



# Evaluation of TA SD's energy reconstruction performance using a DNN and hybrid data

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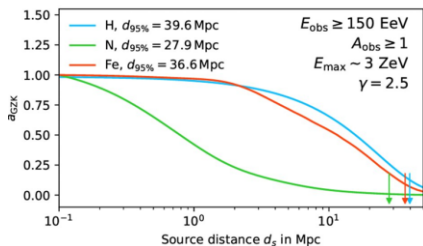
<sup>2</sup>Institute for Cosmic Ray Research

Workshop on Machine Learning for Analysis of High-Energy Cosmic Particles,  
University of Delaware, January 27–31, 2024

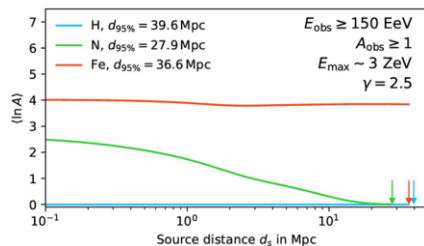
# Motivation: Mass on event-by-event basis

## Propagation:

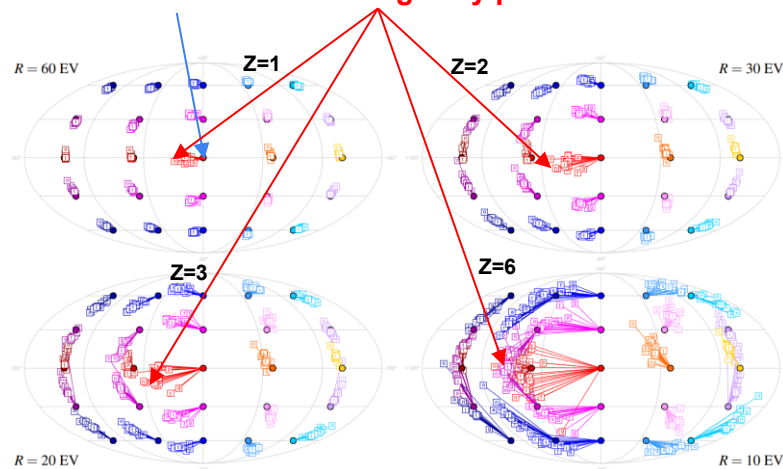
- Magnetic fields deflects UHECR in dependence of rigidity  $R \sim E/Z$  ( typically  $Z = 1 - 26$ )
- Type of the particle determines the maximal distance (horizon) to the potential source



N. Globus, A. Fedynitch, R. Blandford, 2022



Large impact of Galactic magnetic fields:  
For example particle  $E=60$  EeV and  $Z=?$ :  
**arrived from outside galaxy points to**



M.Unger, G. Farrar, ICRC2017

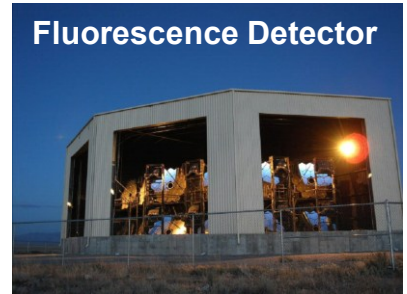
Backtracking of particles for different models of the coherent GMF

## Source properties:

- Acceleration at the source: maximum rigidity is determined by acceleration
- Mass composition at the source

# Mass reconstruction

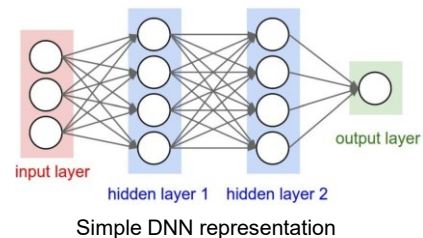
- Fluorescence Detector (FD):
  - Directly observe  $X_{\max}$  as an estimator for mass composition
  - Limited statistics with duty cycle 10%
  
- Surface Detector (SD):
  - Large statistics with duty cycle 100%
  - Can be used to extract primary mass via a number composition-related observables
  - Extraction requires complicated analysis techniques with feature engineering
  - DNN can automatically extract the most relevant features from the raw SD data



# DNN approach

Deep Neural Network (DNN) vs. Standard reconstruction:

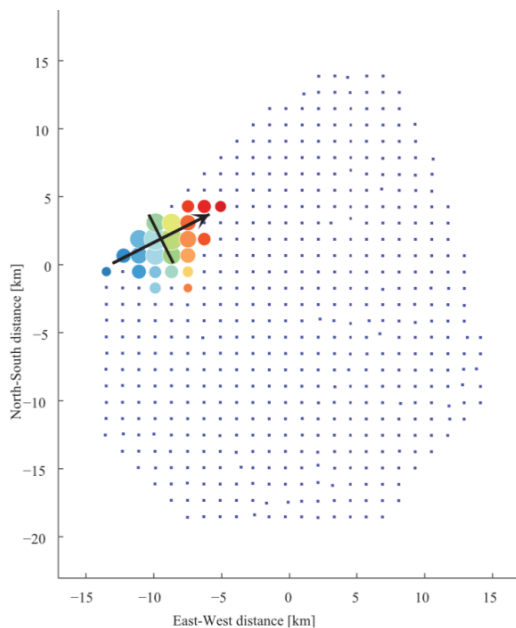
- Learns complex non-linear patterns vs. physics-based constructed features
- More robust to various uncertainties and shower-to-shower fluctuations
- Generalize well to new events, allowing for reliable estimation on an event-by-event basis
- Can use all shower data (time traces) vs. integral features (arrival times, total signal)
  - can extract complex features ( $X_{\max}$ ,  $R_{\mu}$ ,  $A$ )  $\leftarrow$  *final objective*
  - **more accurate reconstruction**  $\Rightarrow$  **boosting statistics with relaxed quality cuts**  $\leftarrow$  *this talk*



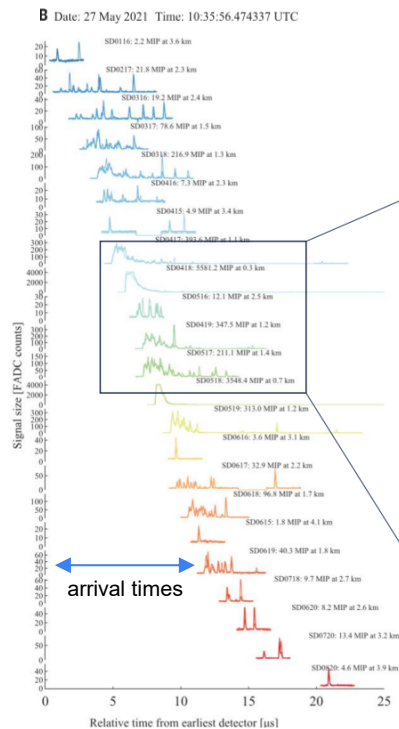
# Time traces of surface detectors

Standard reconstruction uses:

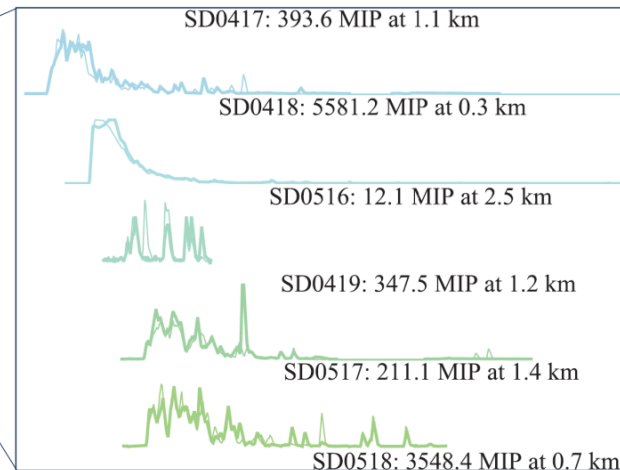
1. geometry
2. arrival times
3. total signal



Time traces and surface detector footprint for highest registered event of TA E=244 EeV (Science 382, 903 (2023))

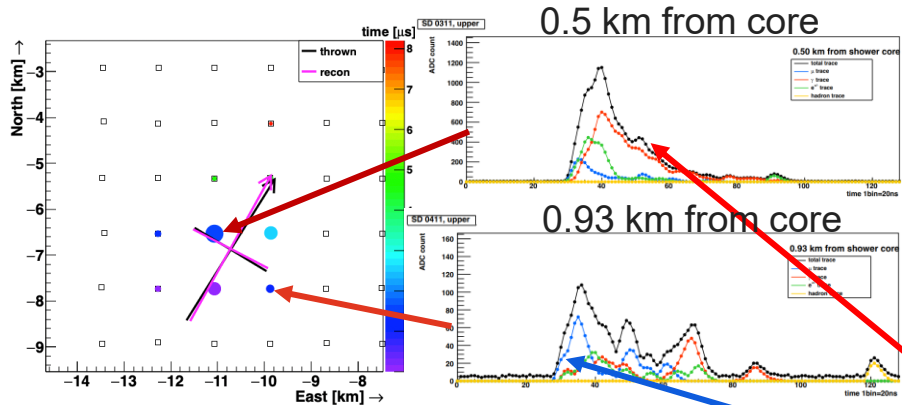
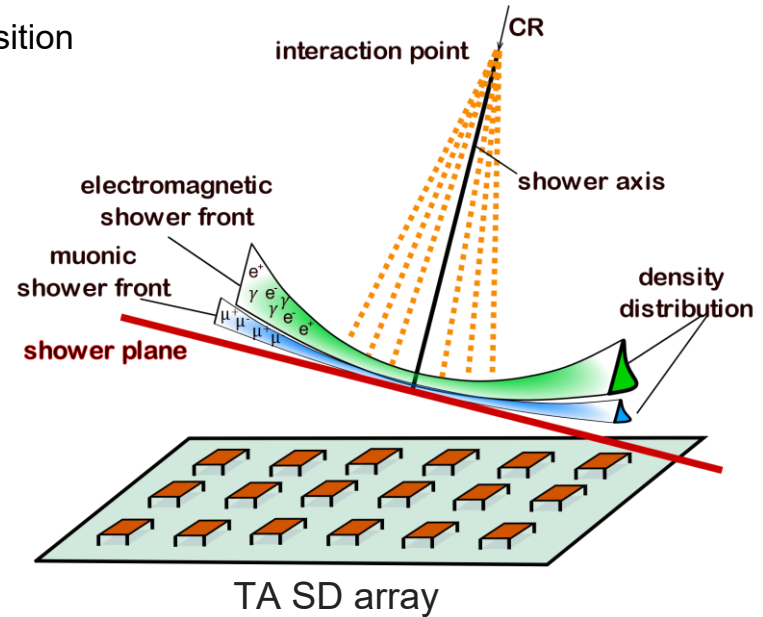


Time traces: 1-4 segments of 128-bin time series of 20 ns x 2 channels (upper/lower)



# Content of surface detector signals

- **Arrival times** → front curvature → shower **direction** and **core** position
- **Total signal** → **energy** of primary particle
- **Time trace** → front width and structure → development of
  - electromagnetic and muonic component
- Dynamics (time traces shape) of components encodes
  - complex feature
  - additional information for energy, direction, core position



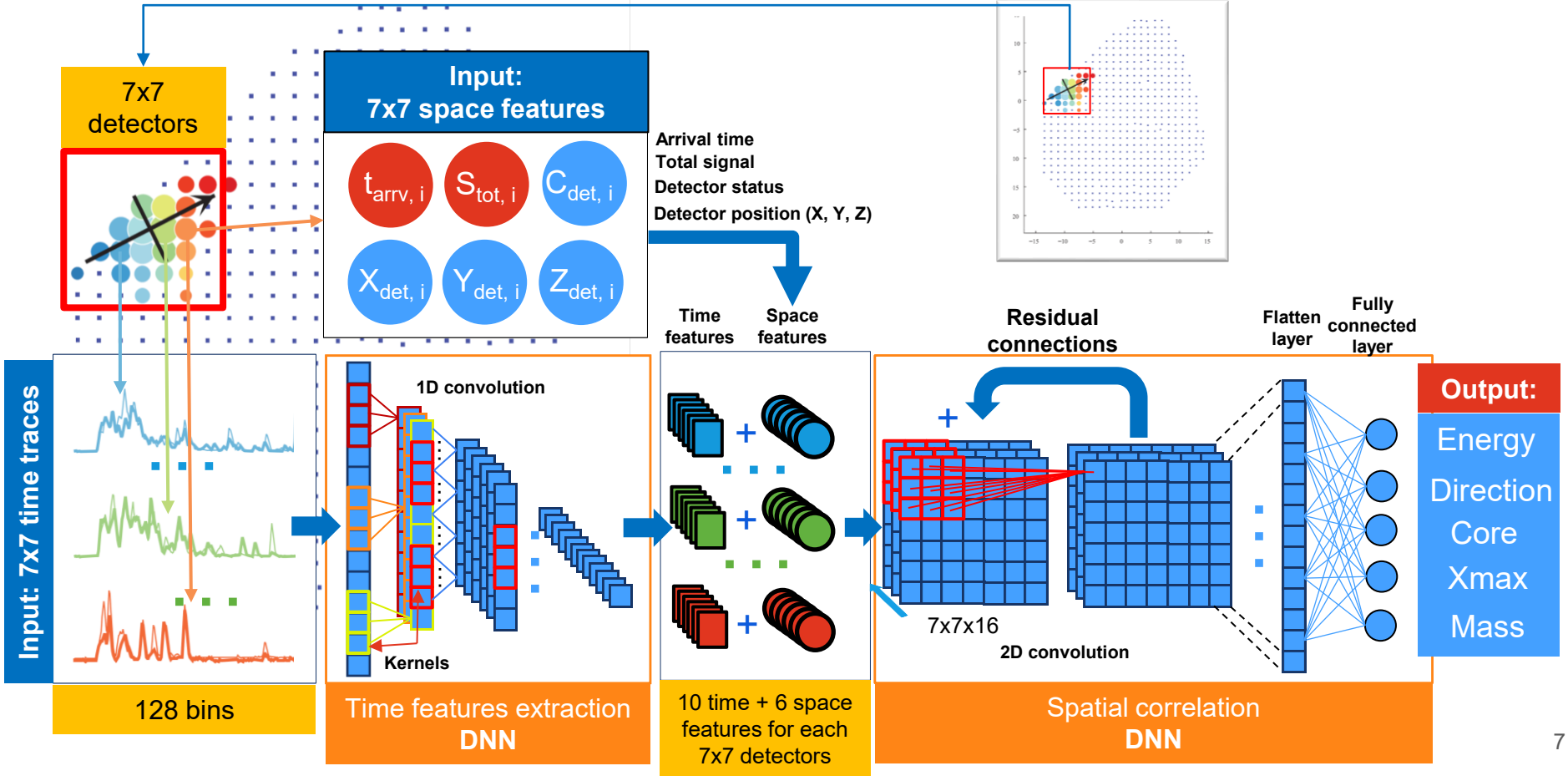
TA MC simulations

K. Fujita, 2024

**Mass composition information:**

- $X_{\max}$  information from electromagnetic component
- $R_{\mu}$  (number of muons) information from the early part of the time trace (and early shower evolution)

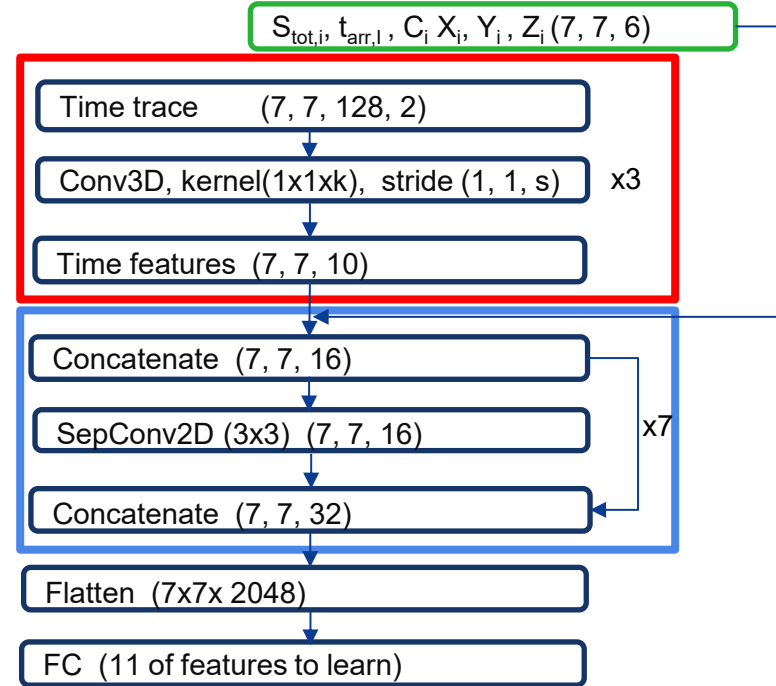
# DNN conceptual scheme



# AixNet DNN architecture

AixNet was originally developed by Auger collaboration (M. Erdmann, J. Glombitza, D. Walz, 2018):

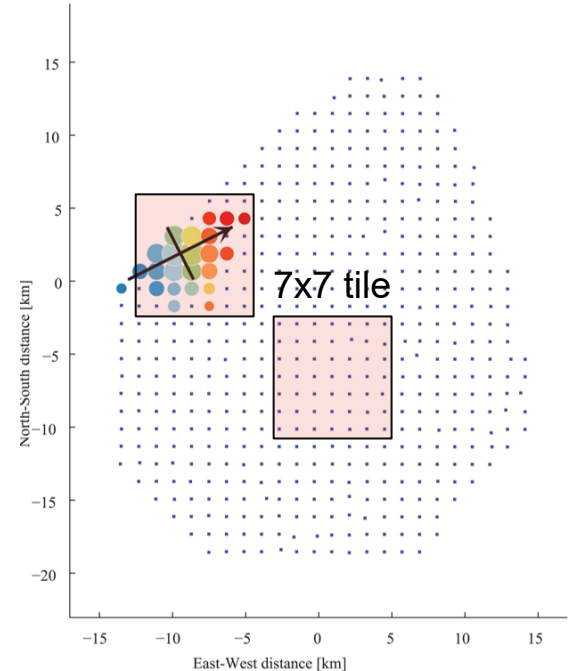
- **Time feature extraction** DNN consists of 3 layers of 1D CNN
  - Kernel size and stride should be adjusted for each layer
  - Typically: kernel size = 7, and stride = 4
  - Use 2 time traces
- **Spatial correlation DNN** consist of 7 layers Depthwise Separable Convolution CNN:
  - performs spatial convolutions (2D) separately on each of 7x7 “feature” map
  - correlating all feature maps pixel-wise
  - Skip (residual) connections concatenate output with input of previous layer
- **Fully-connected layer (FC)** transforms flattened features to predicted quantities:
  - $E(1)$ , core axis (3), core position(2),  $X_{\max}(1)$ , mass vector(4)





# Event's tiles

- Each event is represented as  $N \times N$  tile of detectors
- 7x7 vs 9x9 have similar results
  - Use 7x7 to save memory and calculation time
- Tile is centered on detector with **largest integrated** signal
- Mask central detector (by zeros) because of:
  - strongest signal  $\rightarrow$  saturation
  - closest to the core  $\rightarrow$  MC might not correctly model signal



# MC data set details

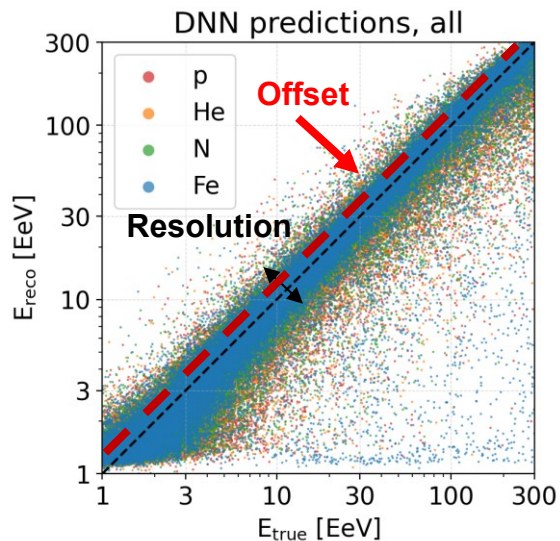
- CORSIKA 7.3500 simulations
- QGSJet-II-04
- p, He, N, Fe (0.5 M each)
- 1000 x 26 x 4 x 20 ~ 2 M events
  - 1000 Corsika showers per energy bin
  - 26 energy bins
  - 4 elements
  - 20 reshuffling per shower
- Energies: (1 EeV, 300 EeV),  $E^{-1}$  distribution, 26 bins
- Zenith angles: < 70 deg, isotropic distribution
- Training/validation: 0.9/0.1
- Test set ~ 0.5 M
- Standard spectral quality cuts

## Spectral quality cuts

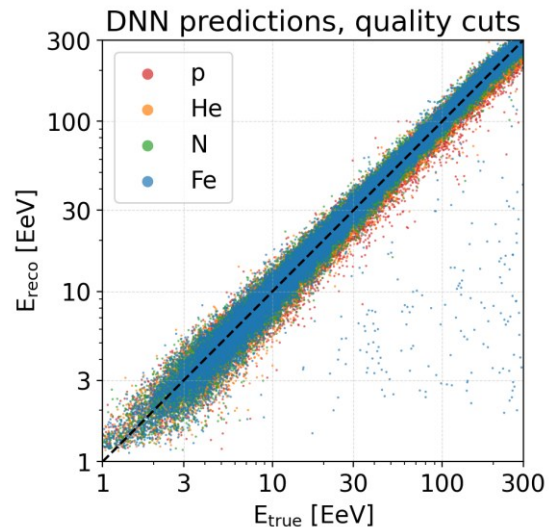
Cut	Efficiency, %	Combined, %
$N_{SD} \geq 5$	89.82	89.82
$\theta < 45^\circ$	59.08	52.75
$D_{border} \geq 1200$ m	71.28	38.43
$\chi^2_G/d.o.f. < 4,$ $\chi^2_{LDF}/d.o.f. < 4$	80.64	34.07
$(\sigma^2_\theta + \sin^2\theta \sigma^2_\phi)^{(1/2)} < 5^\circ$	87.43	31.60
$\sigma_{S800}/S800 < 0.25$	69.35	29.34

# SD energy reconstruction

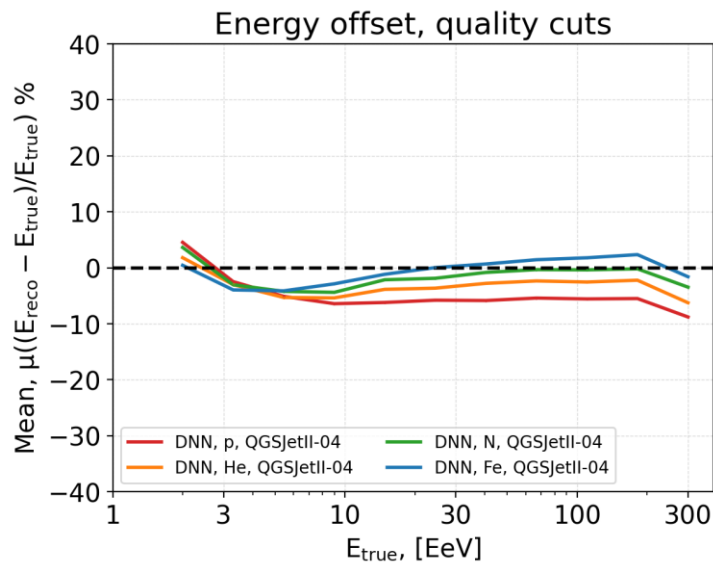
## DNN reconstruction



Quality cuts



# SD energy reconstruction offset

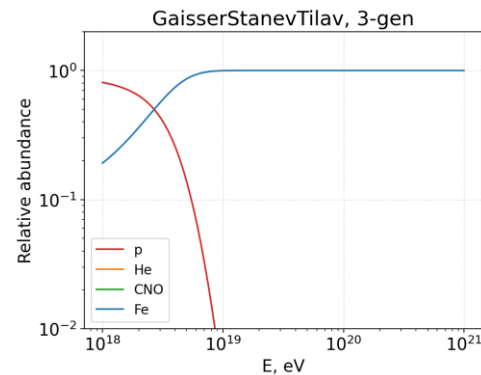
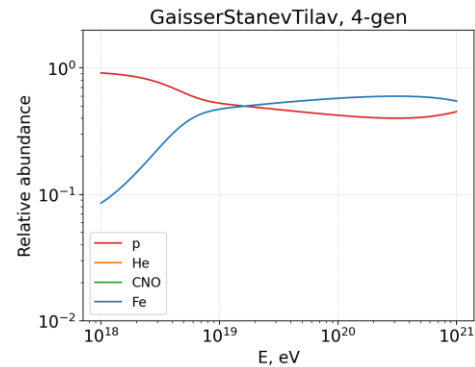
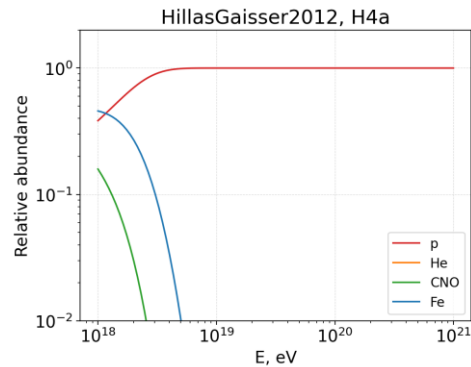
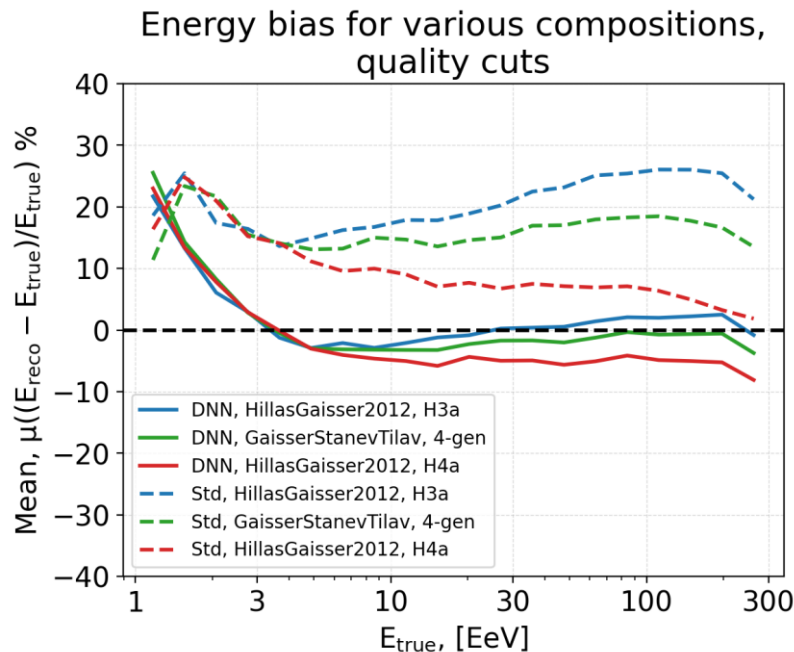


- Reconstruction is applied to:
  - MC simulations with **QGSJet II-04**
  - events passed quality cuts
- DNN trained on **QGSJet II-04**:
  - tends to center around zero bias
  - energy offsets -6% – +2.5% (at 200 EeV)
  - offset depends on mass of primary with spread 8.5 %
  - curves are ordered from proton (red) to iron (blue)

- The reconstruction offset depends on interaction model and primary mass and should be fixed by calibration against hybrid events (intrinsically correct interaction and composition)

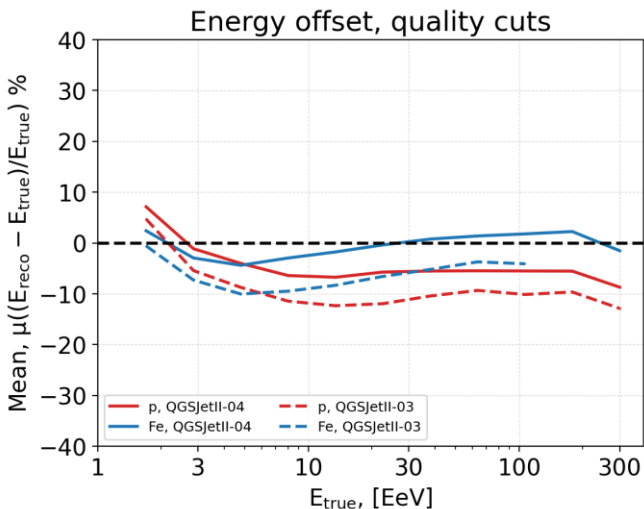
# Energy bias for different compositions

Check bias for 3 models from **crflux** package  
(<https://github.com/mceq-project/crflux>)

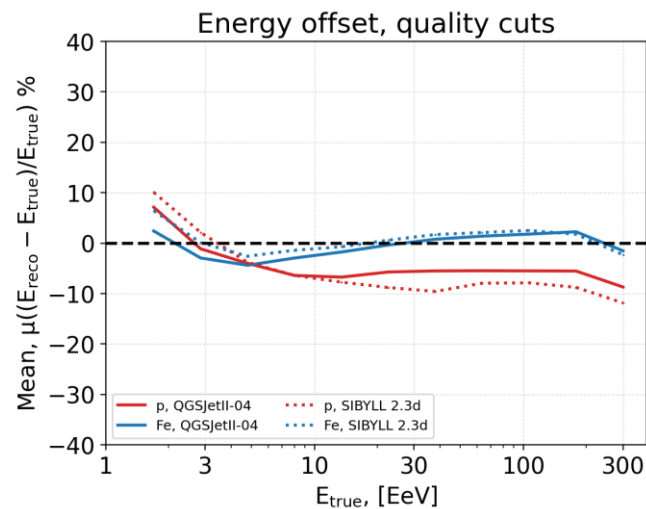


# Energy offsets for other models

## Comparison with QGSJet II-03

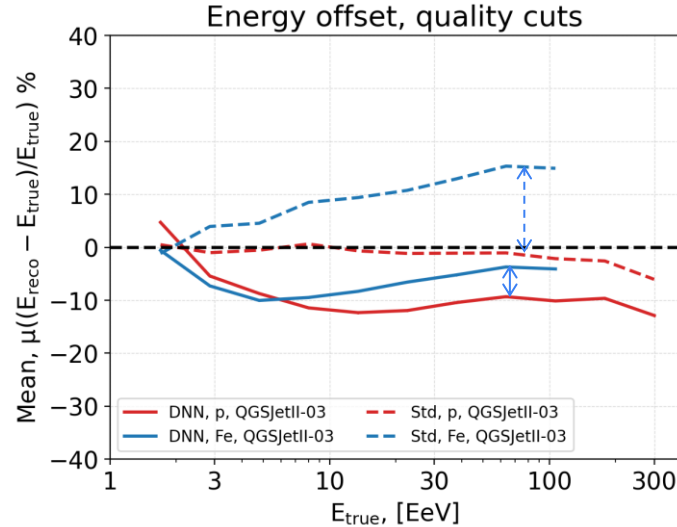


## Comparison with Sibyll 2.3d



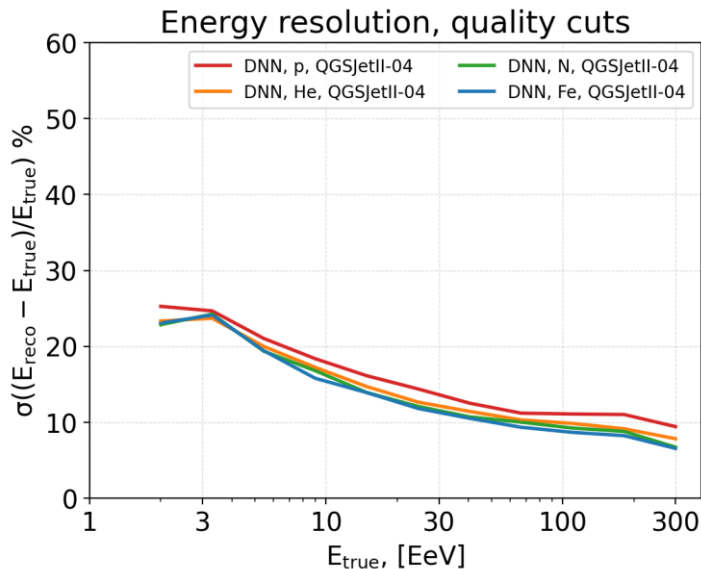
- For interaction models different from training model the offset changed no more than 7%
- Offsets between p and Fe are within 10% and ordered the same way

# Energy offsets: DNN vs standard reconstruction



- Standard reconstruction is adjusted to **QGSJetII-03 proton** MC simulations
- Offset difference between p and Fe for DNN reconstruction are smaller than in standard reconstruction:
  - DNN still has composition dependent offset but adapts to it better than standard reconstruction

# SD energy resolution

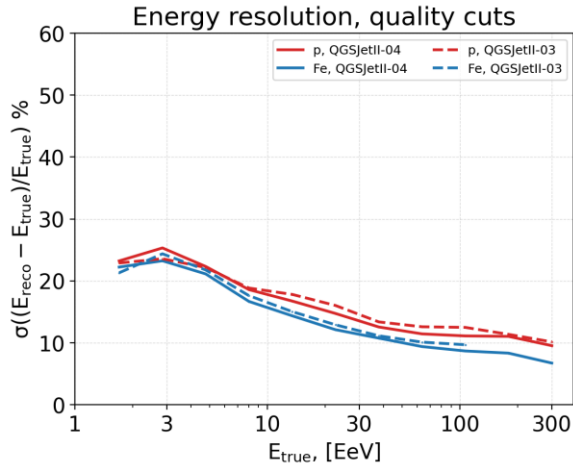


- The same events as for offset (same caveats)
- Resolution (spread) does not depend on offset
- Resolution weakly depends on composition
- DNN energy resolution:
  - 8% - 25%
  - He, N, Fe: slightly better than protons

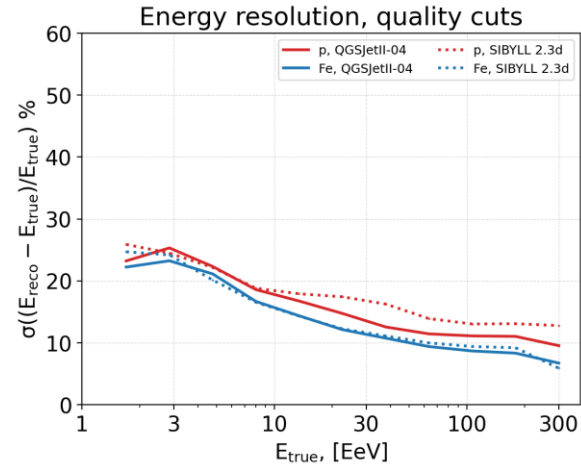


# Energy resolution for other models

## Comparison with QGSJetII-03

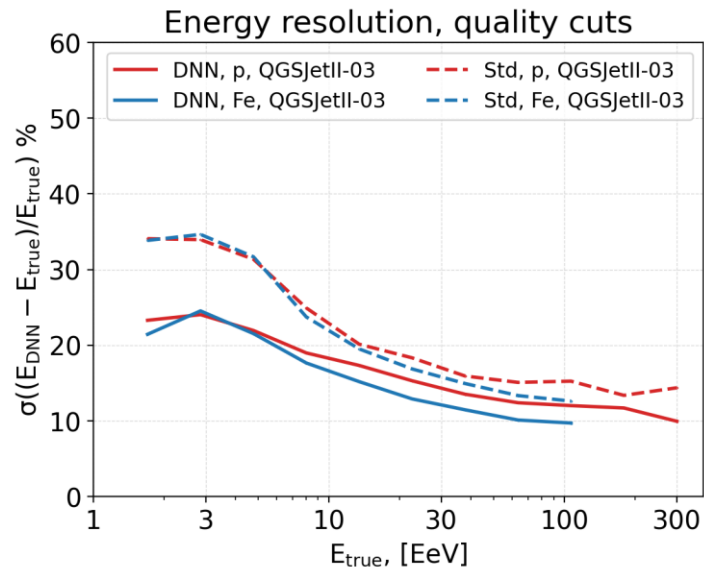
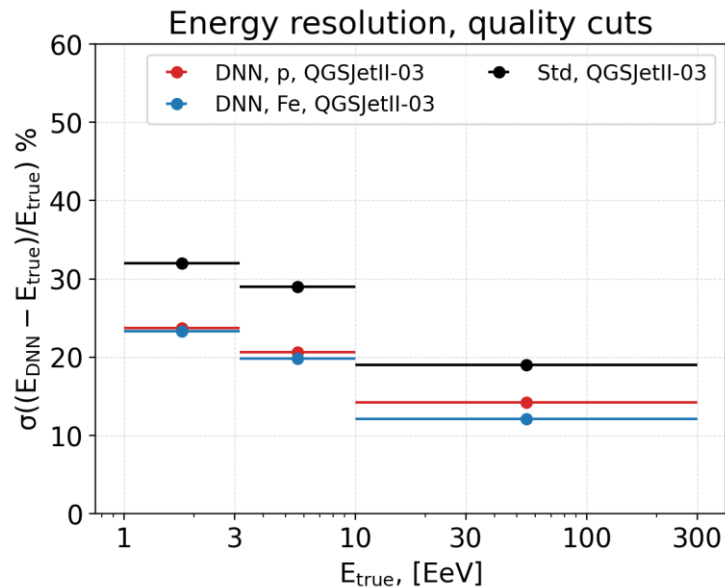


## Comparison with Sibyll 2.3d



- Resolution is very similar between models with weak dependence on type of primary

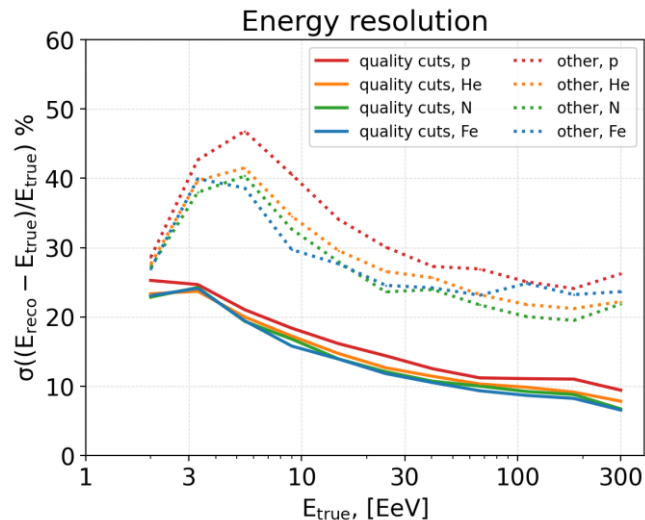
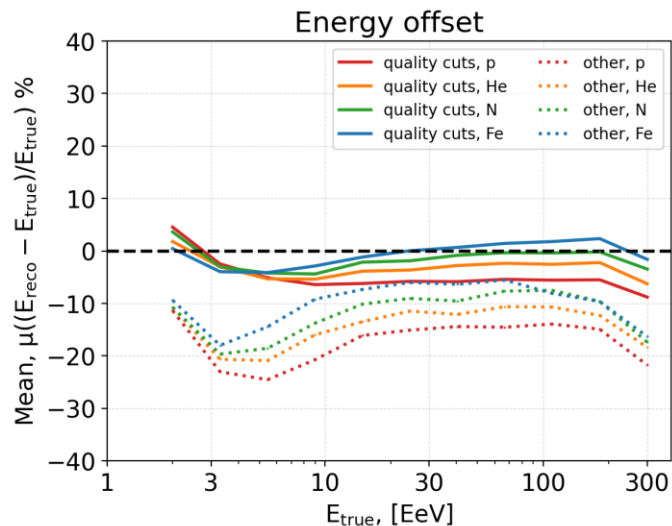
# SD energy resolution: DNN vs Std



- Resolution is more difficult to take into account than offset, i.e. the smaller the resolution the better
- DNN notably improves resolution compared to standard reconstruction

# DNN and quality cuts

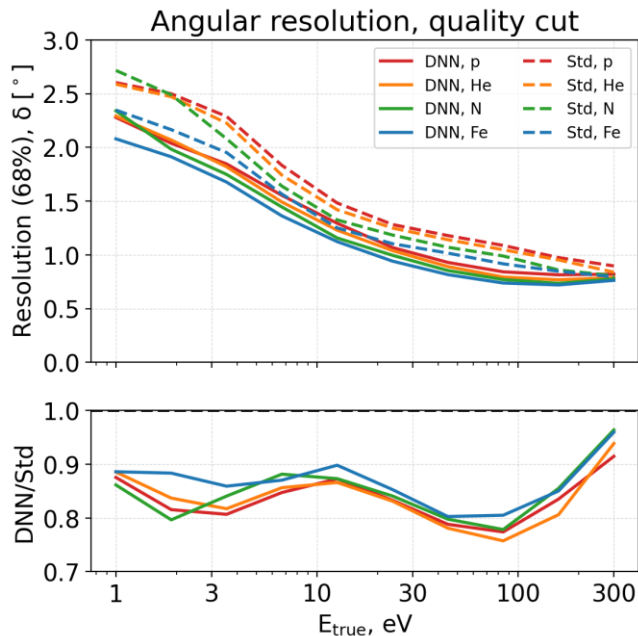
DNN reconstruction on events that pass quality cuts and on the events that do not (“other”)



DNN improved resolution will allow

- Search for **more relaxed quality cuts** while maintaining the good resolution of the existing reconstruction - **increasing statistics**

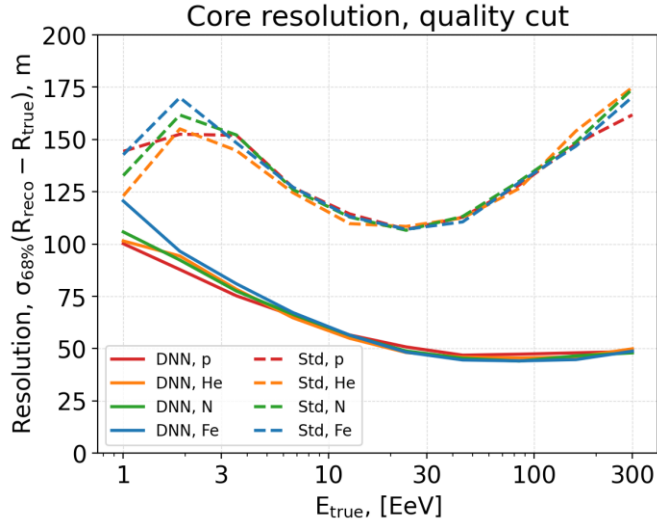
# Directional reconstruction



- Standard reconstruction resolution:
  - protons  $2.5^\circ - 0.9^\circ$
  - He, N better than p but worse than Fe
  - iron  $2.3^\circ - 0.9^\circ$
- DNN angular resolution:
  - protons:  $2.3^\circ - 0.9^\circ$
  - He, N better than p but worse than Fe
  - Iron slightly ( $<0.1^\circ$ ) better than protons

- Angular resolution **improves**  $0.2^\circ - 0.4^\circ$  ( $\sim 10\%$  improvement)

# Core position resolution



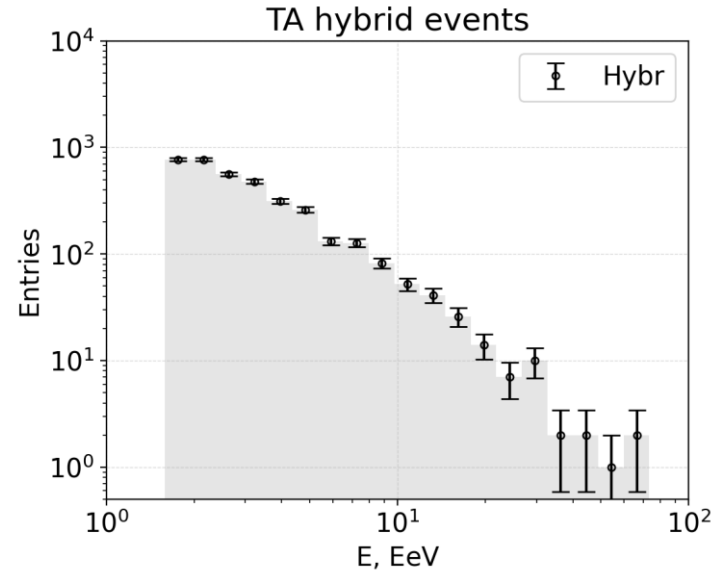
- Reconstruction after quality cut
- Standard reconstruction resolution:
  - 100 – 175 m
- DNN core resolution:
  - 50 – 100 m
- Similar for all elements

- Core resolution improves **1.5x - 2x** using DNN
- DNN reconstruction equally good in parallel and perpendicular directions of shower axis projection

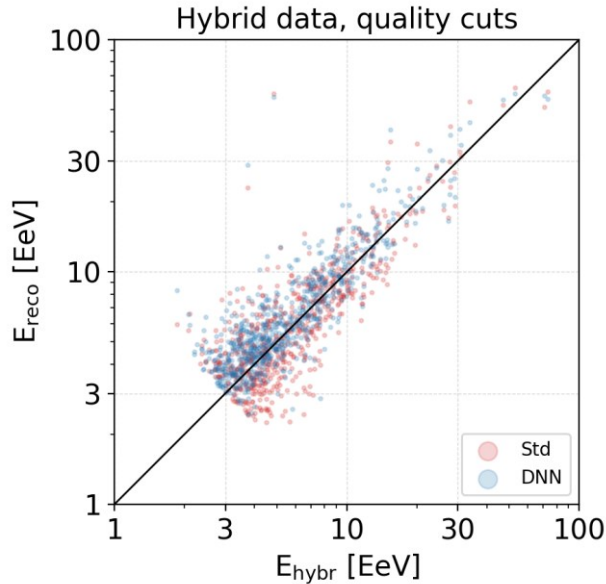
# TA Hybrid data

Hybrid data:

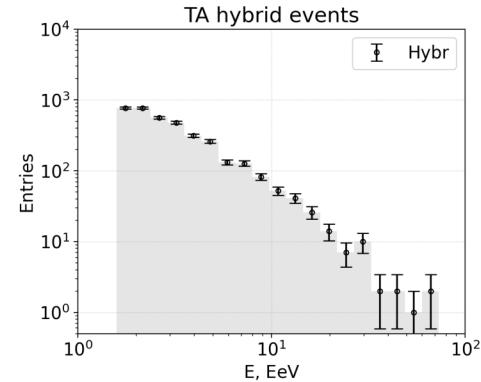
- Detected both SD and FD
- 9 years: 2008-05-27 to 2017-11-28
- Total 3656 events,
- After quality cuts 911 events



# Performance on TA Hybrid data



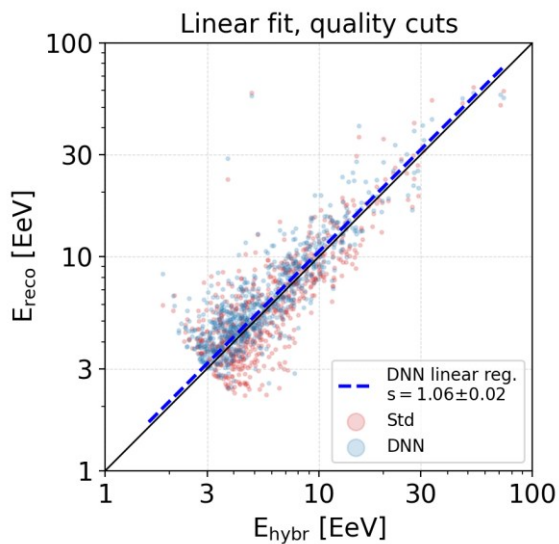
DNN works well on real TA data, with results similar to standard reconstruction



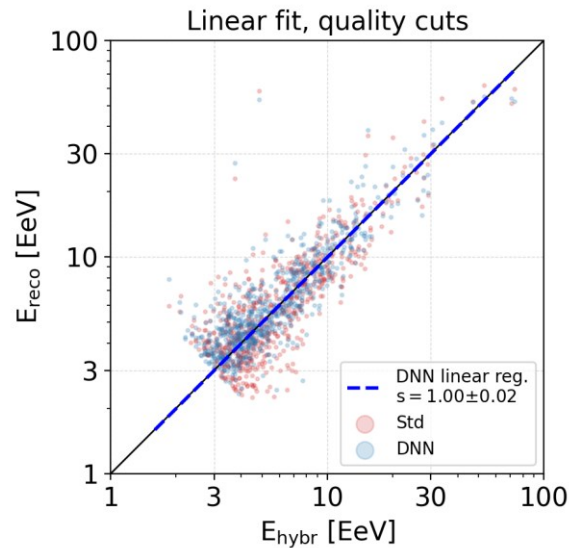
Hybrid data:

- Detected both SD and FD
- 9 years: 2008-05-27 to 2017-11-28
- Total 3656 events,
- After quality cuts 911 events

# Calibration to FD



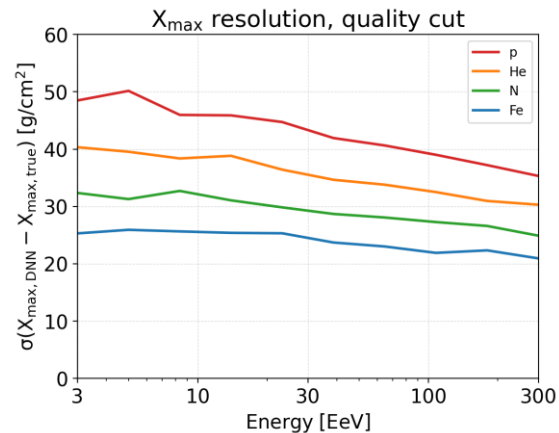
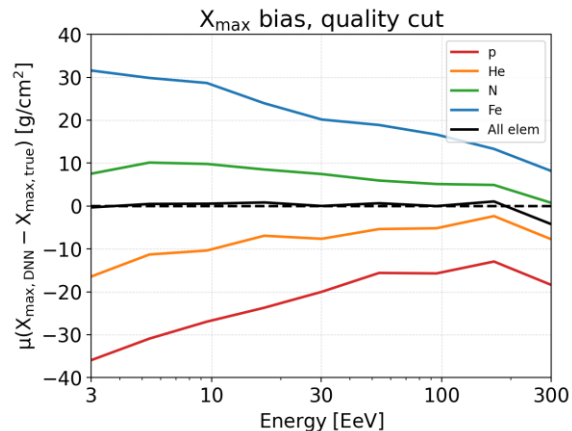
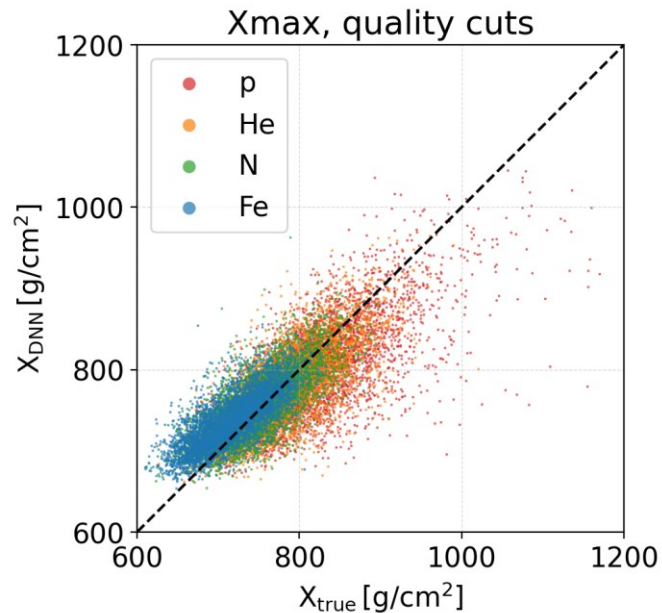
→  
DNN \* 1/1.06 ⇒  
DNN calibrated to FD



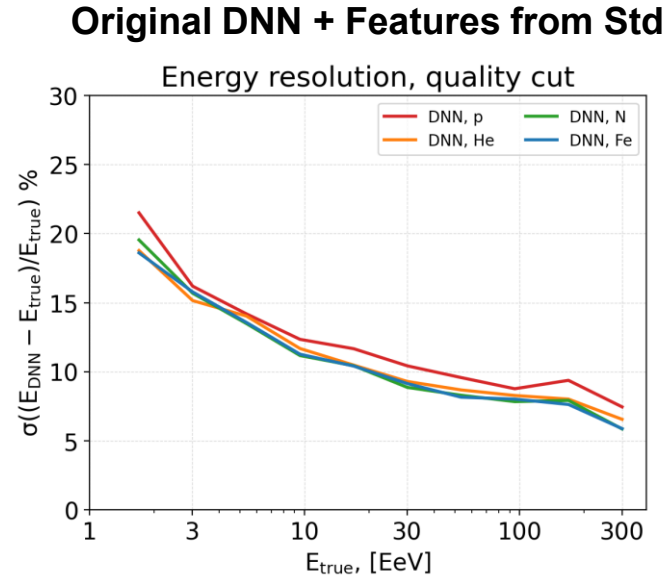
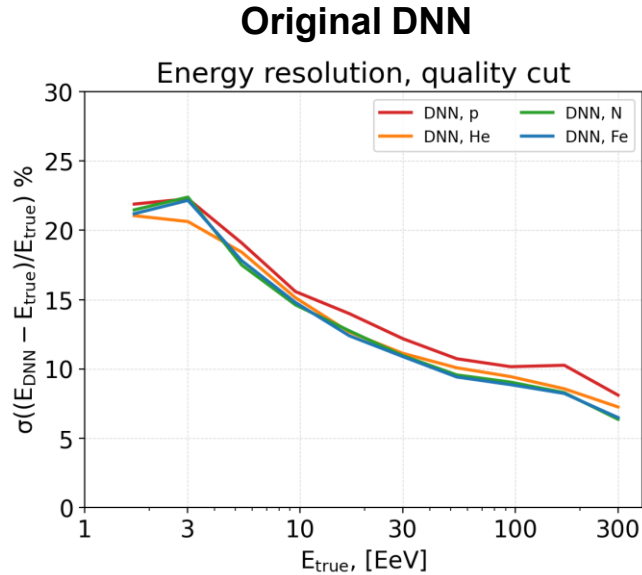
- Linear regression fit:  $E_{\text{DNN}} = s * E_{\text{hybr}}$ , bias =  $s - 1$
- With offset 6% for DNN, the calibration factor for given DNN is  $s = 1.06$
- In further application energy estimated with  $E = E_{\text{DNN}}/1.06$



# Xmax reconstruction



# Energy resolution improvement



- Addition of MLP on features from Standard reconstruction (curvature, energy, direction) improves energy resolution to ~10-20%

# Conclusions

- DNN improves the accuracy of Standard reconstruction for energy resolution, direction, and core position on events with quality cuts.
- Reasonable performance of DNN on events that haven't passed quality cuts indicates that DNN could perform well on a larger dataset with more relaxed quality cuts
- Next steps include developing a new set of quality cuts and accuracy metrics for the DNN to effectively utilize available data while maintaining the accuracy of the reconstruction