - Electron plus positron flux 0.5 - 700 GeV
- Flux Ratios:
 - Boron to carbon ratio 0.5 - 670 GeV/n
 - Positron fraction 0.5 - 350 GeV
- Anisotropy Searches
 - Positron to electron and positron to proton ratio

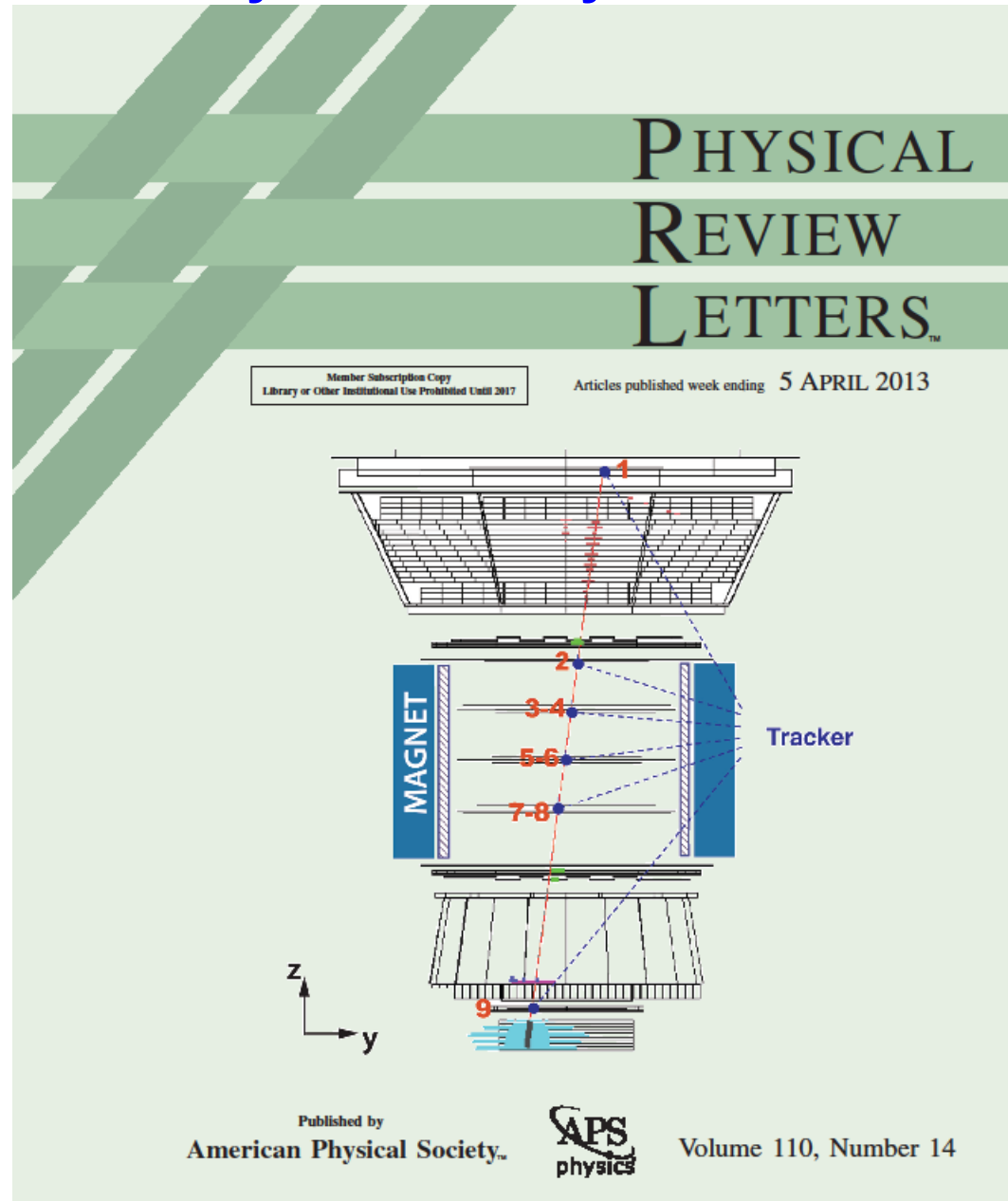


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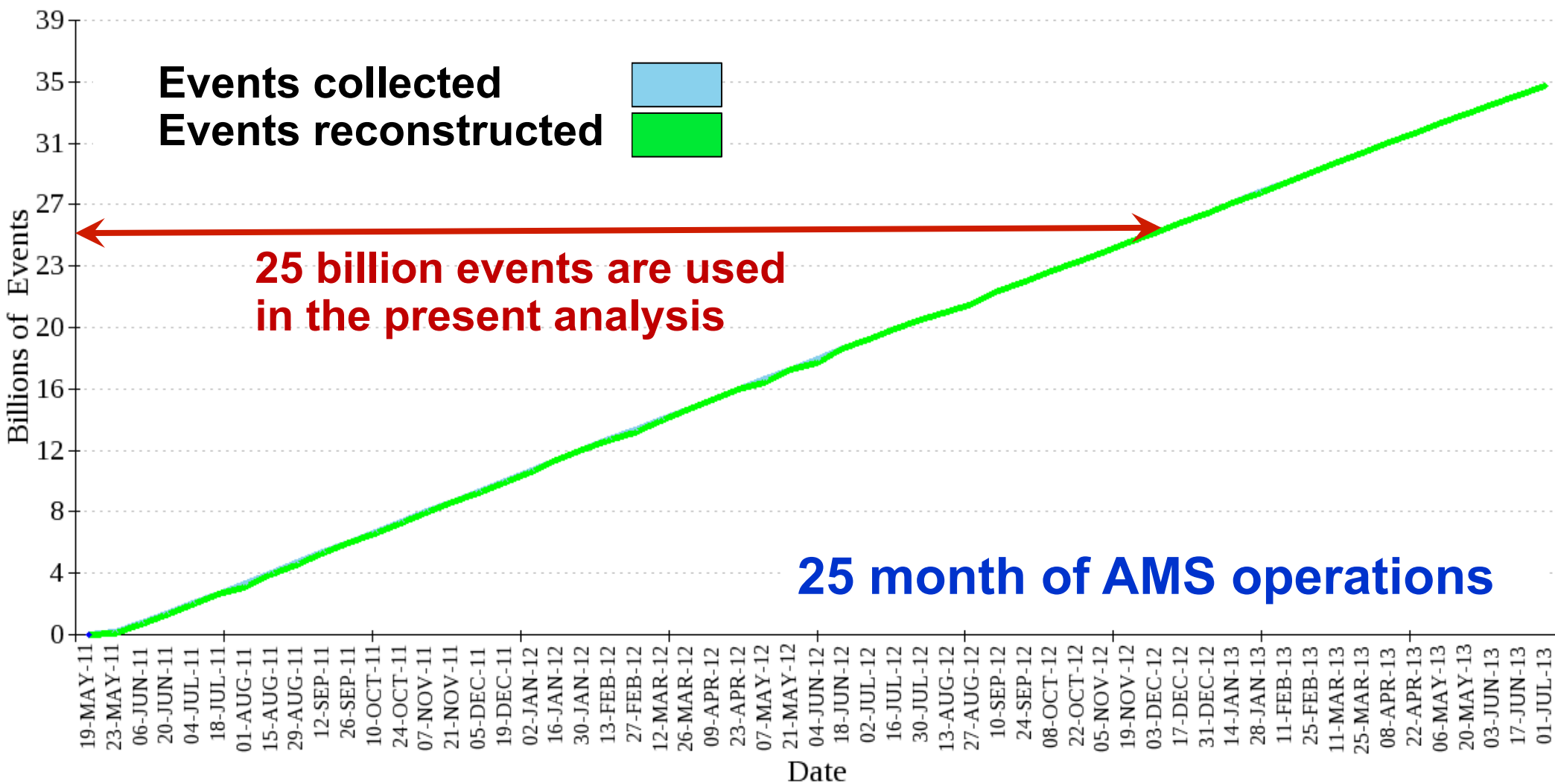


First Result from the Alpha Magnetic Spectrometer on the International Space Station: Precision Measurement of the Positron Fraction in Primary Cosmic Rays of 0.5–350 GeV





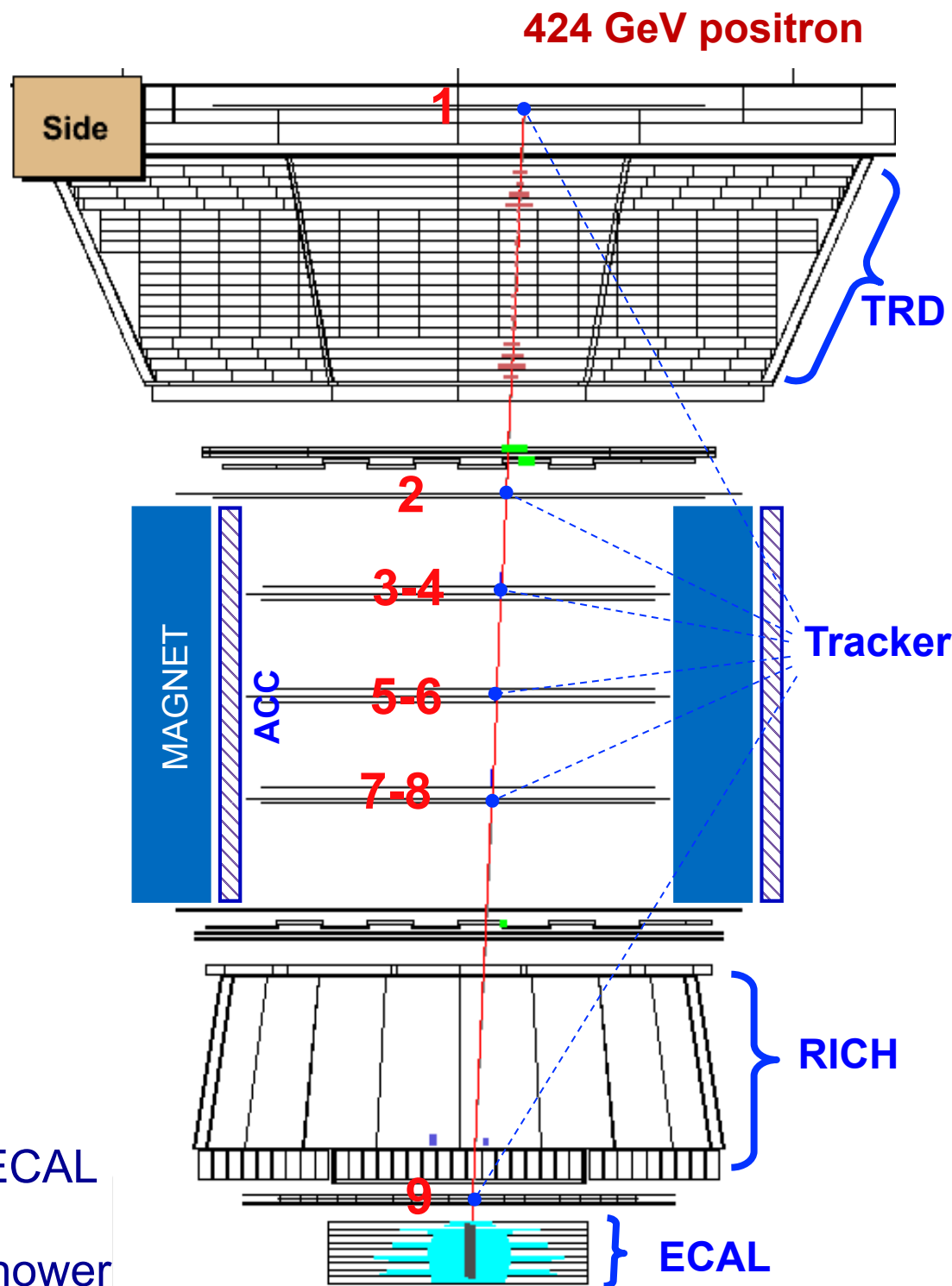
To date AMS collected over 35 billion events





Event selection

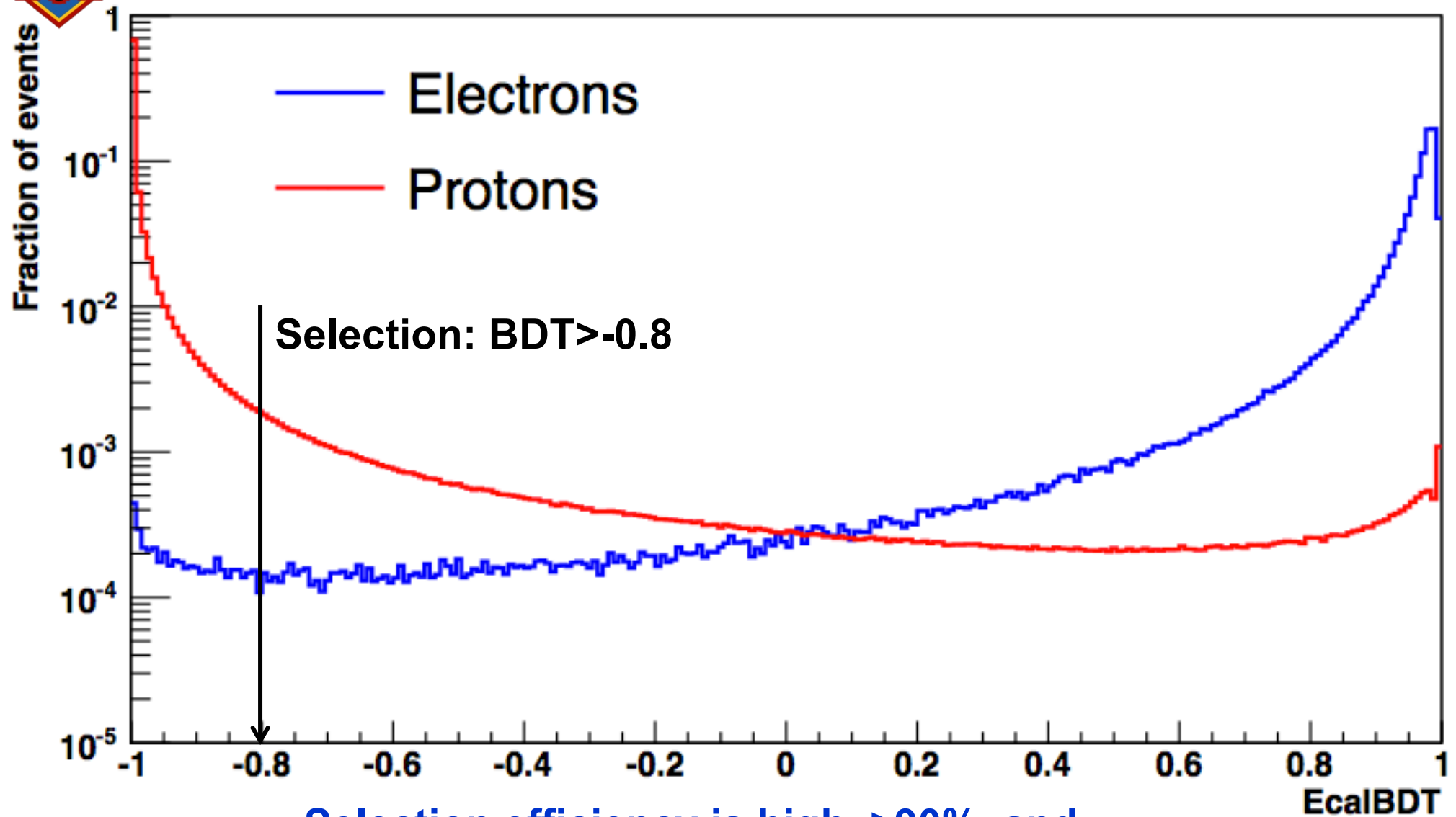
- **DAQ:** efficiency > 50% (no SAA)
- **Geomagnetic cutoff:**
 $E > 1.2 \cdot \text{max cutoff}$
- **TRACKER:**
 - Track quality
 - geometrical match with ECAL shower
- **TRD:** at least 15 hits
- **TOF:** downgoing particle,
 $\beta > 0.8$, $0.8 < Z < 1.4$
- **ECAL:**
 - shower axis within the fiducial ECAL volume
 - electromagnetic shape of the shower





Event selection: ECAL BDT

ISS data: 83–100 GeV



Selection efficiency is high, $\gtrsim 90\%$, and
uniform in a wide energy range, 2–400 GeV

$N_{e^\pm} \approx 6,800,000$

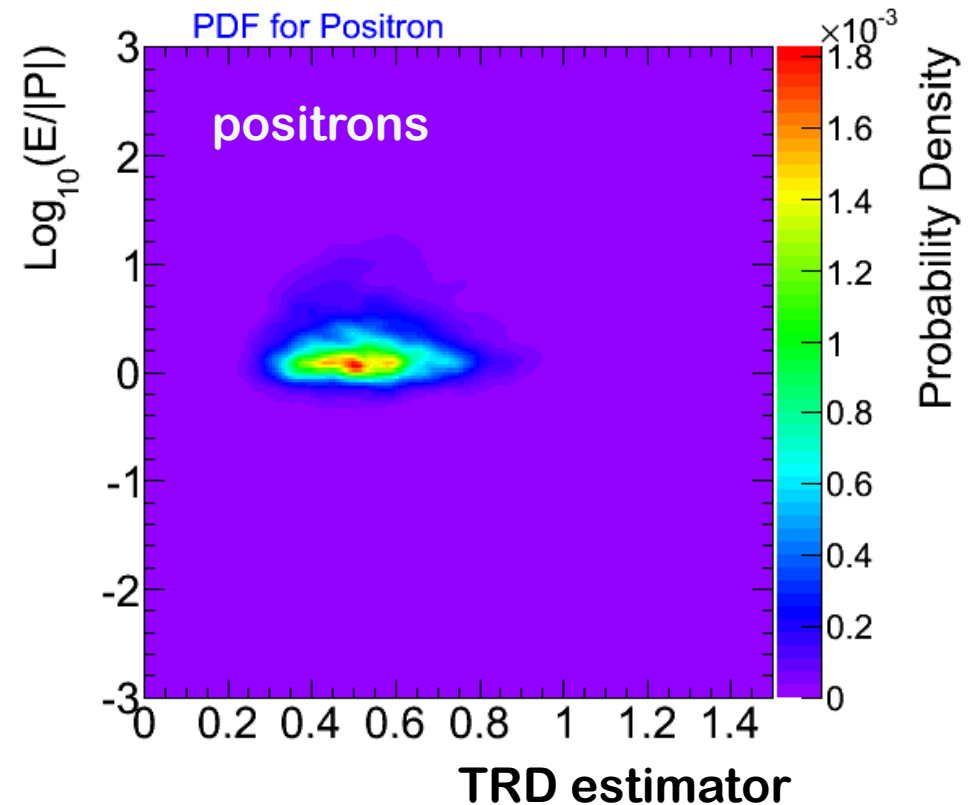
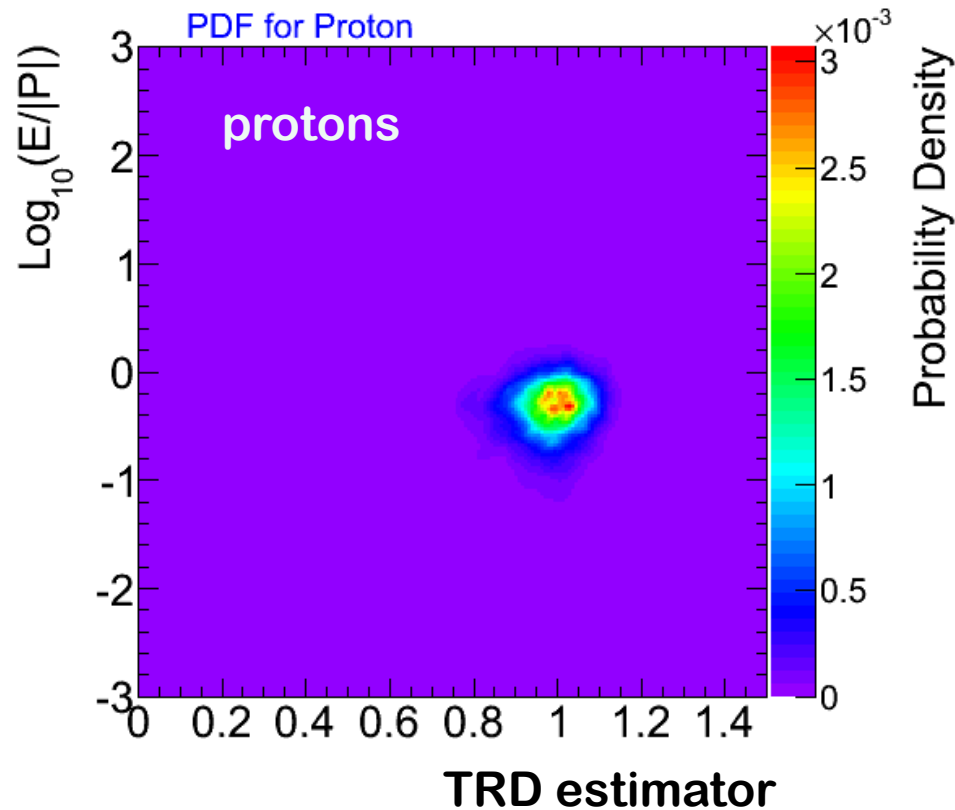
$N_p \approx 700,000$



Analysis: 2D fit to measure Ne^\pm and Np

2D reference spectra for the signal and the background are fitted to data in the [TRD estimator- $\log(E/|P|)$] plane.

The method combines redundant information from TRD, ECAL, and Tracker; and provides much better statistical accuracy compared to cut-based analysis.

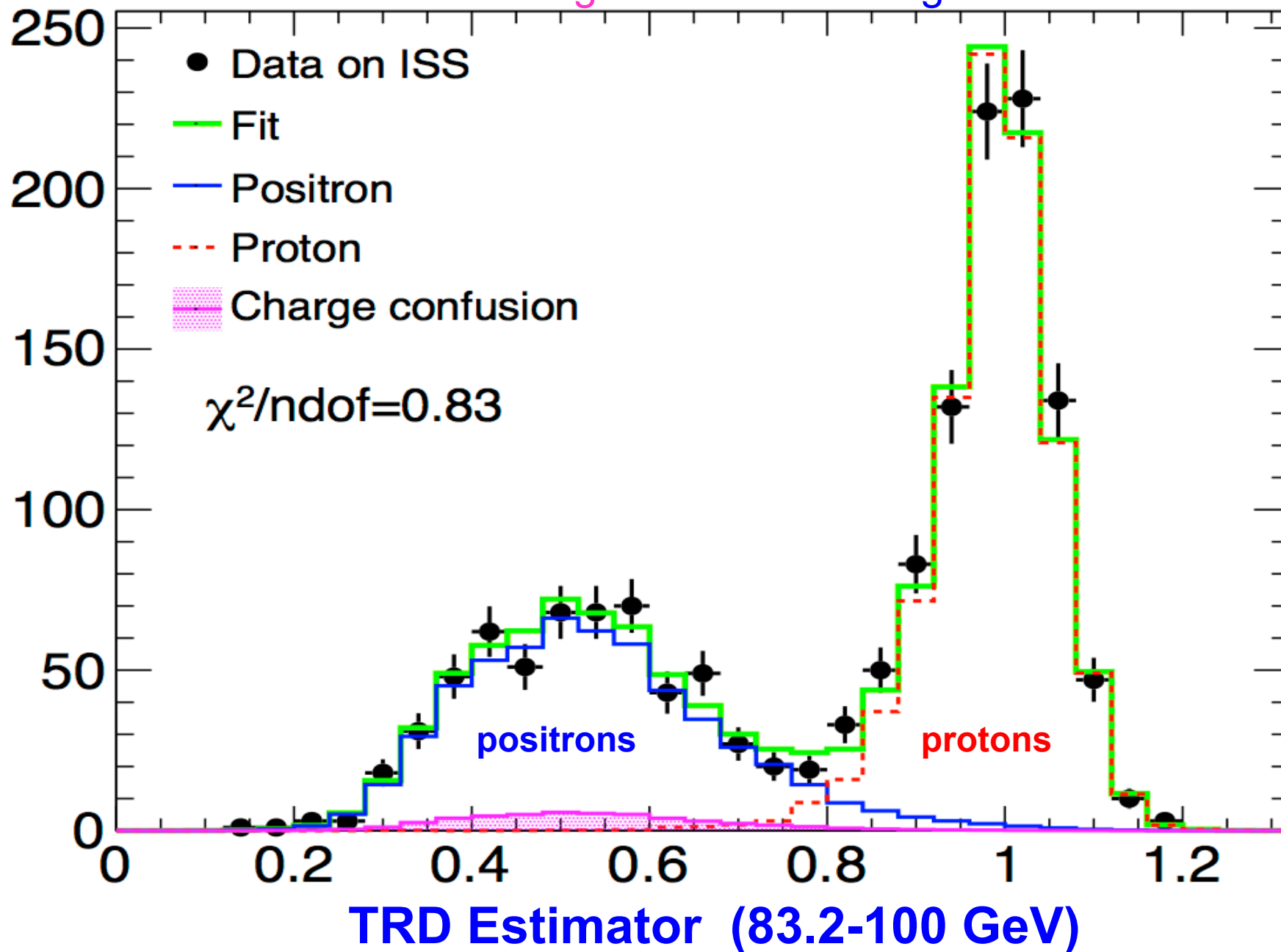




Results of the fit:

The TRD Estimator shows clear separation between
protons and positrons
with a small charge confusion background

Events





AMS Result: Measurement of the positron fraction

Positron events, positron fraction in each energy bin

Systematic Errors

Energy [GeV]	N_{e^+}	Fraction	statistical error	acceptance asymmetry	event selection	bin-to-bin migration	reference spectra	charge confusion	total systematic uncertainty
Energy[GeV]	N_{e^+}	Fraction	$\sigma_{\text{stat.}}$	$\sigma_{\text{acc.}}$	$\sigma_{\text{sel.}}$	$\sigma_{\text{mig.}}$	$\sigma_{\text{ref.}}$	$\sigma_{\text{c.c.}}$	$\sigma_{\text{syst.}}$
1.00-1.21	9335	0.0842	0.0008	0.0005	0.0009	0.0008	0.0001	0.0005	0.0014
1.97-2.28	23893	0.0642	0.0004	0.0002	0.0005	0.0002	0.0001	0.0002	0.0006
3.30-3.70	20707	0.0550	0.0004	0.0001	0.0003	0.0000	0.0001	0.0002	0.0004
6.56-7.16	13153	0.0510	0.0004	0.0001	0.0000	0.0000	0.0001	0.0002	0.0002
09.95-10.73	7161	0.0519	0.0006	0.0001	0.0000	0.0000	0.0001	0.0002	0.0002
19.37-20.54	2322	0.0634	0.0013	0.0001	0.0001	0.0000	0.0001	0.0002	0.0003
30.45-32.10	1094	0.0701	0.0022	0.0001	0.0002	0.0000	0.0001	0.0003	0.0004
40.00-43.39	976	0.0802	0.0026	0.0002	0.0005	0.0000	0.0001	0.0004	0.0007
50.87-54.98	605	0.0891	0.0038	0.0002	0.0006	0.0000	0.0001	0.0004	0.0008
64.03-69.00	392	0.0978	0.0050	0.0002	0.0010	0.0000	0.0002	0.0007	0.0013
74.30-80.00	276	0.0985	0.0062	0.0002	0.0010	0.0000	0.0002	0.0010	0.0014
86.00-92.50	240	0.1120	0.0075	0.0002	0.0010	0.0000	0.0003	0.0011	0.0015
100.0-115.1	304	0.1118	0.0066	0.0002	0.0015	0.0000	0.0003	0.0015	0.0022
115.1-132.1	223	0.1142	0.0080	0.0002	0.0019	0.0000	0.0004	0.0019	0.0027
132.1-151.5	156	0.1215	0.0100	0.0002	0.0021	0.0000	0.0005	0.0024	0.0032
151.5-173.5	144	0.1364	0.0121	0.0002	0.0026	0.0000	0.0006	0.0045	0.0052
173.5-206.0	134	0.1485	0.0133	0.0002	0.0031	0.0000	0.0009	0.0050	0.0060
206.0-260.0	101	0.1530	0.0160	0.0003	0.0031	0.0000	0.0013	0.0095	0.0101
260.0-350.0	72	0.1550	0.0200	0.0003	0.0056	0.0000	0.0018	0.0140	0.0152



Positron fraction

The data show that the positron fraction is steadily increasing from 10 to ~250 GeV, but, from 20 to 250 GeV, the slope decreases by an order of magnitude.

◦ AMS-02 (6.8 million e^+ , e^- events)

10^{-1}

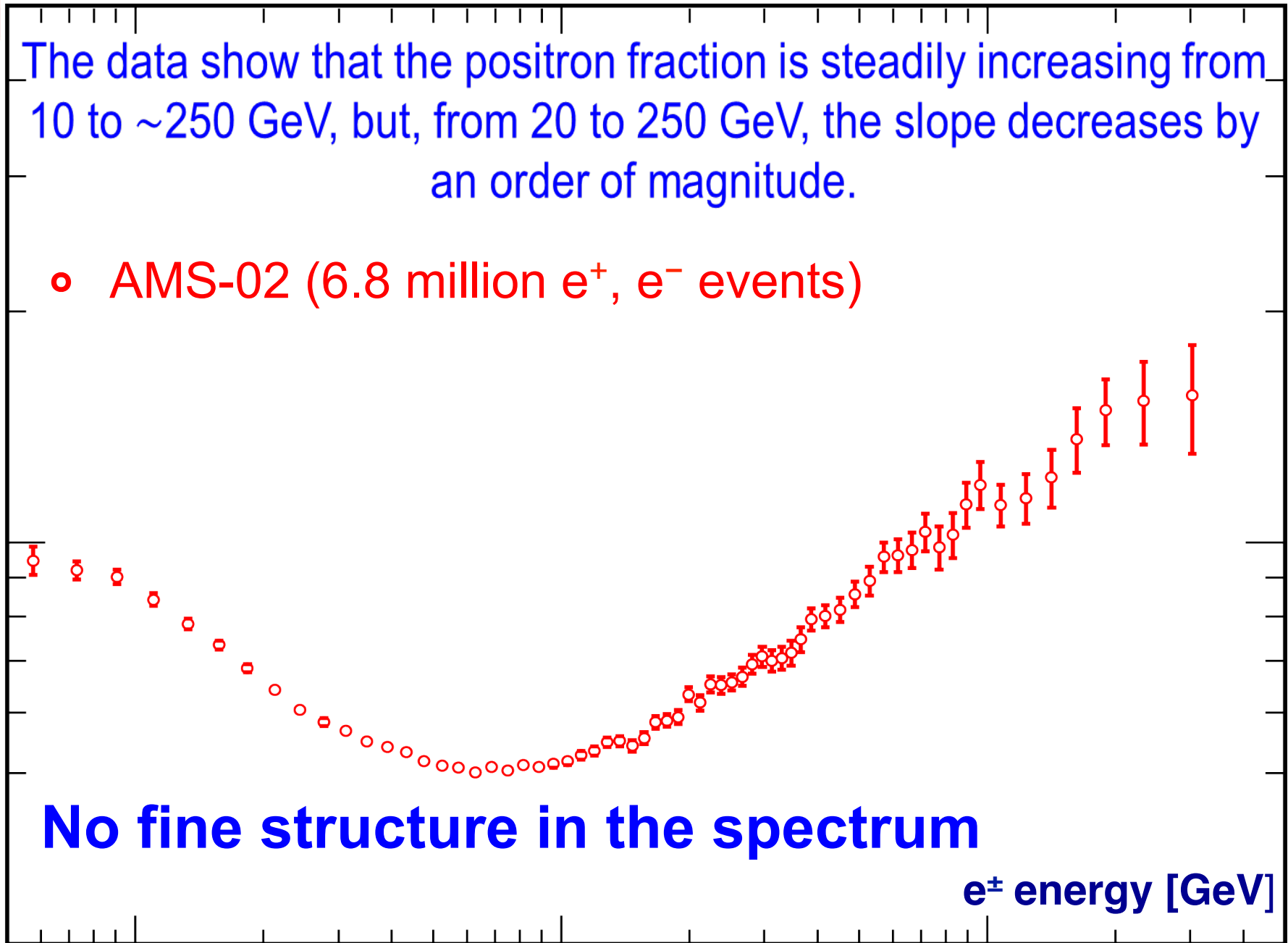
No fine structure in the spectrum

e^\pm energy [GeV]

1

10

10^2





Comparing data with a minimal model

Positron fraction

$$\Phi_{e^+} = C_{e^+} E^{-\gamma_{e^+}} + C_s E^{-\gamma_s} e^{-E/E_s}$$

$$\Phi_{e^-} = C_{e^-} E^{-\gamma_{e^-}} + C_s E^{-\gamma_s} e^{-E/E_s}$$

○ Data
— Fit to Data with Model

$\chi^2/d.f. = 28.5/57$

e^\pm energy [GeV]

The agreement between the data and the model shows that the positron fraction spectrum is consistent with e^\pm fluxes each of which is the sum of its diffuse spectrum and a single common power law source.



A fit to the data in the energy range 1 to 350 GeV yields:

$\gamma_{e^-} - \gamma_{e^+} = -0.63 \pm 0.03$, *i.e.*, the diffuse positron spectrum is less energetic than the diffuse electron spectrum;

$\gamma_{e^-} - \gamma_s = 0.66 \pm 0.05$, *i.e.*, the source spectrum is more energetic than the diffuse electron spectrum;

$C_{e^+}/C_{e^-} = 0.091 \pm 0.001$, *i.e.*, the weight of the diffuse positron flux amounts to ~10% of that of the diffuse electron flux;

$C_s/C_{e^-} = 0.0078 \pm 0.0012$, *i.e.*, the weight of the common source constitutes only ~1% of that of the diffuse electron flux;

$1/E_s = 0.0013 \pm 0.0007 \text{ GeV}^{-1}$,
corresponding to a cutoff energy of $760^{+1000}_{-280} \text{ GeV}$.



Positron fraction

10^{-1}

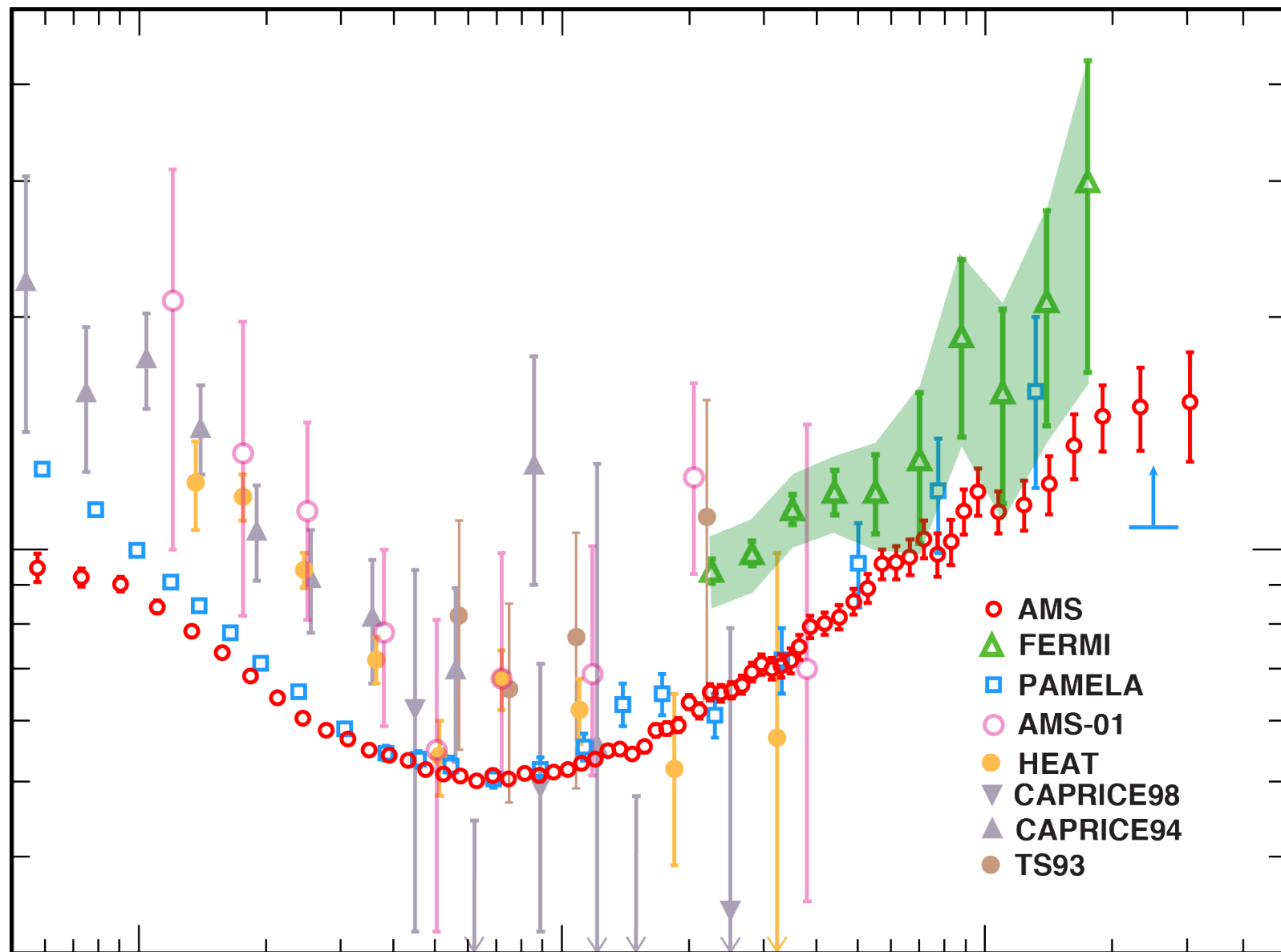
1

10

10^2

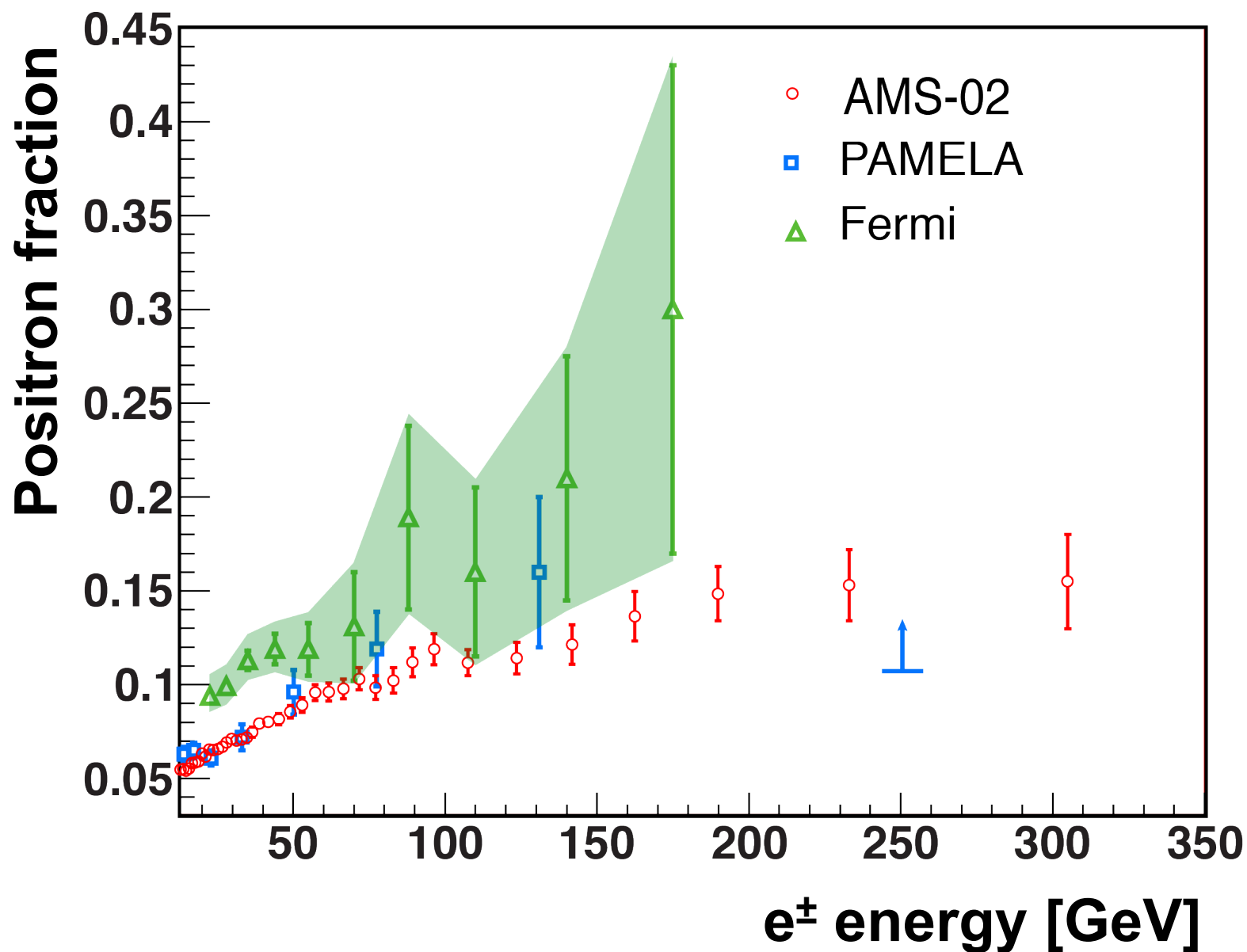
positron, electron energy [GeV]

- AMS
- △ FERMI
- PAMELA
- AMS-01
- HEAT
- ▼ CAPRICE98
- ▲ CAPRICE94
- TS93





AMS published results on the positron fraction show an increase above 10 GeV





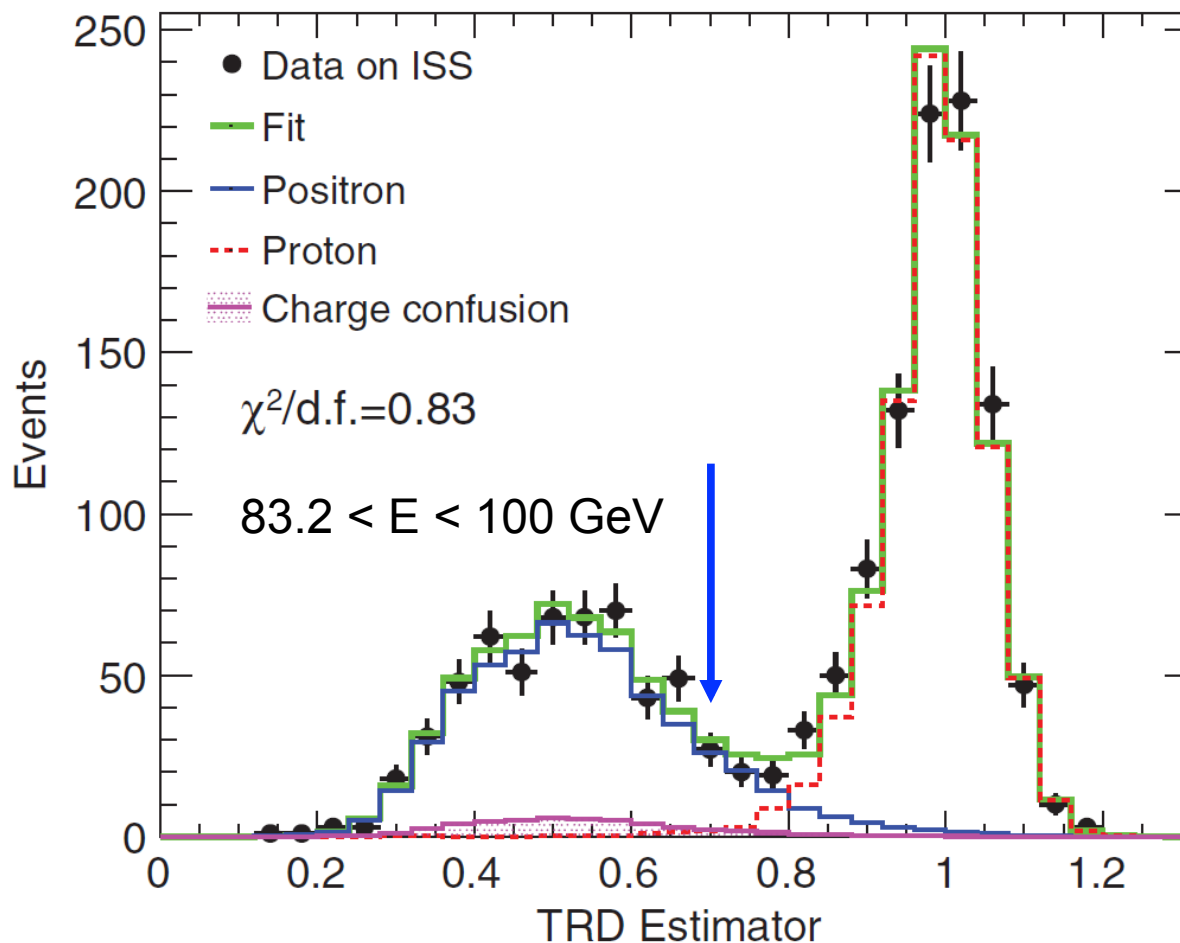
This observation shows the existence of new physical phenomena, whether from a particle physics or an astrophysical origin.

Primary sources of cosmic ray positrons and electrons may induce some degree of anisotropy on the measured positron to electron ratio, e^+/e^- , that is, the ratio of the positron flux to the electron flux.

A systematic search for anisotropies using the selected sample is performed from 16 to 350 GeV.



Proton background is reduced to the per mil level with a cut based selection on the TRD and ECAL estimators

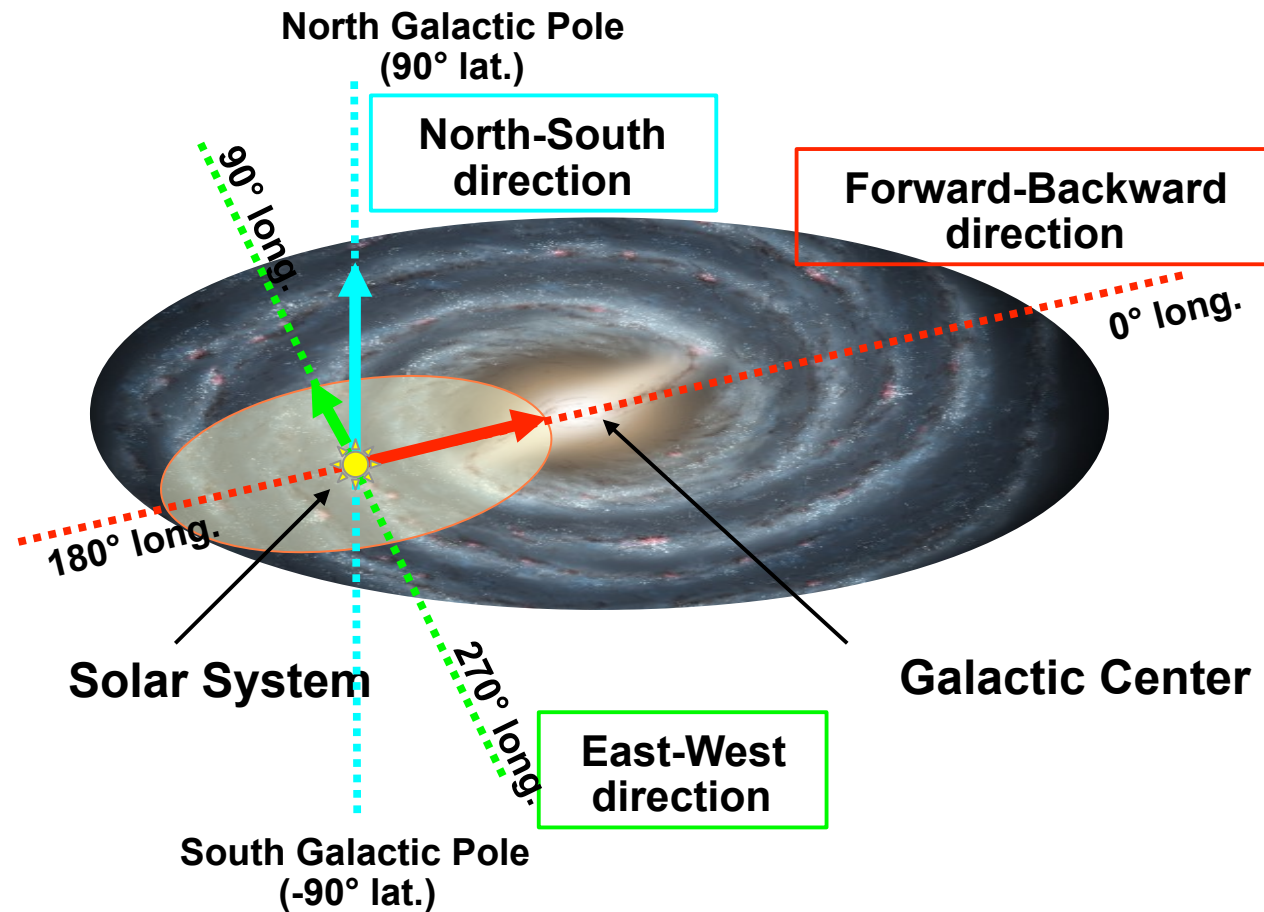


35,000 e^+ and 460,000 e^- are selected in the data collected from 19 May 2011 to 10 March 2013



Selected events are grouped into
5 cumulative energy bins:
16-350, 25-350, 40-350, 65-350
and 100-350 GeV.

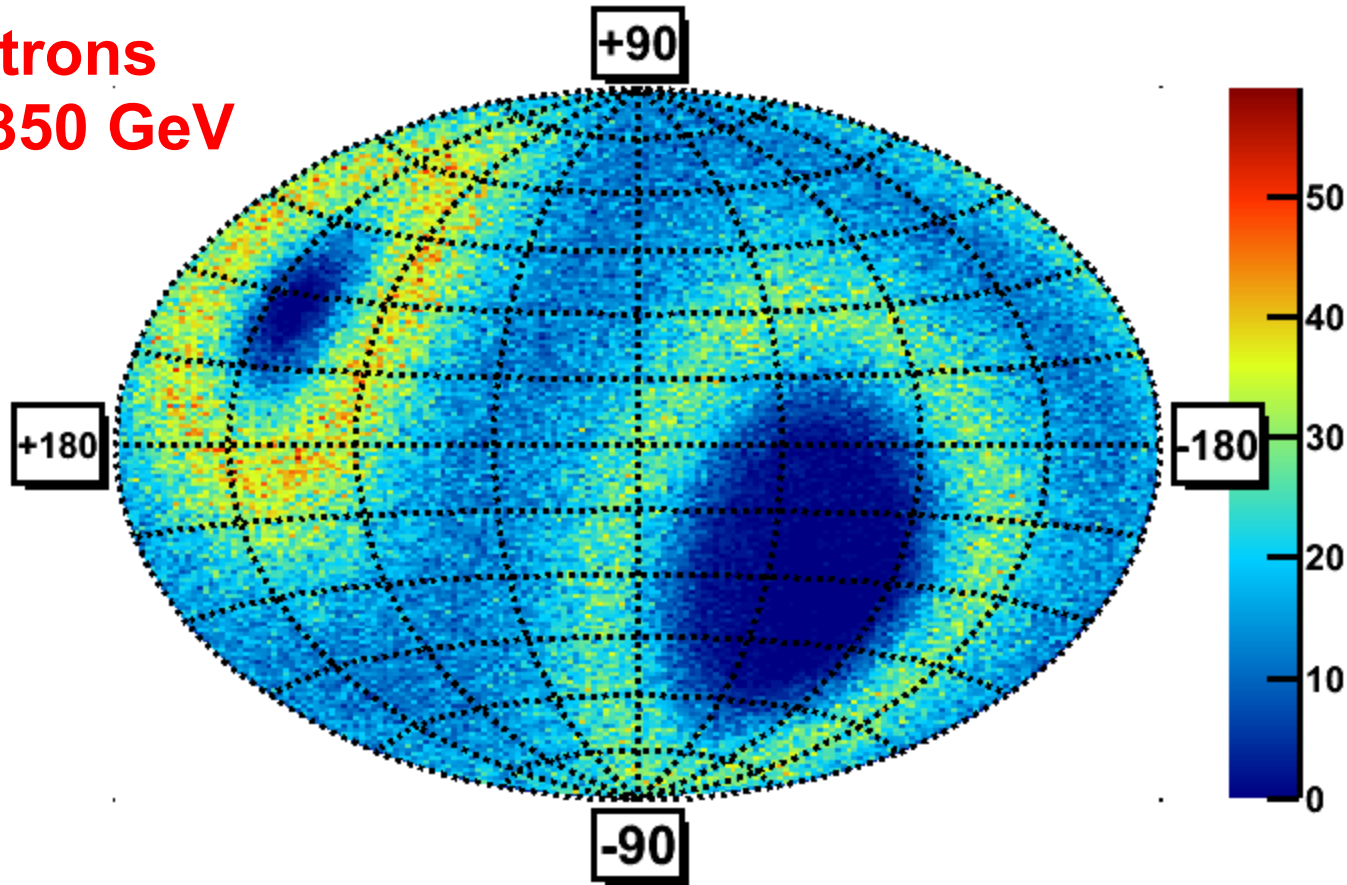
Their arrival
directions are used
to build sky maps in
galactic coordinates,
(b, l), containing the
number of observed
positrons and
electrons





The maps show the exposure of AMS in Galactic coordinates.

electrons
 $16 < E < 350$ GeV

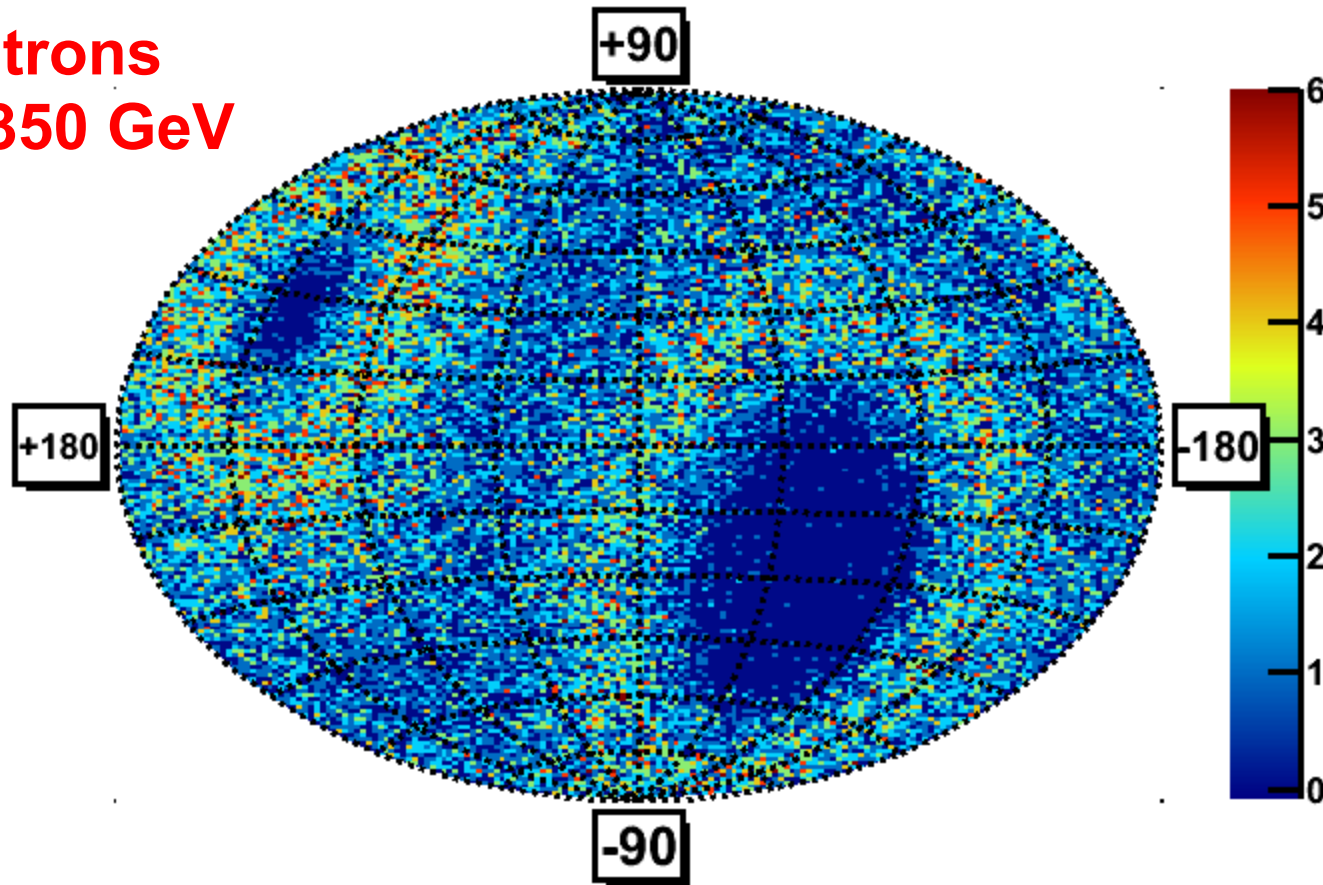


Bins corresponding to directions with low exposure are masked in the subsequent analysis



The maps show the exposure of AMS in Galactic coordinates.

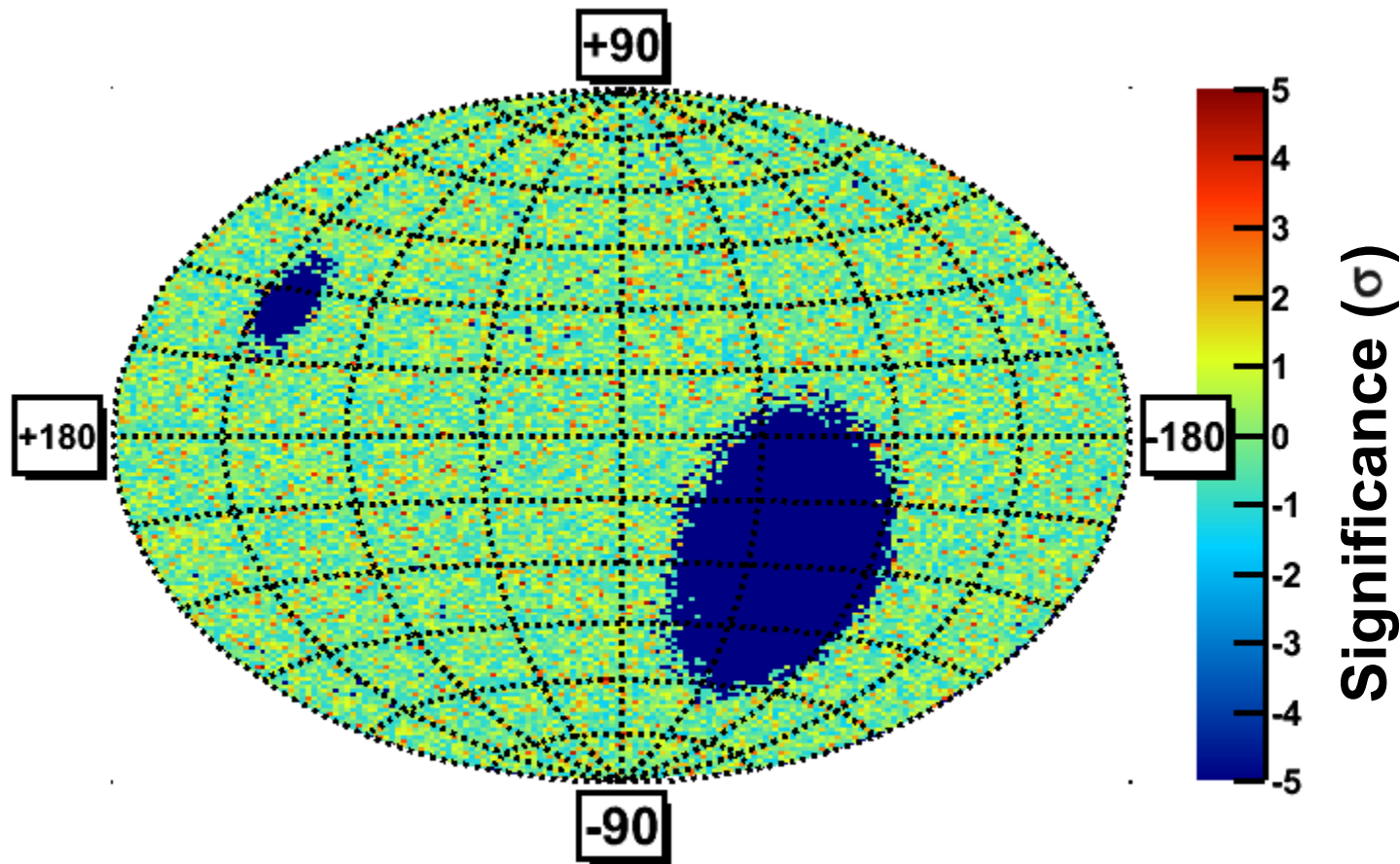
positrons
 $16 < E < 350$ GeV



Bins corresponding to directions with low exposure are masked in the subsequent analysis



The relative fluctuations of the positron ratio, e^+/e^- , across the observed sky map show no evident pattern





The relative fluctuations of the positron ratio, e^+/e^- , are described by means of a spherical harmonic expansion

$$\frac{r_e(b, l) - \langle r_e \rangle}{\langle r_e \rangle} = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell m}(\pi/2 - b, l)$$

Where

$r_e(b, l)$ denotes the positron ratio at (b, l) ,
 $\langle r_e \rangle$ is the average ratio over the sky map,
 $Y_{\ell m}$ are the real spherical harmonic functions,
 $a_{\ell m}$ are their corresponding amplitudes

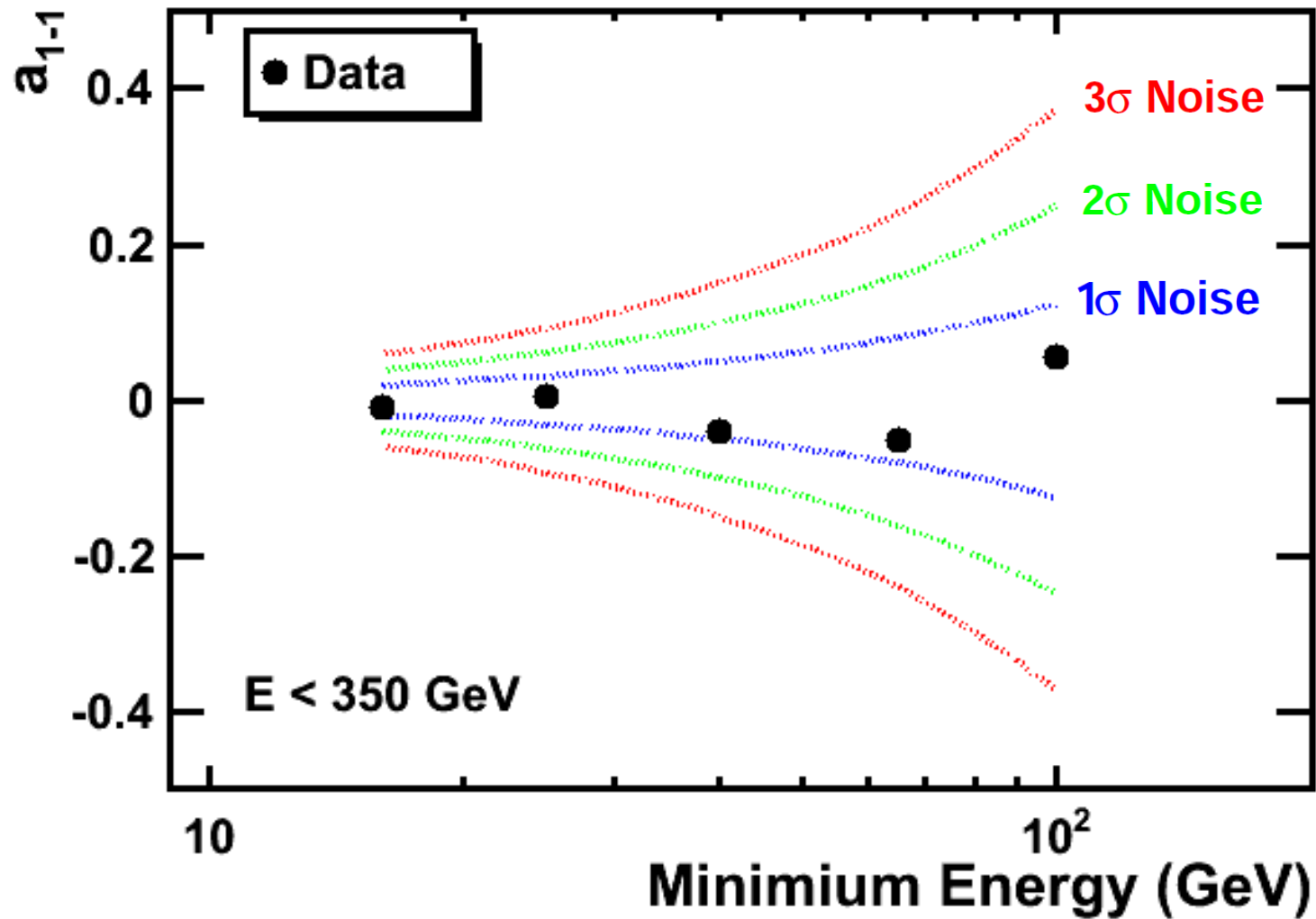
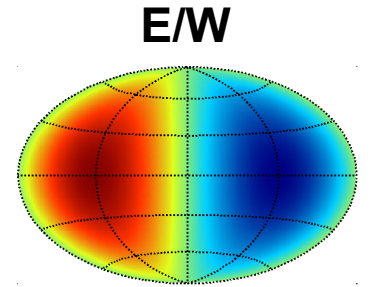


The amplitudes of spherical harmonic contributions at fixed angular scale, ℓ are fit to data for **dipole** ($\ell=1$), **quadrupole** ($\ell=2$) and **octopole** ($\ell=3$)

The fit amplitudes, $a_{\ell m}$ are found to be consistent with the hypothesis of isotropy at all energies and angular scales



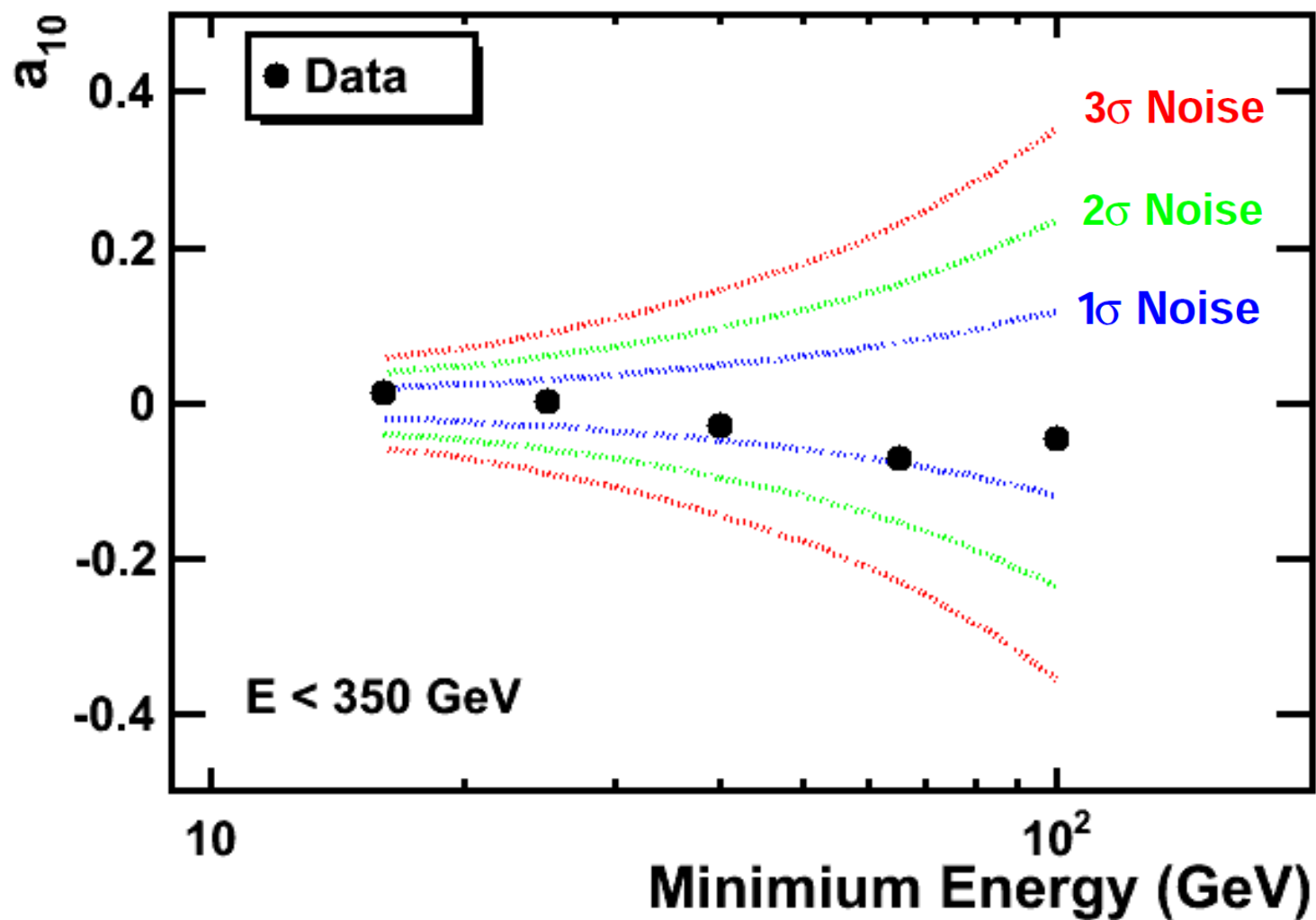
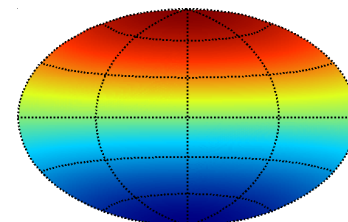
Dipole amplitude a_{1-1}





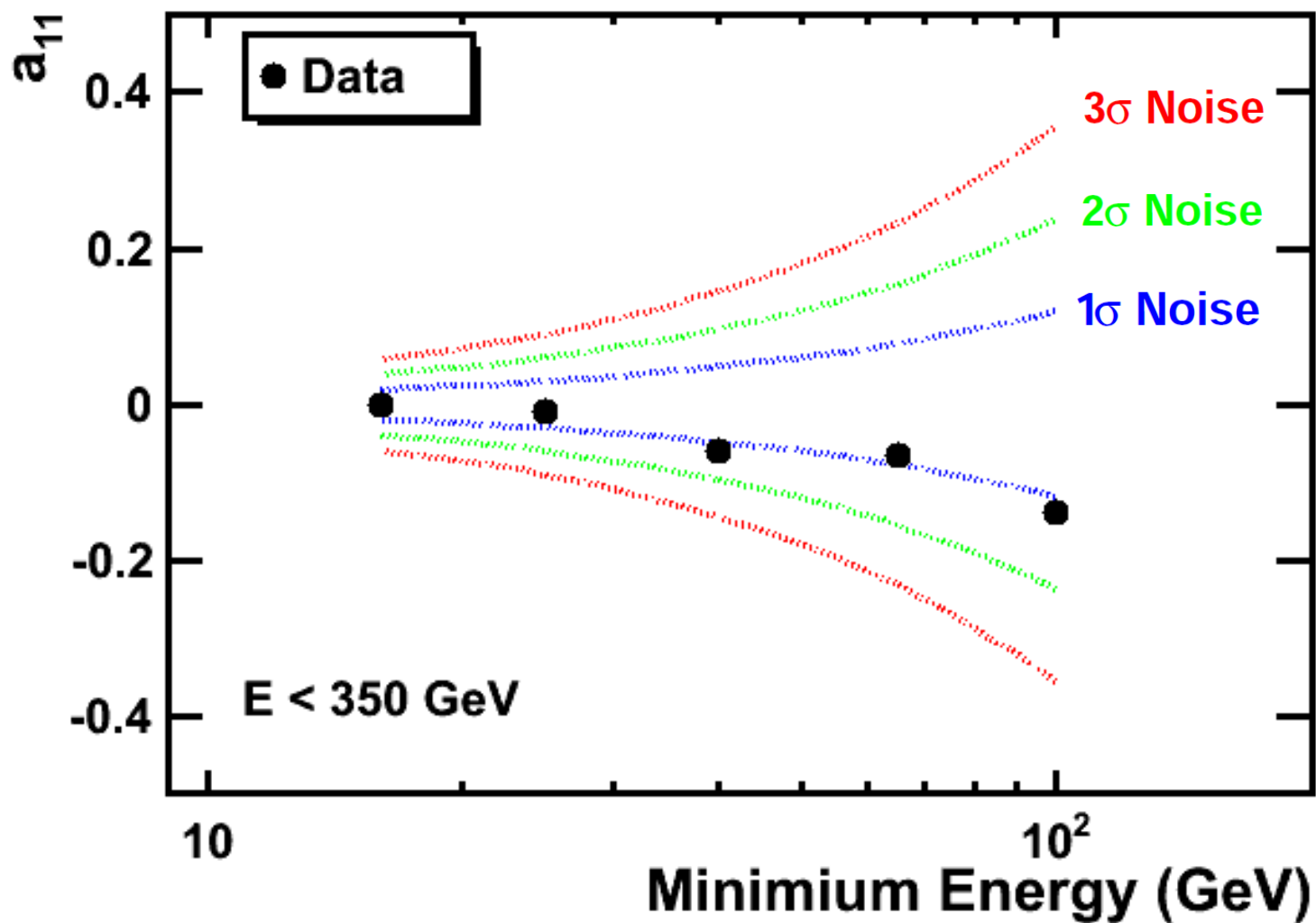
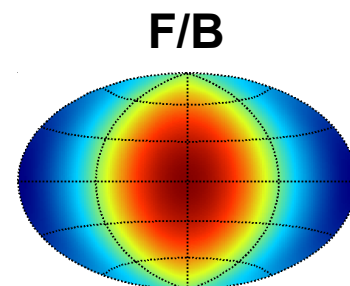
Dipole amplitude a_{10}

N/S





Dipole amplitude a_{11}





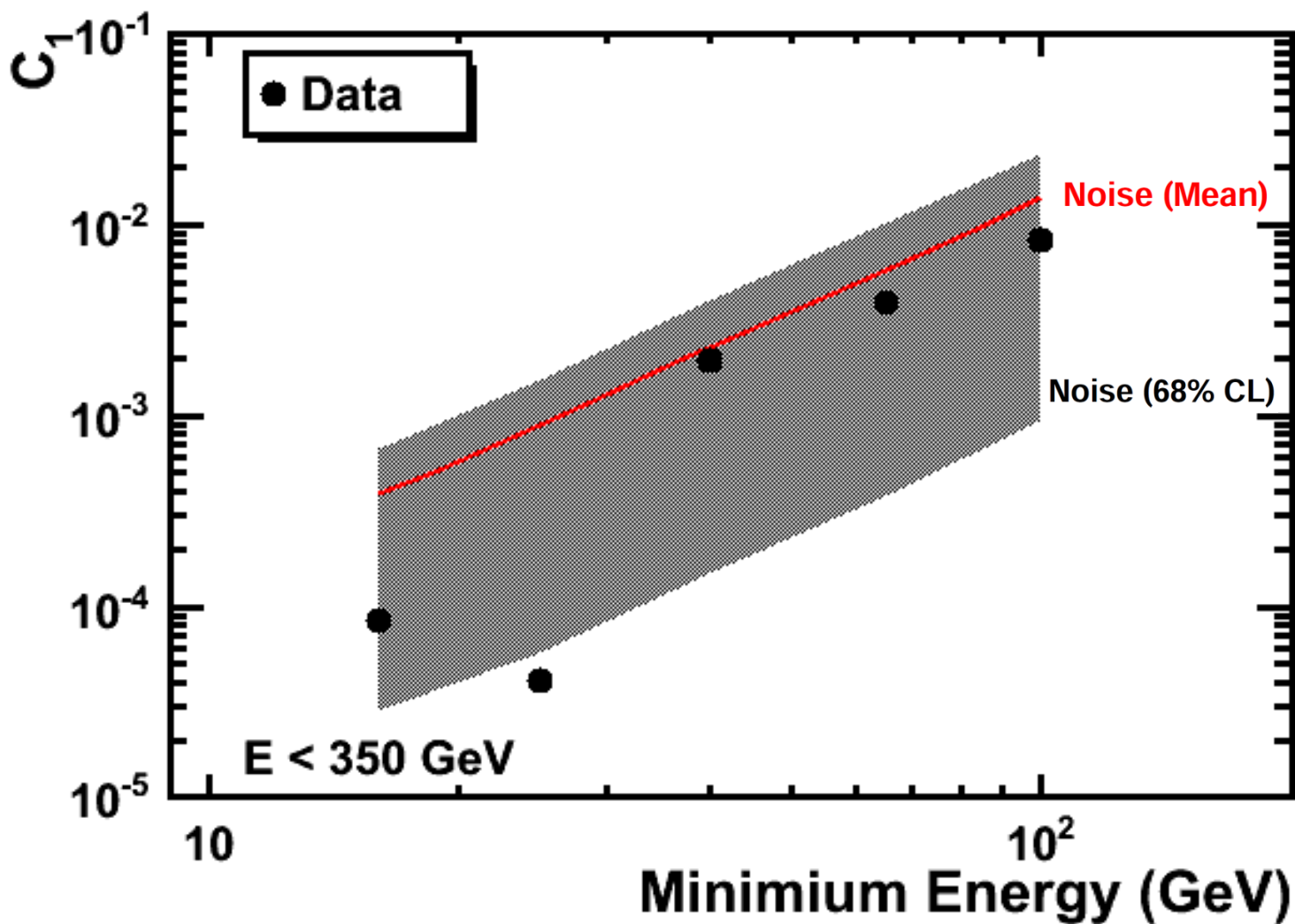
The coefficients of the angular power spectrum of the fluctuations, C_ℓ are defined as

$$C_\ell = \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} a_{\ell m}^2$$

The values obtained from the fits to the data are compared to the expectations from isotropy

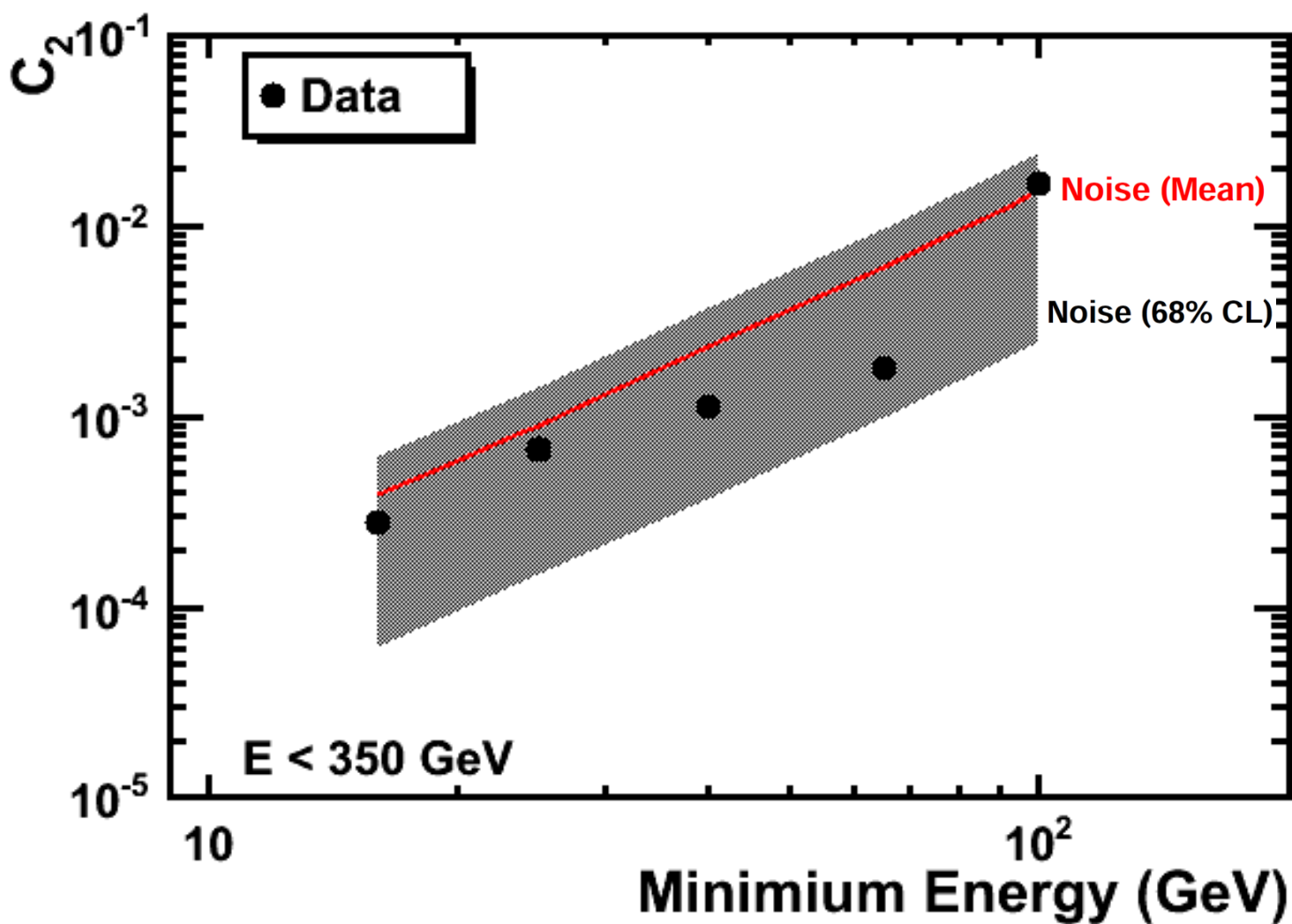


The dipole coefficient C_1



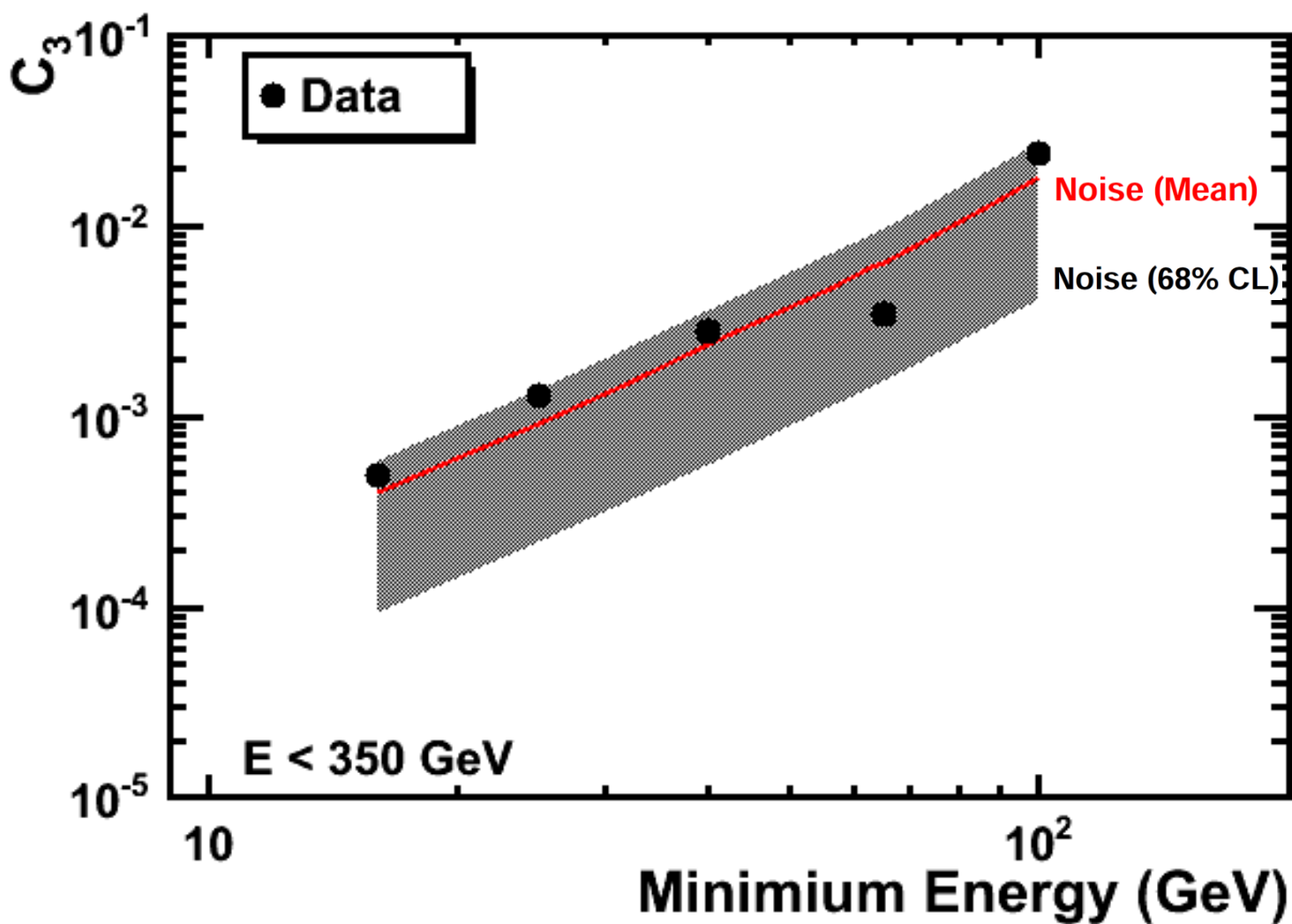


The quadrupole coefficient C_2





The octopole coefficient C_3





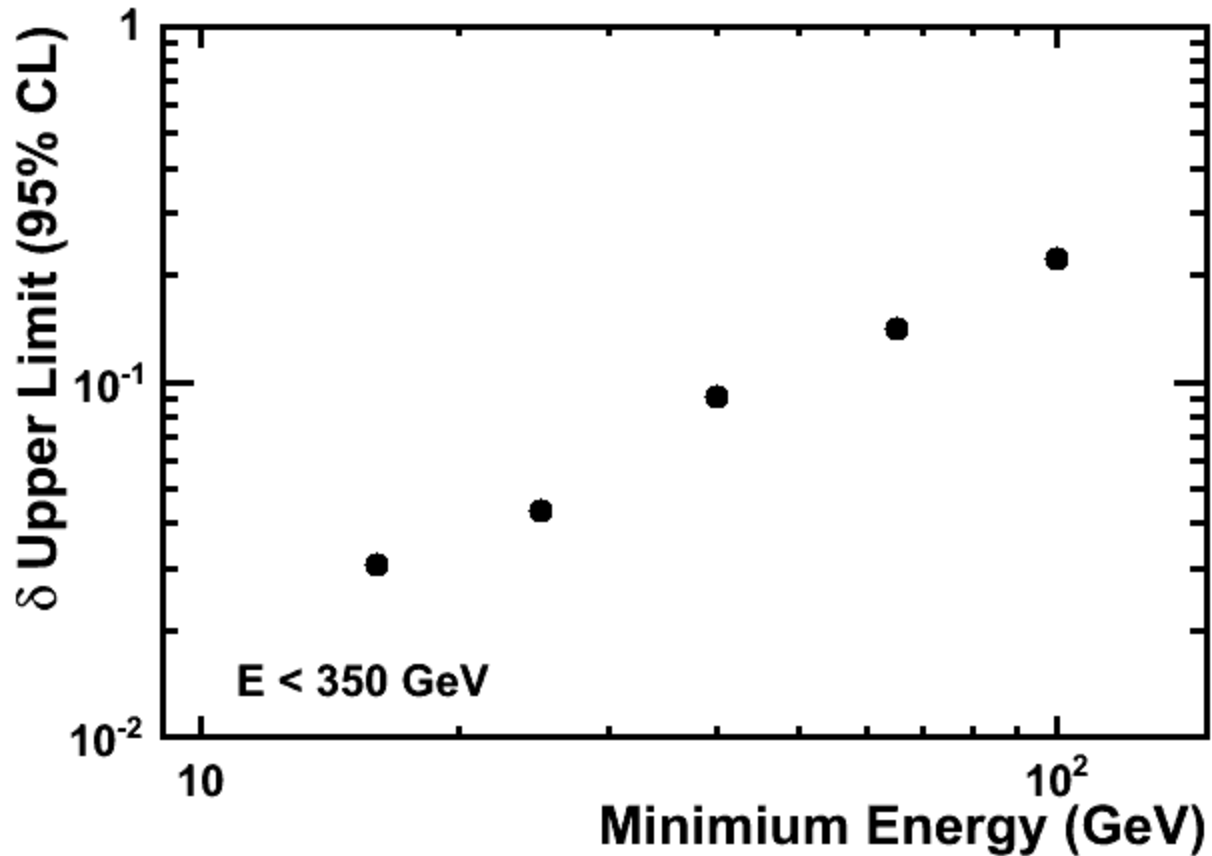
The coefficients of the multipole expansion are found consistent with the expectations from isotropy and upper limits are obtained.

In particular, upper limits on the dipole anisotropy parameter δ

$$\delta = 3\sqrt{\frac{C_1}{4\pi}}$$



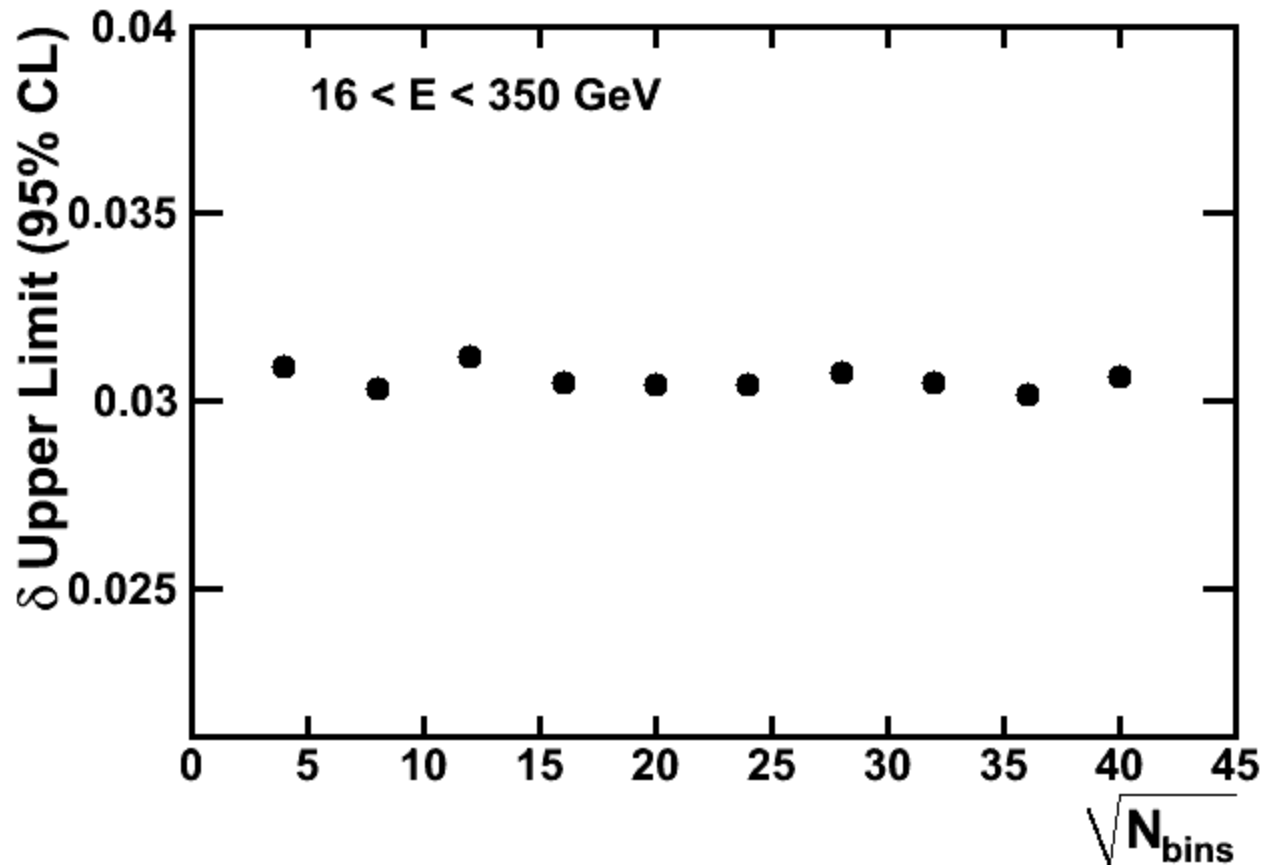
AMS upper limits on δ at the 95% CL



$\delta < 0.030$ for $16 < E < 350$ GeV

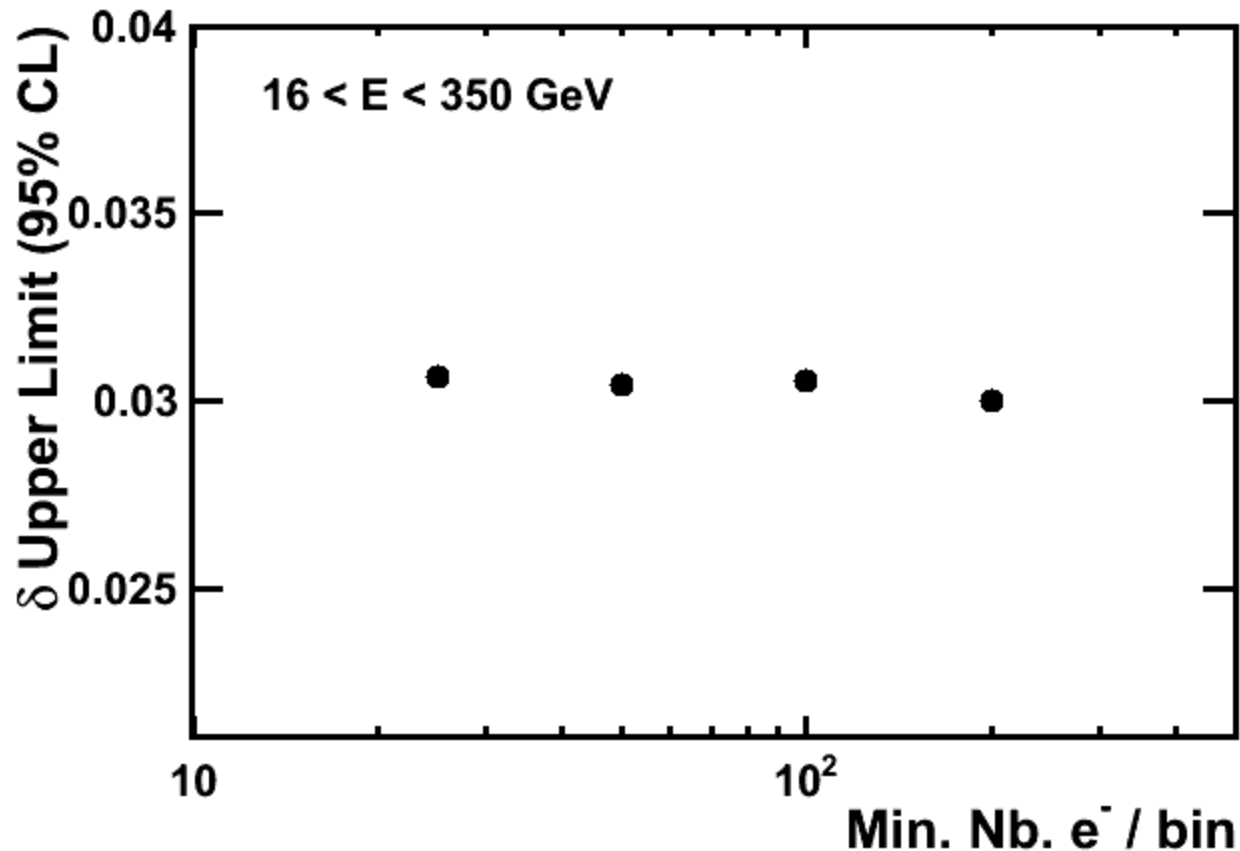


Checks including changes on pixelation scheme,
on the angular bin size and the number of
masked pixels show no indication of significant
systematics



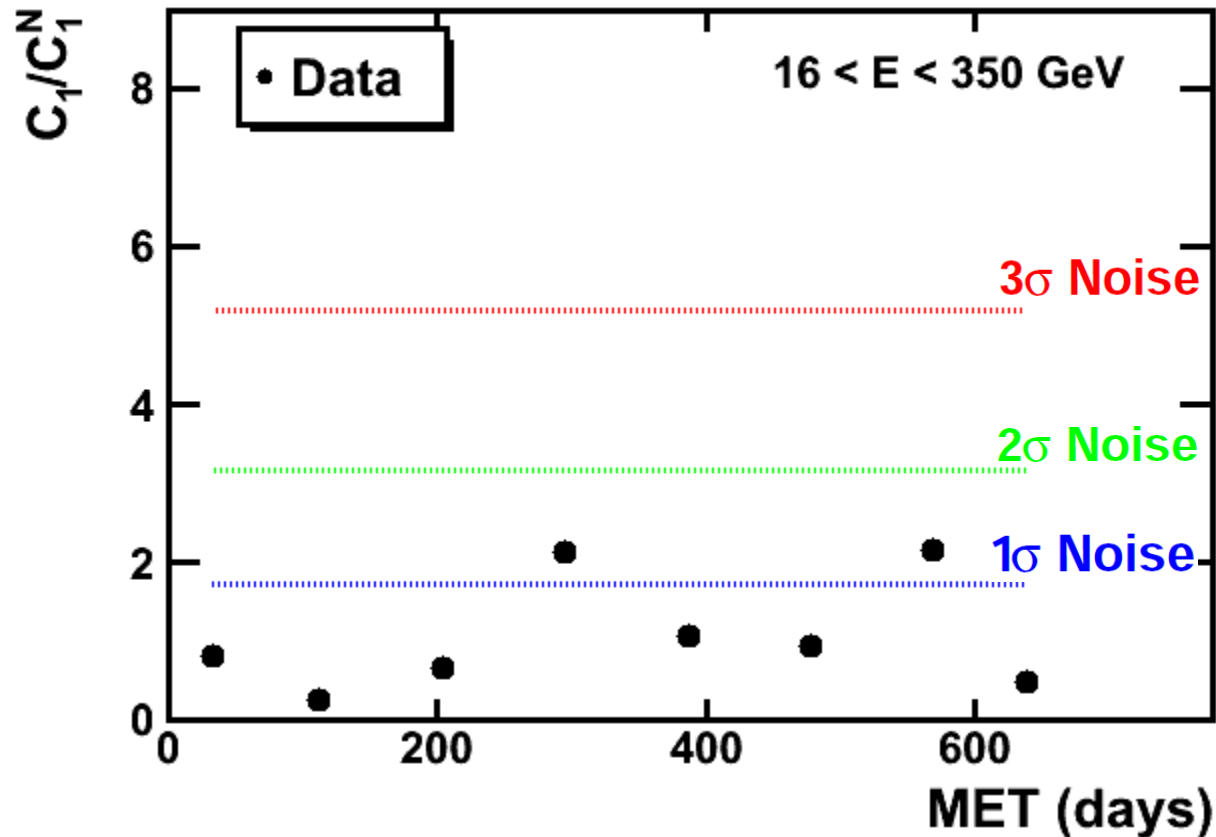


**Checks including changes on pixelation scheme,
on the angular bin size and the number of
masked pixels show no indication of significant
systematics**





Search for seasonal excess which could reveal a signal of solar origin



No seasonal excess is found

**Complete analysis repeated using sky maps in
Geocentric Solar Ecliptic Coordinates yields
consistent results**



Analysis of the positron to proton ratio

Protons provide a high statistics same signed reference for positrons, complementary to electrons.

Same analysis performed using the arrival directions as well as on the asymptotic directions after backtracing their trajectories in the geomagnetic field

The geomagnetic field model includes IGRF-11 for the internal field and Tsyganenko 1996 and 2005 for the external field



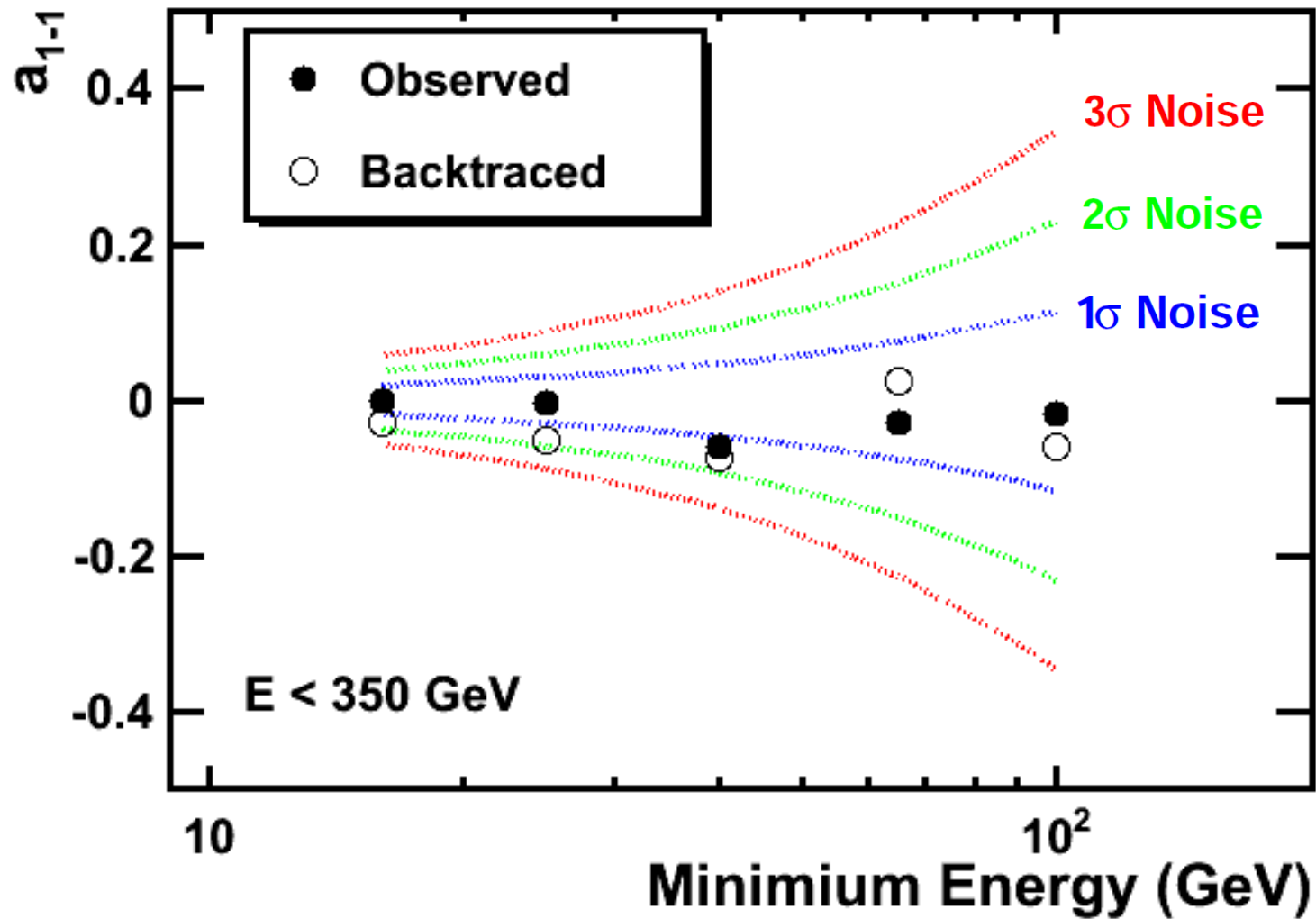
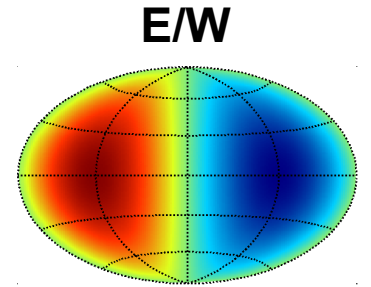
The sensitivity to a dipole anisotropy using the positron to proton ratio is consistent with that obtained on the positron to electron analysis.

Similar sensitivity to a dipole anisotropy is obtained after backtracing their trajectories in the geomagnetic field to the border of the magnetosphere.

No significant anisotropy is observed at any angular scale and energy range and limits to a dipole consistent with those of the e^+/e^- analysis are obtained



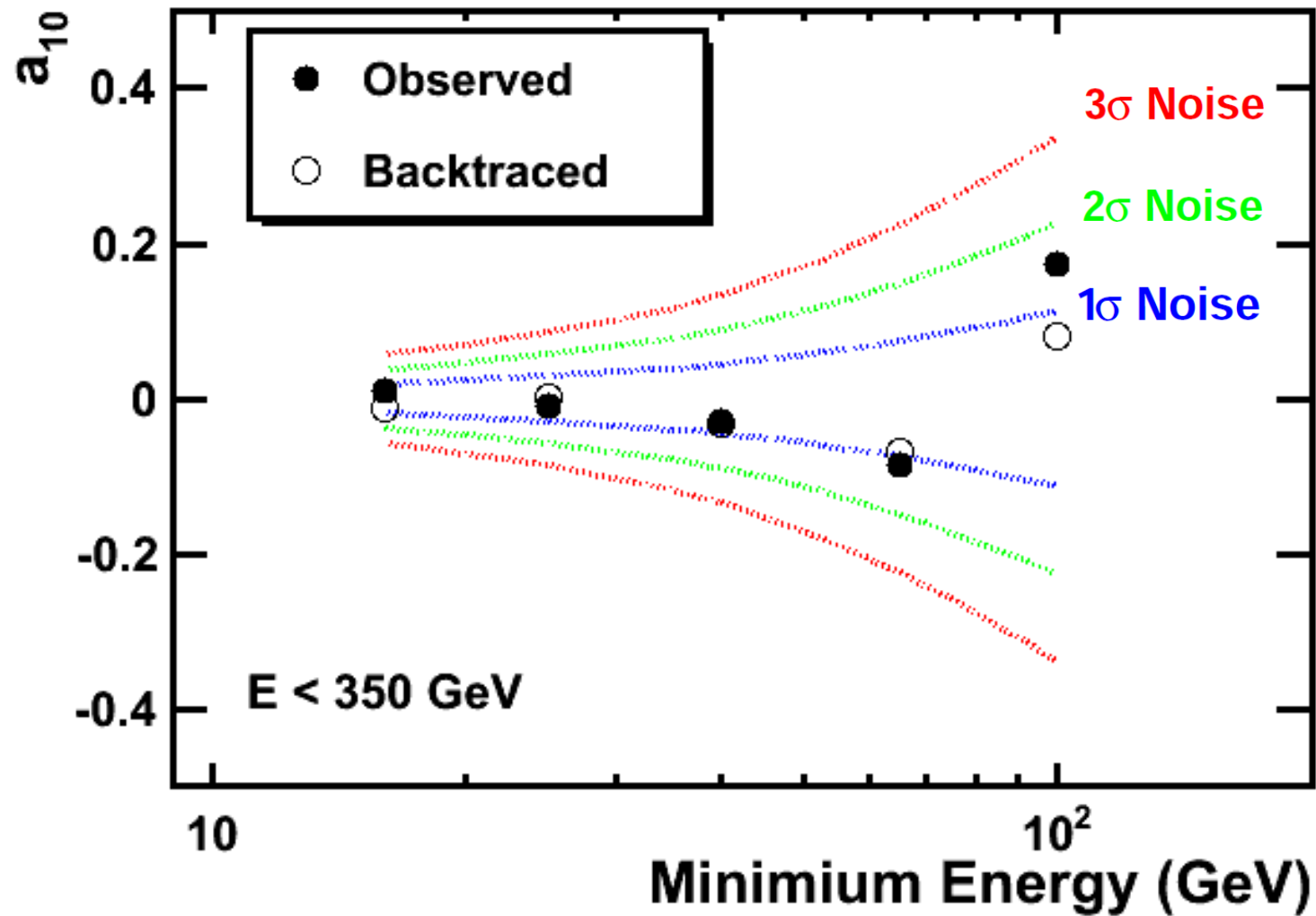
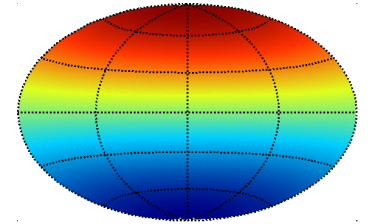
Dipole amplitude a_{1-1}





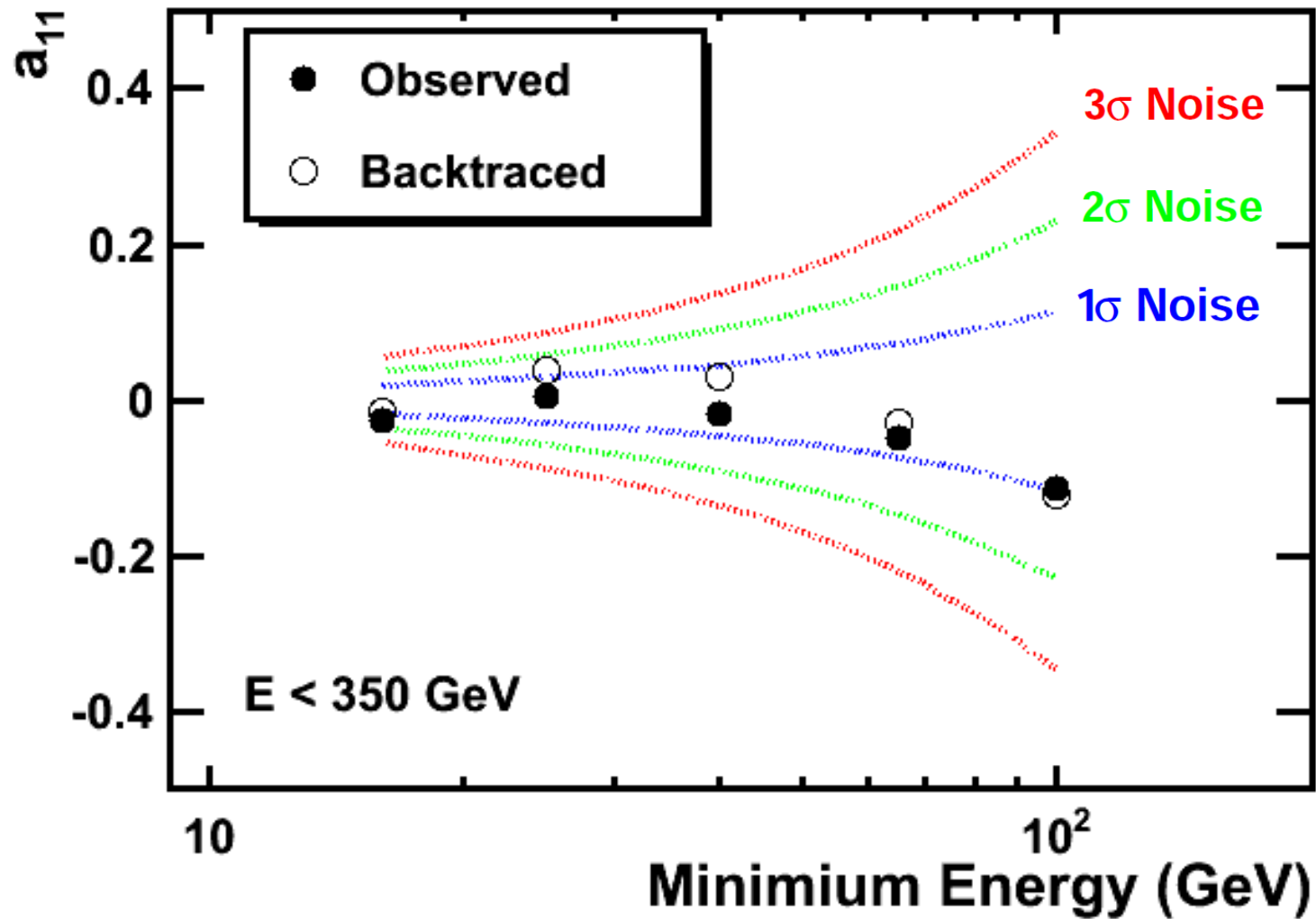
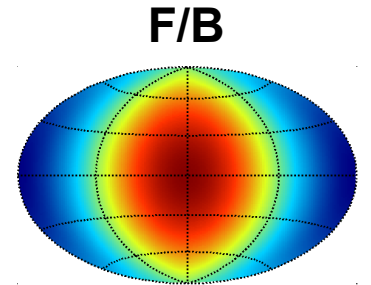
Dipole amplitude a_{10}

N/S





Dipole amplitude a_{11}





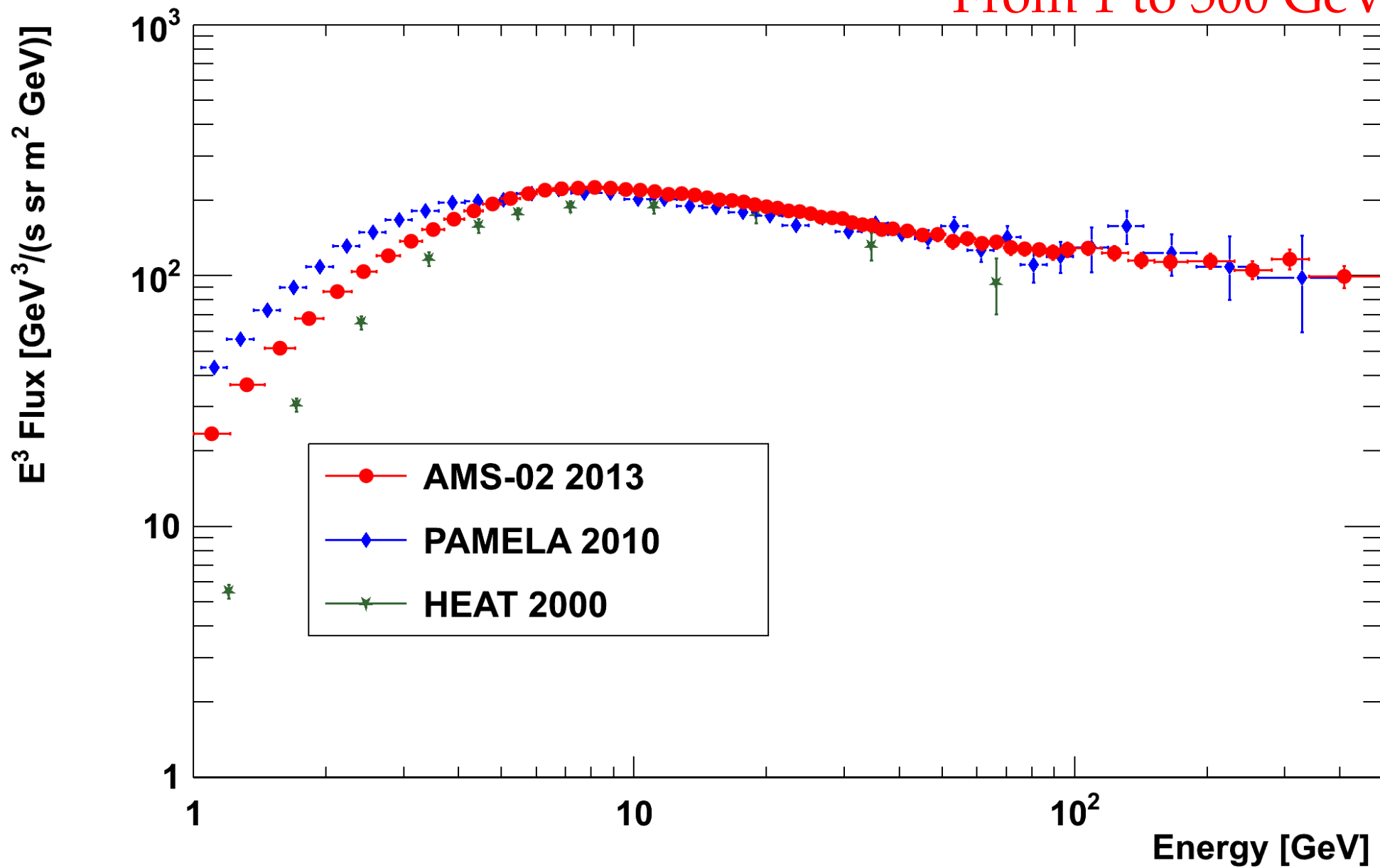
In conclusion, the first 6.8 million primary positron and electron events collected with AMS on the ISS show:

- I. At energies $<10\text{GeV}$, a decrease in the positron fraction with increasing energy.
- II. A steady increase in the positron fraction from 10 to $\sim 250\text{ GeV}$.
- III. The determination of the behavior of the positron fraction from 250 to 350 GeV and beyond requires more statistics.
- IV. The slope of the positron fraction versus energy decreases by an order of magnitude from 20 to 250 GeV and no fine structure is observed. The positron fraction spectrum is consistent with e^\pm fluxes each of which is the sum of its diffuse spectrum and a single common power law source.
- V. No anisotropy is found on the positron to electron ratio in the energy range from 16 to 350 GeV on sky maps built on their arrival directions in galactic or solar coordinates. A limit on the dipole component $\delta < 0.030$ at the 95% CL is set.
- VI. Consistent results are obtained on the positron to proton ratio, e^+/p , and equivalent limits are computed at the border of the magnetosphere.



Electron Flux

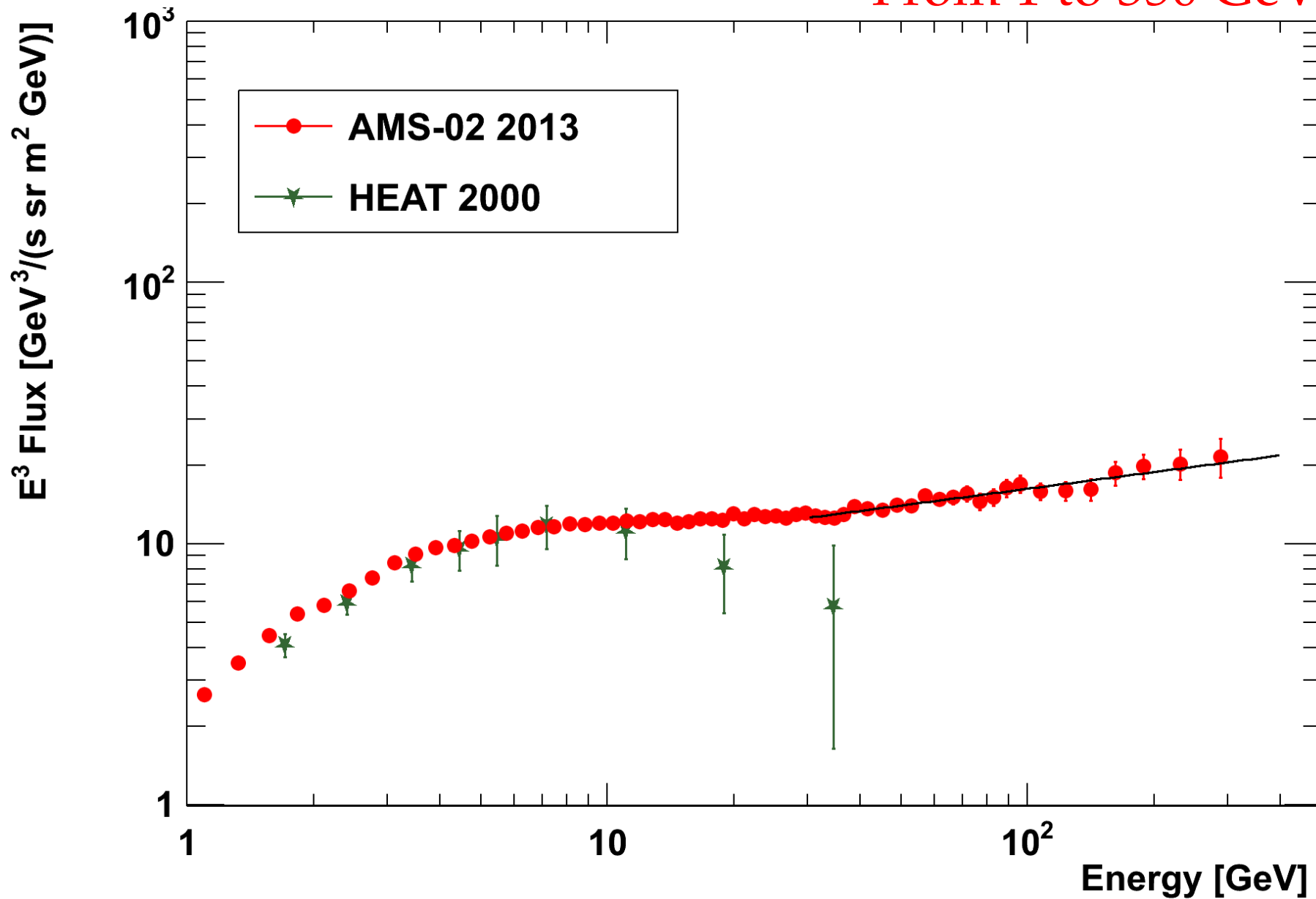
From 1 to 500 GeV





Positron Flux

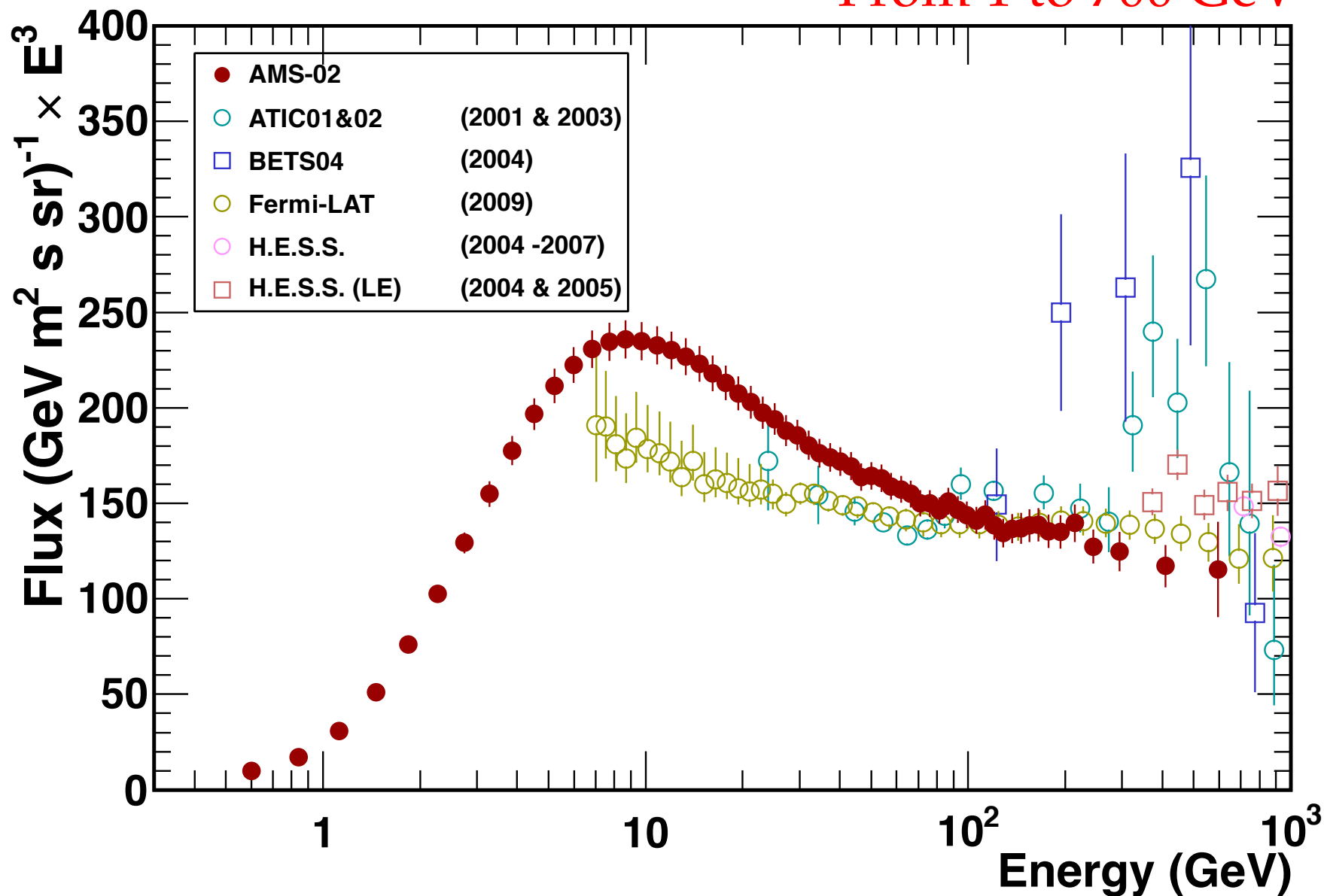
From 1 to 350 GeV





(Electron plus Positron) Flux

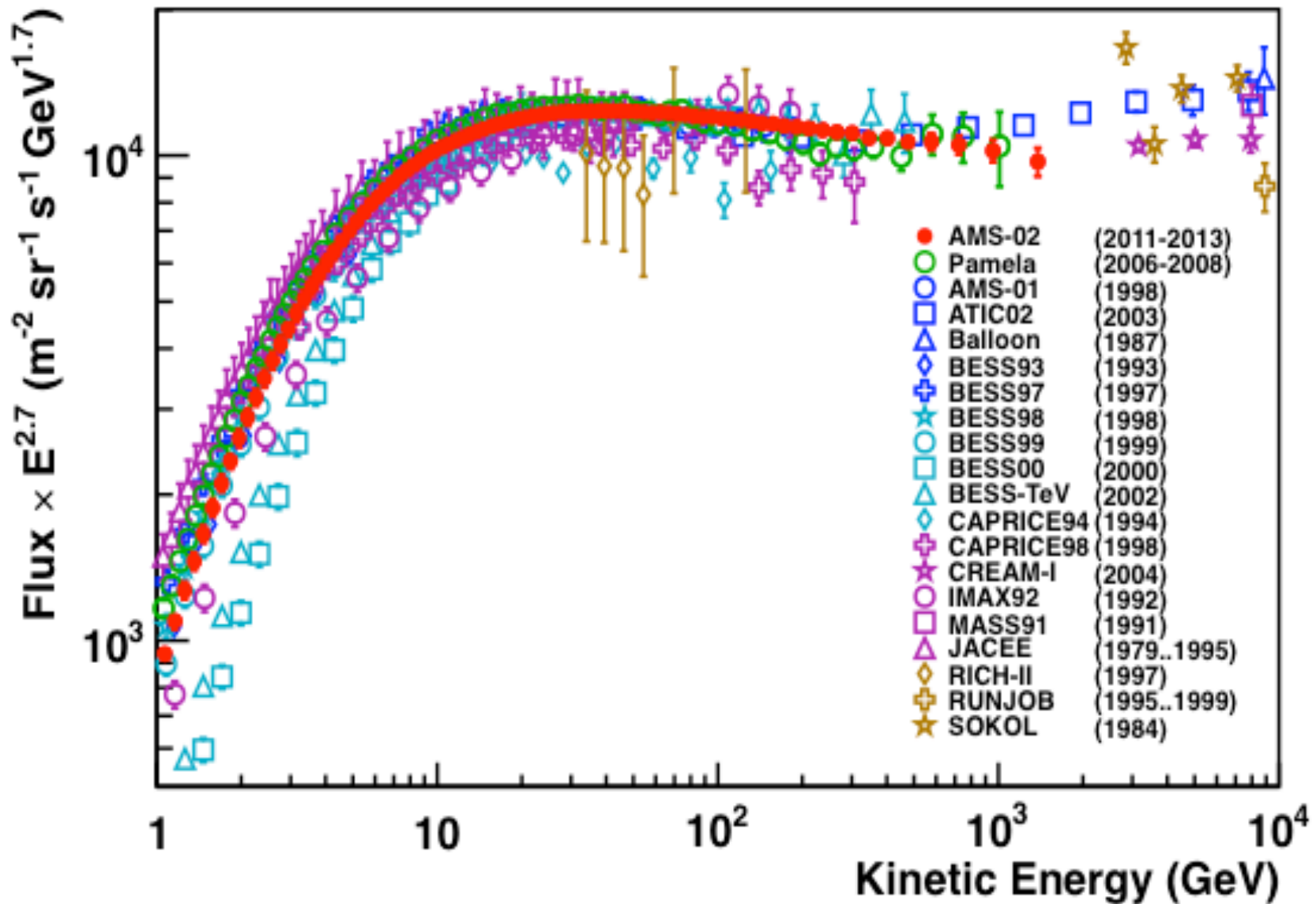
From 1 to 700 GeV





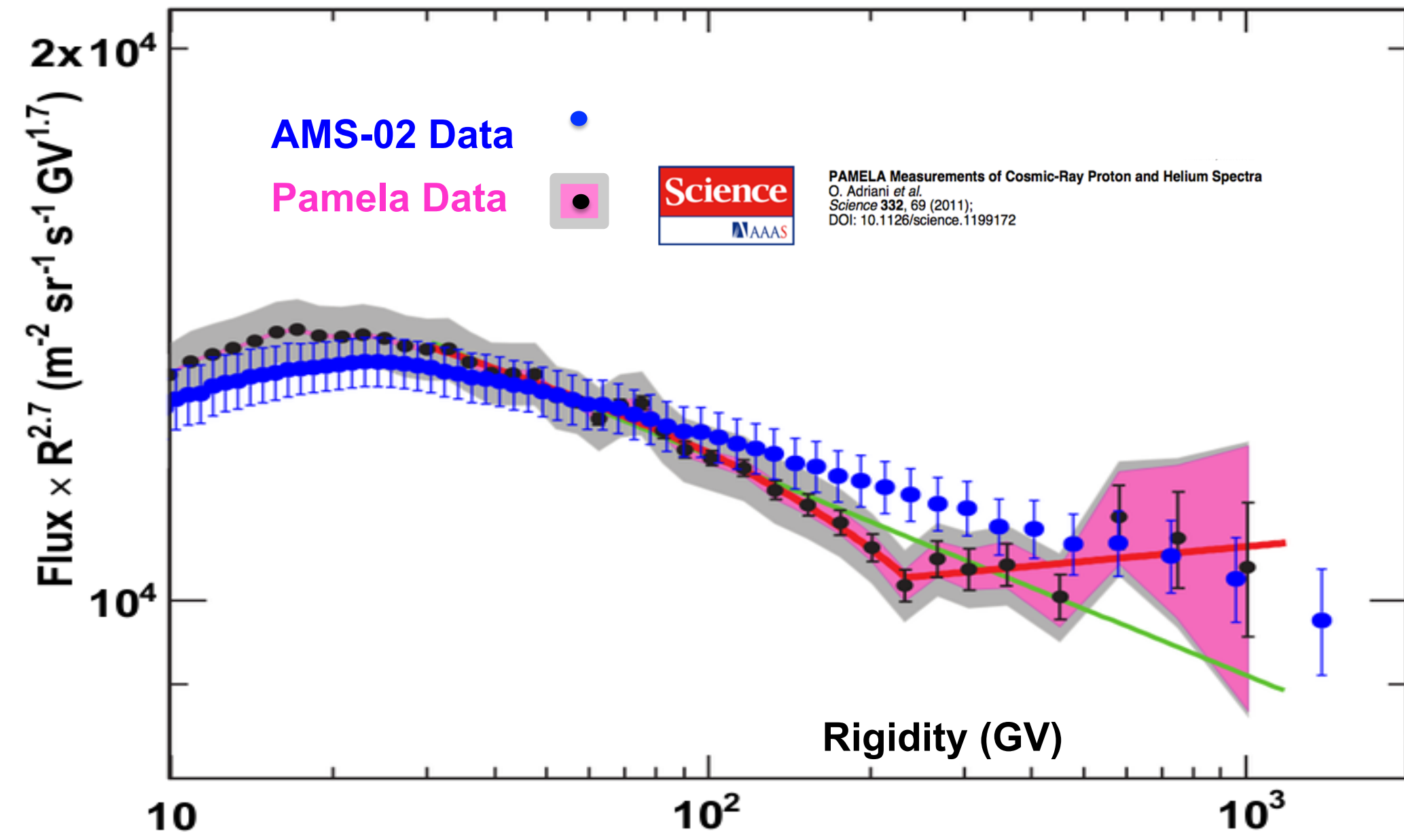
Proton Flux

From 1 GV to 1.8 TV





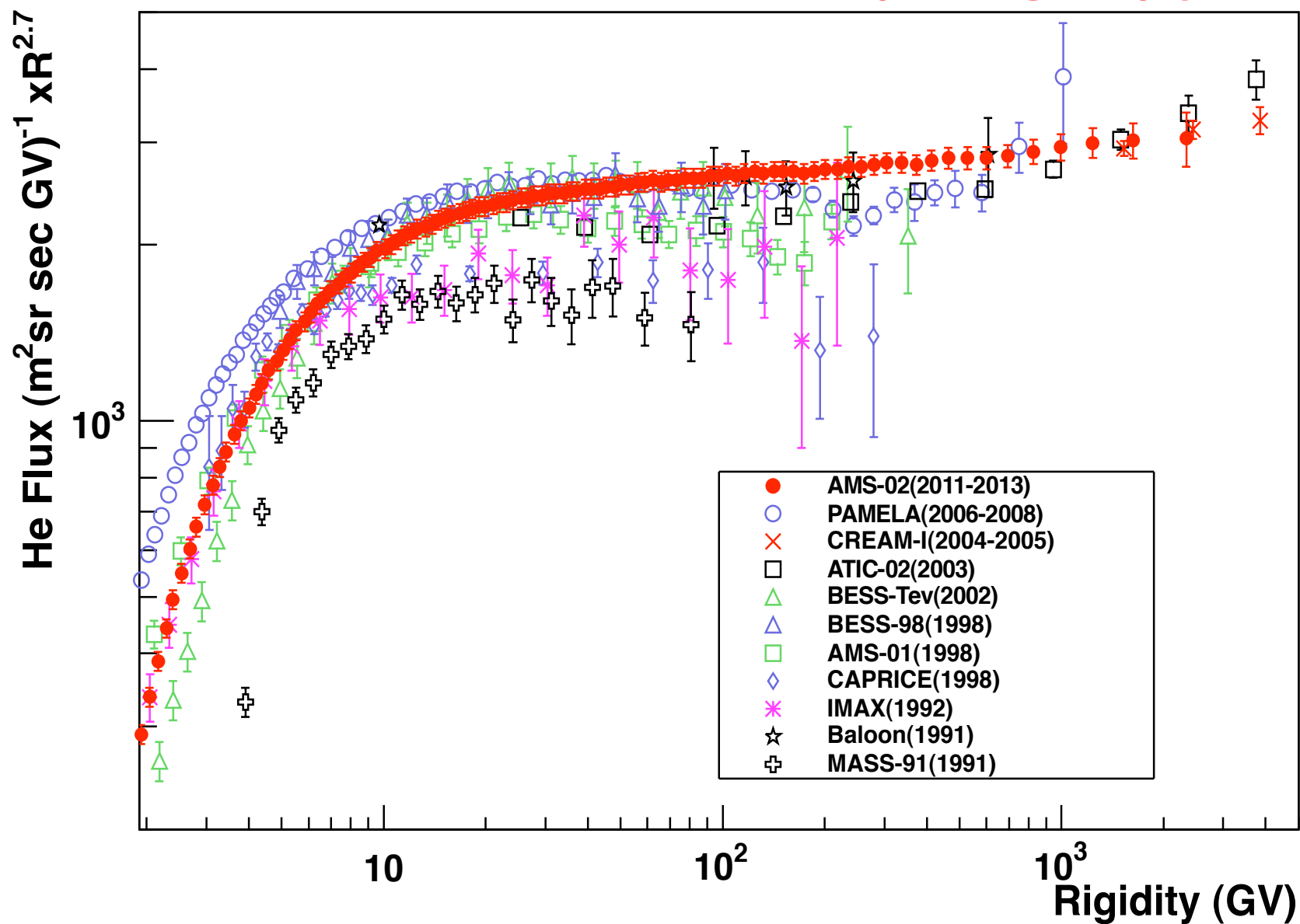
Proton Flux





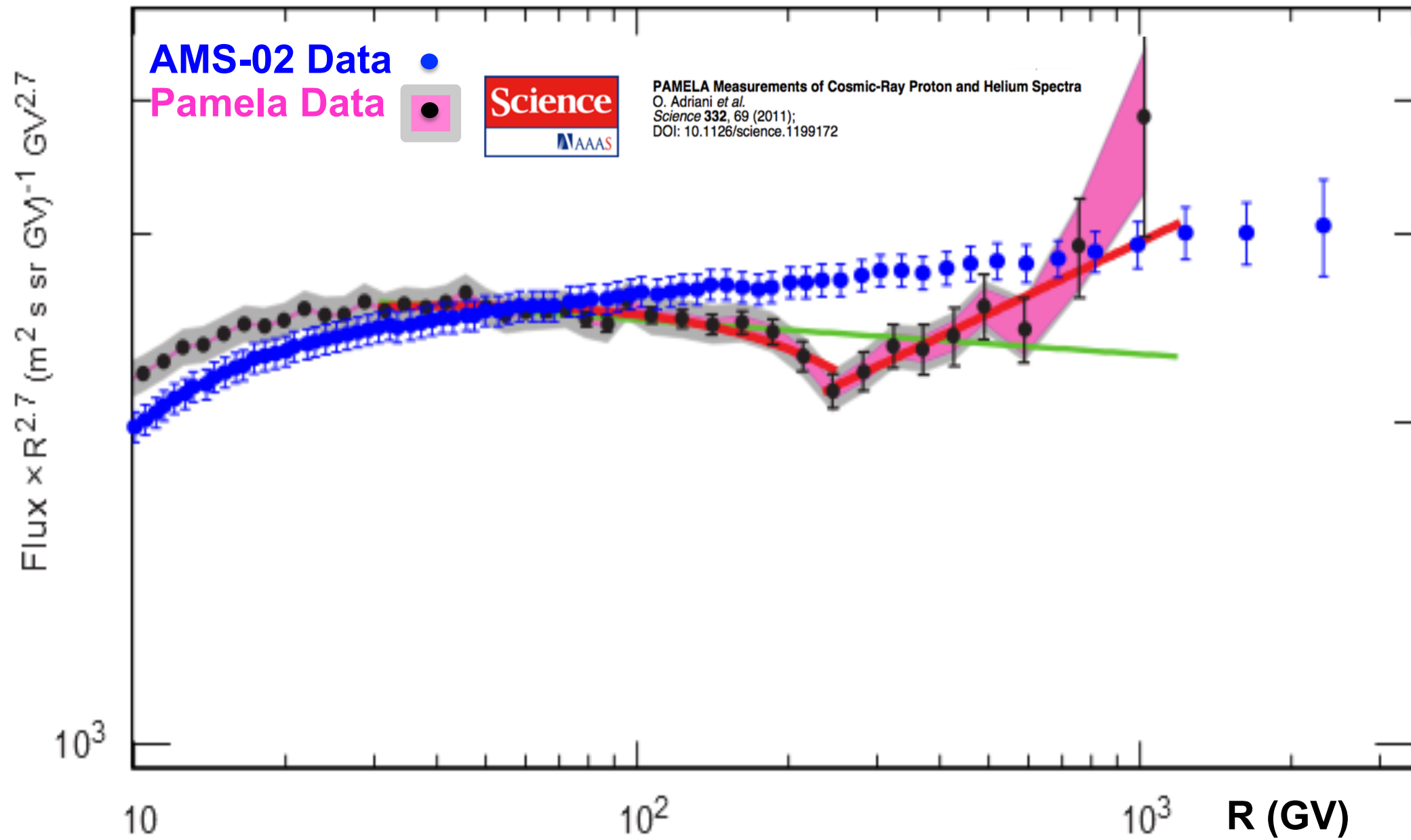
Helium Flux

From 2 GV to 3 TV





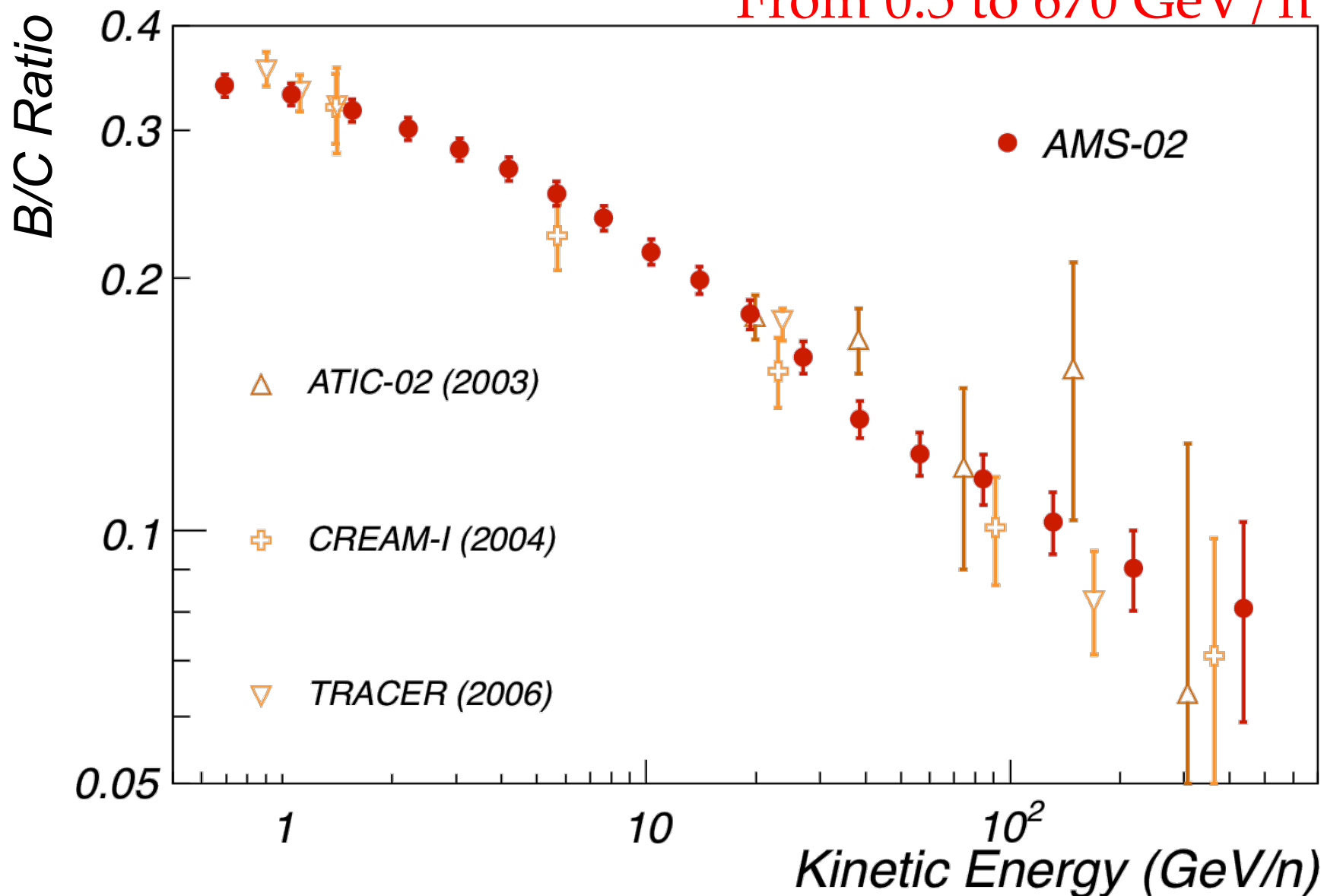
Helium Flux





Boron to Carbon Ratio

From 0.5 to 670 GeV/n





Summary

- The positron fraction is determined in the energy range from 0.5 to 350 GeV and its energy spectrum shows a steadily increasing fraction from 10 to ~250 GeV with no fine structure. The positron to electron and positron to proton ratios are consistent with isotropy.
- Electron, positron and electron plus positron fluxes show a consistent picture, with a break in the positron spectrum at 30 GeV.
- Proton and helium fluxes show no break in their spectrum.
- Boron to carbon ratio is consistent with previous measurements.

Uncertainties at high energy come from limited statistics.

AMS-02 has collected 10% of its target data sample.